

PRE QUALIFYING REQUIREMENTS (PQR)

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| JOB | “TSS SP SSP Works, for section Birlanagar-Etawah, Jhansi Division (Gr. 239) Electrification of Railway Lines of the section Birlanagar-Etawah, Bhandai-Udi and Farrukhabad-Shikohabad including Mainpuri-Etawah of North Central Railway 386 RKM/440 TKM” |
| Tender/Enquiry Ref No: | TOASX00028 |

BIDDER SHALL SUBMIT BELOW PRE-QUALIFICATION REQUIREMENTS FORMAT, DULY FILLED-IN, SPECIFYING RESPECTIVE ANNEXURE NUMBER AGAINST EACH CRITERIA AND FURNISH RELEVANT DOCUMENT IN THE RESPECTIVE ANNEXURES IN THEIR OFFER.

| SL NO | PRE-QUALIFICATION REQUIREMENTS | Bidders claim in respect of fulfilling the PQR Criteria | |
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| | | Name and Description of qualifying requirements | Page no of supporting document |
| A | Submission of Integrity Pact duly signed, if offer value is Rs 5 Cr [excluding taxes and duties] or more, if applicable. (Note: To be submitted by Prime Bidder & Consortium/Technical Tie up partner jointly in case of Consortium bidding , otherwise by the sole bidder) | | |
| B | <p><u>Technical Criteria:</u></p> <p>Bidders must have achieved the following criteria (PQR) of B1 (B1.1 or B1.2 or B1.3) AND B2 (B2.1 or B2.2) AND B3 , during last 7 years.</p> <p><u>B1</u>(In case of consortium bidding, prime bidder alone shall necessarily comply this criteria)</p> <p>B1.1 Successfully completed one similar work of value not less than Rs. 929.0 Lakhs OR</p> <p>B1.2 Successfully completed two similar works each of value not less than Rs.581.0 Lakhs OR</p> <p>B1.3 Successfully completed three similar works each of value not less than Rs.465.0 Lakhs AND</p> <p><u>B2</u> (In case of consortium bidding, either prime bidder or consortium partner or both shall individually comply this criteria)</p> <p>B2.1: Execution of 1450 Cubic meter of concreting quantities (RCC) completed within a period of twelve consecutive months in one or cumulative of two concurrently running contracts for any project. OR</p> | | |

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| | <p>B2.2 Execution of 1000 Cubic meter of concreting(PCC or RCC) quantities completed within a period of twelve consecutive months in one running/completed contract</p> <p style="text-align: center;">AND</p> <p><u>B3</u></p> <p>B3 Bidder (In case of consortium bidding, either Prime Bidder or Consortium Partner or both individually) shall have over the preceding 5(Five) years, undertaken at least one work of similar nature with a contract value exceeding Rs. 407.0 Lacs and received payments in respect thereof for an amount equal to at least 80%(Eighty Percent) of such contract.</p> | | |
| C | <u>Financial Criteria:</u> | T/O value: | |
| 1 | <p><u>Turnover:</u> Bidders must have achieved an average annual financial turnover (Audited) of Rs.349.0 Lacs or more over last three completed Financial Years (FY) i.e. 2015-2016, 2016-17 & 2017-18</p> | <p>FY 2015-16: Rs. _____ Lacs</p> <p>FY 2016-17: Rs. _____ Lacs</p> <p>FY 2017-18: Rs. _____ Lacs</p> | |
| 2 | <p><u>Net worth:</u> Net worth of the Bidder based on the latest Audited Accounts as furnished for 'C1' above should be positive. Net worth = Paid up share capital* + Reserves. (*Share Capital OR Partnership Capital OR Proprietor Capital as the case may be)</p> | | |
| 3 | <p><u>Cash Profit:</u> Bidder must have earned cash profit in any one of the three Financial Years as applicable in the last three years defined in 'C1' above based on latest Audited Accounts. NET cash profit=(PAT + Non cash expenditure viz depreciation)</p> | | |
| D | <p><u>Approval of Customer:</u></p> <p>Note: Names of bidders who stand qualified after compliance of criteria A to C may be forwarded to customer for their approval along with their credentials. In such cases, price bid of only those bidders shall be opened who are approved by customer.</p> | | Applicable |
| E | <p><u>Consortium criteria:</u> Consortium bidding is allowed. Prime bidder and the consortium partner to comply with the requirement of clause 23.0 of NIT. Name of the Prime Bidder and consortium partner to be specified along with the works to be executed by them, i.e 'Civil' and 'Electrical' works corresponding to the PQ criteria B2 and B3 above. Only one consortium partner is allowed.</p> | | Applicable |
| F | <u>Price Bid opening:</u> | | By BHEL |

