

**SECTION -V**  
**PRE QULIFYING REQUIREMENT**  
**COMMERCIAL**

1. TENDER ENQUIRY NO. TE-FP ----- DT.-----

2. ITEM DESCRIPTION: -----

3. QUANTITY; ----- Nos.

**4. ELIGIBILITY CRITERIA:**

- a) Only Bidders who have previous experience in the work of the nature and description detailed in the Specifications Section IV of the Tender, who continue to be in business in this field and who are financially sound to undertake the work, are expected to be short listed. Offer from Bidders who do not have proven and established experience in the field is not likely to be considered.
- b) Offers will be accepted from the Principal / Original Manufactures only, failing which the quotation is likely to be rejected.
- c) The pre qualifying **Data Sheet** annexed with **section V** of the Tender, to be submitted dully filled, signed, stamped & supported by authentic documents.
- d) The pre qualifying Technical requirement annexed.

**PRE QUALIFYING REQUIREMENT**  
**TECHNICAL**

**1. INDENT NO.: FP-E2-08-027**

**DT. : 05/05/08**

**2. ITEM DESCRIPTION: Fork Lifter-3 Ton**

**3. QUANTITY: 03 Nos.**

**4. ELIGIBILITY CRITERIA:**

**The eligibility criteria (Technical) of Bidder to Qualify for this Tender shall be:**

1. Only those vendors, who have supplied at least two Diesel fork lift truck of similar capacity in the past **ten years and** such machine is presently working satisfactorily for more than one year after commissioning, should quote. The following information is to be submitted by the vendor about the companies where similar machines have been supplied. This is required from all the vendors for qualification of their offer.
2. Month & Year of commissioning.
3. Performance certificate from the customers regarding satisfactory performance of machine supplied to them



**BHARAT HEAVY ELECTRICALS LIMITED, INDIA**  
**FABRICATION PLANT ,NEW DELHI**

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**PRE-QUALIFYING REQUIREMENT**  
**DATA SHEET**

**SECTION -V**

**GUIDELINES TO FOREIGN SUPPLIERS FOR FILLING UP FORM**

01. All sheets of above form are be filled up and signed by the authorized signatory.
- 02 .Please attach separate sheet if the space provided is insufficient. For any other information also, separate sheet may be attached.
- 03.Any information / clarification required by BHEL during processing of registration must be given expeditiously.
- 04.Please ensure that all required enclosures are attached with the filled up Supplier Registration Form and list of enclosures is given as required.
- 05.Please fill up the check-list given on next page and send along with the Supplier Registration Form to BHEL.



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**CHECK – LIST FOR FOREIGN SUPPLIERS**

| SL. NO. | Check - Point                                                                                                                 | Yes / No |
|---------|-------------------------------------------------------------------------------------------------------------------------------|----------|
| 1.0     | Information against all points in the Supplier Registration Form has been given.                                              |          |
| 2.0     | Authorization letter has been enclosed ( in case of Indian agent )                                                            |          |
| 3.0     | Banker's Certificate has been enclosed                                                                                        |          |
| 4.0     | Are you an ISO: 9001 – 2000 accredited supplier?                                                                              |          |
| 4.1     | If yes, have you enclosed copy of ISO : 9001 – 2000 accreditation certificate & “ Table of Contents” of your Quality Manual ? |          |
| 4.2     | If no, have you enclosed written down procedure / manual of Quality Management System ?                                       |          |
| 5.0     | Have you enclosed experience list                                                                                             |          |
| 6.0     | List of enclosures has been furnished                                                                                         |          |
| 7.0     | All the pages of the form & enclosures have been signed by Authorized Signatory.                                              |          |

Signature & Seal

Date:

(Authorized Signatory)

**Note : This Check list is to be attached with the filled up Supplier Registration Form.**



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| <b>(FOREIGN SUPPLIER)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|
| <b>PAGE 1 OF 3</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                |
| ALL COLUMNS SHOULD BE PROPERLY FILLED IN THE SPACE PROVIDED FOR. WHEREVER IT IS NOT APPLICABLE PLEASE WRITE "NOT APPLICABLE". INCOMPLETE OR INCORRECT FORMS MAY NOT BE CONSIDERED. PLEASE ATTACH SEPARATE SHEETS, IF SPACE AVAILABLE IS INADEQUATE.                                                                                                                                                                                                                                                                                                                                                                      |                                |
| <b>SUPPLIER REGISTRATION FORM</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                |
| <b>1.0 <u>GENERAL INFORMATION</u> :</b><br><br>1.1 NAME OF COMPANY :<br><br>1.2 DETAILS OF HEAD OFFICE :<br><br>ADDRESS :<br><br>TELEPHONE NO. : FAX NO. :<br><br>E-MAIL :<br><br>WEB SITE :<br><br>1.3 DETAILS OF FACTORY / WORKS :<br><br>ADDRESS :<br><br>TELEPHONE NO. : FAX NO. :<br><br>E-MAIL :<br><br>1.4 DETAILS OF MARKETING AGENT (OUT SIDE INDIA, IF ANY)<br><br>ADDRESS :<br><br>TELEPHONE NO. : FAX NO. :<br><br>E-MAIL :<br><br>1.5 DETAILS OF INDIAN AGENT, IF ANY ( <b>ATTACH AUTHORIZATION LETTER</b> )<br><br>ADDRESS :<br><br>TELEPHONE NO. : FAX NO. :<br><br>E-MAIL :<br><br>1.6 CHIEF EXECUTIVE : | <b>FOR BHEL USE</b>            |
| <b>SUPPLIER'S AUTHORISED SIGNATORY</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>BHEL CERTIFIED ASSESSOR</b> |



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| PAGE 2 OF 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  | (FOREIGN SUPPLIER)      |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-------------------------|
| SUPPLIER REGISTRATION FORM                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |  |                         |
| <p>1.7 CONTACT PERSON (S) FOR PRODUCT OFFERED :</p> <p>NAME (S) :</p> <p>OFFICIAL CAPACITY :</p> <p>ADDRESS :</p> <p>TELEPHONE NO. : FAX NO. :</p> <p>E-MAIL :</p> <p>1.8 YEAR OF ESTABLISHMENT :</p> <p>1.9 PRODUCTION CAPACITY PER ANNUM :</p> <p>1.10 NATURE OF BUSINESS</p> <p>1.11 PARTICULARS OF PRODUCT INCLUDING SPECIFICATION AND RANGE OFFERED FOR REGISTRATION : <b>(ATTACH BROCHURES AND CATALOGUES)</b></p> <p>1.11 NAME (S) OF BANKERS :</p> <p>1.12 BANKER'S CERTIFICATE AS TO CREDITWORTHINESS OF THE CLIENT<br/>(ENCLOSE CERTIFICATE) :</p> <p>1.13 PORT OF LOADING :</p> <p>1.14 NEAREST AIRPORT :</p> <p>1.15 D&amp;B D-U-N-S NUMBER :</p> <p>(DUN &amp; BRADSTREET NINE DIGIT IDENTIFICATION NUMBER, NOT MANDATORY)</p> <p>1.16 ANY OTHER INFORMATION :</p> |  | FOR BHEL USE            |
| SUPPLIER'S AUTHORISED SIGNATORY                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  | BHEL CERTIFIED ASSESSOR |



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**(FOREIGN SUPPLIER)**

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**SUPPLIER REGISTRATION FORM**

