DOC. NO.: PS: CPC: MM: SCT: NIT: Rev 00 Dated 02<sup>nd</sup> Feb 2024

AMENDMENT: 01, Dated 15<sup>th</sup> Feb' 2024

## NOTICE INVITING TENDER

# TENDER NO.: BHEL/CPC/LRA/MPH-STR/25/025 WORK DESCRIPTION:

Erection, Alignment, Bolting/Welding, Roofing & Cladding Work of Power House (PH#3&4) Building, CCR Structure, Pipe/Cable Racks, Miscellaneous Buildings & Structures and Fabrication of Misc. Structure incl. Handling of Materials at BHEL / Client's Stores / Storage yard and Transportation and Scope of work includes supply of all Material (As per TCC and BOQ), Consumables, labour, tools and plants, Supply and application of Final Painting of Fabricated items, Touch-up painting (As and wherever required) package of:-

- **1. Package-A:** Erection of Main Power House Unit 3, CCR Structure and Fabrication of Misc. Structure as per BOQ at 2X800 MW NTPC Lara Project.
- **2. Package-B:** Erection of Main Power House Unit 4, Pipe/Cable racks, other Misc. Buildings & Structures and Fabrication of Misc. Structure as per BOQ at 2X800 MW NTPC Lara Project.

## <u>Volume - I</u>

रजिस्टर्ड ऑफिस : भेल हाउस, सिरी फोर्ट, नई दिल्ली - 110049

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# NOTICE INVITING E-TENDER (NIT) NOTE: BIDDER MAY DOWNLOAD FROM WEB SITES

То

Dear Sir/Madam

**Sub: NOTICE INVITING E-TENDER** 

Sealed offers in two part bid system (National competitive bidding (NCB)) are invited from reputed & experienced bidders (meeting <u>PRE QUALIFICATION CRITERIA</u> as mentioned in Annexure-1) for the subject job by the undersigned on the behalf of BHARAT HEAVY ELECTRICALS LIMITED as per the tender document. Following points relevant to the tender may please be noted and complied with.

## 1.0 Salient Features of NIT

SL NO	ISSUE	DESCRIPTION		
i	TENDER NUMBER	BHEL/CPC/LRA/MPH-STR/25/025		
ii	BROAD SCOPE OF	Erection, Alignment, Bolting/Welding, Roofing & Cladding Work of Power House		
	JOB	(PH#3&4) Building, CCR Structure, Pipe/Cable Racks, Miscellaneous Buildings & Structures and Fabrication of Misc. Structure incl. Handling of Materials at BHEL / Client's Stores / Storage yard and Transportation and Scope of work includes supply of all Material (As per TCC and BOQ), Consumables, labour, tools and plants, Supply and application of Final Painting of Fabricated items, Touch-up painting (As and wherever required) package of:-  1. Package-A: Erection of Main Power House Unit 3, CCR Structure and Fabrication of Misc. Structure as per BOQ at 2X800 MW NTPC Lara Project.  2. Package-B: Erection of Main Power House Unit 4, Pipe/Cable racks, other Misc. Buildings & Structures and Fabrication of Misc. Structure as per BOQ at 2X800 MW NTPC Lara Project.		
iii	DETAILS OF TENDER			
а	Volume-IA	Technical Conditions of Contract (TCC) consisting of Scope of work, Technical Specification, Drawings, Procedures, Bill of Quantities, Terms of payment, etc.	Applicable	
b	Volume-IB	Special Conditions of Contract (SCC)	Applicable	
С	Volume-IC	General Conditions of Contract (GCC)	Applicable	
d	Volume-ID	Forms and Procedures	Applicable	
е	Volume-II	Price Schedule (Absolute value).	Applicable	
iv	ISSUE OF TENDER DOCUMENTS	Tender documents will be available for downloading from BHEL eProcurement portal ( <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a> ) till due date of submission:  Start: 24/08/2024, Time: 10:00 Hrs  Closes: 07/09/2024, Time: 10:00 Hrs.  Brief information of the tenders shall also be available at BHEL	Applicable	
		website (www.bhel.com)		
V	DUE DATE & TIME OF OFFER SUBMISSION	Date: 07/09/2024, Time: 10:00 hrs Place : on <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a>	Applicable	

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vi	OPENING OF TENDER	Date: 07/09/2024, Time: 16:30 hrs	Applicable
		Notes:  (1) In case the due date of opening of tender becomes a non-working day, then the due date & time of offer submission and opening of tenders get extended to the next working day.  (2) Bidder may witness the opening of tender through e-Procurement portal only.	
vii	EMD AMOUNT	Rs. 20,00,000/- (Rupees Twenty lakh Only)	Applicable
viii	COST OF TENDER	Free	
ix	LAST DATE FOR SEEKING CLARIFICATION	Date: 29/08/2024  Along with soft version also, addressing to undersigned & to others as per contact address given below:  1) Name: Shailendra Kumar    Designation: Deputy Manager    Deptt: Sub-Contracting Deptt, CPC    Address: BHEL Sadan,8th Floor, Plot No. 25, Sec 16A, Noida - 201301    Phone (Mobile): 9606676524    Email: athanerey@bhel.in  2) Name: Kamlesh Kumar    Designation: SDGM    Deptt: Sub-Contracting Deptt, CPC    Address: BHEL Sadan,8th Floor, Plot No. 25, Sec 16A, Noida - 201301    Phone: (Mobile):9425554615    Email: kamleshbhel@bhel.in	Applicable
X	SCHEDULE OF PRE BID DISCUSSION (PBD)	Date: 29/08/2024, Time: 1500 hrs.  Place: Bharat Heavy Electricals Limited, Central Procurement Cell (CPC), 8th Floor, BHEL Sadan, Plot no. 25, Sector 16A, Noida-201301, Uttar Pradesh Interested bidders are requested to confirm their participation for Pre Bid Discussion (PBD) meeting either by physical meeting at above mentioned place or through Video Conferencing (VC). VC link given below:-  VC link for PBD: -  Microsoft Teams Need help?  Join the meeting now  Meeting ID: 459 561 437 969  Passcode: VoGCxM	Applicable

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		Join on a video conferencing device  Tenant key: teams@bhel.onpexip.com  Video ID: 138 001 671 4  More info  For organizers: Meeting options  Link for VC: https://teams.microsoft.com/l/meetup-join/19%3ameeting_Yzg3MWY0NzYtMWViMi00NjUwLThhMTAtNjQzYWUyZGQ5NmU4%40thread.vz/0?context=%7b%22Tid%22%3a%22b5fad749-aea2-4c55-a02b-fdbd7bcf8a8c%22%2c%22Oid%22%3a%2209c4a16d-30bb-437e-8512-edadfcb81053%22%7d	
xi	INTEGRITY PACT & DETAILS OF INDEPENDENT EXTERNAL MONITOR (IEM)	Please refer clause no. 15.	Applicable
xii	TYPES OF CONTRACT	WORKS	
xiil	LATEST UPDATES	Latest updates on the important dates, Amendments, Correspondences, Corrigenda, Clarifications, Changes, Errata, Modifications, Revisions, etc. to Tender Specifications will be hosted in BHEL webpage ( <a href="https://www.bhel.com">www.bhel.com</a> > Tender Notifications> View Corrigendums), BHEL eProcurement portal ( <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a> ) and not in the newspapers. Bidders to keep themselves updated with all such information.	

- 2.0 The offer shall be submitted as per the instructions of tender document and as detailed in this NIT. Bidders to note specifically that all pages of tender document, including these NIT pages of this particular tender together with subsequent correspondences shall be submitted by them, duly signed digitally using Class III DSC & uploaded in E-Procurement Portal, as part of offer. Rates/Price including discounts/rebates, if any, mentioned anywhere/in any form in the techno-commercial offer other than the Price Bid, shall not be entertained.
- 3.0 Instructions for Cost of Tender Not Applicable.
- 4.0 Unless specifically stated otherwise, bidder shall deposit EMD as per clause 1.9 of General Conditions of Contract. Proof of remittance of EMD should be uploaded in the E-Procurement Portal and originals, as applicable, shall be sent to the officer inviting tender within a reasonable time, failing which the offer is liable to be rejected.

