

**2x500 MW NTPL TUTICORIN FGD
SPECIAL CONDITIONS OF CONTRACT (SCC, REV0)**

PEM / PG-III, BHEL, Noida

SPECIAL CONDITIONS OF CONTRACT (SCC) Rev-0

2X500 MW NLC TAMILNADU POWER LIMITED (NTPL) (FGD SYSTEM PACKAGE); Job No. 483

Sl No.	Title	Description
1.	Project Name	2X500 MW NTPL TUTICORIN FGD (Page no 1)
2.	Nature of project & Type of Bidding	Non-Mega, International Competitive Bidding (Page no 8)
3.	Customer Order Ref No	COCONTS/0009H/NTPL/FGD/2019 dated 18.04.2020 (Page no 8)
4.	BHEL's Customer	NLC TAMILNADU POWER LIMITED (NTPL) (Page no 8)
5.	NTPL GSTIN	33AACCN3238N1ZD (Page no 10)
6.	Customer Consultants	M/s Development Consultant Private Ltd (Page no 45)
7.	Consignee Address (BILL TO)	For supply package: BHEL, Power Sector-Project Engineering Management, Power Project Engineering Institute, Plot No. 25, Sector-16A, Noida, Uttar Pradesh-201301. GSTIN: 09AAACB4146P2ZC For turnkey packages (where BHEL-PEM will issue only the LOA and Purchase Order shall be issued by BHEL-PSSR): BHEL-PSSR, TEK TOWERS, 11, RAJIV GANDHI IT EXPWAY, THURAIPAKKAM, CHENNAI-600097 GSTIN:33AAACB4146P2ZL
8.	Delivery Address (SHIP TO)	CEO/NTPL, 2x500 MW Tuticorin Power Project, Harbour Estate, Tuticorin -628004 Tamil Nadu (Page no 9)
9.	BHEL Site Office Address	The Construction Manager, BHEL Site Office, NTPL, 2x500 MW Tuticorin Power Project, Harbour Estate, Tuticorin -628004 Tamil Nadu
10.	Location of Plant	At Tuticorin Taluk in Tuticorin district in the Southern Part of Tamil Nadu along the Bay of Munnar, India. Nearest Port- Tuticorin sea port is located adjacent to the plant. Nearest Airport- Nearest airstrip is located at Pudukottai at a distance of 16.5 km Nearest Railway Station- The nearest railway station is Port Trust Railway Yard at a distance of 1.0 km. Nearest Town- 5.5 km away from Tuticorin, Nearest city is Pallayamkottai which is 60 km from plant (Page no 1)
11.	Mode of Dispatch	For indigenous supplies: By Rail/Road on door delivery and freight pre-paid basis. For imported supplies: On C&F basis.
12.	BHEL GSTIN Details	For supply packages: BHEL-PEM is registered in the State of Uttar Pradesh with GSTIN 09AAACB4146P2ZC For Turnkey packages: BHEL PSSR is registered in the State of Tamil Nadu with GSTIN 33AAACB4146P2ZL
13.	Transit Insurance	In BHEL Scope. For each dispatch, vendor shall inform the following to the

		<p>Underwriter under intimation to BHEL-PEM and BHEL site office:</p> <ol style="list-style-type: none"> i. Policy No. ii. Consignee Name. iii. Consignment Details (items with their weights and value (in INR)). iv. Project Name and P.O. No. v. LR No. and date, Dispatch origin and destination details, Invoice No. <p>Vendors to intimate the underwriters quoting the insurance Policy No. as mentioned in PO.</p>
14.	Dispatch intimation	<p>Yes in writing, Not less than 30 (Thirty) days prior to date of shipment and dispatch details to be sent to:</p> <ol style="list-style-type: none"> a. BHEL site office (As mentioned in Sl. No.9) b. BHEL PEM Noida (As mentioned in NIT) <p>At the point of dispatch, vendor must furnish docs required as given below through Email / Fax</p> <ol style="list-style-type: none"> i. Vendor's invoice ii. LR / RR / GR / Courier Receipt iii. Packing List/ Challan indicating the items dispatched (with their weights) iv. Insurance intimation letter informing the underwriters about the dispatches v. MDCC (of BHEL / NTPL) as applicable vi. Photograph of packing / boxes showing dispatch marking as per Sl. No. 26
15.	Document required for Vendor's payment.	<p>For materials originating from Indian territory</p> <p>For claiming the payment, documents as mentioned in GCC rev 07 shall be submitted by vendor to BHEL.</p> <p>Packing List must comply to Clause No. 19.3 of General Commercial Terms & Conditions of GCC rev. 07. Description of items in packing list shall be as per PO such that proper correlation between PO & packing list must be furnished.</p> <p>Soft copy of documents for claiming payment shall be submitted by vendor as advance copy.</p> <p>For materials originating from non-Indian Territory</p> <ol style="list-style-type: none"> 1. Three (3) original and Three (3) copies of clean bill of lading or One (1) clean original Airway Bill & Three (3) copies, in case of air freight. 2. One (1) original and Three (3) copies of signed Invoices 3. One (1) original and Three (3) copies of Packing List (clearly showing number of packages, gross weight and net weight). 4. Three (3) copies of certificate of country of origin. 5. Copy of MDCC from BHEL / NTPL (as applicable) 6. Three (3) copies of inspection certificate, if any, issued by the customer/his authorised representative. 7. Three (3) of certificate from the vendor to the effect that drawings and catalogues for customs clearance purpose

