

OF THE ADDITIONAL GENERAL MANAGER (PPX-BOI)
BHARAT HEAVY ELECTRICALS LIMITED , Heavy Electrical Equipment Plant
Ranipur, Haridwar – 249 403 (UA), India



Tender Enquiry No. B/4066/2014/6195/V2 for the requirement of 3 Way Motorized Temp Control Valve with Spares

The technical specifications/Drawings uploaded along with this Open Tender are as mentioned below. In case of non-receipt or non-clarity of any of these documents, you may contact undersigned. No Financial Implication on account of non-availability of documents would be entertained after opening of Techno-Commercial Offers.

LIST OF ENCLOSURES

| <u>Commercial Documents</u> | | |
|------------------------------------|-----------------------------------------------------------|-------------------------------|
| | <u>Document No.</u> | <u>Number of pages</u> |
| 1. | ENQ. NUMBER <u>B/4066/2014/6195/V2</u> | 03 |
| 2. | INSTRUCTION TO BIDDERS | 03 |
| 3. | PRE-QUALIFICATION REQUIREMENT/ Annexure -A | 01 |
| 4. | SPECIAL REQUIREMENTS / Annexure B | 01 |
| 5. | SUPPLIER REGISTRATION FORM (for foreign supplier) | 04 |
| 6. | SUPPLIER REGISTRATION FORM (for Indian supplier) | 19 |
| 7. | CERTIFICATE BY CHARTED ACCOUNTANT- ONLY FOR MSE SUPPLIERS | 01 |
| 8. | TERM AND CONDITIONS OF TENDER ENQUIRY (foreign supplier) | 04 |
| 9. | TERM AND CONDITIONS OF TENDER ENQUIRY (Indian supplier) | 04 |
| | TOTAL PAGES | 40 |

| <u>Technical Documents</u> | | |
|-----------------------------------|-----------------------------------------------------|-------------------------------|
| | <u>Document</u> | <u>Number of pages</u> |
| 1. | SPEC ST47030 rev.04 & ITS ADDENDUM | 4 |
| 2. | SPEC ST 47025 rev.02 & ITS ADDENDUM | 6 |
| 3. | SPEC ST 47049 rev.00 | 11 |
| 4. | SPEC ST47032 Rev.01 | 11 |
| 5. | DOC NO 413137S3999 (For Material code W99313126060) | 1 |
| 6. | DOC NO 413137S3998 (For Material code W99313126079) | 1 |
| 7. | Quality plan QA/BI/QP/102rev 01 | 3 |
| | TOTAL PAGES | 37 |

SARVESH KUMAR (SR.ENGINEER/PPX-BOI)
psk@bhelhwr.co.in
Ph. 01334-281690

SUDARSHAN RAM (AGM/ PPX-BOI) Email:
 Email: sram@bhelhwr.co.in
Ph. 01334- 284269



Ref: BOI/OT/15-16/01

Date: 25/09/2015

OPEN TENDER

1. Sealed tenders with the Tender No. and opening date clearly super scribed on the cover are invited for the supply of the following items.

| Sl. No. | Tender No. | Item Description | Total Requirement | Last date to get from BHEL | Opening date |
|---------|---------------------|-------------------------------------------------------|-------------------|----------------------------|--------------|
| 1. | B/4066/2014/6195V/2 | 3 Way Motorized Temperature Control Valve with spares | 03 Nos | 20/10/2015 | 21/10/2015 |

1. Tenders will be received up to 1345 Hrs. (IST) on opening date and opened on the same day at 1400 Hrs. (IST) in the Tender Room.

Tender Fee: Rs. 2000/- (Rs. Two Thousand or equivalent foreign currency)

EMD: Rs.1,00,000/- (Rs. One Lacs Rupees or equivalent foreign currency)

1. Interested suppliers must submit their offer along with the following Tender Fee & Earnest Money Deposit (EMD).
- The EMD of Rs 1,00,000/- should be submitted in the form of demand draft / Pay Order/ cash (as permissible under income tax act). **In case of foreign bidders, E- payment is also acceptable. The RTGS details may be obtained from BHEL.**
 - Tender fee of Rs. 2,000 should be submitted by Pay Order or Demand Draft only.

All Central/State – PSUs/ Government Departments are exempted from submission of EMD.

The vendors who are already registered in PMD (product Material Directory) of BHEL, HEEP Haridwar for supply of this item are exempted from submission of EMD.

3. MSE suppliers are exempted from submission of EMD and Tender Fee.

Drafts shall be drawn in favor of "BHEL Hardwar".

4. BHEL will not be responsible for any type of postal delay / incomplete information from vendor.

5. Other term and conditions will be as per tender documents.

6. The offer of the vendors who are banned/ De-Listed/ Under Hold by BHEL, shall not be considered till the period of their Ban/ De-list/ Hold.

For any further details please log on to www.bhel.com or www.tenders.gov.in or www.bhelhwr.co.in

(Sudarshan Ram)
AGM (PPX-BOI)



BHEL Enquiry No. : B/4066/2014/6195V/2

Date of issue : 25/09/2015

Due Date/ opening Date : 21/10/2015

M/s.....

Sub: Tender Enquiry No. B/4066/2014/6195V/2

Dear Sir,

BHEL is pleased to invite your offer in **TWO PARTS** strictly as per enclosed terms and conditions and instruction to bidders, in sealed covers for the under mentioned equipment / systems.

| Sl. No. | Material Code | Total Requirement / Project | Item Description | Delivery Required | EMD (Earnest Money Deposit) |
|---------|-------------------------------------|--------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|----------------------------------------------------------------|
| 1. | Material Code : W90313137668 | 03 Nos Project : Neyveli Unit-1&2-02 Nos Neyveli Mandatory spares -01 No | 3 WAY Motorized Temperature Control Valve with Integral starter Actuator as per VAR 02 of spec ST47030 rev.04 , ST47025 & ST47049 & ITS ADDENDUM Valve TAG NO MAV41AA001 | Neyveli U-1 : 25/06/2016 Neyveli U-2 : 26/12/2016 Neyveli Mandatory Spares : 23/06/2017 | Rs.1,00,000/- (One Lacs rupees or equivalent foreign currency) |
| 2. | Material Code : W90313137676 | 03 Nos Project : Neyveli Unit-1&2-02 Nos Neyveli Mandatory spares -01 No | 3 WAY Motorized Temperature Control Valve with Integral starter Actuator as per VAR 02 of spec ST47030 rev.04 , ST47025 & ST47049 & ITS ADDENDUM Valve TAG NO MAX41AA041 | Neyveli U-1 : 25/06/2016 Neyveli U-2 : 26/12/2016 Neyveli Mandatory Spares : 23/06/2017 | |
| 3. | Material Code : W99318102143 | 04 Sets Project : Neyveli Mandatory spares | Torque Switches Assembly for 3 Way TCV (2NO +2 NC) as per Spec ST47049 rev.00 | 23/06/2017 | |
| 4. | Material Code : W90318102056 | 04 Sets Project : Neyveli Mandatory spares | Limit Switch Assembly for Actuator TCV (02 Nos of each Type) as per Spec ST47032 rev.01 | 23/06/2017 | |
| 5. | Material Code : W99313126060 | 01 Set Project : Neyveli Mandatory spares | Spares of 3 Way Temp Control Valve as per doc No 413137S3999 & Spec ST47030 rev.04 | 23/06/2017 | |
| 6. | Material Code : W99313126079 | 01 Set Project : Neyveli Mandatory spares | Spares of 3 Way Temp Control Valve as per doc No 413137S3998 & Spec ST47030 rev.04 | 23/06/2017 | |



BHARAT HEAVY ELECTRICALS LIMITED
HEEP: HARDWAR-249 403 (UA)
Fax: 01334-226084/226462, Phone: 28-5849/4421/1690
E-mail: ajain@bhelhwr.co.in/ sram@bhelhwr.co.in/ psk@bhelhwr.co.in

Please submit your lowest quotation / offer for the above requirement subject to our terms and conditions. Your offer should reach us on or before the due date (21/10/2015) by 1.45 PM.

NOTE: The supplier should submit their best price at this stage itself and they will not be allowed to revise the price. Any revision / discount given by vendor subsequently will be ignored.

Any clarification on technical specifications can be obtained from BHEL before tender opening. Suppliers are welcome to have pre-bid meeting with BHEL engineers for better understanding our requirements

Part-1 bid shall be opened of those vendors **ONLY AFTER ENSURING AVAILIBILITY OF EMD AND TENDER FEE (PLEASE SUBMIT SEPARATE DRAFTS FOR EMD AND TENDER FEE {drawn in favor of BHEL Hardwar} IN ANOTHER ENVELOPE SUPERSCRIBED WITH BOLD LETTERS "EMD & TENDER FEE")** at 2 PM on the due date in the presence of authorized representatives of the bidders who may like to be present. The authorized representative should bring authority letter from their principals for attending the bid opening.

Please note:

- All Central/State – PSUs/ Government Departments are exempted from submission of EMD.
- The vendors who are already registered in PMD (product Material Directory) of BHEL, HEEP Haridwar for supply of this item are exempted from submission of EMD.
- MSE suppliers are exempted from submission of EMD and Tender Fee.

"MSE Suppliers can avail the intended benefits only if they submit along with the offer, attested copies of either EM II certificate having deemed validity (five years from the date of issue of acknowledgement in EM II) or valid NSIC certificate or EM II certificate along with attested copy of a CA certificate (Format enclosed at annexure-D where deemed validity of EM II certificate of five years has expired) applicable for the relevant financial year (latest audited). Date to be reckoned for determining the deemed validity will be the date of bid opening (Part 1 in case of two part bid). Non submission of such documents will lead to consideration of their bid at par with other bidders. No benefit shall be applicable for this enquiry if any deficiency in the above required documents is found or the requisite documents are not submitted before price bid opening. Documents should be notarized or attested by a Gazette officer."

Based on above clause, the credentials of all MSE Suppliers will be reviewed for giving the intended benefits for MSE suppliers at the time of tender evaluation.

KINDLY READ "INSTRUCTIONS TO BIDDERS" **THOROUGHLY**. QUOTATIONS NOT IN ACCORDANCE WITH THE ABOVE INSTRUCTIONS ARE LIABLE TO BE DISQUALIFIED AND IGNORED.

For & ON BEHALF OF BHEL, HARDWAR

AGM (PPX/BOI)



INSTRUCTION TO BIDDERS

Clause 1.0 :

The tenders shall be submitted in two parts (Part I consisting of Techno -Commercial Bid, Supplier Registration Form & Pre-Qualification Requirement and Part II Price Bid) as described below on or before the due date (21/10/2015 by 1345 Hrs).

- **The Quotation should be from the Principal / Original Manufacturer, even if it is submitted through their authorized agents, failing which the quotation is likely to be ignored.**
- Any corrections / amendments shall be properly & fully authenticated with signature.

BHEL will deal directly with the manufacturer and no correspondence with the agents will be entertained. The agents will not be permitted to visit / interact with BHEL on behalf of their principals. Subsequently also, no correspondence of any type will be made with any agents (all individuals / companies – representative / adviser / retainer ship basis or claimed to be part time employees for many OEMs / claiming to be channel or business partner for BHEL work / stockist not registered specifically etc. are agents). Communications with only those agents who have submitted agency agreement with their respective principal may be done.