For Electronic Fund Transfer the details are as below-:

- a) Name of the Beneficiary -: Bharat Heavy Electricals Limited
- b) Bank Particulars (For EMD Collection) :
- 1) For Receipt of EMD In Cash/NEFT/RTGS/DD:

Name of account: Bharat Heavy Electricals limited

Account No: 00000030117844270

Branch: State Bank of India, Asian Games Village Branch, NCUI Building, Siri Institutional Area, August

Kranti Marg, New Delhi-110016. IFSC code: SBIN0007407

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#### 2) For Receipt of EMD In Bank Guarantee (BG):

a) For Beneficiary bank details:

Name of account: Bharat Heavy Electricals limited

Account No: 00000030117844270

Branch: State Bank of India, Asian Games Village Branch, NCUI Building, Siri Institutional Area, August

Kranti Marg, New Delhi-110016. IFSC code: SBIN0007407

However, the SFMS (Structured Financial Message System) for BG shall be sent to SBI Bank with IFSC Code SBIN0001188 and the copy of the SFMS is to be attached along with the BG for submission to BHEL towards verification of authenticity of BG.

- b) In case, any contractor / vendor shows their inability for issuance of Bank Guarantee from SFMS compliant bank,
  - i. Paper Bank Guarantee shall be sent to BHEL directly by the issuing bank under Registered Post (A.D.) / Speed Post/ Courier/ By Hand along with a covering letter and
  - ii. A declaration by contractor / vendor with list of their banks along with a confirmation that none of their banks are SFMS compliant.
- 5.0 Procedure for Submission of Tenders: This is an E-tender floated online through our E-Procurement Site (<a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a>). The bidder should respond by submitting their offer online only in our e-Procurement platform at (<a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a>). Offers are invited in two-parts only.

#### **Documents Comprising the e-Tender**

The tender shall be submitted online ONLY EXCEPT EMD (in physical form) as mentioned below:

a. Techno-commercial Bid (UN priced Tender)

All Techno-commercial details (e.g. EMD, Documentary evidence in support of Pre Qualifying criteria, applicable forms, etc. should be attached in e-tendering module, failing which the tender stands invalid & may be REJECTED.

## b. Price Bid:

- i. Prices are to be quoted in the attached Price Bid format online on e-tender portal.
- ii. The price should be quoted for the accounting unit indicated in the e-tender document.
- iii. Note: It is the responsibility of tenderer to go through the Tender document to ensure furnishing all required documents in addition to above, if any. Any deviation would result in REJECTION of tender and would not be considered at a later stage at any cost by BHEL.
- iv. A person signing (manually or digitally) the tender form or any documents forming part of the contract on behalf of another shall be deemed to warrantee that he has authority to bind such other persons and if, on enquiry, it appears that the persons so signing had no authority to do so, the purchaser may, without prejudice to other civil and criminal remedies, cancel the contract and hold the signatory liable for all cost and damages.
- v. A tender, which does not fulfil any of the above requirements and/or gives evasive information/reply against any such requirement, shall be liable to be ignored and rejected.

#### DO NOT'S

Bidders are requested NOT to submit the hard copy of the Bid. In case offer is sent through hard copy/fax/telex/cable/electronically in place of e-tender, the same shall not be considered. Also, uploading of the price bid in prequalification bid or technical bid may RESULT IN REJECTION of the tender.

#### Digital Signing of e-Tender

Tenders shall be uploaded with all relevant PDF/zip format. The relevant tender documents should be uploaded by an authorized person having Class 3- SHA2- 2048 BIT- SIGNING & ENCRYPTION digital signature certificate (DSC).

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#### The Requirement:

- 1. A PC with Internet connectivity &
- 2. DSC (Digital Signature Certificate) (Class 3- SHA2- 2048 BIT- SIGNING & ENCRYPTION)

The contact details of the service provider are given below:

For any technical related queries please call at 24 x 7 Help Desk Number

0120-4001 002, 0120-4001 005 & 0120-6277 787

International bidders are requested to prefix 91 as country code

Email Support - Technical - support-eproc@nic.in

Note: For any Issues or Clarifications relating to the published tenders, bidders are requested to contact the respective Tender Inviting Authority

The process of utilizing e-procurement necessitates usage of DSC (Digital Signature Certificate) (Class 3-SHA2- 2048 BIT- SIGNING & ENCRYPTION) and you are requested to procure the same immediately, if not presently available with you. Please note that only with DSC, you will be able to login the e-procurement secured site and take part in the tendering process.

The Vendors DSC Address List link as given below:

 $\frac{\text{https://eprocurebhel.co.in/nicgep/app?component=\%24DirectLink}}{\text{$T\&sp=SDSCAddress.pdf}} \\ \frac{\text{$T\&sp=SDSCAddress.pdf}}{\text{$T\&sp=SDSCAddress.pdf}} \\ \frac{\text{$T\&sp=SDSCAddress.pdf}}{\text{$T\&sp=SDSCAddr$ 

Vendors are also requested to go through seller manual available on <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a>.

- 6.0 Instructions regarding Hard/Paper Bid Not Applicable.
- 7.0 Deviation with respect to tender clauses and additional clauses/suggestions in Techno-commercial bid / Price bid shall NOT be considered by BHEL. Bidders are requested to positively comply with the same.
- 8.0 BHEL reserves the right to accept or reject any or all Offers without assigning any reasons thereof. BHEL also reserves the right to cancel the Tender wholly or partly without assigning any reason thereof. Also BHEL shall not entertain any correspondence from bidders in this matter (except for the refund of EMD).

## 9.0 PERFORMANCE OF BIDDERS:

- Monthly Performance' of the bidder for all the package covered under the tendered scope-shall be captured for the packages Under execution'. (refer Table-1)
- The monthly performance rating, shall be calculated as per Online Systems i.e. Contractor Performance Evaluation System (CPES) and Safety Performance Evaluation System (HSEPES). The scores assigned in HSEPES shall be scaled down to 10 and assigned in CPES against the category "HSE" (mentioned in Form F-15).

### **Explanatory note:**

• Identified Packages (Unit wise) Table-1

Civil	Electrical and C&I	Mechanical
i). Enabling works	i). Electrical	i). Boiler & Aux (All types including CW Piping if
ii). Pile and Pile Caps	ii). C&I	applicable)
iii). Civil Works including	iii). Others (Elect. and	ii). Power Cycle Piping/Critical Piping
foundations	C&I)	iii). ESP
iv). Structural Steel	iv). Electrical Enabling	iv). LP Piping
Fabrication & Erection	Works	v). Steam Turbine Generator set & Aux
v). Chimney		vi). Gas Turbine Generator set & Aux

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vi). Cooling Tower	vii). Hydro Turbine Generator set & Aux	
vii). Others (Civil)	viii). Turbo Blower (including Steam Turbine)	
	ix). Material Management	
	x). FGD	
	xi). ACC	
	xii). Others (Mechanical)	

- 'Under execution' shall mean works in progress as per the following:
  - (a) Up to execution of 90% of anticipated Contract Value in case of Civil, MM, Structural and Turbo Blower Packages
  - (b) Up to Steam Blowing in case of Boiler/ESP/Piping Packages
  - (c) Up to Synchronization in all Balance Packages

Note: BHEL at its discretion can extend (or reduce in exceptional cases in line with Contract conditions) the period defined against (a), (b) and (c) above, depending upon the balance scope of work to be completed.

- Contractor shall provide the latest contact details i.e. mail-ID and Correspondence Address to SCT
  Department, so that same can be entered in the Contractor Performance Evaluation System, and in
  case of any change/discrepancy same shall be informed immediately. Login Details for viewing scores
  in Contractor Performance Evaluation System shall be provided to the Contractor by SCT Department.
- Performance Evaluation for Activity Month shall be completed in Evaluation Month (i.e. month next to
  Activity Month) or in rare cases in Post Evaluation Month (i.e. month next to Evaluation Month) after
  approval from Competent Authority. In case scores are not acceptable, Contractor can submit Review
  Request to GM Site/ GM Project latest by 27th of Evaluation Month or 5 days after approval of score,
  whichever is later. However, acceptance/rejection of 'Review Request' solely depends on the
  discretion of GM Site/GM Project. After acceptance of Review Request, evaluation score shall be
  reviewed at site and the score after completion of review process shall be acceptable and binding on
  the contractor.

Project on Hold due to reasons not attributable to bidder -

- Short hold: Evaluation shall not be applicable for this period.
- Long hold: Short hold for continuous six months and beyond or hold on account of Force Majeure shall be considered as Long Hold. Evaluation shall not be considered for this period.
- Performance evaluation as specified above in this clause is applicable to Prime bidder and Consortium partner (or Technical tie up partner) for their respective scope of work.
- 10.0 Since the job shall be executed at site, bidders must visit site/ work area and study the job content, facilities available, availability of materials, prevailing site conditions including law & order situation, applicable wage structure, wage rules, etc. before quoting for this tender. They may also consult this office before submitting their offers, for any clarifications regarding scope of work, facilities available at sites or on terms and conditions.
- 11.0 For any clarification on the tender document, the bidder may seek the same in writing or through e-mail and/or through e-procurement portal, as per specified format, within the scheduled date for seeking clarification, from the office of the undersigned. BHEL shall not be responsible for receipt of queries after due date of seeking clarification due to postal delay or any other delays. Any clarification / query received after last date for seeking clarification may not be normally entertained by BHEL and no time extension will be given.