		<p>have been kept with the packages for shipment.</p> <p>8. Three (3) copies of certificate from the vendor to the effect that the contents in each case are not less than that entered in the invoices and guaranteed as per the relevant technical specifications.</p> <p>9. Shipping Specification – One (1) copy.</p> <p>10. Quality Certificate – One (1) copy.</p> <p>11. Approved Test Certificates, if any. - Three (3) copies.</p> <p>12. Guarantee Certificate – One (1) Original + One (1) copy.</p> <p>13. Inspection Reports – One (1) Original + One (1) copy.</p> <p>14. PVC Calculation and copy of all applicable indices, if PVC applicable. – Two (2) copies</p>
16.	Buying and Paying Authority	<p>A. Packages for which PO is placed by BHEL-PEM Buyer and Paying Authority shall be BHEL-PEM, NOIDA.</p> <p>B. Packages for which PO is placed by BHEL-PSSR & LOA is issued by BHEL-PEM - Buyer and Paying Authority shall be BHEL-PSSR.</p>
17.	Demurrage charges	Demurrage charges shall be paid by supplier/ vendor only to the transporter. No claim shall be acceptable to BHEL in this regard.
18.	Unloading, Storage & Movement of material at site	<p>a. By BHEL site office for supply packages.</p> <p>b. By vendors for Turnkey i.e. Supply and E&C packages</p> <p>Note: The Supplier shall furnish LR wise Gross Wt. of the consignment for the purpose of handling the consignment by BHEL Site Contractor. Please note that unloading of materials at Site may be delayed due to unavoidable reasons. Vendor to inform transporters accordingly. No claim on a/c of delay in unloading shall be entertained. Prior intimation as mentioned in sl. no. 14 above is solicited</p>
19.	Taxes & Duties (For Domestic Vendor)	As per General Conditions of Contract (GCC rev 07)
20.	Taxes & Duties (For Order Directly to Foreign Bidders)	In case of foreign vendors, quoted prices & Dispatches shall be on C & F (Port-Chennai) basis and the Taxes & duties in the country of dispatch shall be borne by Foreign vendor. All the Taxes & duties and other charges applicable in India shall be borne by BHEL unit (PEM or PSSR) which has placed the Purchase Order.
21.	Inspection Agency	BHEL/ BHEL approved 3rd party inspection agencies and/or NTPL/ Customer Agency as applicable.
22.	Inspection procedure	<p><u>For Domestic supplies</u></p> <p>Please refer clause no. 14.0 of GCC Rev 07. Vendor to furnish photographs pertaining to packing of materials before despatch. MDCC shall be issued on the basis of clear inspection report (CQIR).</p> <p><u>For Foreign supplies</u></p> <p>In case of Foreign supplies, if NTPL/ NTPL approved 3rd party inspection agency does not participate in the inspection, test certificates & inspection reports duly accepted by the agreed inspection agency shall be submitted in soft copy to BHEL-PEM. The same shall be reviewed by PEM and then, sent to NTPL for</p>