**2.0. FINANCIAL INFORMATION :**

ANNUAL TURN OVER AND PROFIT AFTER TAX FOR LAST 3 YEARS :

YEAR - 1 :

YEAR - 2 :

YEAR - 3 :

(COPY OF AUDITED ANNUAL ACCOUNTS FOR LAST 3 YEARS TO BE ENCLOSED)

**3.0. QUALITY MANAGEMENT SYSTEM :**

3.1. IS THE COMPANY ISO : 9001 : 2000 CERTIFIED : YES / NO

3.1.1. IF YES, ENCLOSE COPY OF CERTIFICATE & TABLE OF CONTENTS OF QUALITY MANUAL.

3.1.2. IF NO, FORMATS VQS AA01 (QUALITY SYSTEM) ARE TO BE FILLED UP.

**4.0 EXPERIENCE LIST FOR OFFERED / SIMILAR ITEMS :**

(ATTACH LIST OF PRESENT CUSTOMERS WITH NAME AND ADDRESS FOR OFFERED / SIMILAR TYPE & SIZE OF ITEM / EQUIPMENT FOR WHICH REGISTRATION HAS BEEN SOUGHT AND WITH WHOM YOU HAVE CONTINUOUS BUSINESS SINCE LAST THREE YEARS)

**5.0 PREVIOUS EXPERIENCE OF SUPPLY**

**6.0 MACHINE TOOLS UNDER MANUFACTURE**

**7.0 AFTER SALES SETUP DETAILS**

**8.0 LIKELY DELIVERY FOR SIMILAR MACHINE**

**6.0 LIST OF ENCLOSURES :**

(BROCHURES, CATALOGUES, TECHNICAL LITERATURE, ETC.)

**6.0 ANY OTHER INFORMATION :**

**7.0 DETAILS OF SUPPLY OF SIMILAR MACHINES**

**8.0 ANY SUPPLY MADE EARLIER TO BHEL**

SIGNATURE OF SUPPLIER :

NAME :

DESIGNATION :

DATE :

OFFICIAL SEAL

**FOR BHEL USE**

**SUPPLIER'S AUTHORISED SIGNATORY**

**BHEL CERTIFIED ASSESSOR**



**BHARAT HEAVY ELECTRICALS LIMITED, INDIA**  
(Indian Supplier)

**GUIDELINES TO INDIAN SUPPLIERS FOR FILLING UP FORM**

01. The Supplier Registration Form has four parts:

|               |                                   |                          |
|---------------|-----------------------------------|--------------------------|
| <b>Part A</b> | <b>Organizational Information</b> | <b>Form no. VOR AA01</b> |
| <b>Part B</b> | <b>Quality System</b>             | <b>Form no. VQS AA01</b> |
| <b>Part C</b> | <b>Technical Competence</b>       | <b>Form no. VTC AA01</b> |
| <b>Part D</b> | <b>Score Sheet</b>                | <b>Form no. VSS AA01</b> |

The set of formats to be filled by different category of suppliers is as follows:

| <b>Sl. No.</b> | <b>Supplier Category</b>                    | <b>Formats</b>                                                                                                                                             |
|----------------|---------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1.             | Indian Suppliers (manufacturers)            | <ul style="list-style-type: none"><li>- Organizational Information</li><li>- Quality System</li><li>- Technical Competence</li><li>- Score sheet</li></ul> |
| 2.             | Agents / stockists / distributors / dealers | <ul style="list-style-type: none"><li>- Organizational Information</li><li>- Score sheet</li></ul>                                                         |

02. All sheets of above forms are to be filled up and signed by authorized signatory.

03. Please attach separate sheet if the space provided is insufficient.

04. For any other information also, separate sheet may be attached.

05. Any information / clarification required by BHEL during evaluation must be given expeditiously.

06. Please ensure that all required enclosures are attached with the filled up Supplier Registration Form and list of enclosures is given as required.

07. The scoring of marks by Suppliers for self – assessment must be done as required against each information in all the above parts. Incomplete forms and forms without self assessment are likely to be rejected. If any question is not applicable or relevant to your organization, Please mention accordingly. Questions left unanswered will get zero marks....

08. Scoring for question on Quality System (Part B) is to be done on 0 – 3 scale as follows:

- 0 For non – compliance
- 1 System exists in rudimentary stage
- 2 System exists with minimal discrepancies
- 3 System is in mature stage (i.e. meets all requirements)

The question with asterisk (\*) mark is mandatory where supplier must achieve a minimum score of 2 marks.



**BHARAT HEAVY ELECTRICALS LIMITED, INDIA**  
(Indian Supplier)

09. Part B of the form on Quality System need not be filled by ISO 9000-2000 accredited suppliers. Instead, the Table of Contents of Quality Manual may be submitted with the Registration Form.

10. Scoring for question on Technical competence is to be done on 0 – 3 scale as follows:

- 0 No capability.
- 1 Requires continuous technical support of BHEL during execution of job.
- 2 Occasional technical support from BHEL.
- 3 Can handle job without any technical support from BHEL.

The question with asterisk (\*) mark is mandatory where supplier must achieve a minimum score of 2 marks.

11. Assessment Criteria shall be as follows:

Organizational Soundness: OS 1 : 85% and more  
OS 2 : 70% to less than 85%  
OS 3 : Less than 70 %

Quality System : Q 1 – Overall system rating above 90% , rated 2 or better on all

each Mandatory requirements and a rating of 70% or better in

Section.

Q 2 – Overall system rating 80 to 90%, rated 2 or better In all  
each Mandatory requirements and a rating of 60% or better in

Section.

Q 3 – Overall system rating above 70% and less than 80%, rated 2  
or

Better on all mandatory requirements and a rating of 55% or  
Better in each section.

Technical Competence : TC 1– Above 90% and rated 2 or better in all  
mandatory

Requirements.

TC 2– 80 to less than 90% and rated 2 or better in all  
Mandatory requirements.

TC 3– Above 70% and less than 80% and rated 2 or better  
in  
all mandatory requirements.

12. Please fill up the check - list given on next page and send along with the Supplier Registration Forms to BHEL.



**BHARAT HEAVY ELECTRICALS LIMITED**  
**FABRICATION PLANT**

**PRE-QUALIFICATION REQUIREMENT-DATA SHEET**  
**CHECK-LIST FOR INDIAN SUPPLIERS FORM**

**PACKAGE DETAILS :**

**TENDER ENQUIRY NO :**

| Sl. No. | Check-Point                                                                                                                              | Yes / No |
|---------|------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1.      | Information against all points under “Organizational Information” (Part-A) has been given.                                               |          |
| 2.      | All enclosures and supporting documents have been enclosed.                                                                              |          |
| 3.      | Summary list of enclosures has been furnished as per S.N. 9.9 of Organizational Information form.                                        |          |
| 4.      | Are you an ISO 9001 -2000 accredited supplier ?                                                                                          |          |
| 5.      | If yes, have you enclosed "Table of Contents" of your Quality Manual and copy of ISO 9001 -2000 accreditation certificate?               |          |
| 6.      | If no, have you filled up Quality System formats given in Part B ?                                                                       |          |
| 7.      | Technical requirements, specifications, drawings, standards have been obtained from BHEL before filling up Technical Competence, Part C. |          |
| 8.      | All the pages of the form & enclosures have been signed by Authorized Signatory.                                                         |          |
| 9.      | Scoring has been done in Part A, B and C.                                                                                                |          |
| 10.     | Total scoring has been done in score sheet Part D.                                                                                       |          |

Signature & seal

Date:

(Authorized Signatory)

Note: This check list is to be attached with the filled up Supplier Registration Form.