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- 12.0 BHEL may decide holding of pre-bid discussion [PBD] with all intending bidders as per date indicated in the NIT. The bidder shall ensure participation for the same at the appointed time, date and place as may be decided by BHEL. Bidders shall plan their visit accordingly. The outcome of pre-bid discussion (PBD) shall also form part of tender.
- In the event of any conflict between requirement of any clause of this specification/ documents/drawings/data sheets etc. or requirements of different codes/standards specified, the same to be brought to the knowledge of BHEL in writing for clarification before due date of seeking clarification (whichever is applicable), otherwise, interpretation by BHEL shall prevail. Any typing error/missing pages/ other clerical errors in the tender documents, noticed must be pointed out before pre-bid meeting/submission of offer, else BHEL's interpretation shall prevail.
- 14.0 Unless specifically mentioned otherwise, bidder's quoted price shall deemed to be in compliance with tender including PBD.
- 15.0 Bidders shall submit Integrity Pact Agreement (Duly signed by authorized signatory who signs in the offer), <u>if applicable</u>, along with techno-commercial bid. This pact shall be considered as a preliminary qualification for further participation. <u>The names and other details of Independent External Monitor (IEM) for the subject tender is as given at Clause no. 1, Salient Features of NIT, Sl. no. (xi) above.</u>

### "Integrity Pact (IP)"

(a) IP is a tool to ensure that activities and transactions between the Company and its Bidders/ Contractors are handled in a fair, transparent and corruption free manner. Following Independent External Monitors (IEMs) on the present panel have been appointed by BHEL with the approval of CVC to oversee implementation of IP in BHEL.

SI. No.	IEM	Email
1.	Shri Otem Dai, IAS (Retd.)	iem1@bhel.in
2.	Shri Bishwamitra Pandey, IRAS (Retd.)	iem2@bhel.in
3.	Shri Mukesh Mittal, IRS (Retd.)	iem3@bhel.in

- (b) The IP as enclosed with the tender is to be submitted (duly signed by authorized signatory) along with technocommercial bid (Part-I, in case of two/ three part bid). Only those bidders who have entered into such an IP with BHEL would be competent to participate in the bidding. In other words, entering into this Pact would be a preliminary qualification.
- (c) Please refer Section-8 of IP for Role and Responsibilities of IEMs. In case of any complaint arising out of the tendering process, the matter may be referred to any of the above IEM(s). All correspondence with the IEMs shall be done through email only.

#### Note:

No routine correspondence shall be addressed to the IEM (phone/ post/ email) regarding the clarifications, time extensions or any other administrative queries, etc. on the tender issued. All such clarification/ issues shall be addressed directly to the tender issuing (procurement) department's officials whose contact details are as per Clause no. 1, Salient Features of NIT, Sl. No. (ix) above.

- The Bidder has to satisfy the Pre-Qualifying Requirements stipulated for this Tender in order to be qualified. The Price Bids of only those bidders will be opened who will be qualified for the subject job on the basis of satisfying the Pre-Qualification Criteria specified in this NIT as per Annexure-I (as applicable), past performance etc. and date of opening of price bids shall be intimated to only such bidders. BHEL reserves the right not to consider offers of parties under DEBARMENT.
- 17.0 Instructions regarding Hard Bid/Paper Bid Not Applicable
- **18.0** Validity of the offer shall be for **six months** from the latest due date of offer submission (including extension, if any) unless specified otherwise.

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- **19.0 Reverse Auction** BHEL shall be resorting to Reverse Auction (RA) (Extant Guidelines as available on <a href="https://www.bhel.com/guidelines-reverse-auction-2021">https://www.bhel.com/guidelines-reverse-auction-2021</a> on "supplier registration page") for this tender. RA shall be conducted among all the techno-commercially qualified bidders.
  - Price Bids of all the techno-commercially qualified bidders shall be opened and same shall be considered as initial bids of bidders in RA. In case any bidder(s) do(es) not participate in online Reverse Auction, their sealed envelope price bid along with applicable loading, if any, shall be considered for ranking.
- **20.0** On submission of offer, further consideration will be subject to compliance to tender & qualifying requirement and customer's acceptance, as applicable.
- 21.0 In case the bidder is an "Indian Agent of Foreign Principals", 'Agency agreement has to be submitted along with Bid, detailing the role of the agent along with the terms of payment for agency commission in INR, along with supporting documents.
- **22.0** The bidders shall not enter into any undisclosed M.O.U. or any understanding amongst themselves with respect to tender.
- **23.0** Consortium Bidding is not applicable for this tender.
- 24.0 The bidder shall submit documents in support of possession of 'Qualifying Requirements' duly self-certified and stamped by the authorized signatory, indexed and properly linked in the format for PQR. In case BHEL requires any other documents/proofs, these shall be submitted immediately.
- **25.0** The bidder may have to produce original document for verification if so decided by BHEL.
- 26.0 The consultant / firm (and any of its affiliates) shall not be eligible to participate in tender(s) for the related works or services for the same project, if they were engaged for the consultancy services.
- 27.0 Guidelines/rules in respect of Suspension of Business dealings, Vendor evaluation format, Quality, Safety & HSE guidelines, Experience Certificate, etc. may undergo change from time to time and the latest one shall be followed. The abridged version of extant 'Guidelines for suspension of business dealings with suppliers/ contractors' is available on <a href="https://www.bhel.com/guidelines-suspension-business-dealings-supplierscontractors">www.bhel.com/guidelines-suspension-business-dealings-supplierscontractors</a>.
- 28.0 The offers of the bidders who are on the debarred list and also the offer of the bidders, who engage the services of the debarred firms, shall be rejected. The list of **debarred firms** is available on BHEL web site <a href="www.bhel.com">www.bhel.com</a> on "supplier registration page i.e., <a href="https://www.bhel.com/list-debarred-firms">https://www.bhel.com/list-debarred-firms</a>>.
  - 28.1 Integrity commitment, performance of the contract and punitive action thereof:

#### 28.1.1 Commitment by BHEL:

BHEL commits to take all measures necessary to prevent corruption in connection with the tender Process and execution of the contract. BHEL will during the tender process treat all Bidder(s) in a transparent and fair manner, and with equity.

#### 28.1.2 Commitment by Bidder/ Supplier/ Contractor:

- (i) The bidder/ supplier/ contractor commit to take all measures to prevent corruption and will not directly or indirectly influence any decision or benefit which he is not legally entitled to nor will act or omit in any manner which tantamount to an offence punishable under any provision of the Indian Penal Code, 1860 or any other law in force in India.
- (ii) The bidder/ supplier/ contractor will, when presenting his bid, disclose any and all payments he has made, and is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract and shall adhere to relevant guidelines issued from time to time by Govt. of India/BHEL.

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(iii) The bidder/ supplier/ contractor will perform/ execute the contract as per the contract terms & conditions and will not default without any reasonable cause, which causes loss of business/ money/ reputation, to BHEL.

If any bidder/ supplier/ contractor during pre-tendering/ tendering/ post tendering/ award/ execution/ post-execution stage indulges in mal-practices, cheating, bribery, fraud or and other misconduct or formation of cartel so as to influence the bidding process or influence the prices or acts or omits in any manner which tantamount to an offence punishable under any provision of the Indian Penal Code, 1860 or any other law in force in India, then, action may be taken against such bidder/ supplier/ contractor as per extent guidelines of the company available on www.bhel.com and / or under applicable legal provisions.

- **29.0 Micro and Small Enterprises (MSE):** This is a WORKS CONTRACT. MSE BENEFIT SHALL NOT BE APPLICABLE FOR THE TENDER.
- 30.0 The Bidder along with its associate/ collaborators/ sub-contractors/ sub-vendors/ consultants/ service providers shall strictly adhere to BHEL Fraud Prevention Policy displayed on BHEL website < https://www.bhel.com/bhel-fraud-prevention-policy-0 > and shall immediately bring to the notice of BHEL Management about any fraud or suspected fraud as soon as it comes to their notice.

#### 31.0 PREFERENCE TO MAKE IN INDIA:

For this procurement, the local content to categorize a supplier as a Class I local supplier/ Class II local Supplier/Non-Local Supplier and purchase preferences to Class I local supplier, is as defined in Public Procurement (Preference to Make in India), Order 2017 dated 04.06.2020 issued by DPIIT. In case of subsequent orders issued by the nodal ministry, changing the definition of local content for the items of the NIT, the same shall be applicable even if issued after issue of this NIT, but before opening of Part-II bids against this NIT.