		clearance. The dispatch clearance (MDCC) by NTPL/BHEL as applicable shall be given to the foreign supplier or representative in India after acceptance of above test certificates
23.	Packages for which CIF is available	NIL (Page no 1)
24.	Monthly Progress Report	Vendor to provide monthly progress report each month after placement of PO, till supply of material. Monthly progress report of vendor shall include raw material status, manufacturing, inspection & latest dispatch plan. Vendor may use their format to provide required information, however format (if any) issued by Purchaser after placement of PO shall be obligatory to vendor.
25.	Submission of Final Drgs/ Docs along with O&M Manual, Type Test Certificates (if any)	<p>No. of O&M Manuals</p> <p>As per GCC rev.07/ Technical Specification/Kick-off meeting.</p> <p>If not specified anywhere, Vendor to submit final approved O&M Manual in 10 Hard copies and 4 No of CD ROMs/DVDs/Portable Hard Disk.</p>
26.	Packing, Identification & marking [if not specified in NIT]	<p>Each box/ Drum shall be marked with Capital Letters indicating any one of the following:</p> <p>Main Supply / Mandatory Spares / Commissioning spares / Tools & Tackles</p> <p>Supplies under each of these headings shall be packed separately.</p> <p>Each package / Drum delivered under the Contract shall be marked by vendor as per details listed below and such markings must be distinct and in English language (all previous irrelevant markings being carefully obliterated) for purpose of identification.</p> <p>Each and every box (package) shall be marked with the following:</p> <p>Name & address of the Consignee Name of Project Name of BHEL Unit placing the order Package Name, Vendor Name, LR No. and PO No. & Date. Packing No.: (e.g. 1/10, 2/10, 3/10 when there are 10 packages for one consignment)</p> <p>Packing Mark: Symbols indicating "TOP" and other special markings like "TOP", "BOTTOM", "DO NOT TURN OVER", "KEEP DRY", "HANDLE WITH CARE" etc.</p> <p>Following documents must be put inside the box:</p> <ol style="list-style-type: none"> 01 copy of respective standard manufacturer's erection instruction / O&M manual shall be kept for immediate reference by BHEL site. 01 damage proof copy of Packing List/Challan indicating the items dispatched (with their weights) <p><u>Above instructions are to be read in conjunction with Clause No. 19 of General Commercial Terms & Conditions of GCC rev.07 and those specified in NIT.</u></p>

		<p>Notwithstanding anything stated in this clause, Vendor shall be entirely responsible for loss, damage or deterioration of the material due to faulty and insecure packing.</p> <p>Note: Each of the items under mandatory spares shall be tagged with part no. and PO item ref no. /BBU ref no.</p>
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To be given on Letter head of Bidder

Ref:

Date:

To,

Bharat Heavy Electricals Limited
PEM, PPEI Building,
Plot No 25, Sector -16A
Noida (U.P)-201301

Reference:

Order no-F6/18/2019-PPD dated 23.07.2020 issued by Ministry of Finance.

Tender Enquiry No-.....

Offer No-.....

Name of Package:

Dear Sir,

I have read the clause regarding restriction on procurement from a bidder of a country which shares a land border with India. I hereby certify that Company name, is not from such a country and is eligible to be considered.

Thanking You,

Yours faithfully,

(Company director seal and signature)

To be given on Letter head of Bidder

Ref:

Date:

To,

Bharat Heavy Electricals Limited
PEM, PPEI Building,
Plot No 25, Sector -16A
Noida (U.P)-201301

Reference: Tender Enquiry No-.....

Name of Package:

Dear Sir,

We hereby certify that items of(Package name)
for.....(Project Name) offered by M/s(bidder's name)
having its works/office at has local content of%.

Further, it is also certified that the local content percentage (%) certified above is in line with definition of local content given in point no 2 of Public Procurement (Preference to Make in India), Order 2017-revision, having ref. no. P-45021/2/2017-PP(BE-II) dated 04.06.2020 & 16.09.2020 an M/s..... qualifies as Class-I/Class-II (strike out whichever is not applicable) local supplier.

Details of the location(s) at which the local value addition-

Yours very truly

..... (Signing Authority Name & Sign)

..... (Firm Name)

Company Stamp

INTEGRITY PACT**Between**

Bharat Heavy Electricals Ltd. (BHEL), a company registered under the Companies Act 1956 and having its registered office at "BHEL House", Siri Fort, New Delhi - 110049 (India) hereinafter referred to as "The Principal", which expression unless repugnant to the context or meaning hereof shall include its successors or assigns of the ONE PART

and

_____, (description of the party along with address), hereinafter referred to as "The Bidder/ Contractor" which expression unless repugnant to the context or meaning hereof shall include its successors or assigns of the OTHER PART

Preamble

The Principal intends to award, under laid-down organizational procedures, contract/s for

_____. The Principal values full compliance with all relevant laws of the land, rules and regulations, and the principles of economic use of resources, and of fairness and transparency in its relations with its Bidder(s)/ Contractor(s).

In order to achieve these goals, the Principal will appoint Independent External Monitor(s), who will monitor the tender process and the execution of the contract for compliance with the principles mentioned above.