**BHARAT HEAVY ELECTRICALS LIMITED**  
Supplier Registration Form (Indian Supplier)

**Part A:**

Form No. VORAA01 (INDIAN SUPPLIER)

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ALL COLUMNS SHOULD BE PROPERLY FILLED IN THE SPACE PROVIDED FOR. WHEREVER IT IS NOT APPLICABLE, PLEASE WRITE "NOT APPLICABLE". INCOMPLETE OR INCORRECT FORMS MAY NOT BE CONSIDERED. PLEASE ATTACH SEPARATE SHEET, IF SPACE AVAILABLE IS INADEQUATE. SUPPLIERS HAVE TO ALLOT MARKS IN THE RELEVANT COLUMNS.

| ORGANISATIONAL INFORMATION                                                                                                                          |             |                 |                                |                |                      |                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-----------------|--------------------------------|----------------|----------------------|------------------|
| NAME OF THE APPLICANT SUPPLIER SEEKING REGISTRATION :-<br>D&B D-U-N-S NUMBER:<br>(DUN & BRADSTREET NINE DIGIT IDENTIFICATION NUMBER, NOT MANDATORY) |             |                 |                                |                |                      |                  |
| 1.0 PRODUCTS / SYSTEM / SERVICES FOR WHICH<br>REGISTRATION IS APPLIED FOR :                                                                         |             |                 |                                | MARKS          | MARKS BY<br>SUPPLIER | MARKS<br>BY BHEL |
| SL.<br>NO.                                                                                                                                          | DESCRIPTION | SIZE &<br>RANGE | MFG.STD/IS<br>/DIN/BS,<br>ETC. |                |                      |                  |
|                                                                                                                                                     |             |                 |                                | 1              |                      |                  |
| TOTAL MARKS                                                                                                                                         |             |                 |                                | 1              |                      |                  |
| SUPPLIER'S AUTHORISED SIGNATORY<br>ASSESSOR                                                                                                         |             |                 |                                | BHEL CERTIFIED |                      |                  |

**BHARAT HEAVY ELECTRICALS LIMITED**

Supplier Registration Form (Indian Supplier)

Form No. VORAA01 (INDIAN SUPPLIER)

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| <b>ORGANISATIONAL INFORMATION</b>                                                                                                                   |              |                           |                      |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|--------------|---------------------------|----------------------|
|                                                                                                                                                     | <b>Marks</b> | <b>Marks by Suppliers</b> | <b>Marks by BHEL</b> |
| <b>2.0 <u>GENERAL INFORMATION</u> :</b>                                                                                                             | <b>1</b>     |                           |                      |
| 2.1 NAME OF APPLICANT SUPPLIER<br>SEEKING REGISTRATION :<br><br>HEAD OFFICE ADDRESS :<br>TELEPHONE NO. : FAX NO. :<br>E-MAIL :<br>WEB SITE :        |              |                           |                      |
| 2.2 NAME OF THE WORKS/DIVISION :<br><br>ADDRESS :<br>TELEPHONE NO. : FAX NO. :<br>E-MAIL :<br>WEB SITE :                                            | <b>1</b>     |                           |                      |
| 2.3 BRANCH OFFICE ADDRESS (IF ANY):<br><br>TELEPHONE NO. : FAX NO. :<br>E-MAIL :                                                                    | <b>1</b>     |                           |                      |
| 2.4 NAME OF CHIEF EXECUTIVE/PROPRIETOR/PARTNER &<br>ADDRESS :                                                                                       | <b>1</b>     |                           |                      |
| 2.5 OFFICIAL TO BE CONTACTED FOR CLARIFICATION :<br><br>NAME :<br>DESIGNATION :<br>ADDRESS :<br>TELEPHONE NO. : FAX NO. :<br>E-MAIL :<br>WEB SITE : | <b>1</b>     |                           |                      |
| <b>TOTAL MARKS</b>                                                                                                                                  | <b>5</b>     |                           |                      |
| <b>SUPPLIER'S AUTHORISED SIGNATORY<br/>CERTIFIED ASSESSOR</b>                                                                                       |              | <b>BHEL</b>               |                      |



# BHARAT HEAVY ELECTRICALS LIMITED

Supplier Registration Form (Indian Supplier)

Form No. VORAA01 (INDIAN SUPPLIER)

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| ORGANISATIONAL INFORMATION                                   |       |                    |               |
|--------------------------------------------------------------|-------|--------------------|---------------|
|                                                              | Marks | Marks by Suppliers | Marks by BHEL |
| <b>3.0 <u>OWNERSHIP INFORMATION</u> :</b>                    | 1     |                    |               |
| 3.1 GOVERNMENT OF INDIA UNDERTAKING :                        |       |                    |               |
| OR                                                           |       |                    |               |
| STATE GOVERNMENT UNDERTAKING :                               |       |                    |               |
| OR                                                           |       |                    |               |
| LIMITED COMPANY, : MEMORANDUM AND                            |       |                    |               |
| ARTICLES OF                                                  |       |                    |               |
| ASSOCIATION                                                  |       |                    |               |
| OR                                                           |       |                    |               |
| PRIVATE COMPANY, : MEMORANDUM AND                            |       |                    |               |
| ARTICLES OF                                                  |       |                    |               |
| ASSOCIATION                                                  |       |                    |               |
| OR                                                           |       |                    |               |
| CO-OPERATIVE SOCIETY, : SOCIETY RULES AND BYE                |       |                    |               |
| LAWS                                                         |       |                    |               |
| OR                                                           |       |                    |               |
| PARTNERSHIP FIRM, : PARTNERSHIP DEED                         |       |                    |               |
| OR                                                           |       |                    |               |
| PROPRIETORSHIP, : PROFESSION TAX REGN.                       |       |                    |               |
| AND                                                          | 1     |                    |               |
| MUNICIPAL REGN.                                              |       |                    |               |
| ANY OTHER (SPECIFY) :                                        |       |                    |               |
| 3.2 NATURE OF BUSINESS :                                     | 1     |                    |               |
| (MANUFACTURING UNIT/<br>AGENTS / DISTRIBUTORS/<br>STOCKISTS) |       |                    |               |
| 3.3 YEAR OF ESTABLISHMENT :                                  |       |                    |               |
| TOTAL MARKS                                                  |       | 3                  |               |
| SUPPLIER'S AUTHORISED SIGNATORY                              |       | BHEL               |               |
| CERTIFIED ASSESSOR                                           |       |                    |               |



# BHARAT HEAVY ELECTRICALS LIMITED

Supplier Registration Form (Indian Supplier)

Form No. VORAA01 (INDIAN SUPPLIER)