## 31.1 Compliance to Restrictions under Rule 144 (xi) of GFR 2017

- Any bidder from a country which shares a land border with India will be eligible to bid in this tender only if the bidder is registered with the Competent Authority. The Competent Authority for the purpose of this Clause shall be the Registration Committee constituted by the Department for Promotion of Industry and Internal Trade (DPIIT).
- II. "Bidder" (including the term 'tenderer', 'consultant' or 'service provider' in certain contexts) means any person or firm or company, including any member of a consortium or joint venture (that is an association of several persons, or firms or companies), every artificial juridical person not falling in any of the descriptions of bidders stated hereinbefore, including any agency branch or office controlled by such person, participating in a procurement process.
- III. "Bidder from a country which shares a land border with India" for the purpose of this Clause means:
  - a. An entity incorporated established or registered in such a country; or
  - b. A subsidiary of an entity incorporated established or registered in such a country; or
  - An entity substantially controlled through entities incorporated, established or registered in such a country;
     or
  - d. An entity whose beneficial owner is situated in such a country; or
  - e. An Indian (or other) agent of such an entity; or
  - f. A natural person who is a citizen of such a country; or
  - g. A consortium or joint venture where any member of the consortium or joint venture falls under any of the above.
- IV. The beneficial owner for the purpose of (III) above will be as under:
  - In case of a company or Limited Liability Partnership, the beneficial owner is the natural person(s), who, whether acting alone or together or through one or more juridical person, has a controlling ownership interest or who exercises control through other means.
     Explanation

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- a. "Controlling ownership interest" means ownership of or entitlement to more than twenty-five per cent of shares or capital or profits of the company.
- b. "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements.
- 2. In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of entitlement to more than fifteen percent of capital or profits of the partnership.
- 3. In case of an unincorporated association or body of individuals, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person has ownership of or entitlement to more than fifteen percent of the property or capital or profits of the such association or body of individuals.
- 4. Where no natural person is identified under (1) or (2) or (3) above, the beneficial owner is the relevant natural person who holds the position of senior managing official;
- 5. In case of a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
- V. An Agent is a person employed to do any act for another, or to represent another in dealings with third person.
- VI. The successful bidder shall not be allowed to sub-contract works to any contractor from a country which shares a land border with India unless such contractor is registered with the Competent Authority.

#### Note:

- (i) The bidder shall provide undertaking for their compliance to this Clause, in the format provided in Annexure-11.
- (ii) Registration of the bidder with Competent Authority should be valid at the time of submission of bids and at the time of acceptance of the bids.
- 32.0 Bid should be free from correction, overwriting, use of corrective fluid, etc. Any interlineation, cutting, erasure or overwriting shall be valid only if they are attested under full signature(s) of person(s) signing the bid else bid shall be liable for rejection. All overwriting/cutting, etc. will be numbered by bid opening officials and announced during bid opening.
- 33.0 In the course of evaluation, if more than one bidder happens to occupy L-1 status, effective L-1 will be decided by soliciting discounts from the respective L-1 bidders.

In case more than one bidder happens to occupy the L-1 status even after soliciting discounts, the L-1 bidder shall be decided by a toss/ draw of lots, in the presence of the respective L-1 bidder(s) or their representative(s).

Ranking will be done accordingly. BHEL's decision in such situations shall be final and binding.

34.0 The Bidder declares that they will not enter into any illegal or undisclosed agreement or understanding, whether formal or informal with other Bidder(s). This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.

In case, the Bidder is found having indulged in above activities, suitable action shall be taken by BHEL as per extant policies/ guidelines.

35.0 A bidder shall not have conflict of interest with other bidders. Such conflict of interest can lead to anti-competitive practices to the detriment of Procuring Entity's interests. The bidder found to have a conflict of interest shall be disqualified. A bidder may be considered to have a conflict of interest with one or more parties in this bidding process, if:

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a) they have controlling partner (s) in common;

or

b) they receive or have received any direct or indirect subsidy/ financial stake from any of them;

or

c) they have the same legal representative/agent for purposes of this bid;

or

d) they have relationship with each other, directly or through common third parties, that puts them in a position to have access to information about or influence on the bid of another Bidder;

or

e) Bidder participates in more than one bid in this bidding process. Participation by a Bidder in more than one Bid will result in the disqualification of all bids in which the parties are involved. However, this does not limit the inclusion of the components/ sub-assembly/ Assemblies from one bidding manufacturer in more than one bid:

or

- f) In cases of agents quoting in offshore procurements, on behalf of their principal manufacturers, one agent cannot represent two manufacturers or quote on their behalf in a particular tender enquiry. One manufacturer can also authorize only one agent/dealer. There can be only one bid from the following:
  - (i). The principal manufacturer directly or through one Indian agent on his behalf, and
  - (ii). Indian/foreign agent on behalf of only one principal;

or

g) A Bidder or any of its affiliates participated as a consultant in the preparation of the design or technical specifications of the contract that is the subject of the Bid;

or

- h) In case of a holding company having more than one independently manufacturing units, or more than one unit having common business ownership/management, only one unit should quote. Similar restrictions would apply to closely related sister companies. Bidders must proactively declare such sister/ common business/ management units in same/ similar line of business."
- a) be applicable for both the packages 'Package-A' and 'Package-B' separately for their respective scope.

#### 36.0 Modality of Award

- 36.1 Both the Packages shall be awarded to a single agency.
- 36.2 LOA for each Package (A & B) shall be issued separately and each Package shall be considered as separate contract with separate contract period (as specified in Chapter-VI "Time Schedule" of TCC), separate start dates and separate contract value etc.
- 36.3 All the records of the contracts viz Measurement of work, progress monitoring, monthly review, performance evaluation etc. shall be maintained for all the contracts separately.

Note: Please refer Chapter-XX of Technical Conditions of Contract (TCC) before submitting offer.

#### 37.0 Order of Precedence:

In the event of any ambiguity or conflict between the Tender Documents, the order of precedence shall be in the order below:

- a. Amendments/Clarifications/Corrigenda/Errata etc. issued in respect of the tender documents by BHEL
- b. Notice Inviting Tender (NIT)
- c. Price Bid
- d. Technical Conditions of Contract (TCC)-Volume-1A
- e. Special Conditions of Contract (SCC) —Volume-1B

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- f. General Conditions of Contract (GCC) —Volume-1C
- g. Forms and Procedures —Volume-1D

It may please be noted that guidelines/ circulars/ amendments/ govt. directives issued from time to time shall also be applicable.

## FOR BHARAT HEAVY ELECTRICALS LTD ADDITIONAL GENERAL MANAGER / SCT- CPC

## **Enclosure:**

- i). Annexure-1: Pre Qualifying Requirements.
- ii). Annexure-2: Check List.
- iii). Annexure-3: Reverse Auction Process Compliance Form
- iv). Annexure-4: Authorization of representative who will participate in the online Reverse Auction Process
- v). Annexure-5: RA Price Confirmation and Breakup
- vi). Annexure-6: Integrity Pact
- vii). Annexure-7: Undertaking as per C4 of Annexure-1 i.e. PQR
- viii). Annexure-8: Declaration reg. Related Firms & their areas of Activities
- ix). Annexure- 9: Declaration reg. minimum local content in line with revised public procurement
- x). Annexure-10: Declaration by the Contractor
- xi). Other Tender documents as per this NIT.