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| | Note: Price Bids of only those bidders shall be opened who stand qualified after compliance of criteria A to E. | | |
| | <p>Explanatory Notes for the PQR (unless otherwise specified in the PQR):</p> <ol style="list-style-type: none"> 1. Bidder to submit Audited Balance Sheet and Profit and Loss Account for the respective years as indicated against C-1 above along with all annexures. 2. Audited financial statement have to be submitted for all the three years as indicated against C-1 above. If financial statements are not required to be audited statutorily, then instead of audited financial statements, financial statements are required to be certified by chartered accountant. 3. In case audited Financial statements have not been submitted any of three years as indicated against C-1 above, then the applicable audited statements submitted by bidders against the requisite three years, will be averaged for three years i.e. total divided by three. 4. However audited financial statement for FY 2017-18 is necessarily required to be submitted for determining net worth. 5. The bidder can be a company under Companies Act, 1956 or Partnership firm or Proprietor firm. Bidder to submit the document for same. 6. Time period for achievement of the 'Technical' criteria of PQR (as in 'B' above, except B3 criteria) will be the last 7 years ending on the 'latest date' of Bid submission. If the Qualifying work is executed in the last seven years period, as specified above, even if it has been started earlier, the same will also be considered meeting the qualifying requirements. 7. 'Successfully completed' means the bidder should have achieved the criteria specified in the Technical criteria of PQR (as in 'B' above) even if the Contract has not been completed or closed. The bidders will be required to submit successful completion of work completed (completion of entire work with closing of contract or part successful completion certificate upto a minimum level specified in the criteria B above). 8. For PQR 'B' value of work is to be updated as per the PVC formula of GCC with Indices for "All India Avg. Consumer Price Index for Industrial Workers" with base month as date of execution and indexed up to two months prior to the bid opening month. This condition will be applicable only for the completed jobs and not for the jobs in progress as on date of technical bid opening. 9. "Similar Work" for criteria B1 above, means Any Work for Substation/ Civil/Electrical/Control & Instrumentation or combination thereof, for Industrial/Railways/Solar/Roads & Highways/cross-country Pipeline/transmission line projects. 10. "Similar Work" for criteria B3 above, means Any work of "Supply, Erection, Testing & Commissioning of new Sub-station of 110 KV or higher voltage" OR "Work of upgradation/Augmentation of existing substation of 110 KV or higher voltage, comprising at least commissioning of Power Transformer, Control Switchgear and Control Panel, etc." 11. Bidder to submit completion certificate and its Work order for documentary evidence as indicated against criteria B above. Duly certified Payment invoice from the customer organization can be accepted as completion certificate. | | |

CHECK LIST**NOTE: - Tenderers are required to fill in the following details and no column should be left blank**

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| 1 | Name and Address of the Tenderer | | |
| 2 | Details about type of the Firm/Company | | |
| 3a | Details of Contact person for this Tender | Name : Mr/Ms Designation: Telephone No: Mobile No: Fax No: | |
| 3b | Details of alternate Contact person for this Tender | Name : Mr/Ms Designation: Telephone No: Mobile No: Fax No | |
| 4a | EMD DETAILS | DD No: Date : Bank : Amount: | |
| 4b | Tender Fee Details. | DD No: Date : Bank : Amount: | |
| | | APPLICABILITY | BIDDER REPLY |
| 5 | Whether the format for compliance with PRE QUALIFICATION REQUIREMENTS (ANNEXURE-1) is understood and filled with proper supporting documents referenced in the specified format | Applicable | YES / NO |
| 6 | Whether Audited profit and Loss Account for the last three years submitted along with latest IT return & Cash Flow statement. | Applicable | YES/NO |
| 7 | Whether Copy of PAN Card submitted | Applicable | YES/NO |
| 8 | Whether all pages of the Tender documents including annexures, appendices etc are read understood and signed | Applicable | YES/NO |
| 9 | Integrity Pact | Applicable | YES/NO |
| 10 | Declaration by Authorised Signatory | Applicable | YES/NO |
| 11 | Whether No Deviation Certificate submitted | Applicable | YES/NO |
| 12 | Whether Declaration confirming knowledge about Site Conditions submitted | Applicable | YES/NO |
| 13 | Whether Declaration for relation in BHEL submitted | Applicable | YES/NO |
| 14 | Whether Non Disclosure Certificate submitted | Applicable | YES/NO |
| 15 | Whether Bank Account Details for E-Payment submitted | Applicable | YES/NO |
| 16 | Capacity Evaluation of Bidder for current Tender | Not Applicable | Not Applicable |
| 17 | Tie Ups/Consortium Agreement are submitted as per format | Applicable | Applicable |
| 18 | Whether Analysis of Unit rates submitted | Applicable | Applicable |
| 19 | Whether Acceptance to "Reverse Auction" submitted | Applicable | YES/NO |
| 20 | Whether GSTIN No.& certificate submitted | Applicable | YES/NO |

NOTE: STRIKE OFF 'YES' OR 'NO', AS APPLICABLE

DATE:

AUTHORISED SIGNATORY
(With Name, Designation and Company seal)

ANNEXURE - III**GENERAL TERMS AND CONDITIONS OF REVERSE AUCTION (RA)**

Against this enquiry for the subject item/ system with detailed scope of supply as per enquiry specifications, BHEL may resort to "REVERSE AUCTION PROCEDURE" i.e., ON LINE

BIDDING (THROUGH A SERVICE PROVIDER). The philosophy followed for reverse auction shall be English Reverse (No ties).