The bidders (originals manufacturers) will have to submit ink-signed offer / bid in original directly to BHEL. In case the bid is submitted by fax / email, the bidders shall simultaneously ensure submission of ink-signed original bid to BHEL also in the manner prescribed in this tender. However, in case of e-tender ink signed offer is not required.

The suppliers or their authorized person may be allowed to attend the tender opening, duly authorized by their principals, through a specific letter for a particular enquiry for specific price bid opening on that particular day. General authorization letter is not acceptable.

Clause 1.1 :

PART-I (TECHNO-COMMERCIAL BID) shall comprise of following documents:

- a) Pre-Qualification Requirement (**as defined in Annexure-A**)
- b) Complete Technical offer with relevant drawings/ documents /QP etc. (**as Per Special Instructions mentioned in Annexure-B**).
- c) Catalogue of the Equipment (If applicable)
- d) Duly Filled in "Terms & Conditions of the Two Part Tender" (**as per Annexure-C**).
- e) Deviation with reference to specification to be laid down on separate sheet.

Cost of deviation is to be submitted along with the price bid essentially, in case vendor withdraws the deviation clauses the same will be considered for final evaluation.

- f) Replica of Price Bid/ Price sheet (without Prices).
- g) The rating of the company quoting for this tender from a third party (independent agency) preferably by DUN & BRADSTREET should be submitted (D&B NUMBER). **Compliance of this clause is mandatory in case of foreign supplier, Indigenous suppliers to submit the copy of balance sheets for last three years** in absence of D&B / third agency report.
- h) **Supplier Registration Form:** The Supplier Registration Form (SRF) duly filled will be assessed for manufacturing capability, quality systems being followed, organizational soundness and financial worthiness. The SRF is enclosed for your reference. This form may also be downloaded from www.bhel.com



Clause 1.2: PART-II (PRICE BID)

The price Bid (with prices) for the complete scope as specified above shall be submitted

a) **Foreign Suppliers** : Dispatching Port & Country of dispatch is to be mentioned clearly. The Prices are to be quoted on both FOB & CFR Mumbai basis. The offer of the bidder not quoting CFR (Mumbai) Charges will be loaded by the maximum freight, packing & forwarding charges quoted by any other bidder from the same or nearby port, against the enquiry/freight rate available with BHEL.

b) **Indigenous suppliers**: The Prices are to be quoted on Ex-Works with freight pre-paid up to destination basis. The goods can be dispatched through any Bank approved Transporter having their branch at Haridwar. The names and addresses of transporters approved by IBA as well as BHEL are hosted on our website www.bhelhwr.co.in . The offer of the bidder not quoting on Freight Pre-paid up to destination basis will be loaded by maximum freight, packing & forwarding charges quoted by any other vendor from the same or nearby station, against the enquiry / freight rate available with BHEL.

c) Transit Insurance shall be taken care of by BHEL.

d) Applicable Sales Tax, Excise Duty, Service Tax, educational cess and any other statutory levy should be indicated separately and clearly in the quotation, else it will be concluded that the offer is inclusive of all taxes & duties.

e) The price comparison will be on the basis of total cost involved for delivery up to final project site. Import Offers shall be loaded for applicable custom duties for the project & freight element/ other charges involved for delivery up to Project Site as per BHEL's transport contract.

f) The Part-II (Price Bid) along with supplementary price bid (if called for by BHEL) will be opened at a later date of only those bidders whose Part-1 bid (Techno-Commercial Offer) is found suitable by BHEL/ End-Customer. **BHEL reserves the right to evaluate suppliers process capability / quality systems etc. by visiting vendor works (if required)**

Clause 1.3:

The following shall be super-scribed on the envelopes:

1. **PART-I (a):** Documents required in pre-Qualification Requirement (as defined in Annexure-A) shall be submitted in this part.

Superscription on envelop:

1. TENDER NO. AND ITEM DESCRIPTION.
2. DUE DATE OF OPENING.
3. "PRE-QUALIFICATION REQUIREMENT"

2. **PART-I (b):**

The following shall be submitted in this part:

- Complete Technical offer with relevant drawings/ documents /QP (As defined in Annexure B)
- Catalogue (if required)
- Deviation sheet (if there is any deviation with respect to our specification)
- Replica of price bid/ Price sheet (without mentioning the actual prices)



- Terms and conditions of two part tender (foreign or indigenous, whichever applicable)
- Supplier Registration form with relevant supporting document including "D & B" report / balance sheet etc. manufacturing details, quality procedures, testing and certification followed etc.
- **Note:** Vendors who are already registered at BHEL, Haridwar in the PMD for this item are exempted for submitting the Supplier Registration Form.

Superscription on envelop:

1. TENDER NO. AND ITEM DESCRIPTION.
2. DUE DATE OF OPENING.
3. "TECHNO-COMMERCIAL BID"

7. **PART-I (c):** EMD and Tender Fee shall be submitted in this part

Superscription on envelop:

1. TENDER NO. AND ITEM DESCRIPTION.
2. DUE DATE OF OPENING.
3. "EMD & TENDER FEE"

PART-II: Price bid & cost of deviation (if any) shall be submitted in this part.

Part II (a) Superscription on envelop:

1. TENDER NO. AND ITEM DESCRIPTION.
2. DUE DATE OF OPENING.
3. "PRICE BID"

Clause 1.4:

The Part-I (from 1 (a) to 1 (c) & Part-II) bids shall be individually sealed and super scribed as indicated above and shall be enclosed further in the envelop duly sealed and super scribed as:

"TENDER FOR (ITEM NAME) AGAINST TENDER NO. ----- DUE ON -----
CONTAINING PART-I & PART-II. Vendor's full name and address should be clearly mentioned on the envelope

Clause 1.5:

Envelopes not marked as above are liable to be ignored and will not be opened.

Clause No. 1.6: As per directives of CENTRAL VIGILANCE COMMISSION, GOVERNMENT OF INDIA, one agent can not represent two or more suppliers or quote on their behalf in a particular tender. If so found at any stage, BHEL Hardwar is likely to cancel Enquiries / POs to such suppliers. Further, such Indian Agent is likely to be de-listed (Black listed for business from BHEL)

Thanking You,
Yours faithfully,
For & on behalf of BHEL, Hardwar.

(Sudarshan Ram)
AGM (PPX-BOI)



Annexure-A

PRE-QUALIFICATION REQUIREMENT

- 1) The supplier should have experience of having successfully designed, manufactured & supplied 3 Way Motorised Temperature Control Valves with matching actuators of standard make with integral starter for similar applications with same or higher parameters (i.e Temperature, Pressure, Flow, Body Size etc) than the mentioned parameters in our specifications to atleast 02 Thermal Power Projects/Process Plants within last five years.
- 2) The supplied valves should have a minimum of 01 year successful operation.
- 3) The supplier should furnish the following documents.
 - Purchase Order copy in support of sl.no 1 above, along with relevant Technical details & technical annexures.
- 4) BHEL reserves the right to verify information submitted by vendor. In case the information is found false/incorrect, the offer shall be rejected. Supplier's not meeting pre qualification requirement would be rejected & their technical offer will not be scrutinized further.
 - Offer submitted by suppliers will be scrutinized with respect to pre-qualification requirement first. Technical offer of the vendors' not meeting Pre-qualification requirement will not be scrutinized.
 - Procurement will be made from customers/ End Users approved suppliers only.



Annexure-B
SPECIAL INSTRUCTIONS

The material is to be dispatched to project site directly from supplier works. Quotation shall be submitted accordingly.

1. Duly Filled in “Terms and Conditions of the Two Part Tender” shall be necessarily filled and submitted along with the offer.

A. TECHNICAL REQUIREMENT

2. Project wise requirement has been detailed below:

- Neyveli (Tamil Nadu) ; 03 Nos.
2. The offer shall be submitted in-line with specifications for all material codes covered in the tender. (specification copies are enclosed).
 3. Duly Filled in “Terms and Conditions of the Two Part Tender” are to be necessarily filled and submitted along with the offer.

A) QUALITY REQUIREMENTS

1. **For Indigenous Suppliers :**
 - Kindly confirm BHEL standard quality plan no QA/BI/QP/102 rev.01.(Copy Enclosed).
 - Inspection by BHEL nominated inspection agency (QUEST) as per BHEL standard quality plan no QA/BI/QP/102 rev.01 . Inspection will be arranged by BHEL.
2. **For Foreign Suppliers :**
 - Kindly confirm BHEL standard quality plan no QA/BI/QP/102 rev.01.(Copy Enclosed). .
 - Inspection by third part inspection agency (LRS/TUV/BV) as per BHEL standard quality plan no QA/BI/QP/102 rev.01. Inspection is to be arranged by supplier.

The prices are to be quoted inclusive of Third Party Inspection Charges (By Lloyds/BVQI/TUV). The scope of inspection for Third Party Inspection Agency would be as per BHEL approved Quality Plan. In case if nothing has been mentioned in your offer about the Third Party Inspection charges, the same would be considered to be inclusive in your quoted prices.

3. Material shall be dispatched only after issue of Material dispatch clearance certificate (MDCC) by BHEL
4. Procurement will be made from customer approved vendors only.

Kindly ensure the timely submission of your offer (latest by 1345 Hrs IST on the due date) and note that Late Offers shall not be entertained under any circumstances.

Note: BHEL would open price bid of only those vendors who fulfill our pre –qualifications requirements & successfully registered at BHEL Haridwar in Product Material directly (PMD) within validity of the offer.

| | | | |
|-----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|--------------|-------------|
|  | Annexure-III GUIDELINES TO FOREIGN SUPPLIERS FOR FILLING UP SUPPLIER REGISTRATION FORM | Document No. | AA:MM:SR:01 |
| | | Revision No. | 01 |
| | | Page No. | I of II |

1. Prospective Suppliers may also visit our web site www.bhel.com (link Supplier Registration -> New Supplier Required) to know more about the requirement of new suppliers for various items in our units.
2. Registration Form no. SRF(F)01 may be obtained from BHEL website www.bhel.com.
3. Application for registration, with a covering letter detailing where your products can find application (if possible) in BHEL unit(s), should be sent to:

Supplier Development Cell
Unit Name:
Bharat Heavy Electricals Ltd.
City:
India

4. In case registration is being sought in multiple units of BHEL, please send the separate application to each unit.
5. Any clarification with respect to procedure for registration may be obtained from the Supplier Development Cell of respective BHEL unit.
6. All columns are to be filled up properly in the space provided for. Wherever it is not applicable, mention it as "Not Applicable". The form is to be signed by the authorised signatory and submitted in original.
7. Please attach separate sheet if the space provided is insufficient. For any other information also, separate sheet may be attached.
8. Any information / clarification required by BHEL during processing of registration must be given expeditiously.
9. Please ensure that all required enclosures are attached with the filled up Supplier Registration Form and all enclosures are numbered & list of enclosures is given as required.
10. As multiple copies of the application forms are required for processing at our end, please do not spiral bound the application forms or its enclosures and instead send them in two hole clip flat file.
11. Please fill up the check- list given on next page and send along with the Supplier Registration Forms to BHEL.
12. Please note that if you are registered and participate in Tender process and qualify to get order from BHEL, your performance based on Quality of your product, delivery performance and service rendered will be evaluated inline with Chapter VI.
13. If you are attaching a document in a language other than English, a self attested English translated document may please be also attached.