## **ANNEXURE - 1**

## PRE QUALIFYING REQUIREMENTS (PQR)

JOB	Erection, Alignment, Bolting/Welding, Roofing & Cladding Work of Power House (PH#3&4) Building, CCR Structure, Pipe/Cable Racks, Miscellaneous Buildings & Structures and Fabrication of Misc. Structure incl. Handling of Materials at BHEL / Client's Stores / Storage yard and Transportation and Scope of work includes supply of all Material (As per TCC and BOQ), Consumables, labour, tools and plants, Supply and application of Final Painting of Fabricated items, Touch-up painting (As and wherever required) package of:-
	1. Package-A: Erection of Main Power House Unit 3, CCR Structure and Fabrication of Misc. Structure as per BOQ at 2X800 MW NTPC Lara Project.
	2. Package-B: Erection of Main Power House Unit 4, Pipe/Cable racks, other Misc. Buildings &
	Structures and Fabrication of Misc. Structure as per BOQ at 2X800 MW NTPC Lara Project.
TENDER NO.	BHEL/CPC/LRA/MPH-STR/25/025

SL NO	PRE QUALIFICATION CRITERIA	Bidders claim in respect of fulfilling the PQR Criteria	
OL NO	THE GOALII IOATION CINTERIA		Remarks by Bidder
A	Submission of Integrity Pact duly signed (Note: To be submitted by Prime Bidder & Consortium/Technical Tie up partner jointly in case Consortium bidding is permitted, otherwise by the sole bidder)	Applicable	
В	Technical:		
	B2 Technical Criteria:		
	Bidder should have executed, as standalone bidder, in the last Ten (10) years reckoned from the latest due date of bid submission: -		
	a) At least 7316 MT for Structure Erection work, within a common period of		
	twelve consecutive months in cumulative of two running/completed		
	contracts in any Power/Industrial/Infrastructure project,		
	OR		
	b) At least 4877 MT for Structure Erection work, within a period of twelve		
	consecutive months in one running/completed contract in any		
	Power/Industrial/Infrastructure project,  OR		
	c) Structure Erection of Mill Bunker for at least one unit of >= 190 MW,	Applicable	
	OR		
	d) Complete ESP/ FGD of Unit rating ≥190 MW		
	OR		
	e) At least 14,226 MT, in cumulative of two running/completed contracts,		
	work of Erection of Structures/ESP/FGD/Non-Pressure Parts/ Ducting/		
	Pressure Parts/ Power Cycle Piping / Rotary machines or any		
	combination of these in any Power/Industrial/Infrastructure project.		
	OR		
	f) At least 8,535 MT, in cumulative of one running/completed contract,		
	work of Erection of Structures/ESP/FGD/Non-Pressure Parts/ Ducting/		
	Pressure Parts/ Power Cycle Piping/ Rotary machines or any		
	combination of these in Power/ Industrial/ Infrastructure project.		

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С	Financial:			
C-1	TURNOVER: Bidders must have achieved an average annual financial turnover (Audited) of Rs. 15 Crore or more over last three Financial Years (FY) ending on 31.03.24 (i.e., F.Y.2021- 22,2022-23,2023-24). If audited balance sheet of 2023-24 is not available, then last three years shall be considered ending on 31.03.23 (i.e., F.Y. 2020-21, 2021-22, 2022-23).	Applicable		
	NETWORTH (only in case of Companies):			
C-2	Net worth of the Bidder based on the latest Audited Accounts as furnished for 'C-1' above should be positive  PROFIT:	Applicable		
C-3	Bidder must have earned profit in any one of the Five financial years ending on 31.03.24 (i.e., F.Y.2019-20,2020-21,2021- 22,2022-23,2023-24). If audited balance sheet of 2023-24 is not available, then last five years shall be considered ending on 31.03.23 (i.e., F.Y.2018-19, 2019-20, 2020-21, 2021-22, 2022-23). Bidder to submit audited balance sheet and Profit & Loss Statement for the year as supporting documents.	Applicable		
C-4	Bidder must not be under Insolvency Resolution Process or Liquidation or Bankruptcy Code Proceedings (IBC) as on date by NCLT or any adjudicating authority/authorities, which will render him ineligible for participation in this tender, and shall submit undertaking (Annexure-7) to this effect.  Price Bid Opening	Applicable		
D	Note: Price Bids of only those bidders shall be opened who stand qualified after compliance of criteria A to C	Applicable	BY BHEL	
Е	Consortium criteria	Not Applicable		
	Explanatory Notes for the PQR:			
	<ol> <li>Bidder to submit Audited Balance Sheet and Profit and Loss Account for the respective years as indicated against C-1 above along with all annexures.</li> <li>In case audited Financial statements have not been submitted for all the three years as indicated against C-1 above, then the applicable audited statements submitted by the bidders against the requisite three years, will be averaged for three years i.e. total divided by three.</li> </ol>			
	3. If financial statements are not required to be audited statutorily, the statements, financial statements are required to be certified by Chartered Advanced to the control of the		idited financial	
	<b>4. C-2: NETWORTH:</b> Shall be calculated based on the latest Audited Accounts as furnished for C-1 above. Net worth = Paid up share capital + Reserves. (Net worth is required to be evaluated in case of companies).			
	5. C-3: PROFIT: Shall be profit (PBT) earned during any one of the five financial years as in C-3 above.			
	<b>6.</b> For evaluation of PQR, in case Bidder alone does not meet the pre-qualifying technical criteria B-1 above, bidder may utilize the experience of its Parent/ Subsidiary Company along with its own experience, subject to following:			
	i. The parent company shall have a controlling stake of ≥50% in the sub	sidiary company (	as per Format-	
	<ul> <li>1).</li> <li>ii. The Parent Company/ Subsidiary Company of which experience is being utilized for bidding shall submit Security Deposit(SD) equivalent to 1% of the total contract value</li> <li>iii. The parent/ subsidiary company and bidder shall provide an undertaking that they are jointly or severally responsible for successful performance of the contract (as per Format-2).</li> </ul>			

- iv. In case Bidder is submitting bid as a Consortium Partner, option of utilizing experience of parent/subsidiary Company can be availed by Prime Bidder only.
- v. Parent Company/ Subsidiary Company of which experience is being used for bidding, cannot participate as a 'Standalone Bidder' or as a 'Consortium bidder'.
- 7. Completion date for achievement of the technical criteria specified in the Technical' criteria of PQR (as in 'B' above) should be in the last 7 years ending on the 'latest date of Bid Submission' of Tender irrespective of date of the start of work. Completion date shall be reckoned from the "FY quarter of bid submission".
- **8.** EXECUTED' means the bidder should have achieved the criteria specified in the Technical criteria of PQR (as in 'B' above) even if the Contract has not been completed or closed.
  - i. Unless otherwise specified, for the purpose of "B1 & B2 Technical Criteria", the word EXECUTED' means achievement of milestones as defined below
    - a) "ACHIEVEMENT OF PHYSICAL QUANTITIES" as per PQRs.
    - b) "READINESS FOR COAL FILLING" of at least one Bunker, in respect of Mill Bunker Structure.
    - c) "BOILER LIGHT UP" in respect of Boiler / CFBC / ESP.
    - d) "CHARGING OF ATLEAST ONE PASS" in respect of ESP(R&M).
    - e) "GAS IN" in respect of HRSG.
    - f) "STEAM BLOWING" in respect of Power Cycle Piping.
    - g) "HYDRAULIC TEST"/ ANY OTHER EQUIVALENT TEST LIKE "100% RT/UT OF WELDED JOINTS" of the system in respect of Pressure parts/ LP Piping/CW Piping.
    - h) "FULL LOAD OPERATION OF THE UNIT" in respect of Insulation work.
    - i) "SYNCHRONISATION" in respect of STG / GTG.
    - j) "SPINNING" in respect of HTG.
    - k) "GAS IN" in respect of FGD
  - ii. Boiler means HRSG or WHRB or any other types of Steam Generator.
  - iii. Power Cycle piping means Main Steam, Hot Reheat, Cold Reheat, HP Bypass.
  - iv. For the purpose of evaluation of the PQR, one MW shall be considered equivalent to 3.5 TPH where ever rating of HRSG/BOILER is mentioned in MW. Similarly, where ever rating of Gas Turbine is mentioned in terms of Frame size, ISO rating of the same in terms of MW shall be considered for evaluation.

Relevant documents, meeting above requirements at B & C, shall be submitted by bidders.

## 9. Explanatory Notes for QR 'B-1':

- For QR 'B-1' above, actual executed value shall be considered.
- The evaluation currency for this tender shall be INR.
- For QR 'B-1' above, Value of work is to be updated with indices for "All India Avg. Consumer Price
  index for industrial workers" and "Monthly Whole Sale Price Index for All Commodities" with base
  month as per last month of work execution and indexed up to three (3) months prior to the month
  of latest due date of bid submission as per following formula;

P = R + 0.425 x R x 
$$\frac{(X_N - X_0)}{X_0}$$
 + 0.425 x R x  $\frac{(Y_N - Y_0)}{Y_0}$ 

Where

P = Updated value of work

R = Value of executed work

X<sub>N</sub> = All India Avg. Consumer Price index for industrial workers for three months prior to the month of latest due date of bid submission (e.g. If latest bid submission date is 02-Mar-17, then bid submission month shall be reckoned as March'17 and index for Dec'2016 shall be considered).

 $X_0$  = All India Avg. Consumer Price index for industrial workers for last month of work execution.

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 $Y_N$  = Monthly Whole Sale Price Index for All Commodities for three months prior to the month of latest due date of bid submission (e.g. If latest bid submission date is 02-Mar-17, then bid submission month shall be reckoned as March'17 and index for Dec'2016 shall be considered).

Y<sub>0</sub> = Monthly Whole Sale Price Index for All Commodities for last month of work execution.