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| ORGANISATION INFORMATION FOR THE PREVIOUS FOUR YEARS                                                                                                           |                                                                                                                                                              |                                                      |        |        |          | Marks<br>by<br>Supplier<br><br>\$ (See<br>below) | Marks<br>by<br>BHEL<br><br>\$ (See<br>below) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|--------|--------|----------|--------------------------------------------------|----------------------------------------------|
| 4.0                                                                                                                                                            | FINANCIAL INFORMATION                                                                                                                                        | Year 1                                               | Year 2 | Year 3 | Year 4   |                                                  |                                              |
|                                                                                                                                                                |                                                                                                                                                              | (Years in ascending order, Money value in Rs. Lakhs) |        |        |          |                                                  |                                              |
| 4.1                                                                                                                                                            | NET WORTH (Share Capital + Reserves)                                                                                                                         |                                                      |        |        |          |                                                  |                                              |
|                                                                                                                                                                | Growth over previous Year (%)                                                                                                                                |                                                      |        |        |          |                                                  |                                              |
| 4.2                                                                                                                                                            | LONG TERM DEBT / LOAN                                                                                                                                        |                                                      |        |        |          |                                                  |                                              |
| 4.3                                                                                                                                                            | DEBT EQUITY RATIO<br><u>Long term debt (4.2)</u><br><u>Net worth (4.1)</u>                                                                                   |                                                      |        |        |          |                                                  |                                              |
| 4.4                                                                                                                                                            | INVESTMENT IN :<br>Land & Building                                                                                                                           |                                                      |        |        |          |                                                  |                                              |
|                                                                                                                                                                | Plant & Machinery                                                                                                                                            |                                                      |        |        |          |                                                  |                                              |
|                                                                                                                                                                | Other Fixed Assets                                                                                                                                           |                                                      |        |        |          |                                                  |                                              |
| 4.5                                                                                                                                                            | 1 NET CURRENT ASSETS<br>a) Cash on hand<br>b) Account receivable<br>c) Inventories<br><b>Total</b>                                                           |                                                      |        |        |          |                                                  |                                              |
|                                                                                                                                                                | 2 CURRENT LIABILITY<br>a) Sundry creditors<br>b) Interest accrued but not due<br>c) Credit balance in sundry debtors<br>d) Other liabilities<br><b>Total</b> |                                                      |        |        |          |                                                  |                                              |
|                                                                                                                                                                | 3 CURRENT RATIO<br><u>Current assets {4.5 (1)}</u><br><u>Current liability {4.5 (2)}</u>                                                                     |                                                      |        |        |          |                                                  |                                              |
| 4.6                                                                                                                                                            | SALE<br>Growth over previous year (%)                                                                                                                        |                                                      |        |        |          |                                                  |                                              |
| 4.7                                                                                                                                                            | PROFIT BEFORE TAX<br>Growth over previous year (%)                                                                                                           |                                                      |        |        |          |                                                  |                                              |
| 4.8                                                                                                                                                            | PROFIT AFTER TAX<br>Growth over previous year (%)                                                                                                            |                                                      |        |        |          |                                                  |                                              |
| 4.9                                                                                                                                                            | Whether the supplier has been referred to BIFR/NCLT. (IF YES, <b>enclose details</b> )                                                                       |                                                      |        |        | YES / NO |                                                  |                                              |
| 4.10                                                                                                                                                           | Whether the supplier is a potential sick company. (IF YES, <b>enclose details</b> )                                                                          |                                                      |        |        | YES / NO |                                                  |                                              |
| Copies of annual accounts (Balance Sheet) for last four years along with Audit Report is to be submitted. Above details shall be highlighted in Balance Sheet. |                                                                                                                                                              |                                                      |        |        |          |                                                  |                                              |
| SUPPLIER'S AUTHORISED SIGNATORY<br>CERTIFIED ASSESSOR                                                                                                          |                                                                                                                                                              |                                                      |        |        |          | BHEL                                             |                                              |

\$: ONE MARKS EACH TO BE GIVEN FOR COMPLETE REPLY AGAINST SL. Nos. 4.1 TO 4.10 (Total 10 marks)



**BHARAT HEAVY ELECTRICALS LIMITED**  
Supplier Registration Form (Indian Supplier)

Form No. VORAA01 (INDIAN SUPPLIER)

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| ORGANISATIONAL INFORMATION |                                                                                                                               |       |                   |               |
|----------------------------|-------------------------------------------------------------------------------------------------------------------------------|-------|-------------------|---------------|
|                            |                                                                                                                               | MARKS | MARKS BY SUPPLIER | MARKS BY BHEL |
| 5.0                        | <b>REGISTRATION PARTICULARS</b>                                                                                               |       |                   |               |
| 5.1                        | <b>INCOME TAX<br/>PERMANENT ACCOUNT NO. :<br/>(ENCLOSE COPY OF CERTIFICATE)</b>                                               | 1     |                   |               |
| 5.2                        | <b>CENTRAL SALES TAX<br/>REGISTRATION NUMBER :<br/>(ENCLOSE COPY OF CERTIFICATE)</b>                                          | 1     |                   |               |
| 5.3                        | <b>STATE SALE TAX<br/>REGISTRATION NUMBER :<br/>(ENCLOSE COPY OF CERTIFICATE)</b>                                             | 1     |                   |               |
| 5.4                        | <b>EXCISE DUTY<br/>REGISTRATION NUMBER :<br/>(ENCLOSE COPY OF CERTIFICATE)</b>                                                | 1     |                   |               |
| 5.5                        | <b>SERVICE TAX<br/>REGISTRATION NUMBER :<br/>(ENCLOSE COPY OF CERTIFICATE)</b>                                                |       |                   |               |
| 5.6                        | <b>EXCISE CONTRAL CODE NUMBER :<br/>(ENCLOSE COPY OF CERTIFICATE)</b>                                                         | 1     |                   |               |
| 5.7                        | <b>NATIONAL SMALL SCALE<br/>INDUSTRIES REGISTRATION NUMBER :<br/>(VALIDITY UPTO :.....)<br/>(ENCLOSE COPY OF CERTIFICATE)</b> | 1     |                   |               |
| 5.8                        | <b>SMALL SCALE INDUSTRIES<br/>REGISTRATION NUMBER :<br/>(VALIDITY UPTO :.....)<br/>(ENCLOSE COPY OF CERTIFICATE)</b>          | 1     |                   |               |
| 5.9                        | <b>ANCILLARY STATUS RECOGNISED BY<br/>:.....</b>                                                                              | 1     |                   |               |
|                            | <b>TOTAL MARKS</b>                                                                                                            | 9     |                   |               |
|                            | <b>SUPPLIER'S AUTHORISED SIGNATORY<br/>CERTIFIED ASSESSOR</b>                                                                 |       |                   | <b>BHEL</b>   |



**BHARAT HEAVY ELECTRICALS LIMITED**  
Supplier Registration Form (Indian Supplier)

Form No. VORAA01 (INDIAN SUPPLIER)