Section 1- Commitments of the Principal

1.1 The Principal commits itself to take all measures necessary to prevent corruption and to observe the following principles:-

1.1.1 No employee of the Principal, personally or through family members, will in connection with the tender for, or the execution of a contract, demand, take a promise for or accept, for self or third person, any material or immaterial benefit which the person is not legally entitled to.

1.1.2 The Principal will, during the tender process treat all Bidder(s) with equity and reason. The Principal will in particular, before and during the tender process, provide to all Bidder(s) the same information and will not provide to any Bidder(s) confidential/ additional information through which the Bidder(s) could obtain an advantage in relation to the tender process or the contract execution.

1.1.3 The Principal will exclude from the process all known prejudiced persons.

1.2 If the Principal obtains information on the conduct of any of its employees which is a penal offence under the Indian Penal Code 1860 and Prevention of Corruption Act 1988 or any other statutory penal enactment, or if there be a substantive suspicion in this regard, the Principal will inform its Vigilance Office and in addition can initiate disciplinary actions:

Section 2 - Commitments of the Bidder(s)/ Contractor(s)

- 2.1 The Bidder(s)/ Contractor(s) commit himself to take all measures necessary to prevent corruption. He commits himself to observe the following principles during his participation in the tender process and during the contract execution.
- 2.1.1 The Bidder(s)/ Contractor(s) will not, directly or through any other person or firm, offer, promise or give to the Principal or to any of the Principal's employees involved in the tender process or the execution of the contract or to any third person any material, immaterial or any other benefit which he/ she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract.
- 2.1.2 The Bidder(s)/ Contractor(s) will not enter with other Bidder(s) into any illegal or undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.
- 2.1.3 The Bidder(s)/ Contractor(s) will not commit any penal offence under the relevant Indian Penal Code (IPC) and Prevention of Corruption Act; further the Bidder(s)/ Contractor(s) will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the Principal as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.
- 2.1.4 Foreign Bidder(s)/ Contractor(s) shall disclose the name and address of agents and representatives in India and Indian Bidder(s)/ Contractor(s) to disclose their foreign principals or associates. The Bidder(s)/ Contractor(s) will, when presenting his bid, disclose any and all payments he has made, and is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract.
- 2.2 The Bidder(s)/ Contractor(s) will not instigate third persons to commit offences outlined above or be an accessory to such offences.
- 2.3 The Bidder(s)/ Contractor(s) shall not approach the Courts while representing the matters to IEMs and will await their decision in the matter.

Section 3 - Disqualification from tender process and exclusion from future contracts

If the Bidder(s)/ Contractor(s), before award or during execution has committed a transgression through a violation of Section 2 above, or acts in any other manner such as to put his reliability or credibility in question, the Principal is entitled to disqualify the Bidder(s)/ Contractor(s) from the tender process or take action as per the separate "Guidelines on Banning of Business dealings with Suppliers/ Contractors", framed by the Principal.

Section 4 - Compensation for Damages

- 4.1 If the Principal has disqualified the Bidder from the tender process prior to the award according to Section 3, the Principal is entitled to demand and recover the damages equivalent Earnest Money Deposit/ Bid Security.
- 4.2 If the Principal has terminated the contract according to Section 3, or if the Principal is entitled to terminate the contract according to section 3, the Principal shall be entitled to

demand and recover from the Contractor liquidated damages equivalent to 5% of the contract value or the amount equivalent to Security Deposit/ Performance Bank Guarantee, whichever is higher.

Section 5 - Previous Transgression

- 5.1 The Bidder declares that no previous transgressions occurred in the last 3 years with any other company in any country conforming to the anti-corruption approach or with any other Public Sector Enterprise in India that could justify his exclusion from the tender process.
- 5.2 If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process or the contract, if already awarded, can be terminated for such reason.

Section 6 - Equal treatment of all Bidders/ Contractors / Sub-contractors

- 6.1 The Principal will enter into agreements with identical conditions as this one with all Bidders and Contractors. In case of sub-contracting, the Principal contractor shall be responsible for the adoption of IP by his sub-contractors and shall continue to remain responsible for any default by his sub-contractors.
- 6.2 The Principal will disqualify from the tender process all bidders who do not sign this pact or violate its provisions.

Section 7 - Criminal Charges against violating Bidders/ Contractors /Subcontractors

If the Principal obtains knowledge of conduct of a Bidder, Contractor or Subcontractor, or of an employee or a representative or an associate of a Bidder, Contractor or Subcontractor which constitutes corruption, or if the Principal has substantive suspicion in this regard, the Principal will inform the Vigilance Office.