## **NOTES:**

BIDDER SHALL SUBMIT ABOVE PRE-QUALIFICATION CRITERIA FORMAT, DULY FILLED-IN, SPECIFYING RESPECTIVE ANNEXURE NUMBER AGAINST EACH CRITERIA AND FURNISH RELEVANT DOCUMENT INCLUSIVE OF WORK ORDER AND WORK COMPLETION CERTIFICATE ETC IN THE RESPECTIVE ANNEXURES IN THEIR OFFER.

CREDENTIALS SUBMITTED BY THE BIDDER AGAINST "PRE-QUALIFYING CRITERIAS" SHALL BE VERIFIED FOR ITS AUTHENTICITY. IN CASE, ANY CREDENTIAL (S) IS/ARE FOUND UNAUTHENTIC, OFFER OF THE BIDDER IS LIABLE TO THE REJECTION. BHEL RESERVES THE RIGHT TO INITIATE ANY FURTHER ACTION AS PER EXTANT GUIDELINES FOR SUSPENSION OF BUSINESS DEALINGS.

## Format-1

## Certificate for relationship between Parent Company / Subsidiary Company and the bidder

To,		
Door Cir		
Dear Sir,		
Sub: Bid for NIT No	dated for "	" (name of the tender).
-	of the Parent Company in Subsidiary C	Subsidiary Company of M/s(the Company as on(not earlier than
Name of Parent Company	Name of Subsidiary Company	Percentage of Equity Holding of Parent Company in Subsidiary Company

(Insert Name and Signature of Statutory Auditor or practicing Company Secretary of the Bidder)

## Format-2

# Undertaking from the Parent Company/ Subsidiary Company of the bidder (On the Letter Head of Parent Company/ Subsidiary Company, as applicable)

From,
Name:
Full Address:
Telephone No.:
E-mail address:
Fax/No.:
То,
Dear Sir,
We refer to the NIT Nodatedfor "" (name of the Tender).
"We have carefully read and examined in detail the NIT/Tender Terms and Conditions, including in particular, Clause of the NIT/Tender, regarding submission of an Undertaking, as per the prescribed Format 1 of the NIT/ Tender.
We confirm that M/s (the Bidder) has been authorized by us to use our Technical capability for meeting the Technical Criteria as specified in Clause of the PQR of the NIT/Tender referred above.
We agree to submit the Security Deposit equivalent to 1% of the total contract value in addition to Security Deposit to be submitted by Bidder as per Clauseof the NIT/Tender for fulfilment of all obligations in terms of provisions of the contract, in the event of
We confirm that we along with M/s (the bidder), are jointly or severally responsible for successful performance of the contract.
We confirm that our company shall not participate in the above tender as a 'Standalone Bidder' or as a 'Consortium bidder' and also shall not authorize any other bidder to use our Technical capability for the above tender.
All the terms used herein but not defined, shall have the meaning as ascribed to the said terms under the referred NIT/Tender.

Signature of Managing Director/ Authorized signatory of Parent/ Subsidiary Company

## **ANNEXURE - 2**

## **CHECK LIST**

## NOTE: - Tenderers are required to fill in the following details and no column should be left blank

1	Name of the Tenderer			
2	Address of the Tenderer			
3	Type of the Firm/ Company			
(i)	In case of Individual Tenderer	His / her full name, address and place & furnished along with the offer.		
(ii)	In case of Partnership Firm	The names of all the partners and their addresses, A copy of the partnership deed/instrument of partnership dully certified by the Notary Public shall be furnished along with the offer.		
(iii)	In case of Companies	a) Date and place of registration including date of commencement certificate in case of Public Companies (certified copies of Memorandum and articles of Association are also to be furnished). b) Nature of business carried on by the Company and the provisions of the Memorandum relating thereof.		
4.a	-			
4.b	Details of alternate Contact person for this Tender	Name: Mr/ Ms Designation: Telephone No: Mobile No: Email ID:		
5	Validity of Offer	To be valid for Six Months from due dat	e	
6	EMD Details (Remittance of EMD should Conditions of Contract (Volume IC Book-II		ed in clause 1.9.1	of General
	A) Cash portion of EMD (Minimum Rs 2 Lakhs	Mode of Remittance: Ref No: Date: Amount:		
	A) Balance portion of EMD	Mode of Remittance: Ref No: Date: Amount:		
	DESCRI		Applicability (BY BHEL)	Enclosed By Bidder
7	Whether the format for compliance w (ANNEXURE – 1) is understood and fil referenced in the specified format		Applicable	YES/NO

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	DESCRIPTION	Applicability (BY BHEL)	Enclosed By Bidder	
8	In case Balance portion of EMD is submitted in the form of Bank Guarantee (BG),			
	a) Copy of SFMS (Structured Financial Message System) to be attached along with the bank guarantee towards verification of authenticity of BG.  Or	Applicable	YES / NO	
	b) Declaration by contractor / vendor with list of their banks along with a confirmation that none of their banks are SFMS compliant and Paper Bank Guarantee sent to BHEL directly by the issuing bank under Registered Post (A.D.) / Speed Post/ Courier/ By Hand along with a covering letter.		YES / NO	
9	Whether all pages of the Tender documents including annexures, appendices etc. are read and understood	Applicable	YES/NO	
10	Audited Balance Sheet and profit & Loss Account for the last Three/Five years as mentioned at PQR C-1 & C-3.	Applicable	YES/NO	
11	Copy of PAN Card	Applicable	YES/NO	
12.	Copy of GST registration	Applicable	YES / NO	
13	Submission of copy of Registration /Incorporation Certificate, Partnership Deed (Certified by Notary Public) as applicable for firm	Applicable	YES / NO	
14	Organization Chart of the tenderer's organization, including the names, addresses and contact information of the Directors/Partners shall be furnished along with the offer.	Applicable	YES / NO	
15	Integrity Pact (Annexure – 6 of NIT)	Applicable	YES/NO	
16	Annexures – 3, 4 & 5 of NIT	Applicable	YES / NO	
17	Annexures – 7, 8, 9 & 10 of NIT	Applicable	YES / NO	
18	Offer forwarding letter / tender submission letter [Form No. F-01 (Rev 00)]	Applicable	YES / NO	
19	Declaration by Authorized Signatory [Form No: F-02 (Rev 00)]	Applicable	YES / NO	
20	Power of Attorney for Submission of Tender/Signing Contract Agreement [Form No: F-03 (Rev 00)]	Applicable	YES / NO	
21	Declaration by Authorized Signatory regarding Authenticity of submitted documents [Form No: F-04 (Rev 00)]	Applicable	YES/NO	
22	No Deviation Certificate [Form No: F-05 (Rev 00)]	Applicable	YES / NO	
23	Declaration confirming knowledge about Site Conditions [Form No: F-06 (Rev 00)]	Applicable	YES/NO	
24	Declaration for relation in BHEL [Form No: F-07 (Rev 00)]	Applicable	YES / NO	
25	Non-Disclosure Certificate [Form No: F-08 (Rev 00)]	Applicable	YES / NO	
26	Bank Account Details for E-Payment [Form No: F-09 (Rev 00)]	Applicable	YES / NO	
27	Format for seeking clarification [Form No: F-10 (Rev 00)]	Applicable	YES / NO	
28	Analysis of Unit rates Quoted [Form No: F-11 (Rev 00)]	Applicable	YES / NO	
29	Capacity Evaluation of Bidder for current Tender [Form No: F-12 (Rev 00)]	Applicable	YES / NO	
30	Tie Ups/Consortium Agreement are submitted as per format	Not Applicable	YES / NO	

NOTE: STRIKE OFF 'YES' OR 'NO', AS APPLICABLE. TENDER NOT ACCOMPANIED BY THE PRESCRIBED **ABOVE APPLICABLE DOCUMENTS** ARE LIABLE TO BE SUMMARILY REJECTED.

DATE:

Sign. of the AUTHORISED SIGNATORY (With Name, Designation and Company seal)

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## **ANNEXURE - 3**

## **Reverse Auction Process Compliance Form**

(The bidders are required to print this on their company's letterhead and sign, stamp before RA)

To

- M/s. {Service provider
- Postal address}

Sub: Agreement to the Process related Terms and Conditions

Dear Sir.

This has reference to the Terms & Conditions for the Reverse Auction mentioned in the RFQ document for {Items} against BHEL enquiry/ RFQ no.{.......} dtd. {.........}

This letter is to confirm that:

- 1) The undersigned is authorized official/ representative of the company to participate in RA and to sign the related documents.
- 2) We have studied the Reverse Auction guidelines (as available on www.bhel.com), and the Business rules governing the Reverse Auction as mentioned in your letter and confirm our agreement to them.
- 3) We also confirm that we have taken the training on the auction tool and have understood the functionality of the same thoroughly.
- 4) We also confirm that, in case we become L1 bidder, we will FAX/ email the price confirmation & break up of our quoted price as per <u>Annexure 5</u> within **two** working days (of BHEL) after completion of RA event, besides sending the same by registered post/ courier both to M/s. BHEL and M/s. {Service provider.}

We, hereby confirm that we will honor the Bids placed by us during the auction process.