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| <b><u>ORGANISATIONAL INFORMATION</u></b>                                                                                                                                                                                                                                                                                                                                      |              |                          |                      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|--------------------------|----------------------|
|                                                                                                                                                                                                                                                                                                                                                                               | <b>MARKS</b> | <b>MARKS BY SUPPLIER</b> | <b>MARKS BY BHEL</b> |
| <b>6.1 TOTAL ORGANISATIONAL STRENGTH :</b><br><b>(ATTACH ORGANISATION CHART)</b><br><b>GRADUATES DIPLOMA SKILLED</b><br><b>NON-SKILLED</b><br><b>ADMN. &amp;</b><br><b>COMMERCIAL :</b><br><b>ENGINEERING :</b><br><b>TECHNOLOGY :</b><br><b>MANUFACTURING :</b><br><b>QUALITY :</b><br><b>MAINTENANCE :</b><br><b>SITE MANAGEMENT :</b><br><b>OTHERS :</b><br><b>TOTAL :</b> | <b>1</b>     |                          |                      |
| <b>6.2 STANDBY ARRANGEMENT FOR POWER :</b><br><b>(GIVE DETAILS)</b>                                                                                                                                                                                                                                                                                                           | <b>1</b>     |                          |                      |
| <b>6.3 DOES THE COMPANY SELL ITS PRODUCT</b><br><b>DIRECTLY :</b><br><b>YES / NO</b>                                                                                                                                                                                                                                                                                          | <b>1</b>     |                          |                      |
| <b>6.4 IF NO, FURNISH NAME, ADDRESS :</b><br><b>OF AUTHORISED DEALER / STOCKIST</b><br><b>WHOSE COMMITMENTS WILL BE</b><br><b>HONOURED BY THE COMPANY.</b>                                                                                                                                                                                                                    | <b>1</b>     |                          |                      |
| <b>TOTAL MARKS</b>                                                                                                                                                                                                                                                                                                                                                            | <b>4</b>     |                          |                      |
| <b>SUPPLIER'S AUTHORISED SIGNATORY</b><br><b>CERTIFIED ASSESSOR</b>                                                                                                                                                                                                                                                                                                           | <b>BHEL</b>  |                          |                      |



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[illegible]

**BHEL**



**BHARAT HEAVY ELECTRICALS LIMITED**  
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| <b><u>ORGANISATIONAL INFORMATION</u></b>                                                      |                                                                                                                                    |                                              |                       |                                 |                 |               |                          |                       |
|-----------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-----------------------|---------------------------------|-----------------|---------------|--------------------------|-----------------------|
| <b>8.0 LIST OF MANUFACTURING FACILITIES , TESTING – EQUIPMENT AND INSPECTION FACILITIES :</b> |                                                                                                                                    |                                              |                       |                                 |                 | <b>MARK S</b> | <b>MARKS BY SUPPLIER</b> | <b>MARK S BY BHEL</b> |
| <b>SL. NO .</b>                                                                               | <b>DESCRIPTION OF EQUIPMENT</b>                                                                                                    | <b>SIZE, RANGE , CAPACITY &amp; ACCURACY</b> | <b>MODEL AND MAKE</b> | <b>LAST DATE OF CALIBRATION</b> | <b>QUANTITY</b> | <b>1</b>      |                          |                       |
|                                                                                               | <b>IN – HOUSE FACILITIES</b><br>-----<br><br><br><br><br><br><br><br><br><b>OUT – SOURCED FACILITIES, IF ANY</b><br>-----<br>----- |                                              |                       |                                 |                 |               |                          |                       |
| <b>TOTAL MARKS</b>                                                                            |                                                                                                                                    |                                              |                       |                                 |                 | <b>1</b>      |                          |                       |
| <b>SUPPLIER'S AUTHORISED SIGNATORY</b><br><b>CERTIFIED ASSESSOR</b>                           |                                                                                                                                    |                                              |                       |                                 |                 |               |                          |                       |
| <b>BHEL</b>                                                                                   |                                                                                                                                    |                                              |                       |                                 |                 |               |                          |                       |

## ORGANISATIONAL INFORMATION

|                                                                                                                                                       |                                                                                                                                        | MARKS | MARKS BY SUPPLIER | MARKS BY BHEL |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|-------|-------------------|---------------|
| 9.0                                                                                                                                                   | <b><u>OTHER PARTICULARS :</u></b>                                                                                                      |       |                   |               |
| 9.1                                                                                                                                                   | IF THE COMPANY IS ALREADY IN BUSINESS WITH ANY OF BHEL UNITS, GIVE :                                                                   | 1     |                   |               |
| 9.1.1                                                                                                                                                 | BHEL UNIT'S NAME :                                                                                                                     |       |                   |               |
| 9.1.2                                                                                                                                                 | SUPPLIER REGISTRATION NO. :                                                                                                            |       |                   |               |
| 9.1.3                                                                                                                                                 | ITEMS FOR WHICH REGISTERED & THEIR SPECIFICATION :<br>(ENCLOSE PROOF OF SUCCESSFUL EXECUTION OF ATLEAST 3 PURCHASE ORDERS)             | 1     |                   |               |
| 9.2                                                                                                                                                   | IS THE COMPANY APPROVED BY ASME /NTPC/NPC/EIL/ DGS&D/RAILWAYS/ IBR/LLOYDS ETC. ? : YES / NO<br>(ENCLOSE DOCUMENTARY EVIDENCE)          |       |                   |               |
| 9.3                                                                                                                                                   | IS THE COMPANY AN ISO 9001 – 2000 APPROVED ? : YES / NO<br>(ENCLOSE CERTIFICATE)                                                       | 1     |                   |               |
| 9.4                                                                                                                                                   | IS THE COMPANY AN ISO :14000 APPROVED ? : YES / NO<br>(ENCLOSE CERTIFICATE)                                                            | 1     |                   |               |
| 9.5                                                                                                                                                   | IS THE COMPANY OHSAS – 18000 APPROVED ? : YES / NO<br>(ENCLOSE CERTIFICATE)                                                            | 1     |                   |               |
| 9.6                                                                                                                                                   | TECHNICAL COLLABORATOR :<br>(FOREIGN OR INDIGENOUS)<br>ENCLOSE DOCUMENTARY EVIDENCE)                                                   | 1     |                   |               |
| 9.7                                                                                                                                                   | NAME & ADDRESS OF CONCERN (S)<br>HAVING SUBSTANTIAL INTEREST IN :<br>(NAME OF THE OTHER COMPANY, COMMON DIRECTOR, PARTNER, OWNER ETC.) | 1     |                   |               |
| TOTAL MARKS                                                                                                                                           |                                                                                                                                        | 7     |                   |               |
| <div style="display: flex; justify-content: space-between;"> <div>SUPPLIER'S AUTHORISED SIGNATORY<br/>CERTIFIED ASSESSOR</div> <div>BHEL</div> </div> |                                                                                                                                        |       |                   |               |

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**ORGANISATIONAL INFORMATION**

|                                                                                                                                                                                                                                                                             | MARKS    | MARKS BY SUPPLIER | MARKS BY BHEL |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------------|---------------|
| <b>9.8 DIRECTORS / PARTNERS, IF RELATED TO ANY BHEL EMPLOYEE.</b><br><br>NAME :<br>STAFF NO. :<br>DESIGNATION :<br>DEPARTMENT :<br>RELATIONSHIP :                                                                                                                           | 1        |                   |               |
| <b>9.9 IF ANY EX – BHEL PERSONNEL IS EMPLOYED BY THE COMPANY,<br/>MENTION HIS / HER DETAILS OF LAST POSTING.</b><br><br>NAME :<br>STAFF NO. :<br>DESIGNATION :<br>DEPARTMENT :<br>DATE OF LEAVING SERVICE :                                                                 | 1        |                   |               |
| <b>9.10 COMPANY 'S WEEKLY HOLIDAYS :<br/>WORKS OFFICE</b>                                                                                                                                                                                                                   | 1        |                   |               |
| <b>9.11 EXPERIENCE LIST :<br/>(ATTACH LIST OF PRESENT CUSTOMERS WITH NAME &amp; ADDRESS<br/>FOR OFFERED / SIMILAR TYPE &amp; SIZE OF ITEM / EQUIPMENT<br/>FOR WHICH REGISTRATION HAS BEEN SOUGHT AND WITH WHOM YOU<br/>HAVE CONTINUOUS BUSINESS SINCE LAST THREE YEARS)</b> | 1        |                   |               |
| <b>9.12 LIST OF ENCLOSURES :<br/>ATTACH LIST OF ENCLOSURES</b>                                                                                                                                                                                                              | -----    | -----             | -----         |
| <b>10. I / WE GIVE THE UNDERTAKING THAT BHEL DRAWINGS &amp;<br/>SPECIFICATIONS SHALL NOT BE USED IN ANY WAY DETRIMENTAL<br/>TO THE INTEREST OF BHEL AND / OR FOR SUPPLY OF ANY MATERIAL,<br/>PRODUCT OR SERVICES DIRECTLY OR INDIRECTLY TO ANY OTHER CUSTOMER.</b>          |          |                   |               |
| <b>TOTAL MARKS</b>                                                                                                                                                                                                                                                          | <b>5</b> |                   |               |
| <b>SUPPLIER'S AUTHORISED SIGNATORY<br/>CERTIFIED ASSESSOR</b>                                                                                                                                                                                                               |          |                   | <b>BHEL</b>   |