1. For the proposed reverse auction, technically and commercially acceptable bidders only shall be eligible to participate.
2. "BHEL reserves the right to go for Reverse Auction (RA) (Guidelines as available on www.bhel.com) instead of opening the sealed envelope price bid, submitted by the bidder. This will be decided after techno-commercial evaluation. Bidders to give their acceptance with the offer for participation in RA. Non-acceptance to participate in RA may result in non-consideration of their bids, in case BHEL decides to go for RA.
3. Those bidders who have given their acceptance to participate in Reverse Auction will have to necessarily submit 'Process compliance form' (to the designated service provider) as well as 'Online sealed bid' in the Reverse Auction. Non-submission of 'Process compliance form' or 'Online sealed bid' by the agreed bidder(s) will be considered as tampering of the tender process and will invite action by BHEL as per extant guidelines for suspension of business dealings with suppliers/ contractors (as available on www.bhel.com).
4. The bidders have to necessarily submit online sealed bid less than or equal to their envelope sealed price bid already submitted to BHEL along with the offer. The envelope sealed price bid of successful L1 bidder in RA, if conducted, shall also be opened after RA and the order will be placed on lower of the two bids (RA closing price & envelope sealed price) thus obtained. The bidder having submitted this offer specifically agrees to this condition and undertakes to execute the contract on thus awarded rates.
5. If it is found that L1 bidder has quoted higher in online sealed bid in comparison to envelope sealed bid for any item(s), the bidder will be issued a warning letter to this effect. However, if the same bidder again defaults on this count in any subsequent tender in the unit, it will be considered as fraud and will invite action by BHEL as per extant guidelines for suspension of business dealings with suppliers/ contractors (as available on www.bhel.com).
6. "Bidders to submit online sealed bid less than or equal to their envelope sealed bid already submitted to BHEL"
7. BHEL will engage the services of a service provider who will provide all necessary training and assistance before commencement of on line bidding on internet.
8. In case of reverse auction, BHEL will inform the bidders the details of Service Provider to enable them to contact & get trained.
9. Business rules like event date, time, bid decrement, extension etc. also will be

communicated through service provider for compliance.

10. Bidders have to fax/Email the Compliance form before start of Reverse auction. Without this, the bidder will not be eligible to participate in the event.
11. In line with the NIT terms, BHEL will provide the calculation sheet (e.g., EXCEL sheet) which will help to arrive at "Total Cost to BHEL" like Packing & forwarding charges, Taxes and Duties, Freight charges, Insurance, Service Tax for Services and loading factors (for non-compliance to BHEL standard Commercial terms & conditions) for each of the bidder to enable them to fill-in the price and keep it ready for keying in during the Auction.
12. Reverse auction will be conducted on scheduled date & time.
13. At the end of Reverse Auction event, the lowest bidder value will be known on auction portal.
14. The lowest bidder has to fax/e-mail the duly signed and filled-in prescribed format for price breakup including that of line items, if required, as provided on case-to-case basis to Service provider within two working days of Auction without fail.
15. In case BHEL decides not to go for Reverse Auction procedure for this tender enquiry, the Price bids and price impacts, if any, already submitted and available with BHEL shall be opened as per BHEL's standard practice.
16. Bidders shall be required to read the "Terms and Conditions" section of the auctions site of Service provider, using the Login IDs and passwords given to them by the service provider before reverse auction event. Bidders should acquaint themselves of the "Business Rules of Reverse Auction", which will be communicated before the Reverse Auction.
17. If the Bidder or any of his representatives are found to be involved in Price manipulation/ cartel formation of any kind, directly or indirectly by communicating with other bidders, action *as per extant BHEL guidelines*, shall be initiated by BHEL and the results of the RA scrapped/ aborted.
18. The Bidder shall not divulge either his Bids or any other exclusive details of BHEL to any other party.
19. In case BHEL decides to go for reverse auction, the H1(s) bidder (whose quote is highest in online sealed bid) may not be allowed to participate in further RA process.

ANNEXURE – IV**Authorization of representative who will participate in the on line Reverse Auction Process;**

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| 1. | NAME & DESIGNATION OF OFFICIAL | |
| 2. | POSTAL ADDRESS (COMPLETE) | |
| 3. | TELEPHONE NOS (LAND LINE & MOBILE BOTH) | |
| 4. | FAX NO | |
| 5. | E-MAIL ADDRESS | |
| 6. | NAME OF PLACE/ STATE/ COUNTRY, WHEREFROM S/HE WILL PARTICIPATE IN THE REVERSE AUCTION | |

ANNEXURE – V**Feedback Form: From where did you get information reg. this tender**

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| 1. | NEWSPAPER ADVERTISEMENT (NAME) | |
| 2. | BHEL WEBISTE (TENDER NOTIFICATION) | |
| 3. | CENTRAL PUBLIC PROCUREMENT PORTAL OF GOVERNMENT OF INDIA (CPP PORTAL) | |
| 4. | EMAIL COMMUNICATION FROM BHEL | |
| 5. | ANY OTHER SOURCE | |