With regards

Signature with company seal

Name:

Company / Organization:

Designation within Company / Organization:

Address of Company / Organization:

Sign this document and FAX/ email it to M/s {Service provider} at {.......} prior to start of the Event.

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## ANNEXURE – 4

## Authorization of representative who will participate in the on-line Reverse Auction Process:

1	NAME OF THE BIDDER	
2	NAME & DESIGNATION OF OFFICIAL	
3	POSTAL ADDRESS (COMPLETE)	
4	TELEPHONE NOS. (LAND LINE & MOBILE BOTH)	
5	E-MAIL ADDRESS	
6	NAME OF PLACE/ STATE/ COUNTRY, WHEREFROM S/HE WILL PARTICIPATE IN THE REVERSE AUCTION	

## <u>ANNEXURE – 5</u>

# RA price confirmation and breakup (To be submitted by L1 bidder after completion of RA)

## INTEGRITY PACT Between

Bharat Heavy Electricals Ltd. (BHEL), a company registered under the Companies Act 1956 and having its registered office at "BHEL House", Siri Fort, New Delhi - 110049 (India) hereinafter referred to as "The Principal", which expression unless repugnant to the context or meaning hereof shall include its successors or assigns of the ONE PART

and						
	(description	of	the	party	along	with
address), hereinafter referred to as "The Bidder/ Contractor" which expression u hereof shall include its successors or assigns of the OTHER PART	nless repugna	nt to	the	context	t or mea	aning

## Preamble

The Principal intends to award, under laid-down organizational procedures, contract/s for <a href="Tender No.: BHEL/CPC/LRA/MPH-STR/25/025">Tender No.: BHEL/CPC/LRA/MPH-STR/25/025</a> for Erection, Alignment, Bolting/Welding, Roofing & Cladding Work of Power House (PH#3&4) Building, CCR Structure, Pipe/Cable Racks, Miscellaneous Buildings & Structures and Fabrication of Misc. Structure incl. Handling of Materials at BHEL / Client's Stores / Storage yard and Transportation and Scope of work includes supply of all Material (As per TCC and BOQ), Consumables, labour, tools and plants, Supply and application of Final Painting of Fabricated items, Touch-up painting (As and wherever required) package of 1. Package-A: Erection of Main Power House Unit 3, CCR Structure and Fabrication of Misc. Structure as per BOQ at 2X800 MW NTPC Lara Project. 2. Package-B: Erection of Main Power House Unit 4, Pipe/Cable racks, other Misc. Buildings & Structures and Fabrication of Misc. Structure as per BOQ at 2X800 MW NTPC Lara Project.

The Principal values full compliance with all relevant laws of the land, rules and regulations, and the principles of economic use of resources, and of fairness and transparency in its relations with its Bidder(s)/ Contractor(s).

In order to achieve these goals, the Principal will appoint Independent External Monitor(s), who will monitor the tender process and the execution of the contract for compliance with the principles mentioned above.

## Section 1- Commitments of the Principal

- 1.1 The Principal commits itself to take all measures necessary to prevent corruption and to observe the following principles: -
- 1.1.1 No employee of the Principal, personally or through family members, will in connection with the tender for, or the execution of a contract, demand, take a promise for or accept, for self or third person, any material or immaterial benefit which the person is not legally entitled to.
- 1.1.2 The Principal will, during the tender process treat all Bidder(s) with equity and reason. The Principal will in particular, before and during the tender process, provide to all Bidder(s) the same information and will not provide to any Bidder(s) confidential/ additional information through which the Bidder(s) could obtain an advantage in relation to the tender process or the contract execution.
- 1.1.3 The Principal will exclude from the process all known prejudiced persons.
- 1.2 If the Principal obtains information on the conduct of any of its employees which is a penal offence under the Indian Penal Code 1860 and Prevention of Corruption Act 1988 or any other statutory penal enactment, or if there be a substantive suspicion in this regard, the Principal will inform its Vigilance Office and in addition can initiate disciplinary actions.

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## Section 2 - Commitments of the Bidder(s)/ Contractor(s)

- 2.1 The Bidder(s)/ Contractor(s) commit himself to take all measures necessary to prevent corruption. He commits himself to observe the following principles during his participation in the tender process and during the contract execution.
  - 2.1.1 The Bidder(s)/ Contractor(s) will not, directly or through any other person or firm, offer, promise or give to the Principal or to any of the Principal's employees involved in the tender process or the execution of the contract or to any third person any material, immaterial or any other benefit which he/ she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract.
  - 2.1.2 The Bidder(s)/ Contractor(s) will not enter with other Bidder(s) into any illegal or undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.
  - 2.1.3 The Bidder(s)/ Contractor(s) will not commit any penal offence under the relevant Indian Penal Code (IPC) and Prevention of Corruption Act; further the Bidder(s)/ Contractor(s) will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the Principal as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.
  - 2.1.4 Foreign Bidder(s)/ Contractor(s) shall disclose the name and address of agents and representatives in India and Indian Bidder(s)/ Contractor(s) to disclose their foreign principals or associates. The Bidder(s)/ Contractor(s) will, when presenting his bid, disclose any and all payments he has made, and is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract.
- 2.2 The Bidder(s)/ Contractor(s) will not instigate third persons to commit offences outlined above or be an accessory to such offences.
- 2.3 The Bidder(s)/ Contractor(s) shall not approach the Courts while representing the matters to IEMs and will await their decision in the matter.

## Section 3 - Disqualification from tender process and exclusion from future contracts

If the Bidder(s)/ Contractor(s), before award or during execution has committed a transgression through a violation of Section 2 above, or acts in any other manner such as to put his reliability or credibility in question, the Principal is entitled to disqualify the Bidder(s)/ Contractor(s) from the tender process or take action as per the separate "Guidelines on Banning of Business dealings with Suppliers/ Contractors", framed by the Principal.

## Section 4 - Compensation for Damages

- 4.1 If the Principal has disqualified the Bidder from the tender process prior to the award according to Section 3, the Principal is entitled to demand and recover the damages equivalent Earnest Money Deposit/ Bid Security.
- 4.2 If the Principal has terminated the contract according to Section 3, or if the Principal is entitled to terminate the contract according to section 3, the Principal shall be entitled to demand and recover from the Contractor liquidated damages equivalent to 5% of the contract value or the amount equivalent to Security Deposit/ Performance Bank Guarantee, whichever is higher.

Section 5 - Previous Transgression

5.1 The Bidder declares that no previous transgressions occurred in the last 3 years with any other company in any country conforming to the anti-corruption approach or with any other Public Sector Enterprise in India that could justify his exclusion from the tender process.

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5.2 If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process or the contract, if already awarded, can be terminated for such reason.

## Section 6 - Equal treatment of all Bidders/ Contractors / Sub-contractors

- 6.1 The Principal will enter into agreements with identical conditions as this one with all Bidders and Contractors. In case of sub-contracting, the Principal contractor shall be responsible for the adoption of IP by his sub-contractors and shall continue to remain responsible for any default by his sub-contractors.
- 6.2 The Principal will disqualify from the tender process all bidders who do not sign this pact or violate its provisions.

## Section 7 - Criminal Charges against violating Bidders/ Contractors /Subcontractors

If the Principal obtains knowledge of conduct of a Bidder, Contractor or Subcontractor, or of an employee or a representative or an associate of a Bidder, Contractor or Subcontractor which constitutes corruption, or if the Principal has substantive suspicion in this regard, the Principal will inform the Vigilance Office.