**BHARAT HEAVY ELECTRICALS LIMITED**

## Supplier Registration Form (Indian Supplier)

**PART – B (QUALITY SYSTEM):** PAGES 11 -13 OF NEED NOT BE FILLED BY ISO 9001 – 2000 ACCREDITED SUPPLIERS

Form No. VQRAA01 (INDIAN SUPPLIER)

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| I. INCOMING MATERIAL CONTROL                                                                                                                                                                                                                                                                                                                                                                              |  | PROCEDURE 0 - 3   |               | SYSTEM IN EFFECT 0 - 3 |               | RECORDS 0 - 3     |               |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-------------------|---------------|------------------------|---------------|-------------------|---------------|
|                                                                                                                                                                                                                                                                                                                                                                                                           |  | MARKS BY SUPPLIER | MARKS BY BHEL | MARKS BY SUPPLIER      | MARKS BY BHEL | MARKS BY SUPPLIER | MARKS BY BHEL |
| 1. A FORMALIZED SUPPLIER RATING,EVALUATION, CERTIFICATION PROGRAMME HAS BEEN ESTABLISHED WHERE IN QUALITY PERFORMANCE IS ONE OF THE CRITERIA .                                                                                                                                                                                                                                                            |  |                   |               |                        |               |                   |               |
| *2. INCOMING SHIPMENT IS VERIFIED PRIOR TO STORAGE OR USE.                                                                                                                                                                                                                                                                                                                                                |  |                   |               |                        |               |                   |               |
| TOTAL MARKS                                                                                                                                                                                                                                                                                                                                                                                               |  |                   |               |                        |               |                   |               |
| II. PROCESS CONTROL                                                                                                                                                                                                                                                                                                                                                                                       |  | PROCEDURE 0 - 3   |               | SYSTEM IN EFFECT 0 - 3 |               | RECORDS 0 - 3     |               |
|                                                                                                                                                                                                                                                                                                                                                                                                           |  | MARKS BY SUPPLIER | MARKS BY BHEL | MARKS BY SUPPLIER      | MARKS BY BHEL | MARKS BY SUPPLIER | MARKS BY BHEL |
| *1. WORK INSTRUCTIONS ARE DOCUMENTED, UPDATED & FOLLOWED BY WORKERS.                                                                                                                                                                                                                                                                                                                                      |  |                   |               |                        |               |                   |               |
| *2. REQUIRED TOOLS, JIGS, FIXTURES ARE IDENTIFIED AND USED.                                                                                                                                                                                                                                                                                                                                               |  |                   |               |                        |               |                   |               |
| 3. PROCEDURE FOR QUALIFICATION, IF APPLICABLE, AND REVALIDATION OF QUALIFICATION OF WORKERS EXIST SUCH AS :<br>• WELDERS<br>• NDT PERSONNEL                                                                                                                                                                                                                                                               |  |                   |               |                        |               |                   |               |
| 4. PREVENTIVE MAINTENANCE ACTIVITIES ARE PERFORMED ON CRITICAL MACHINES & RECORDS KEPT.                                                                                                                                                                                                                                                                                                                   |  |                   |               |                        |               |                   |               |
| 5. MATERIAL IDENTIFICATION AND ACCEPTANCE STATUS IS MAINTAINED THROUGHTOUT THE MANUFACTURING PROCESS & DURING STORAGE                                                                                                                                                                                                                                                                                     |  |                   |               |                        |               |                   |               |
| *6. AVAILABILITY OF UPDATED DRAWING / SPECIFICATION AT WORK PLACE.                                                                                                                                                                                                                                                                                                                                        |  |                   |               |                        |               |                   |               |
| 7. PRESERVATION, PAINTING & PACKING PROCEDURE EXISTS.                                                                                                                                                                                                                                                                                                                                                     |  |                   |               |                        |               |                   |               |
| TOTAL MARKS                                                                                                                                                                                                                                                                                                                                                                                               |  |                   |               |                        |               |                   |               |
| <b>Scoring for questions on Quality System (Part B) is to be done on 0-3 scale as follows:-</b><br><b>0 - Non Compliance; 1- System exists in Rudimentary Stage; 2- System exists with minimal discrepancies</b><br><b>3 - System is in Mature Stage i.e. meets all requirements</b><br><b>The question with asterisk (*) mark is mandatory where supplier must achieve a minimum score of 2 - marks.</b> |  |                   |               |                        |               |                   |               |
| SUPPLIER'S AUTHORISED SIGNATORY                                                                                                                                                                                                                                                                                                                                                                           |  |                   |               |                        |               | BHEL              |               |
| CERTIFIED ASSESSOR                                                                                                                                                                                                                                                                                                                                                                                        |  |                   |               |                        |               |                   |               |

**BHARAT HEAVY ELECTRICALS LIMITED**

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| III. | <u>CONTROL OF NON - CONFORMANCE</u>                                                | PROCEDURE 0 - 3   |               | SYSTEM IN EFFECT 0 - 3 |               | RECORDS 0 - 3     |               |
|------|------------------------------------------------------------------------------------|-------------------|---------------|------------------------|---------------|-------------------|---------------|
|      |                                                                                    | MARKS BY SUPPLIER | MARKS BY BHEL | MARKS BY SUPPLIER      | MARKS BY BHEL | MARKS BY SUPPLIER | MARKS BY BHEL |
| *1.  | RECORD OF REWORK / RECTIFICATION IS KEPT.                                          |                   |               |                        |               |                   |               |
| 2.   | SYSTEM OF REVIEW AND ANALYSIS OF REPEATED FAILURES AND THEIR PREVENTION IN FUTURE. |                   |               |                        |               |                   |               |
|      | TOTAL MARKS                                                                        |                   |               |                        |               |                   |               |