Editible format of this form can be downloaded from http://www.bhel.com/vender_registration/vender.php

| | | | |
|-----------------------------------------------------------------------------------|--------------------------------------------------------------------------------|--------------|-------------|
|  | Annexure-III CHECK-LIST FOR FOREIGN SUPPLIERS REGISTRATION FORM | Document No. | AA:MM:SR:01 |
| | | Revision No. | 01 |
| | | Page No. | II of II |

| S.N. | Check-Point | Yes/No |
|------|--------------------------------------------------------------------------------------------------------------------|--------|
| 1.0 | Information against all points in the Supplier Registration Form has been given. | |
| 2.0 | Authorisation letter has been enclosed (in case of Indian representative) | |
| 3.0 | Banker's certificate has been enclosed | |
| 4.0 | Are you an ISO: 9001 accredited supplier? | |
| 4.1 | If yes, have you enclosed copy of ISO 9001 accreditation certificate & "Table of Contents" of your Quality Manual? | |
| 4.2 | If no, have you enclosed written down procedure / manual of Quality Management System? | |
| 5.0 | Have you enclosed experience list/ performance certificate (as per sl. 3.0 of the form SRF(F) 01) | |
| 6.0 | List of enclosures has been furnished (as per Sl 5.0 of the form SRF(F) 01) | |
| 7.0 | All the parts of the form & enclosures have been signed by Authorised Signatory. | |
| 8.0 | D&B Report/ Creditreform report etc. has been attached. | |

Signature & seal
(Authorised Signatory)

Date :

Note : This check list is to be attached with the filled up Supplier Registration Form.

BHARAT HEAVY ELECTRICALS LIMITED , INDIA

ANNEXURE- III

FORM NO. SRF(F)01 : (FOREIGN SUPPLIER)

Page 1 of 2

ALL THE PAGES OF THE FORM ARE TO BE SIGNED ALONGWITH SEAL BY THE AUTHORISED SIGNATORY

| SUPPLIER REGISTRATION FORM | |
|--------------------------------------------------------------------------------|--------|
| 1.0 GENERAL INFORMATION : | |
| 1.1 NAME OF SUPPLIER : (TO BE REGISTERED) | |
| 1.2 SUPPLIER ADDRESS : (TO BE REGISTERED) | |
| E - Mail : | |
| TELEPHONE : (Landline)- | Mobile |
| WEB SITE : | |
| 1.3 DETAILS OF FACTORY / WORKS : | |
| ADDRESS : | |
| E - Mail : | |
| TELEPHONE : (Landline)- | Mobile |
| 1.4 DETAILS OF MARKETING REPRESENTATIVE (OUTSIDE INDIA , IF ANY) | |
| ADDRESS : | |
| E - Mail : | |
| TELEPHONE : (Landline)- | Mobile |
| 1.5 DETAILS OF INDIAN REPRESENTATIVE, IF ANY : (Attach authorisation letter) | |
| NAME : | |
| ADDRESS : | |
| E - Mail : | |
| TELEPHONE : (Landline)- | Mobile |
| 1.6 CHIEF EXECUTIVE : | |
| 1.7 YEAR OF ESTABLISHMENT : | |

BHEL CERTIFIED ASSESSOR

BHARAT HEAVY ELECTRICALS LIMITED , INDIA

ANNEXURE-III

FORM NO. SRF(F)01 : (FOREIGN SUPPLIER)

Page 2 of 2

- 1.8 YEAR OF COMMENCEMENT OF BUSINESS :
- 1.9 PRODUCTION CAPACITY PER ANNUM (details of major manufacturing/ testing facilities to be given) :
- 1.10 PARTICULARS OF PRODUCTS INCLUDING SPECIFICATION AND RANGE OFFERED FOR REGISTRATION : (ATTACH BROCHURES AND CATALOGUES)
- 1.11 NAME (S) OF BANKERS :
- 1.12 BANKER'S CERTIFICATE AS TO CREDITWORTHINESS OF THE CLIENT
(Enclose Certificate) :
- 1.13 PORT OF LOADING :
- 1.14 NEAREST AIRPORT :
- 1.15 Report from D&B/ Creditreform etc. (As per Cl. 7.1 of Chapter V) (**MANDATORY**):

2.0 FINANCIAL INFORMATION : (FOR LAST 3 YEARS)

| PARAMETER | YEAR -1 | YEAR -2 | YEAR -3 |
|------------------|---------|---------|---------|
| ANNUAL TURN OVER | | | |
| PROFIT AFTER TAX | | | |

(COPY OF AUDITED ANNUAL ACCOUNTS FOR LAST 3 YEARS TO BE ENCLOSED)

3.0 QUALITY MANAGEMENT SYSTEM :

- 3.1 IS THE COMPANY ISO:9001 CERTIFIED : YES / NO
- 3.1.1 IF YES, ENCLOSE COPY OF CERTIFICATE & TABLE OF CONTENTS OF QUALITY MANUAL.
- 3.1.2 IF NO, ENCLOSE QUALITY MANAGEMENT SYSTEM OR WRITTEN DOWN PROCEDURE

4.0 EXPERIENCE LIST/ PERFORMANCE CERTIFICATE FOR OFFERED / SIMILAR ITEMS :

(ATTACH LIST OF PRESENT CUSTOMERS WITH NAME & ADDRESS FOR OFFERED / SIMILAR TYPE & SIZE OF ITEM / EQUIPMENT FOR WHICH REGISTRATION HAS BEEN SOUGHT AND WITH WHOM YOU HAVE CONTINUOUS BUSINESS SINCE LAST THREE YEARS)

5.0 ANY OTHER INFORMATION :

6.0 LIST OF ENCLOSURES :

(BROCHURES, CATALOGUES, TECHNICAL LITERATURE ETC.)

Signature & Seal

AUTHORISED SIGNATORY

E – Mail

NAME :

TELEPHONE : (Landline):

DESIGNATION :

Mobile :

DATE :

| | | | |
|-----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|--------------|-------------|
|  | Annexure-II GUIDELINES TO INDIAN SUPPLIERS FOR FILLING-UP SUPPLIER REGISTRATION FORM | Document No. | AA:MM:SR:01 |
| | | Revision No. | 01 |
| | | Page No. | I of II |

- Prospective Suppliers may also visit our web site www.bhel.com (link Supplier Registration -> New Supplier Required) to know more about the requirement of new suppliers for various items in our units.
- Registration Form may be obtained from BHEL website www.bhel.com.
- Application for registration, with a covering letter detailing where your products can find application (if possible) in BHEL unit(s), should be sent to:

Supplier Development Cell
Unit Name:
Bharat Heavy Electricals Ltd.
City:
India

- In case registration is being sought in multiple units of BHEL, please send the separate application to each unit.
- Any clarification with respect to procedure for registration may be obtained from the Supplier Development Cell of respective BHEL unit.
- The Supplier Registration Form has four parts:

| | | |
|--------|---------------------------------------|------------------------------------------------|
| Part A | Organisational Information | Form no. SRF(I)01 – page 1 to 10 |
| Part B | Quality System | Form no. SRF(I)01 - page 11 to 12 |
| Part C | Technical Competence | Form no. SRF(I)01 page 13 (if sent by BHEL) |
| Part D | Score Sheet (to be filled by BHEL) | Form no. SRF(I)01 page 14 to 17 |

The set of formats to be filled by different category of suppliers is as follows:

| Sl.No | Supplier Category | Formats |
|-------|----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| 1. | Indian Suppliers (manufacturers) | - Organisational Information - Quality System - Technical Competence |
| 2. | Indian Stockist/ Trader/ Distributor/ Dealer/ Authorised Agent/ Channel partner/ Indian Sales office or Subsidiary of registered foreign principal | - Organisational Information SRF(I)01- Part A (page 1 to 10 except Sl. No. 5.1, 5.2, 6.6, 6.7, 7 & 8) |

- All columns are to be filled up properly in the space provided for. Wherever it is not applicable, please mention “Not Applicable”. The form is to be signed by the authorised signatory.
- A separate sheet may be attached if the space provided is insufficient or additional information is to be given. Please put proper identification tag on the separately attached sheet.
- Please ensure that all required enclosures are attached with the filled up Supplier Registration Form and all enclosures are numbered & list of enclosures is given as required.
- As multiple copies of the application forms are required for processing at our end, please do not spiral bound the application forms or its enclosures and instead send them in two hole clip flat file.
- Incomplete forms will be rejected.
- Any information / clarification required by BHEL during evaluation must be given expeditiously.
- Please note that if you are registered and participate in Tender process and qualify to get order from BHEL, your performance based on Quality of your product, delivery performance and service rendered will be evaluated inline with Chapter VI.
- Please fill up the check- list given on next page and send along with the Supplier Registration Forms to BHEL.
- If you are attaching a document in a language other than Hindi/ English, a self attested Hindi/ English translated document may please be also attached.

| | | | |
|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------|-------------|
|  | Annexure-II Check List for Indian Supplier Registration Form | Document No. | AA:MM:SR:01 |
| | | Revision No. | 01 |
| | | Page No. | II of II |

| Sl.No. | Check-Point | Yes/No |
|--------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|
| 1. | Information against all points under “Organizational Information” (Part-A) has been given. | |
| 2. | All enclosures and supporting documents have been enclosed. | |
| 3. | Summary list of enclosures has been furnished as per S.N.10 of Organisational Information form no. SRF (I) 01 & S.N 12 of Quality system form no. SRF (I) 01. | |
| 4. | Are you an ISO 9001 accredited supplier? | |
| 5. | If yes, have you enclosed "Table of Contents" of your Quality Manual and copy of ISO 9001 accreditation certificate? | |
| 6. | Have you filled up Quality System format given in Part B? | |
| 7. | Technical requirements, specifications, drawings, standards have been received from BHEL before filling up Technical Competence, Part C. | |
| 8. | All the parts of the form & enclosures have been signed by Authorised Signatory. | |
| 9. | Have you attached EFT details? (In original, Certified by the bank) | |
| 10. | If you are seeking registration for a MSME company (as per MSME Act of Government of India), have you attached requisite certificate as per Act? | |

Date :

Signature & seal

(Authorised Signatory)

Note: This check list is to be attached with the filled up Supplier Registration Form.

**BHARAT HEAVY ELECTRICALS LIMITED
SUPPLIER REGISTRATION FORM**

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 1 of 17

INFORMATION WITH * MARKS IS SCOREABLE.