Section 8 - Independent External Monitor(s)

- 8.1 The Principal appoints competent and credible Independent External Monitor for this Pact. The task of the Monitor is to review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.
- 8.2 The Monitor is not subject to instructions by the representatives of the parties and performs his functions neutrally and independently. He reports to the CMD, BHEL.
- 8.3 The Bidder(s)/ Contractor(s) accepts that the Monitor has the right to access without restriction to all contract documentation of the Principal including that provided by the Bidder(s)/ Contractor(s). The Bidder(s)/ Contractor(s) will grant the monitor, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his contract documentation. The same is applicable to Sub-contractor(s). The Monitor is under contractual obligation to treat the information and documents of the Bidder(s)/ Contractor(s) / Sub-contractor(s) with confidentiality in line with Non- disclosure agreement.
- 8.4 The Principal will provide to the Monitor sufficient information about all meetings among the parties related to the contract provided such meetings could have an impact on the contractual relations between the Principal and the Contractor. The parties offer to the Monitor the option to participate in such meetings.

- 8.5 The role of IEMs is advisory, would not be legally binding and it is restricted to resolving issues raised by an intending bidder regarding any aspect of the tender which allegedly restricts competition or bias towards some bidders. At the same time, it must be understood that IEMs are not consultants to the Management. Their role is independent in nature and the advice once tendered would not be subject to review at the request of the organization.
- 8.6 For ensuring the desired transparency and objectivity in dealing with the complaints arising out of any tendering process, the matter should be examined by the full panel of IEMs jointly as far as possible, who would look into the records, conduct an investigation, and submit their joint recommendations to the Management.
- 8.7 The IEMs would examine all complaints received by them and give their recommendations/ views to CMD, BHEL, at the earliest. They may also send their report directly to the CVO and the Commission, in case of suspicion of serious irregularities requiring legal/ administrative action. IEMs will tender their advice on the complaints within 10 days as far as possible.
- 8.8 The CMD, BHEL shall decide the compensation to be paid to the Monitor and its terms and conditions.
- 8.9 IEM should examine the process integrity, they are not expected to concern themselves with fixing of responsibility of officers. Complaints alleging mala fide on the part of any officer of the organization should be looked into by the CVO of the concerned organisation.
- 8.10 If the Monitor has reported to the CMD, BHEL, a substantiated suspicion of an offence under relevant Indian Penal Code/ Prevention of Corruption Act, and the CMD, BHEL has not, within reasonable time, taken visible action to proceed against such offence or reported it to the Vigilance Office, the Monitor may also transmit this information directly to the Central Vigilance Commissioner, Government of India.
- 8.11 The number of Independent External Monitor(s) shall be decided by the CMD, BHEL.
- 8.12 The word 'Monitor' would include both singular and plural.

Section 9 - Pact Duration

- 9.1 This Pact shall be operative from the date IP is signed by both the parties till the final completion of contract for successful bidder and for all other bidders 6 months after the contract has been awarded. Issues like warranty / guarantee etc. should be outside the purview of IEMs.
- 9.2 If any claim is made/ lodged during currency of IP, the same shall be binding and continue to be valid despite the lapse of this pact as specified above, unless it is discharged/ determined by the CMD, BHEL.

Section 10 - Other Provisions

- 10.1 This agreement is subject to Indian Laws and jurisdiction shall be registered office of the Principal, i.e. New Delhi.

- 10.2 Changes and supplements as well as termination notices need to be made in writing. Side agreements have not been made
- 10.3 If the Contractor is a partnership or a consortium, this agreement must be signed by all partners or consortium members.
- 10.4 Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties will strive to come to an agreement to their original intentions.
- 10.5 Only those bidders / contractors who have entered into this agreement with the Principal would be competent to participate in the bidding. In other words, entering into this agreement would be a preliminary qualification.

Upendra

For & On behalf of the Principal

For & On behalf of the Bidder/

Contractor

उपेन्द्र चौधरी / Upendra Chaudhary
 प्रबंधक (Office Manager) (B.O.P.)
 भारत हेवी इलेक्ट्रिकल्स लिमिटेड / Bharat Heavy Electricals Ltd.
 पावर सेक्टर-परियोजना अभियंत्रिकी प्रबंधन
 Power Sector-Project Engineering Management
 पीपीईआई बिल्डिंग, एच.आर.डी.आई. एच. ईएसआई कॉम्प्लेक्स
 PPEI Bldg, H.R.D.I. & ESI Complex,
 प्लॉट नं. 25, सेक्टर 18 ए, नोएडा - 201301
 Plot No. 25, Sec. 18A, Noida - 201301

(Office Seal)

Date: _____

Witness: _____

Witness: _____

(Name & Address) Vinod Kr. Verma
BHEL

(Name & Address) _____