| IV. | <u>CONTROL OF MEASURING &amp; MONITORING DEVICES</u>                    | PROCEDURE 0 - 3   |               | SYSTEM IN EFFECT 0 - 3 |               | RECORDS 0 - 3     |               |
|-----|-------------------------------------------------------------------------|-------------------|---------------|------------------------|---------------|-------------------|---------------|
|     |                                                                         | MARKS BY SUPPLIER | MARKS BY BHEL | MARKS BY SUPPLIER      | MARKS BY BHEL | MARKS BY SUPPLIER | MARKS BY BHEL |
| 1.  | SYSTEM OF CALIBRATION OF GAUGES, FIXTURES & INSTRUMENTS EXISTS          |                   |               |                        |               |                   |               |
| *2. | MASTER GAUGES/STANDARDS ARE TRACEABLE TO RECOGNIZED NATIONAL STANDARDS. |                   |               |                        |               |                   |               |
|     | TOTAL MARKS                                                             |                   |               |                        |               |                   |               |
|     |                                                                         |                   |               |                        |               |                   |               |

| V.  | <u>CONFORMANCE TO SAFETY REQUIREMENTS :</u>                             | PROCEDURE 0 - 3   |               | SYSTEM IN EFFECT 0 - 3 |               | RECORDS 0 - 3     |               |
|-----|-------------------------------------------------------------------------|-------------------|---------------|------------------------|---------------|-------------------|---------------|
|     |                                                                         | MARKS BY SUPPLIER | MARKS BY BHEL | MARKS BY SUPPLIER      | MARKS BY BHEL | MARKS BY SUPPLIER | MARKS BY BHEL |
| *1. | ADEQUATE SAFETY PRECAUTIONS ARE BEING TAKEN IN PLANT FOR ALL PERSONNEL. |                   |               |                        |               |                   |               |
|     | TOTAL MARKS                                                             |                   |               |                        |               |                   |               |

SUPPLIER'S AUTHORISED SIGNATORY  
CERTIFIED ASSESSOR

BHEL



**BHARAT HEAVY ELECTRICALS LIMITED**  
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| VI. <u>CUSTOMER SUPPLIER COMMUNICATION</u>                                                                                                                                                                                                                           | PROCEDURE<br>0 - 3      |                     | SYSTEM IN EFFECT<br>0 - 3 |                     | RECORDS<br>0 - 3        |                     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|---------------------|---------------------------|---------------------|-------------------------|---------------------|
|                                                                                                                                                                                                                                                                      | MARKS<br>BY<br>SUPPLIER | MARKS<br>BY<br>BHEL | MARKS<br>BY<br>SUPPLIER   | MARKS<br>BY<br>BHEL | MARKS<br>BY<br>SUPPLIER | MARKS<br>BY<br>BHEL |
| *1. TENDER DOCUMENTS ARE REVIEWED WITH REFERENCE TO CUSTOMER REQUIREMENT BOTH TECHNICALLY & FROM DELIVERY POINT OF VIEW.<br>IN CASE OF DEVIATION, IN TECHNICAL SPECIFICATIONS AND DELIVERY CONDITIONS, THE DEVIATIONS ARE IDENTIFIED AND CLEARLY SPELT OUT IN OFFER. |                         |                     |                           |                     |                         |                     |
| 2. SYSTEM OF SUBMISSION OF DOCUMENTS LIKE:<br>(A) INVOICE.<br>(B) PACKING LIST.<br>(C) TEST CERTIFICATE.<br>(D) INSPECTION DATA.                                                                                                                                     |                         |                     |                           |                     |                         |                     |
| 3. ORGANIZATION OF AFTER SALES SERVICE AND RESPONSE TIME FOR ATTENDING COMPLAINTS.                                                                                                                                                                                   |                         |                     |                           |                     |                         |                     |
| TOTAL MARKS                                                                                                                                                                                                                                                          |                         |                     |                           |                     |                         |                     |
| <div style="display: flex; justify-content: space-between; align-items: flex-end; padding: 10px;"><div>SUPPLIER'S AUTHORISED SIGNATORY<br/>CERTIFIED ASSESSOR</div><div>BHEL</div></div>                                                                             |                         |                     |                           |                     |                         |                     |



# BHARAT HEAVY ELECTRICALS LIMITED

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## PART C:

Form No. VTCAA01 (INDIAN SUPPLIER)

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### TECHNICAL COMPETENCE

| TECHNICAL COMPETENCE<br>(WHERE DESIGN SPECIFICATIONS IS GIVEN BY BHEL)                              | MARKS 0 – 3       |               |
|-----------------------------------------------------------------------------------------------------|-------------------|---------------|
|                                                                                                     | MARKS BY SUPPLIER | MARKS BY BHEL |
| *1. SUPPLIER UNDERSTANDS THE PRODUCT SPECIFICATION.                                                 |                   |               |
| 2. SUPPLIER UNDERSTANDS THE INSPECTION REQUIREMENTS.                                                |                   |               |
| *3. SUPPLIER HAS PROCESS CAPABILITY TO ACHIEVE THE PRODUCT SPECIFICATION / DIMENSIONAL REQUIREMENT. |                   |               |
| 4. SUPPLIER HAS EXPERIENCED MANPOWER TO CARRY OUT THE JOB.                                          |                   |               |
| TOTAL MARKS OUT OF POSSIBLE ( 12 ) = ----- %                                                        |                   |               |

OR

| TECHNICAL COMPETENCE<br>(WHERE PERFORMANCE SPECIFICATIONS IS GIVEN BY BHEL) | PROCEDURE<br>0 – 3 |               | SYSTEM IN EFFECT<br>0 - 3 |               | RECORDS<br>0 – 3  |               |
|-----------------------------------------------------------------------------|--------------------|---------------|---------------------------|---------------|-------------------|---------------|
|                                                                             | MARKS BY SUPPLIER  | MARKS BY BHEL | MARKS BY SUPPLIER         | MARKS BY BHEL | MARKS BY SUPPLIER | MARKS BY BHEL |
| 1. DESIGN CAPABILITY                                                        |                    |               |                           |               |                   |               |
| 2. ADEQUACY OF QUALITY ASSURANCE PLAN                                       |                    |               |                           |               |                   |               |
| *3. PROCESS CAPABILITY FOR COMPONENTS                                       |                    |               |                           |               |                   |               |
| *4. ADEQUATE OF TESTING                                                     |                    |               |                           |               |                   |               |
| TOTAL MARKS OUT OF POSSIBLE ( 36 ) = -----<br>--- %                         |                    |               |                           |               |                   |               |

Here :

Score of 0 indicates “ No capability”

Score of 1 indicates “ Requires continuous technical support of BHEL during Execution of job.”

Score of 2 indicates “ Occasional technical support from BHEL.”

Score of 3 indicates “ Can handle BHEL’s job without any Technical Assistance.”

The question with asterisk ( \* ) mark is mandatory where supplier must achieve a minimum score of 2 marks.