PART- A : ORGANISATIONAL SOUNDNESS

ALL COLUMNS SHOULD BE PROPERLY FILLED IN THE SPACE PROVIDED FOR, WHEREVER IT IS NOT APPLICABLE, PLEASE WRITE "NOT APPLICABLE". INCOMPLETE OR INCORRECT FORMS MAY NOT BE CONSIDERED. **PLEASE ATTACH SEPARATE SHEET, IF SPACE AVAILABLE IS INADEQUATE.**

ALL THE PAGES OF THE FORM ARE TO BE SIGNED ALONGWITH SEAL BY THE AUTHORISED SIGNATORY

| ORGANISATIONAL INFORMATION | | | |
|--------------------------------------------------------------------------------------|-------------|--------------------------------|--------------------------------|
| NAME AND CORRESPONDENCE ADDRESS OF THE SUPPLIER SEEKING REGISTRATION :- | | | |
| 1.0 PRODUCTS / SYSTEMS / SERVICES FOR WHICH REGISTRATION IS APPLIED FOR : | | | |
| SL. NO. | DESCRIPTION | SIZE & RANGE | MFG. STD/ IS / DIN /BS ETC. |
| | | | |
| SUPPLIER'S AUTHORISED SIGNATORY | | BHEL CERTIFIED ASSESSOR | |

**BHARAT HEAVY ELECTRICALS LIMITED
SUPPLIER REGISTRATION FORM**

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 2 of 17

ORGANISATIONAL INFORMATION

2.0 GENERAL INFORMATION :

2.1 NAMES OF THE WORKS/DIVISION (Products manufactured at each works to be mentioned :

ADDRESS :

E - Mail :

TELEPHONE : Landline

Mobile

FAX :

2.2 NAME OF CHIEF EXECUTIVE /
PROPRIETOR / PARTNER & ADDRESS :

2.3 DETAILS OF AUTHORISED SIGNATORY FOR SEEKING CLARIFICATION :

NAME :

DESIGNATION :

E - Mail :

TELEPHONE : Landline

Mobile

FAX :

SUPPLIER'S AUTHORISED SIGNATORY

BHEL CERTIFIED ASSESSOR

**BHARAT HEAVY ELECTRICALS LIMITED
SUPPLIER REGISTRATION FORM**

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 3 of 17

ORGANISATIONAL INFORMATION

3.0 OWNERSHIP INFORMATION : DOCUMENTS TO BE FURNISHED

3.1 GOVT. OF INDIA UNDERTAKING:

OR

STATE GOVT. UNDERTAKING :

OR

LIMITED COMPANY, :

MEMORANDUM AND
ARTICLES OF ASSOCIATION

OR

PRIVATE COMPANY :

MEMORANDUM AND
ARTICLES OF ASSOCIATION

OR

CO-OPERATIVE SOCIETY, :

SOCIETY RULES AND
BYE LAWS

OR

PARTNERSHIP FIRM, :

PARTNERSHIP DEED

OR

PROPRIETORSHIP, :

PROFESSION TAX REGN.
AND MUNICIPAL REGN.

ANY OTHER (SPECIFY) :

3.2 NATURE OF BUSINESS :

(MANUFACTURING UNIT/
ENGG CONSULTANT/ EPC CONTRACTOR)

(AGENTS/ DISTRIBUTORS/STOCKISTS/DEALERS/
TRADERS/ INDIAN SUBSIDIARY/ CHANNEL PARTNER – attach authorization
certificate of principal)

3.3 YEAR OF ESTABLISHMENT :

* 3.4 YEAR OF COMMENCEMENT OF BUSINESS :

SUPPLIER'S AUTHORISED SIGNATORY

BHEL CERTIFIED ASSESSOR

**BHARAT HEAVY ELECTRICALS LIMITED
SUPPLIER REGISTRATION FORM**

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 4 of 17

ORGANISATIONAL INFORMATION

4.0 REGISTRATION PARTICULARS

(FURNISH DETAILS AND ENCLOSE COPY OF CERTIFICATE FOR THE FOLLOWING)

- 4.1 PERMANENT ACCOUNT NO :
4.2 CENTRAL SALES TAX REGN NUMBER :
4.3 STATE SALES TAX / TIN NUMBER :
4.4 EXCISE DUTY REGN NUMBER :
4.5 EXCISE CONTROL CODE NUMBER :
4.6 SERVICE TAX REGN NUMBER :
4.7 CATEGORY AS PER MSMED ACT : MICRO/ SMALL/ MEDIUM
REGN NO. :
VALID UPTO :
(Attach Certificate)

5.0 TOTAL ORGANISATIONAL STRENGTH : (ATTACH ORGANISATION CHART)

- | | GRADUATE | DIPLOMA | SKILLED | NON-SKILLED |
|----------------------------------------------------|----------|---------|---------|-------------|
| ENGINEERING : | | | | |
| MANUFACTURING : | | | | |
| QUALITY : | | | | |
| COMMERCIAL / SERVICE AFTER SALES : | | | | |
| OTHERS : | | | | |
| TOTAL : | | | | |
| * 5.1 POWER BACKUP : (GIVE DETAILS) | YES/ NO | | | |
| * 5.2 DOES THE COMPANY SELL ITS PRODUCT DIRECTLY : | YES/ NO | | | |

SUPPLIER'S AUTHORISED SIGNATORY

BHEL CERTIFIED ASSESSOR

**BHARAT HEAVY ELECTRICALS LIMITED
SUPPLIER REGISTRATION FORM**

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 5 of 17

ORGANISATIONAL INFORMATION

6.0 OTHER PARTICULARS :

(FURNISH DETAILS AND ENCLOSE DOCUMENTARY EVIDENCE/ COPY OF CERTIFICATE FOR THE FOLLOWING)

* 6.1 IF THE COMPANY IS ALREADY REGISTERED WITH ANY OF BHEL UNITS, GIVE :

6.1.1. BHEL UNIT'S NAME :

6.1.2. SUPPLIER REGN. CODE NO. :

6.1.3. ITEMS FOR WHICH REGISTERED & THEIR SPECIFICATION
(ENCLOSE PROOF OF SUCCESSFUL EXECUTION OF
ONE OR MORE PURCHASE ORDERS) :

* 6.2 IS THE COMPANY APPROVED BY
ASME / NTPC / NPC / EIL / DGS&D / RAILWAYS /
IBR / LLOYDS ETC FOR INTENDED
MATERIAL CATEGORY? : YES / NO
(ENCLOSE DOCUMENTARY EVIDENCE)

* 6.3 IS THE COMPANY ISO 9001 APPROVED? : YES / NO
(ENCLOSE CERTIFICATE & TOC OF QUALITY SYSTEM) :

* 6.4 IS THE COMPANY AN ISO 14000 APPROVED? : YES / NO
(ENCLOSE CERTIFICATE) :

* 6.5 IS THE COMPANY OHSAS - 18000 APPROVED?: YES / NO
(ENCLOSE CERTIFICATE)

* 6.6 TECHNICAL COLLABORATOR :
(FOREIGN OR INDIGENOUS)
(ENCLOSE DOCUMENTARY EVIDENCE)

* 6.7 R&D SET UP

6.8 DIRECTORS / PARTNERS, IF RELATED TO ANY
BHEL EMPLOYEE.

NAME :

STAFF NO. :

DESIGNATION :

Unit & DEPARTMENT :

RELATIONSHIP :

SUPPLIER'S AUTHORISED SIGNATORY

BHEL CERTIFIED ASSESSOR

**BHARAT HEAVY ELECTRICALS LIMITED
SUPPLIER REGISTRATION FORM**

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 6 of 17

ORGANISATIONAL INFORMATION

6.9 IF ANY EX-BHEL PERSONNEL IS EMPLOYED BY THE COMPANY, MENTION HIS / HER DETAILS OF LAST POSTING.

NAME :
STAFF NO. :
DESIGNATION :
UNIT & DEPARTMENT :
DATE OF LEAVING SERVICE :

6.10 COMPANY'S WEEKLY HOLIDAYS :

WORKS OFFICE

6.11 EXPERIENCE LIST:

(ATTACH LIST OF PRESENT CUSTOMERS WITH NAME & ADDRESS FOR OFFERED / SIMILAR TYPE & SIZE OF ITEM / EQUIPMENT FOR WHICH REGISTRATION HAS BEEN SOUGHT AND WITH WHOM YOU HAVE CONTINUOUS BUSINESS SINCE LAST THREE YEARS . ALSO ATTACH PERFORMANCE CERTIFICATES ISSUED BY ANY TWO CLIENTS FOR SUCCESSFUL EXECUTION OF THE CONTRACTS FOR LAST TWO YEARS- FOR PEM)

*6.12 DETAILS OF PENDING LEGAL ISSUES ON CONTRACTUAL ASPECTS WITH CUSTOMER , IF ANY

6.13 The following information of Bank Account of the Company, duly endorsed by the Bank (required for Electronic Fund Transfer –EFT/RTGS) is to be submitted

1. Name of the Company
2. Name of Bank
3. Name of Bank Branch
4. City/Place
5. Account Number
6. Account type
7. IFSC code of the Bank Branch
8. MICR Code of the Bank Branch
9. Details of other Bankers (for reference purpose only)

NOTE :

(i) Suppliers who have already submitted the above information are requested to submit a copy of the same.

SUPPLIER'S AUTHORISED SIGNATORY

BHEL CERTIFIED ASSESSOR

**BHARAT HEAVY ELECTRICALS LIMITED
SUPPLIER REGISTRATION FORM**

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 7 of 17

ORGANISATIONAL INFORMATION

7.0 MANUFACTURING FACILITIES:

***7.1 LIST OF MANUFACTURING FACILITIES/EQUIPMENTS (INCLUDING MATERIAL HANDLING FACILITY)**

| SL. NO | DESCRIPTION OF MACHINE/EQUIPMENT | QUANTITY | MAKE & YEAR OF INSTALLATION | REMARKS |
|--------|----------------------------------|----------|-----------------------------|---------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |

IN CASE OF SPACE LIMITATION, PLEASE ENCLOSE ANNEXURE / CATALOGUE WITH TAG

*** 7.2 IF IN-HOUSE MFG FACILITIES NOT AVAILABLE, INFORM SOURCE OF MFG. DETAILS ALONGWITH THEIR FACILITIES & EXPERIENCE**

| SL. NO | PROCESS OUTSOURCED | NAME OF THE COMPANY | DESCRIPTION OF MACHINE / EQUIPMENT | REMARKS |
|--------|--------------------|---------------------|------------------------------------|---------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

IN CASE OF SPACE LIMITATION ENCLOSE ANNEXURE / CATALOGUE WITH TAG

*** 7.3 RATIO OF OUTSOURCED COST TO TOTAL PRODUCTION VALUE:**

SUPPLIER'S AUTHORISED SIGNATORY

BHEL CERTIFIED ASSESSOR

**BHARAT HEAVY ELECTRICALS LIMITED
SUPPLIER REGISTRATION FORM**

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 8 of 17

ORGANISATIONAL INFORMATION

8.0 INSPECTION & TESTING FACILITIES:

*** 8.1 LIST OF INSPECTION & TESTING FACILITIES / EQUIPMENT**

| SL. NO | DESCRIPTION OF FACILITY/ EQUIPMENT & CAPACITY | NOS. | MAKE & YEAR OF MANUFACTURING | LAST DATE OF CALIBERATION |
|--------|-----------------------------------------------|------|------------------------------|---------------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

IN CASE OF SPACE LIMITATION ENCLOSE ANNEXURE / CATALOGUE WITH TAG

*** 8.2 IF IN-HOUSE TESTING FACILITIES NOT AVAILABLE, INDICATE SOURCE OF TESTING ALONGWITH THEIR FACILITIES & EXPERIENCE**

| SL. NO | TEST | SOURCE OF TESTING | DESCRIPTION OF FACILITY/ EQUIPMENT & CAPACITY | APPROVAL OF EQUIPMENT/ PROCESS/PERSONNEL QUALIFICATION |
|--------|------|-------------------|-----------------------------------------------|--------------------------------------------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

IN CASE OF SPACE LIMITATION ENCLOSE ANNEXURE / CATALOGUE WITH TAG

Note:

In case of outsourcing of major testing such as NDT, Electrical & Mechanical Testing, no marks will be awarded. However, material composition testing by chemical method from recognized laboratory shall not attract negative marking