## Section 8 -Independent External Monitor(s)

- 8.1 The Principal appoints competent and credible Independent External Monitor for this Pact. The task of the Monitor is to review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.
- 8.2 The Monitor is not subject to instructions by the representatives of the parties and performs his functions neutrally and independently. He reports to the CMD, BHEL.
- 8.3 The Bidder(s)/ Contractor(s) accepts that the Monitor has the right to access without restriction to all contract documentation of the Principal including that provided by the Bidder(s)/ Contractor(s). The Bidder(s)/ Contractor(s) will grant the monitor, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his contract documentation. The same is applicable to Sub-contractor(s). The Monitor is under contractual obligation to treat the information and documents of the Bidder(s)/ Contractor(s) / Sub-contractor(s) with confidentiality in line with Non- disclosure agreement.
- 8.4 The Principal will provide to the Monitor sufficient information about all meetings among the parties related to the contract provided such meetings could have an impact on the contractual relations between the Principal and the Contractor. The parties offer to the Monitor the option to participate in such meetings.
- 8.5 The role of IEMs is advisory, would not be legally binding and it is restricted to resolving issues raised by an intending bidder regarding any aspect of the tender which allegedly restricts competition or bias towards some bidders. At the same time, it must be understood that IEMs are not consultants to the Management. Their role is independent in nature and the advice once tendered would not be subject to review at the request of the organization.
- 8.6 For ensuring the desired transparency and objectivity in dealing with the complaints arising out of any tendering process, the matter should be examined by the full panel of IEMs jointly as far as possible, who would look into the records, conduct an investigation, and submit their joint recommendations to the Management.
- 8.7 The IEMs would examine all complaints received by them and give their recommendations/ views to CMD, BHEL, at the earliest. They may also send their report directly to the CVO and the Commission, in case of suspicion of serious irregularities requiring legal/ administrative action. IEMs will tender their advice on the complaints within 10 days as far as possible.
- 8.8 The CMD, BHEL shall decide the compensation to be paid to the Monitor and its terms and conditions.
- 8.9 IEM should examine the process integrity; they are not expected to concern themselves with fixing of responsibility of officers. Complaints alleging mala fide on the part of any officer of the organization should be looked into by the CVO of the concerned organisation.
- 8.10 If the Monitor has reported to the CMD, BHEL, a substantiated suspicion of an offence under relevant Indian Penal Code/ Prevention of Corruption Act, and the CMD, BHEL has not, within reasonable time, taken visible action to proceed against such offence or reported it to the Vigilance Office, the Monitor may also transmit this information directly to the Central Vigilance Commissioner, Government of India.

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- 8.11 The number of Independent External Monitor(s) shall be decided by the CMD, BHEL.
- 8.12 The word 'Monitor' would include both singular and plural.

## Section 9 - Pact Duration

- 9.1 This Pact shall be operative from the date IP is signed by both the parties till the final completion of contract for successful bidder and for all other bidders 6 months after the contract has been awarded. Issues like warranty / guarantee etc. should be outside the purview of IEMs.
- 9.2 If any claim is made/ lodged during currency of IP, the same shall be binding and continue to be valid despite the lapse of this pact as specified above, unless it is discharged/ determined by the CMD, BHEL.

#### Section 10 - Other Provisions

- 10.1 This agreement is subject to Indian Laws and jurisdiction shall be registered office of the Principal, i.e. New Delhi.
- 10.2 Changes and supplements as well as termination notices need to be made in writing. Side agreements have not been made.
- 10.3 If the Contractor is a partnership or a consortium, this agreement must be signed by all partners or consortium members.
- 10.4 Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties will strive to come to an agreement to their original intentions.
- 10.5 Only those bidders / contractors who have entered into this agreement with the Principal would be competent to participate in the bidding. In other words, entering into this agreement would be a preliminary qualification.

***************************************
For & On behalf of the Bidder/ Contractor (Office Seal)
Witness:
(Name & Address)

## ANNEXURE – 7

## **UNDERTAKING**

(To be typed and submitted in the Letter Head of the Company/Firm of Bidder)

10,
(Name and Designation of Officer of BHEL inviting the Tender); Bharat Heavy Electricals Limited, Central Procurement Cell (CPC), 8th Floor, BHEL SADAN, Plot No. 25, Sector-16A, Noida, U.P201301
Dear Sir/Madam,
Sub: Declaration Regarding Insolvency/ Liquidation/ Bankruptcy Proceedings
Ref: NIT/Tender Specification No: BHEL/CPC/SGL/BLR-CVL/25/017
I/We,
declare that, I/We am/are not under insolvency resolution process or liquidation or Bankruptcy Code Proceedings (IBC) as
on date, by NCLT or any adjudicating authority/authorities, which will render us ineligible for participation in this tender.
Sign. of the AUTHORISED SIGNATORY (With Name, Designation and Company seal)
Place: Date:

## **ANNEXURE-8**

## **DECLARATION**

			Date:
То:			
<b>.</b>		n of Officer of BHEL inviting the 1	「ender);
Central	Heavy Electricals Limited, Procurement Cell (CPC), 8 <sup>th</sup> Floor, BHEL SADAN, . 25, Sector-16A, Noida, U.P201301		
Sub:	Details of related firms and their area of activi	ties	
Dear Si	r/ Madam,		
	find below details of firms owned by our family m (NA, if not applicable)	nembers that are doing business	/ registered for same item with
1	Material Category/ Work Description		
·	Name of Firm		
	Address of Firm		
	Nature of Business		
	Name of Family Member		
	Relationship		
2	Material Category/ Work Description		
	Name of Firm		
	Address of Firm		
	Nature of Business		
	Name of Family Member		
	Relationship		
Note: informa	I certify that the above information is true and ation furnished is found to be false.	I agree for penal action from B	RHEL in case any of the above
			Regards,
		(	()
		From: Supplier Code: Address:	M/s

## Annexure-9

# DECLARATION REGARDING MINIMUM LOCAL CONTENT IN LINE WITH REVISED PUBLIC PROCUREMENT (PREFERENCE TO MAKE IN INDIA), ORDER 2017 DATED $04^{TH}$ JUNE, 2020 AND SUBSEQUENT ORDER(S)

	(10	be ty	/ped a	nd submitted 	in the	e Letter F	lead o	the En	tity/Firm 	providing c	ertific	cate as a	pplicat	ole)	
To,															
				(Nan	ne ar	nd Desigr	nation (	of Office	er of BHE	EL inviting t	he Te	ender);			
Centra		emen	t Cell (		or, B	HEL SAD				v		,			
Dear S	Sir,														
				mum local co 2020 and sub				olic Proc	curement	(Preferenc	e to I	Make in I	ndia),	Order	2017-
	,			fication No: Bl		CPC/SGI	_/BLR-	CVL/25	/017,						
organı <b>suppl</b>	ization he ier' I 'Cla	e <i>re)</i> I Iss II	nas a <b>local s</b>	e items/works local content supplier' ** as by DPIIT and s	of _ defi	ned in Pu	% and ıblic Pr	this mocurem	eets the	local cont	ent r	equireme	ent for	'Clas	s-I loca
The	details	of	the	location(s)	at	which	the	local	value	addition	is	made	are	as	follows
1			_			2									
3			_			4		<del></del>							
	ing you, faithfully	,										(Signa	ature, I	Date (	& Seal o
										Au	thori	zed Sigr			

\*\* - Strike out whichever is not applicable.

### Note:

- 1. Bidders to note that above format Duly filled & signed by authorized signatory, shall be submitted along with the techno-commercial offer.
- In case the bidder's quoted value is in excess of Rs. 10 crores, the authorized signatory for this declaration shall necessarily be the statutory auditor or cost auditor of the company (in the case of companies) or a practising cost accountant or practicing chartered accountant (in respect of suppliers other than companies).
- 3. In the event of false declaration, actions as per the above order and as per BHEL Guidelines shall be initiated against the bidder.

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### **ANNEXURE-10**

## DECLARATION REGARDING COMPLIANCE TO RESTRICTIONS UNDER RULE 144 (xi) OF GFR 2017

(To be typed and submitted in the Letter Head of the Entity/ Firm providing certificate as applicable) To, (Name and Designation of Officer of BHEL inviting the Tender); Bharat Heavy Electricals Limited, Central Procurement Cell (CPC), 8th Floor, BHEL SADAN, Plot No. 25, Sector-16A, Noida, U.P.-201301 Dear Sir. Sub: Declaration regarding compliance to Restrictions under Rule 144 (xi) of GFR 2017 Ref: 1) NIT/Tender Specification No: BHEL/CPC/SGL/BLR-CVL/25/017, 2) All other pertinent issues till date I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India and on sub-contracting to contractors from such countries. I certify that (SPECIFY THE NAME OF THE ORGANIZATION HERE), is not from such a country/ has been registered with the Competent Authority (attach valid registration by the Competent Authority, i.e., the Registration Committee constituted by the Dept. for Promotion of Industry and Internal Trade (DPIIT); and will not sub-contract any work to a contractor from such countries unless such contractor is registered with the Competent Authority. (attach relevant valid registration, if applicable) I hereby certify that we fulfil all requirements in this regard and is eligible to be considered. Thanking you, Yours faithfully, (Signature, Date & Seal of **Authorized Signatory of the Bidder)** Note: Bidders to note that in case above certification given by a bidder, whose bid is accepted, is found to be false, then this would be a ground for immediate termination and for taking further action in accordance with law and as per BHEL guidelines.

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