SUPPLIER'S AUTHORISED SIGNATORY

BHEL CERTIFIED ASSESSOR

BHARAT HEAVY ELECTRICALS LIMITED SUPPLIER REGISTRATION FORM

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 9 of 17

| ORGANISATIONAL INFORMATION | | | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------|--------|----------|
| FINANCIAL INFORMATION FOR THE PREVIOUS FOUR YEARS | | | | | |
| 9.0 | Parameter | Year 1 | Year 2 | Year 3 | Year 4 |
| | | (Years in ascending order, Money value in Rs. Lakhs) | | | |
| * 9.1 | NET WORTH (Share Capital +Reserves) | | | | |
| | Growth over previous year (%) | ----- | | | |
| 9.2 | LONG TERM DEBT / LOAN | | | | |
| * 9.3 | DEBT EQUITY RATIO <u>Long term Debt (9.2)</u> <u>Net worth (9.1)</u> | | | | |
| 9.4 | INVESTMENT IN: Land & Building | | | | |
| | Plant & Machinery | | | | |
| | Other Fixed Assets | | | | |
| 9.5* | NET CURRENT ASSETS | | | | |
| | 1 a) Cash on hand | | | | |
| | b) Account receivable | | | | |
| | c) Inventories | | | | |
| | Total | | | | |
| | CURRENT LIABILITY | | | | |
| | 2 a) Sundry creditors | | | | |
| | b) Interest accrued but not due | | | | |
| c) Other liabilities | | | | | |
| Total | | | | | |
| 3 | * QUICK RATIO <u>CA - INVENT. {9.5(1)-9.5(1)c}</u> Current liability {9.5 (2)} | | | | |
| * 9.6 | SALES | | | | |
| | Growth over previous year (%) | ----- | | | |
| * 9.7 | PROFIT BEFORE TAX | | | | |
| | Growth over previous year (%) | ----- | | | |
| * 9.8 | Whether the supplier has been referred to BIFR / NCLT / any other Govt agency (If YES , enclose details) | | | | YES / NO |
| * 9.9 | Whether the supplier is a potential sick company.(If YES , enclose details) | | | | YES / NO |
| <p>NOTE: 1. Copies of annual accounts (Balance Sheet) for the last four years (or from date of incorporation which ever is less) along with audit report are to be submitted. The above details shall be highlighted in the Balance Sheet.</p> <p>2. Status of Tax assessments done under various laws (Income Tax, VAT/Sales Tax, Excise & Service Tax, Custom) and details of disputes pending, if any, with these authorities to be submitted.</p> | | | | | |
| SUPPLIER'S AUTHORISED SIGNATORY | | | BHEL CERTIFIED ASSESSOR | | |

BHARAT HEAVY ELECTRICALS LIMITED SUPPLIER REGISTRATION FORM

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 10 of 17

ORGANISATIONAL INFORMATION

10. LIST OF ENCLOSURES (Tags to be put on enclosures) :

- (i) MEMORANDUM AND ARTICLES OF ASSOCIATION / SOCIETY RULES AND BYE LAWS / PARTNERSHIP DEED/ PROFESSION TAX REGN & MUNICIPAL REGN. : YES / NO
- (ii) Copy of certificate of following:-
- PERMANENT ACCOUNT NO : YES / NO
 - CENTRAL SALES TAX REGN NUMBER : YES / NO
 - STATE SALES TAX / TIN NUMBER : YES / NO
 - EXCISE DUTY REGN NUMBER : YES / NO
 - EXCISE CONTROL CODE NUMBER : YES / NO
 - SERVICE TAX REGN NUMBER : YES / NO
 - REGN NUMBER OF CATEGORY AS PER MSMED ACT : YES / NO
- (iii) ORGANISATION CHART : YES / NO
- (iv) If registered with any other BHEL Unit,
- LETTER OF REGISTRATION WITH BHEL UNIT : YES / NO
 - PROOF OF SUCCESSFUL EXECUTION OF ONE OR MORE PURCHASE ORDERS : YES / NO
- (v) Letter of approval from ASME / NTPC / NPC / EIL / DGS&D / RAILWAYS / IBR / LLOYDS ETC : YES / NO
- (vi) Accreditation certificate for
- ISO: 9001 : YES / NO
 - TOC of Quality System Manual : YES / NO
 - ISO : 14000 : YES / NO
 - OHSAS , ISO 18000 : YES / NO
- (vii) Letter regarding technical collaboration : YES / NO
- (viii) Experience List / Performance certificate : YES / NO
- (ix) Balance Sheet for the last four years along with audit Report : YES / NO
- (x) Principal's authorization in case of dealers/ agents : YES / NO
- (xi) EFT Bank's certificate : YES / NO

I /WE GIVE THE UNDERTAKING THAT BHEL DRAWINGS & SPECIFICATIONs SHALL NOT BE USED IN ANY WAY DETRIMENTAL TO THE INTEREST OF BHEL AND/ OR FOR SUPPLY OF ANY MATERIAL, PRODUCT OR SERVICES DIRECTLY OR INDIRECTLY TO ANY OTHER CUSTOMER.

Maximum Marks = 50

Negative Marks = -13

Minimum Qualifying marks = 30

SUPPLIER'S AUTHORISED SIGNATORY

BHEL CERTIFIED ASSESSOR

BHARAT HEAVY ELECTRICALS LIMITED SUPPLIER REGISTRATION FORM

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 11 of 17

FORM NO. SRF(I) 01

Part B (QUALITY SYSTEM):

| Sl. No | PARAMETERS | SYSTEM IN EFFECT (Tick if exists & provide evidences if ticked in written procedure) | | RECORDS (Tick if available & submit evidences) | REMARKS |
|--------|----------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|----------|---------------------------------------------------|-----------------------------------------------------------------------------|
| | | Written Procedure | Practice | | |
| 1* | Incoming Material Control System | | | | Furnish a copy of system and organization. |
| 2* | In process control | | | | Furnish at least one work instruction & record of process control parameter |
| 3* | Manufacturing / Testing Procedure Qualification | | | | Procedure Qualification specification may be submitted |
| 4* | Personnel qualification for 3 above. | | | | Record of Personnel qualification (PQR) to be submitted |
| 5* | Calibration system | | | | Submit list of instrument & their calibration status |
| 6* | System of Identification & Traceability of materials, tools, jigs, fixtures & processed components, etc. | | | | Copy of procedure to be submitted |
| 7* | System of Storage, Preservation , Painting & packing | | | | Copy of procedure to be submitted |
| 8* | System of NCR disposition & corrective preventive action | | | | Two copies of NCR & CAPA |
| 9* | Customer complaints handling system | | | | Submit list of customer complaints & status for the last three years |
| 10* | Safety measures | | | | Submit copy of safety system & Record of accidents for last three years |
| 11 | Any other quality initiative | | | | |

SUPPLIER'S AUTHORISED SIGNATORY

BHEL CERTIFIED ASSESSOR

**BHARAT HEAVY ELECTRICALS LIMITED
SUPPLIER REGISTRATION FORM**

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 12 of 17

FORM NO. SRF(I) 01

Part B (QUALITY SYSTEM):

12. LIST OF ENCLOSURES

- (i) Copy of system of control incoming materials and organization chart
- (ii) Copy of at least one process control work instruction
- (iii) Record of process control parameter
- (iv) Copy of at least one Procedure Qualification specification
- (v) Record of Personnel qualification (PQR)
- (vi) List of instrument & their calibration status
- (vii) Copy of procedure for Identification & Traceability of materials, tools, jigs, fixtures & processed components, etc.
- (viii) Copy of procedure for Storage/ preservation/ painting & packing
- (ix) Copies of two NCRs and their CAPA.
- (x) List of customer complaints & status for the last three years
- (xi) Copy of safety system
- (xii) Record of accidents for last three years

Maximum Marks = 25

Minimum Qualifying marks = 15

SUPPLIER'S AUTHORISED SIGNATORY

BHEL CERTIFIED ASSESSOR

BHARAT HEAVY ELECTRICALS LIMITED SUPPLIER REGISTRATION FORM

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 13 of 17

FORM NO. SRF(I)01

Part C : TECHNICAL COMPETENCE

Technical competence shall be evaluated in two parts viz. common competence and product/material category specific competence.

(i) COMMON TECHNICAL COMPETENCE (Max Marks: 10)

| TECHNICAL COMPETENCE (where design specification is given by BHEL) | MARKING RANGE | SUPPLIER RESPONSE (ALONG WITH SUPPORTING DOCUMENTS)# | MARKS BY BHEL |
|--------------------------------------------------------------------------------------------------|---------------|------------------------------------------------------|---------------|
| 1. Supplier understands the product specification. | (0-3) | | |
| 2. Supplier understands the inspection requirements. | (0-2) | | |
| 3. Supplier has process capability to achieve the product specification/dimensional requirement. | (0-3) | | |
| 4. Supplier has experienced manpower to carry out the job. | (0-2) | | |
| TOTAL MARKS OUT OF POSSIBLE (10) = --- | | | |

OR

| TECHNICAL COMPETENCE (where performance specification is given by BHEL) | MARKING RANGE | PROCEDURE | | SYSTEM IN EFFECT | | RECORDS | |
|-------------------------------------------------------------------------------------|---------------|------------------------------------------------------|---------------|------------------------------------------------------|---------------|------------------------------------------------------|---------------|
| | | SUPPLIER RESPONSE (ALONG WITH SUPPORTING DOCUMENTS)# | MARKS BY BHEL | SUPPLIER RESPONSE (ALONG WITH SUPPORTING DOCUMENTS)# | MARKS BY BHEL | SUPPLIER RESPONSE (ALONG WITH SUPPORTING DOCUMENTS)# | MARKS BY BHEL |
| 1. Design capability | (0-3) | | | | | | |
| 2. Adequacy of quality assurance plan | (0-2) | | | | | | |
| 3. Process capability for components | (0-3) | | | | | | |
| 4. Adequate of testing | (0-2) | | | | | | |
| TOTAL MARKS OUT OF POSSIBLE (10) | | | | | | | |
| Average of Total (Total/3) = ----- | | | | | | | |

(ii) PRODUCT/ MATERIAL CATEGORY SPECIFIC TECHNICAL COMPETENCE

(Max Marks: 15)

Unit MISCC to structure the parameters to be considered for the technical competence depending on material category. The score of individual parameter selected is to be decided by MISCC. MISCC shall identify the requirement of enclosures to be furnished by supplier
Product:

| Sl. No | Material category | Tech Parameter | Supplier's response | Remarks |
|--------|-------------------|----------------|---------------------|---------|
| | | | | |
| | | | | |

To be filled up only on receipt of technical requirements/ specification, drawings, standards from BHEL and to be sent to BHEL for further scrutiny. Enclose documentary evidence where ever required.

LIST OF ENCLOSURES:

Maximum Marks = 25

Minimum Qualifying marks = 15

No marks is to be given by the supplier. Only supplier response along with supporting documents,

SUPPLIER'S AUTHORISED SIGNATORY

BHEL CERTIFIED ASSESSOR

BHARAT HEAVY ELECTRICALS LIMITED SUPPLIER REGISTRATION FORM

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 14 of 17

Part D : SCORE SHEET

1. ORGANISATIONAL SOUNDNESS (for Manufacturers):

| S.N. | PARAMETER | CRITERIA | Maxm. Marks | Marks Awarded |
|------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-------------|---------------|
| 1. | Whether all relevant information like ownership, PAN no., sales tax no., excise duty no., etc. submitted | Yes: 2 No: 0 | 2 | |
| 2. | 3.4 YEAR OF COMMENCEMENT OF BUSINESS | More than 5 years : 2 1-5 years : 1 Less than 1 year : 0 | 2 | |
| 3. | 5.1 POWER BACKUP | Yes : 1 No : 0 | 1 | |
| 4. | 5.2 DOES THE COMPANY SELL ITS PRODUCT DIRECTLY | Yes : 1 No : 0 | 1 | |
| 5. | 6.1 REGISTERED WITH ANY OF BHEL UNITS | Yes (same item) : 2 Yes (another item) : 1 No : 0 | 2 | |
| 6. | 6.2 APPROVAL BY ASME/ NTPC/ NPC/ EIL/ DGS&D/ RAILWAYS/ IBR/ LLOYDS ETC | Yes : 2 No : 0 | 2 | |
| 7. | 6.3 ISO 9001 ACCREDITATION | Yes : 3 No : 0 | 3 | |
| 8. | 6.4 ISO 14000 ACCREDITATION | Yes : 1 No : 0 | 1 | |
| 9. | 6.5 OHSAS - 18000 ACCREDITATION | Yes : 1 No : 0 | 1 | |
| 10. | 6.6 TECHNICAL COLLABORATOR : (FOREIGN OR INDIGENOUS) | Yes : 1 No : 0 | 1 | |
| 11. | 6.7 R & D SET UP | Yes : 1 No : 0 | 1 | |
| 12. | 6.12 PENDING LEGAL ISSUES ON TECHNO – COMMERCIAL ASPECTS WITH CUSTOMER | Yes : -5 No : 0 | 0 | |
| 13. | 7.1 & 7.2 MANUFACTURING FACILITIES INCLUDING OUTSOURCING | Adequate : 3 Substantial : 2 Inadequate : 0 | 3 | |
| 14. | 7.3 RATIO OF OUTSOURCED COST TO TOTAL PRODUCTION VALUE | Less than 10% : 2 10 to 30 % : 1 More than 30% : 0 | 2 | |
| 15. | 8.1 INSPECTION & TESTING FACILITIES | Adequate : 2 Substantial : 1 Inadequate : 0 | 2 | |
| 16. | 8.2 OUTSOURCING OF MAJOR TESTING FACILITY (Refer Note) | Yes : 0 No : 1 | 1 | |
| | TOTAL | | 25 | |

1. ORGANISATIONAL SOUNDNESS (for Indian Stockist/ Trader/ Distributor/ Dealer/ Authorised Agent/ Channel partner/ Indian Sales office or Subsidiary of registered foreign principal):

| S.N. | PARAMETER | CRITERIA | Maxm. Marks | Marks Awarded |
|------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-------------|---------------|
| 1. | Whether all relevant information like ownership, PAN no., sales tax no., etc. submitted (clause 6.0 of chapter V to be referred in this regard) | Yes: 7 No: 0 | 7 | |
| 2. | 3.4 YEAR OF COMMENCEMENT OF BUSINESS | More than 3 years : 2 1-3 years : 1 Less than 1 year : 0 | 2 | |
| 3. | 6.1 REGISTERED WITH ANY OF BHEL UNITS | Yes (same item) : 2 Yes (another item) : 1 No : 0 | 2 | |
| 4. | 6.2 APPROVAL BY ASME/ NTPC/ NPC/ EIL/ DGS&D/ RAILWAYS/ IBR/ LLOYDS ETC | Yes : 2 No : 0 | 2 | |
| 5. | 6.3 ISO 9001 ACCREDITATION | Yes : 2 No : 0 | 2 | |
| 6. | 6.12 PENDING LEGAL ISSUES ON TECHNO – COMMERCIAL ASPECTS WITH CUSTOMER | Yes : -5 No : 0 | 0 | |

BHEL CERTIFIED ASSESSOR

BHARAT HEAVY ELECTRICALS LIMITED SUPPLIER REGISTRATION FORM

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 15 of 17

Part D: SCORE SHEET

2. FINANCIAL INFORMATION (for Manufacturers):

| S.N. | PARAMETER | CRITERIA | Maximum Marks | Marks Awarded |
|--------------|---------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|---------------|---------------|
| 1. | 9.1 Growth of Net Worth over previous year (%) Average of three years to be worked out | More than 20 % : 5 10 – 20 % : 4 5 – 10 % : 3 > 0 & < 5 % : 2 0 or below : 0 | 5 | |
| 2. | 9.3 DEBT EQUITY RATIO <u>Long term Debt (9.2)</u> Net worth (9.1) | 1 : 1 : 5 1.1 upto 1.5 : 1 : 4 1.6 upto 2.0 : 1 : 3 2.0 & above : 1 : 2 | 5 | |
| 3. | 9.5 QUICK RATIO <u>CA - INVENTORIES {9.5(1)-9.5(1)c}</u> Current liability {9.5 (2)} | 1 : 1 : 5 1 : 1 upto 1.5 : 4 1 : 1.5 upto 1.75 : 3 1 : 1.75 upto 2.5 : 2 1 : > 2.5 : 0 | 5 | |
| 4. | 9.6 Growth in Sales over previous year (%) Average of three years to be worked out | More than 35% : 5 20 -35 % : 4 10 – 20 % : 3 5 – 10 % : 2 > 0 & < 5 % : 1 0 or negative : 0 | 5 | |
| 5. | 9.7 PROFIT BEFORE TAX Growth over previous year (%) | More than 20 % : 5 10 – 20 % : 4 5 – 10 % : 3 > 0 & < 5 % : 2 0 or below : 0 | 5 | |
| 6. | 9.8 Whether the supplier has been referred to BIFR / NCLT/ any other similar Govt agency | Yes: -5 No : 0 | 0 | |
| 7. | 9.9 Whether the supplier is a potentially sick company | Yes: -3 No : 0 | 0 | |
| TOTAL | | | 25 | |

Note: If the supplier is new in business and does not have past data, then the evaluation will be done on the basis of information provided by him and will be decided by MISCC.

2. FINANCIAL INFORMATION (for Indian Stockist/ Trader/ Distributor/ Dealer/ Authorised Agent/ Channel partner/ Indian Sales office or Subsidiary of registered foreign principal):

| S.N. | PARAMETER | CRITERIA | Maximum Marks | Marks Awarded |
|------|---------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|---------------|---------------|
| 1. | 9.6 Growth in Sales over previous year (%) Average of three years to be worked out | More than 35% : 5 20 -35 % : 4 5 - 20 % : 3 >0 - 5 % : 2 0 or negative : 0 | 5 | |
| 2. | 9.7 PROFIT BEFORE TAX Growth over previous year (%) | More than 20 % : 5 5 – 20 % : 4 >0 – 5 % : 3 0 or below : 0 | 5 | |

BHEL CERTIFIED ASSESSOR

BHARAT HEAVY ELECTRICALS LIMITED SUPPLIER REGISTRATION FORM

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II

Page 16 of 17

Part D : SCORE SHEET

3. QUALITY SYSTEM :

| S.N. | PARAMETER | CRITERIA | Maxm. Marks | Marks Awarded |
|--------------|----------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------|---------------|
| 1. | Incoming Material control System | System availability : Yes: 1 No : 0 | 1 | |
| | | Availability of Organisation chart Yes: 1 No : 0 | 1 | |
| 2. | Process control | Work instruction available: Yes: 1 No : 0 | 1 | |
| | | Record of process Control : Available : 2 Partial record : 1 Not available: 0 | 2 | |
| 3. | Manufacturing / Testing Procedure Qualification | Procedure Qualification Specification : Adequate : 3 In adequate: 1 Not available : 0 | 3 | |
| 4. | Personnel qualification | Record of PQR: Adequate : 3 In adequate: 1 Not available : 0 | 3 | |
| 5. | Calibration system | Adequate : 3 In adequate: 1 Not available : 0 | 3 | |
| 6. | System of Identification & Traceability of materials, tools, jigs, fixtures & processed components, etc. | Procedure available : Adequate : 2 In adequate: 1 Not available : 0 | 2 | |
| 7. | System of Storage/ preservation/ painting & packing | Procedure available : Adequate : 2 In adequate: 1 Not available : 0 | 2 | |
| 8. | System of NCR disposition & corrective preventive action | Two copies of NCR & CAPA Available :2 Not available : 0 | 2 | |
| 9. | Customer complaints handling system | System available : Yes: 1 No : 0 | 1 | |
| | | Complaints registered & resolved : 2 Complaints registered & partially resolved : 1 Complaints not registered or not resolved : 0 | 2 | |
| | | | | |
| 10. | Safety measures | System available : Yes: 1 No : 0 | 1 | |
| | | Record available : Yes: 1 No : 0 | 1 | |
| TOTAL | | | 25 | |

BHEL CERTIFIED ASSESSOR

BHARAT HEAVY ELECTRICALS LIMITED SUPPLIER REGISTRATION FORM

FORM NO. SRF(I) 01 (INDIAN SUPPLIER)

ANNEXURE-II
Page 17 of 17 amdt.-04

Part D : SCORE SHEET

4. TECHNICAL COMPETENCE

| | Maximum Marks (1) | Marks obtained (2) |
|---------------------------------------|----------------------|-----------------------|
| A. Common Technical Competence | | |

And/ or

B. Product Specific Technical Competence

Column no. 1 to 4 to be filled by MISCC before sending to supplier. Column no. 5 to be filled by BHEL certified Assessor after evaluation of supplier response.

| Sl. No (1) | Parameter (2) | Scoring criteria (3) | Maximum Marks (4) | Marks obtained (5) |
|---------------|------------------|-------------------------|----------------------|-----------------------|
| | | | | |
| | | | | |
| | Sub-Total | | 15 | |

TOTAL (A+B): 25 ()

SUMMARY:

| Sl. No | Criteria | Maxm Marks | Qualifying marks * | Marks obtained | Recommendation for registration |
|--------|--------------------------|------------|--------------------|----------------|----------------------------------------------------------------------------------------------------------|
| 1. | Organisational Soundness | 50 | 30 | | Recommended : Permanent code /Development code/ Trial code Not recommended |
| | Financial Information | | | | |
| 2. | Quality System | 25 | 15 | | |
| 3. | Technical Competence | 25 | 15 | | |
| | TOTAL | 100 | 60 | | |

***Minimum Qualifying Score in Each category shall be 60%.**

REGISTRATION CRITERIA:

| Sl. No | Score | Recommendation |
|--------|--------------|----------------------------------------------------------------------------------------------------------------------------------------|
| 1. | More than 90 | Supplier is to be registered and given Permanent code, Normally no visit is called for. |
| 2. | >75 <=90 | Supplier may be visited if recommended by MISCC or to be registered and given permanent code. |
| 3. | >=60 <=75 | Supplier may carry out suggested improvements if advised by MISCC Supplier's visit is mandatory. Trial/ Development code may be given. |
| 4. | Less than 60 | Not to be registered |

Note: Indian Stockist/ Trader/ Distributor/ Dealer/ Authorised Agent/ Channel partner/ Indian Sales office or Subsidiary of registered foreign principal are to be awarded marks on pro-rata basis and registered accordingly.

Signatures: MISCC and Suppler Evaluation Team (in case of visit)

| | | |
|----|----|----|
| 1) | 2) | 3) |
| 4) | 5) | 6) |

| |
|-------------------------|
| BHEL CERTIFIED ASSESSOR |
|-------------------------|

Certificate by Chartered Accountant on letter head

This is to Certify that M/s
(hereinafter referred to as 'company') having its registered office at is
registered under MSMED Act 2006, (Entrepreneur Memorandum No (Part- II)
....., dtd:.....
Category:.....(Micro/Small). (copy enclosed).

Further verified from the Books of Accounts that the investment of the company as
on date as per MSMED Act 2006 is as follows:

1. **For Manufacturing Enterprises:** Investment in plant and machinery (i.e. original cost excluding land and building and the items specified by the Ministry of Small Scale Industries vide its notification No. S.O.1722(E) dated October 5, 2006:
Rs.....Lacs
2. **For Service Enterprises:** Investment in equipment (original cost excluding land and building and furniture, fittings and other items not directly related to the service rendered or as may be notified under the MSMED act, 2006:
Rs.....Lacs

(Strike off whichever is not applicable)

The above investment of Rs.....Lacs is within permissible limit of Rs.....Lacs for
.....micro / small (Strike off which is not applicable) Category under MSMED Act 2006.

Or

The company has been graduated from its original category (Micro / Small) **(Strike off whichever is not applicable)** and the date of graduation of such enterprises from its original category is
(dd/mm/yyyy) which is within the period of 3 years from the date of graduation of such enterprises from its original category as notified vide S.O. No. 3322(E) dated 01.11.2013 published in the gazette notification dated 04.11.2013 by Ministry of MSME.

Date:

(Signature)
Name-
Membership Number-
Seal of Chartered Accountant

Tender Enquiry No. B/4066/14/6195V/2 (OPEN TENDER)
3 WAY MOTORIZED TEMPERATURE CONTROL VALVE WITH SPARES
ANNEXURE-C (TO BE SUBMITTED BY FOREIGN SUPPLIERS –OUTSIDE INDIA)

TERM AND CONDITIONS OF TENDER ENQUIRY
(For foreign supplier)

You are requested to kindly confirm the following commercial terms and condition. Duly signed and stamped copy of this is to be submitted by you along with your offer.

These terms and conditions supersede the same or similar terms and conditions if they are appearing elsewhere in the Enquiry.

| Sl. No | Terms | Description | Your confirmation |
|--------|----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| 1. | Document Checklist | Kindly confirm that the following documents have been submitted along with your offer: <ol style="list-style-type: none"> 1. Requisite EMD & Tender Fee. 2. Complete Technical offer with relevant drawings/ documents /QP etc. (as Per Special Instructions mentioned in Annexure-B). 3. Pre-Qualification Requirement (as defined in Annexure-A) 4. Experience Details 5. Any other document as specified in “Instructions to Bidders”. 6. Supplier Registration Form (for vendor’s not registered at BHEL, Hardwar for this item) | |
| 2. | Evaluation criteria | Please note that Evaluation of 3 Way Motorized Temp Control Valve & its spares would be done together . Therefore evaluation criteria is as follows <ul style="list-style-type: none"> • Material codes W90313137668 – 03 Nos + W99318102143 -02 Sets + W90318102056-02 Sets + W99313126060-01 set would be procured together & evaluation shall be done accordingly. • Material codes W90313137676 – 03 Nos + W99313126079-01 Set + W99318102143 -02 Sets + W90318102056-02 Sets would be procured together & evaluation shall be done accordingly. | |
| | | At present Custom Duty structure is as mentioned below: Neyveli project: Custom Duty Free Pls. note that the comparison would be done as per the applicable CD structure as on the date of opening of Price Bid. | |
| | | Please note that the exchange rate for evaluation would be taken as TT Selling rate of SBI as on scheduled date of tender opening (Part-1 bid, i.e. techno-commercial offer). | |
| 3. | Customer approval | Based on the Experience details and other credential (as sought in supplier registration form), your registration will be taken up with End User/ Customer (of Neyveli project). Procurement will be made from customer / End User approved vendors only. | |
| 4. | Insurance | The Transit Insurance will be arranged by BHEL. Pl. send your offer keeping this in view. | |
| 5. | Validity | Confirm that validity of the offer shall be 120 days from the due date of opening of Techno-Comm. Offers. BHEL reserves the right to reject any or all quotations, quoting validity less than 120 days. | |

Tender Enquiry No. B/4066/14/6195V/2 (OPEN TENDER)
3 WAY MOTORIZED TEMPERATURE CONTROL VALVE WITH SPARES
ANNEXURE-C (TO BE SUBMITTED BY FOREIGN SUPPLIERS –OUTSIDE INDIA)

| | | | |
|-----|--------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 6. | Basis of quotation | <u>In case of imported supply:</u> | |
| | | Please quote on FOB as well as CFR Mumbai Basis. Freight charges shall be quoted separately in the offer. Please confirm. BHEL Reserves the right to place the order either on FOB or CFR basis. | |
| | | The offer of the bidder not quoting CFR (Mumbai) Charges will be loaded by the maximum freight, packing & forwarding charges quoted by any other bidder from the same or nearby port, against the enquiry/freight rate available with BHEL. Offers shall be loaded for applicable taxes, freight element and other charges involved for delivery up to Project Site as per BHEL practice/ statutory rates for comparison purpose. | |
| | | Please Inform Name of your FOB port. | |
| 7. | Engineering Document approval | In case of order, Drawings/Data sheets/documents as called for in the specifications shall be submitted for approval within 30 days of purchase order. Any delay in delivery on account of late submission of drawings shall be to vendors account. BHEL will arrange the approval of the drawings/data sheets/ documents within 30 days of their receipt provided those are complete in all respect. | |
| 8. | Quality Requirements | Kindly confirm BHEL standard quality plan no QA/BI/QP/102 rev.01.(Copy Enclosed). | |
| | | Kindly note that Inspection by third part inspection agency (LRS/TUV/BV) as per BHEL standard quality plan no QA/BI/QP/102 rev.01. Inspection is to be arranged by supplier. | |
| | | Inspection charges for third party inspection shall be to your account. / Please confirm that your quotation for individual item includes inspection charges by any of BHEL approved inspection agencies viz. Lloyds/ TUV/ BV. If nothing has been mentioned in your offer for inspection charges, the same would be considered to be inclusive in your offer. | |
| 9. | MDCC (Material dispatch clearance certificate) clause | Please note that, material shall be dispatched only after issue of Material dispatch clearance certificate (MDCC) by BHEL. For issue of MDCC, you are required to submit all manufacturing test certificates (TC). BHEL will issue MDCC within 7 days of receipt TCs, if complete & clear w.r.t. approved technical documents. The material should be dispatched within 07 days of issue of Material Dispatch Clearance Certificate (MDCC) by BHEL. | |
| 10. | Payment Terms. | Kindly confirm the following payment terms “100% Payment against CAD (Cash against Document).” | |
| | | Please note that in case of any deviation in the payment terms, BHEL shall evaluate your offer after loading on account of deviation in payment terms as per BHEL practice / policy. | |
| | | Kindly Confirm that Bank Charges shall be either side. | |

Tender Enquiry No. B/4066/14/6195V/2 (OPEN TENDER)
3 WAY MOTORIZED TEMPERATURE CONTROL VALVE WITH SPARES
ANNEXURE-C (TO BE SUBMITTED BY FOREIGN SUPPLIERS –OUTSIDE INDIA)

| | | | |
|-----|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 11. | Penalty for Late Delivery | <p>Penalty for late delivery w.r.t to contractual delivery shall be applicable @ 0.5% of the total order value per week of delay or part thereof subject to a maximum of 10% of the total order value.</p> <p>In case of imported supply, Date of B/L shall be considered as actual delivery. Please confirm your acceptance.</p> <p>Pls. note that BHEL reserves the right to load your offer to the extent to which penalty is not agreed. Where deliveries quoted by the vendor are not suiting our requirement, BHEL may ignore your offer.</p> <p>Please note and confirm</p> <ul style="list-style-type: none"> • Material shall be dispatched within one week of issue of MDCC (material dispatch clearance certificate) by BHEL. • For FOB contract: BHEL's freight forwarder shall be informed 15 days in advance of readiness of material for booking of Vessel (name of BHEL nominated freight forwarder may be obtained as and when required) <p>In case of CFR contract BHEL shall not responsible for any delay due to arrangement of shipment from FOB Port.</p> | |
| 12. | Guarantee/ Warranty | <p>Kindly confirm that Guarantee/ Warranty shall be offered for a period of 03 years from the date of shipment or 02 years from the date of commissioning, whichever is earlier. In case of any failure or trouble reported from site, the supplier shall depute their representative immediately to attend the problem and replace the defective component/ parts if required.</p> <p>In case of any failure or trouble reported from site, the supplier shall depute their representative immediately to attend the problem and replace the defective component/ parts if required.</p> <p>In case of deviation in Guarantee Period, offer shall be evaluated with loading @4% per-annum (on pro-rata basis) of quoted material value, for the deviated guarantee period.</p> | |
| 13. | Arbitration : | <p>In the event of any dispute or difference arising between the parties regarding order execution of same or their respective rights and liabilities thereunder , the same shall except otherwise expressly provided therein, be referred to the arbitration of two arbitrators, one to be appointed by each party; or in the case of the said arbitrators not agreeing then an umpire to be appointed by the two arbitrators in writing before entering on that reference and provisions of the arbitration and conciliation Act 1996 or any statutory notification, or re-enactment therefore and rules framed thereunder from time to time shall apply to such arbitrations. If two arbitrators do not agree on the appointment of umpire , it (the nomination of the umpire shall be done by the International Chamber of Commerce . Paris (France) . the decision of arbitrators, or in case of their not agreeing , that of the said umpire shall be binding both on seller and purchaser. The venue of the arbitration shall invariably be New Delhi (India).</p> <p>Please note that deviation in arbitration clause shall not acceptable.</p> | |

Tender Enquiry No. B/4066/14/6195V/2 (OPEN TENDER)
3 WAY MOTORIZED TEMPERATURE CONTROL VALVE WITH SPARES
ANNEXURE-C (TO BE SUBMITTED BY FOREIGN SUPPLIERS –OUTSIDE INDIA)

| | | | |
|-----|----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 14. | Risk Purchase: | In case of delays in supplies/defective supplies or non-fulfillment of any other terms and conditions given in purchase order BHEL may cancel the purchase order in full or part thereof, and may also make the purchase of such material from elsewhere/alternative source at the risk and cost of the supplier. Please give your acceptance. Please note that deviation in Risk purchase clause shall not acceptable. | |
| 15. | Right of Acceptance | Right of Acceptance – BHEL reserves the right to reject any or all the quotations without assigning any reasons thereof. BHEL also reserves the right to increase or decrease the tendered quantities. Supplier should be prepared to accept order for reduced Quantity without any extra charges. Vendor should also be prepared for giving discount in case of Increase in Quantity. | |
| 16. | Deviation (if any) | Kindly Confirm that there is no deviation with respect to BHEL Specifications. However, deviations, if any, are to be listed as a separate attachment. The additional price, if any, for withdrawal of these deviations must be provided. The offers that do not meet the substantial requirements of our enquiry are liable to be ignored. The bidders shall be deemed to comply with all the requirements of bidding documents except for listed deviations without any extra cost irrespective of any mention to the contrary anywhere else in the bid. | |
| 17. | O&M Manual | Kindly note that two copies of O&M manual for all items shall be send to site along with material. | |
| 18. | Origin of quotation | Origin of Quotation: The quotation should be from the principal/original supplier even if it is submitted through their authorized agents, failing which the quotations are liable to be ignored. | |
| 19. | General Terms | Ink signed order acceptance shall be furnished within 15 days of order placement. In case order acceptance do not received within 15 days of order placement, PO deemed to be accepted by you. | |
| | | Please note no revision in the prices or submission of supplementary price bid will be allowed during the validity of the offer. However if there is any change by BHEL w.r.t. original specifications/ requirement/ scope/terms and conditions, the bidders may be asked by BHEL to submit only the price impact bid for such changes only. | |
| | | Origin of Quotation: The quotation should be from the principal/original supplier even if it is submitted through their authorized agents, failing which the quotation is liable to be ignored. Please note that BHEL will deal directly with manufacturer and no correspondence with the agent will be entertained. The ink signed offer / bid in original has to be submitted by the registered manufacturer / OEMs directly to BHEL. Subsequently also, no correspondence of any type shall be entered into with the agents. Bids submitted by agents may not be considered for evaluation and shall be returned to them only, without assigning any reason. Please note the same. Also the name of principal supplier should be indicated on envelop in addition to Enquiry no and due date. | |
| | | All envelopes to be marked with whether “Priced Bid” (Part – 2) or “Un-Priced Bid Cum Techno-Commercial Bid” (Part – 1). The replica of Priced Bid (without prices) would be necessarily submitted along with Part-1 of the offer. Confirm that prices are firm and fixed till execution of contract. | |

Tender Enquiry No. B/4066/14/6195V/2 (OPEN TENDER)
3 WAY MOTORIZED TEMPERATURE CONTROL VALVE WITH SPARES
ANNEXURE-C (TO BE SUBMITTED BY INDIGINOUS SUPPLIERS)

TERM AND CONDITIONS FOR TWO PART TENDER ENQUIRY
(For Indian supplier)

You are requested to kindly confirm the following commercial terms and condition. Duly signed and stamped copy of this is to be submitted by you along with your offer.

These terms and conditions supersede the same or similar terms and conditions if they are appearing elsewhere in the Enquiry.

| Sl. No | Terms | Description | Your confirmation |
|--------|----------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| 1. | Document Checklist | Kindly confirm that the following documents have been submitted along with your offer: <ol style="list-style-type: none">1. Requisite of EMD & Tender Fee.2. Complete Technical offer with relevant drawings/ documents /QP etc. (as Per Special Instructions mentioned in Annexure-B).3. Pre-Qualification Requirement (as defined in Annexure-A)4. Experience Details5. Any other document as specified in "Instructions to Bidders".6. Supplier Registration Form (for vendor's not registered at BHEL, Hardwar for this item) | |
| 2. | Evaluation criteria | <p>Please note that Evaluation of 3 Way Motorized Temp Control Valve & its spares would be done together . Therefore evaluation criteria is as follows</p> <ul style="list-style-type: none">• Material codes W90313137668 – 03 Nos + W99318102143 -02 Sets + W90318102056-02 Sets + W99313126060-01 set would be procured together & evaluation shall be done accordingly.• Material codes W90313137676 – 03 Nos + W99313126079-01 Set + W99318102143 -02 Sets + W90318102056-02 Sets would be procured together & evaluation shall be done accordingly. | |
| | | <p>At present Excise Duty structure is as mentioned below:</p> <p>Neyveli project: ED Exempted</p> <p>In the event of ordering, BHEL will provide PAC documents to the vendors for availing ED exemption. In no case, ED would be payable by BHEL to the vendors.</p> <p>Pls. note that the comparison would be done as per the applicable Excise Duty structure on the date of opening of Price Bid.</p> | |

Tender Enquiry No. B/4066/14/6195V/2 (OPEN TENDER)
3 WAY MOTORIZED TEMPERATURE CONTROL VALVE WITH SPARES
ANNEXURE-C (TO BE SUBMITTED BY INDIGINOUS SUPPLIERS)

| | | | |
|----|--------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 3. | Customer approval | Based on the Experience details and other credential (as sought in supplier registration form), your registration will be taken up with End User/ Customer (of Neyveli project). Procurement will be made from customer / End User approved vendors only. | |
| 4. | Insurance | The Transit Insurance will be arranged by BHEL. Pl. send your offer keeping this in view. | |
| 5. | Validity | Confirm that validity of the offer shall be 120 days from the due date of opening of Techno-Comml. Offers. BHEL reserves the right to reject any or all quotations, quoting validity less than 120 days. | |
| 6. | Basis of quotation | Kindly confirm that the prices have been quoted on freight pre-paid upto destination basis. The goods can be dispatched through any Bank approved transporters having their branch at Hardwar. The names and addresses of transporters approved by IBA as well as BHEL are posted at our website www.bhelhwr.co.in. List of BHEL approved supplier may be taken prior to material dispatch. Pls. note that, if you dispatch the material by any BHEL un-approved transporter then you will be required to furnish the MRC (Material Receipt Certificate) from Project Site for processing of your invoice | |
| 7. | Engineering Document approval | In case of order, Drawings/Data sheets/documents as called for in the specifications shall be submitted for approval within 30 days of purchase order. Any delay in delivery on account of late submission of drawings shall be to vendors account. BHEL will arrange the approval of the drawings/data sheets/ documents within 30 days of their receipt provided those are complete in all respect. | |
| 8. | Quality Requirements | Kindly confirm BHEL standard quality plan no QA/BI/QP/102 rev.01.(Copy Enclosed). Kindly note that Inspection by BHEL nominated inspection agency (QUEST) as per BHEL standard quality plan no QA/BI/QP/102 rev.01 . Inspection will be arranged by BHEL. | |
| 9. | MDCC (Material dispatch clearance certificate) clause | Please note that, material shall be dispatched only after issue of Material dispatch clearance certificate (MDCC) by BHEL. For issue of MDCC, you are required to submit all manufacturing test certificates (TC). BHEL will issue MDCC within 7 days of receipt TCs, if complete & clear w.r.t. approved technical documents. The material should be dispatched within 07 days of issue of Material Dispatch Clearance Certificate (MDCC) by BHEL and other necessary documents (PAC, Road permit etc.). | |

Tender Enquiry No. B/4066/14/6195V/2 (OPEN TENDER)
3 WAY MOTORIZED TEMPERATURE CONTROL VALVE WITH SPARES
ANNEXURE-C (TO BE SUBMITTED BY INDIGINOUS SUPPLIERS)

| | | | |
|-----|----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 10. | Payment Terms. | Kindly confirm the following payment terms "100% against dispatch documents through Bank" | |
| | | *Please note that in case of any deviation in the payment terms, BHEL shall evaluate your offer after loading on account of deviation in payment terms as per BHEL practice / policy. | |
| | | Kindly Confirm that Bank Charges shall be either side. | |
| 11. | Penalty | Penalty for late delivery w.r.t to contractual delivery shall be applicable @ 0.5% of the total order value per week of delay or part thereof subject to a maximum of 10% of the total order value. Date of GR/ LR shall be considered as actual delivery. Please confirm your acceptance. | |
| | | Pls. note that BHEL reserves the right to load your offer to the extent to which penalty is not agreed. Where deliveries quoted by the vendor are not suiting our requirement, BHEL may ignore your offer. | |
| 12. | Guarantee/ Warranty | Kindly confirm that Guarantee/ Warranty shall be offered for a period of 03 years from the date of shipment or 02 years from the date of commissioning, whichever is earlier . In case of any failure or trouble reported from site, the supplier shall depute their representative immediately to attend the problem and replace the defective component/ parts if required. | |
| | | In case of any failure or trouble reported from site, the supplier shall depute their representative immediately to attend the problem and replace the defective component/ parts if required. | |
| | | In case of deviation in Guarantee Period, offer shall be evaluated with loading @4% per-annum (on pro-rata basis) of quoted material value, for the deviated guarantee period. | |
| 13. | Arbitration : | Arbitration Clause: In all cases of dispute the matter shall be referred for Arbitration to any arbitrator to be appointed by the Executive Director or any officer who is the administrative head of Bharat Heavy Electricals Limited at Hardwar. The award of the Arbitrator shall be final and binding on both parties. The Arbitrator shall have the power to extend, from time to time, the time for making his award with the consent of the parties. | |
| 14. | Risk Purchase: | Risk Purchase: In case of delays in supplies/defective supplies or non-fulfillment of any terms and conditions given in the purchase order, the purchaser may cancel the purchase order in full or part thereof, and may also make the purchase of such material from elsewhere/alternative source at risk and cost of the supplier. Please give your acceptance. Please note that deviation in Risk purchase clause shall not acceptable. | |
| 15. | Right of Acceptance | Right of Acceptance – BHEL reserves the right to reject any or all the quotations without assigning any reasons thereof. BHEL also reserves the right to increase or decrease the tendered quantities . Vendors should be prepared to accept order for reduced Quantity without any extra charges. Vendor should also be prepared for giving discount in case of Increase in Quantity. | |

Tender Enquiry No. B/4066/14/6195V/2 (OPEN TENDER)
3 WAY MOTORIZED TEMPERATURE CONTROL VALVE WITH SPARES
ANNEXURE-C (TO BE SUBMITTED BY INDIGINOUS SUPPLIERS)

| | | | |
|-----|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 16. | Deviation (if any) | Kindly Confirm that there is no deviation with respect to BHEL Specifications. However, deviations, if any, are to be listed as a separate attachment. The additional price, if any, for withdrawal of these deviations must be provided. The offers that do not meet the substantial requirements of our enquiry are liable to be ignored. The bidders shall be deemed to comply with all the requirements of bidding documents except for listed deviations without any extra cost irrespective of any mention to the contrary anywhere else in the bid. | |
| 17. | Origin of quotation | Origin of Quotation: The quotation should be from the principal/original supplier even if it is submitted through their authorized agents, failing which the quotations are liable to be ignored. | |
| 18. | CST/ VAT | Please inform the location of your works and applicable VAT/ Charges within the state. Neyveli project: Tamil Nadu | |
| 19. | E1 form requirement | This is an E-1 transaction. BHEL will issue "C" form to vendor for claiming concessional sales tax. After issuance of "C Form" by BHEL, vendor has to submit E-1 Form to BHEL. Kindly confirm. | |
| 20. | O&M Manual | Kindly note that two copies of O&M manual for all items shall be send to site along with material. | |
| 21. | General Terms | <p>Ink signed order acceptance shall be furnished within 15 days of order placement. In case order acceptance do not received within 15 days of order placement, PO deemed to be accepted by you.</p> <p>Please note no revision in the prices or submission of supplementary price bid will be allowed during the validity of the offer. However if there is any change by BHEL w.r.t. original specifications/ requirement/ scope/terms and conditions, the bidders may be asked by BHEL to submit only the price impact bid for such changes only.</p> <p>Origin of Quotation: The quotation should be from the principal/original supplier even if it is submitted through their authorized agents, failing which the quotation is liable to be ignored.</p> <p>Please note that BHEL will deal directly with manufacturer and no correspondence with the agent will be entertained. The ink signed offer / bid in original has to be submitted by the registered manufacturer / OEMs directly to BHEL. Subsequently also, no correspondence of any type shall be entered into with the agents. Bids submitted by agents may not be considered for evaluation and shall be returned to them only, without assigning any reason. Please note the same.</p> <p>Also the name of principal supplier should be indicated on envelop in addition to Enquiry no and due date.</p> <p>All envelopes to be marked with whether "Priced Bid" (Part – 2) or "Un-Priced Bid Cum Techno-Commercial Bid" (Part – 1). The replica of Priced Bid (without prices) would be necessarily submitted along with Part-1 of the offer.</p> <p>Confirm that prices are firm and fixed till execution of contract.</p> | |