SUPPLIER’S AUTHORISED SIGNATORY  
CERTIFIED ASSESSOR

BHEL



# BHARAT HEAVY ELECTRICALS LIMITED

Supplier Registration Form (Indian Supplier)

## PART D:

## SCORE SHEET

Form No. VSSAA01 (INDIAN SUPPLIER)

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### 1. ORGANISATIONAL SOUNDNESS :

Each question should be answered . No question is to be left unanswered. If any of the question is not relevant to your organization, please mention mention so against that question (but do not leave the question unanswered). Each question answered carries ( 1 ) Mark. Questions left unanswered will get ( 0 ) score.

| SL. NO. | PARAMETER                                                                                                                       | CRITERIA                      | RANGE          | MARKS | MARKS BY SUPPLIER | MARKS BY BHEL |
|---------|---------------------------------------------------------------------------------------------------------------------------------|-------------------------------|----------------|-------|-------------------|---------------|
| 1.      | <b>NET WORTH</b><br>REFER SL.NO. 4.1 OF SUPPLIER REGISTRATION FORM PAGE 4 / 17, PART A. AVERAGE OF THREE YEARS TO BE WORKED OUT | GROWTH OVER THE PREVIOUS YEAR | MORE THAN 10 % | 4     |                   |               |
|         |                                                                                                                                 |                               | 5 - 10 %       | 3     |                   |               |
|         |                                                                                                                                 |                               | LESS THAN 5 %  | 1     |                   |               |
| 2.      | <b>SALES FOR CURRENT YEAR</b><br>SL. NO. 4.6 OF PAGE 4 / 17, PART A                                                             | GROWTH OVER THE PREVIOUS YEAR | MORE THAN 10 % | 4     |                   |               |
|         |                                                                                                                                 |                               | 5 - 10 %       | 3     |                   |               |
|         |                                                                                                                                 |                               | > 0 < 5 %      | 1     |                   |               |
|         |                                                                                                                                 |                               | 0 % & BELOW    | 0     |                   |               |
| 3.      | <b>DEBT EQUITY RATIO</b><br>I.E. LONG TERM DEBT / SHARE CAPITAL<br>(SL. NO. 4.3 OF PAGE 4 / 17, PART A)                         | 1 : 1                         |                | 4     |                   |               |
|         |                                                                                                                                 | 1.1 UP TO 1.5:1               |                | 3     |                   |               |
|         |                                                                                                                                 | 1.6 & ABOVE : 1               |                | 1     |                   |               |
| 4.      | <b>CURRENT RATIO</b><br>I.E. CURRENT ASSETS / CURRENT LIABILITY (SL. NO. 4.5 OF PAGE 4 / 17, PART A)                            | 2 : 1                         |                | 4     |                   |               |
|         |                                                                                                                                 | LESS THAN 2 UPTO 1.1:1        |                | 3     |                   |               |
|         |                                                                                                                                 | 1 : 1                         |                | 1     |                   |               |
| 5.      | <b>PROFIT BEFORE TAX</b><br>SL. NO. 4.7 OF PAGE 4 / 17, PART A)                                                                 | GROWTH OVER THE PREVIOUS YEAR | MORE THAN 10 % | 4     |                   |               |
|         |                                                                                                                                 |                               | 5 - 10 %       | 3     |                   |               |
|         |                                                                                                                                 |                               | LESS THAN 5 %  | 1     |                   |               |
| 6.      | <b>PROFIT AFTER TAX</b><br>SL. NO. 4.8 OF PAGE 4 / 17, PART A)                                                                  | GROWTH OVER THE PREVIOUS YEAR | MORE THAN 10 % | 4     |                   |               |
|         |                                                                                                                                 |                               | 5 - 10 %       | 3     |                   |               |
|         |                                                                                                                                 |                               | LESS THAN 5 %  | 1     |                   |               |

SUPPLIER'S AUTHORISED SIGNATORY  
CERTIFIED ASSESSOR

BHEL

**BHARAT HEAVY ELECTRICALS LIMITED**

Supplier Registration Form (Indian Supplier)

**PART D:****SCORE SHEET**

Form No. VSSAA01 (INDIAN SUPPLIER)

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| SL. NO.                                                                                                                                                                                                                                                                                                             | PARAMETER                                                                                                                                                                                                                                         | MARKS                                      | MARKS BY SUPPLIER | MARKS BY BHEL |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------|---------------|
| 7.                                                                                                                                                                                                                                                                                                                  | SL. NO. 4.9 OF PAGE 4 / 17, PART A – IF REFERRED TO BIFR / NCLT                                                                                                                                                                                   | (-) 24                                     |                   |               |
| 8.                                                                                                                                                                                                                                                                                                                  | SL. NO. 4.10 OF PAGE 4 / 17, PART A – IF POTENTIALLY SICK                                                                                                                                                                                         | (-) 12                                     |                   |               |
| 9.                                                                                                                                                                                                                                                                                                                  | FOR SUPPLIER HAVING STANDBY ARRANGEMENT OF POWER.<br>(SL. NO. 6.2 OF PAGE 6 / 17, PART A)                                                                                                                                                         | 3                                          |                   |               |
| 10.                                                                                                                                                                                                                                                                                                                 | SUPPLIER HAVING CONTINUOUS BUSINESS FOR MORE THAN 3 YEARS. (SL. NO. 9.8 OF PAGE 10 / 17, PART A)<br>BEYOND 3 CUSTOMERS<br>UPTO 3 CUSTOMERS<br>NO CUSTOMERS                                                                                        | 4<br>3<br>0                                |                   |               |
| 11.                                                                                                                                                                                                                                                                                                                 | MANUFACTURING FACILITIES :<br>(SL. NO. 7.0 OF PAGE 7 / 17, PART A)<br>SUPPLIER HAVING ADEQUATE FACILITIES<br>SUPPLIER HAVING SUBSTANTIAL FACILITY<br>SUPPLIER HAVING INADEQUATE FACILITIES                                                        | 3<br>2<br>0                                |                   |               |
| 12.                                                                                                                                                                                                                                                                                                                 | MEASURING AND TESTING FACILITIES :<br>(SL. NO. 8.0 OF PAGE 8 / 17, PART A)<br>ADEQUATE MEASUREMENT FACILITIES<br>SUBSTANTIAL MEASURING FACILITIES<br>INADEQUATE FACILITIES                                                                        | 3<br>2<br>0                                |                   |               |
| 13.                                                                                                                                                                                                                                                                                                                 | If answer to Question 9.3 of page 9 /17, part A is year i.e. ISO 9001 company<br>If answer to Question 9.4 of page 9 /17, part A is year i.e. ISO 14000 company<br>If answer to Question 9.5 of page 9 /17, part A is year i.e. ISO 18000 company | 3<br>1<br>1                                |                   |               |
| TOTAL MARKS SCORED ( SL. 01 TO 13 ABOVE)<br>TOTAL MARKS SCORED ( SL. 01 TO 10 ABOVE)<br>TOTAL MARKS ( ORGANISATIONAL SOUNDNESS )<br>TOTAL MARKS ( ORGANISATIONAL SOUNDNESS ) :<br>PERCENTAGE ( % )<br><br>CATEGORY OF SUPPLIER : OS 1 ( 85 % & MORE )<br>OS 2 ( 70 % TO LESS THAN 85 % )<br>OS 3 ( LESS THAN 70 % ) |                                                                                                                                                                                                                                                   | 42<br>46<br>88<br><br><br>Mention Category |                   |               |
| SUPPLIER'S AUTHORISED SIGNATORY<br>CERTIFIED ASSESSOR                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                   |                                            | BHEL              |               |



**BHARAT HEAVY ELECTRICALS LIMITED**  
Supplier Registration Form (Indian Supplier)

**PART D:**

**SCORE SHEET**

Form No. VSSAA01 (INDIAN SUPPLIER)

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**QUALITY SYSTEM (SCORING CRITERIA) :**

| SL. NO. | SUMMARY :                                | MARKS SCORED / OUT OF POSSIBLE ( BY SUPPLIER )                                                                                                                                                       |              | MARKS SCORED / OUT OF POSSIBLE ( BY BHEL ) |              |
|---------|------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|--------------------------------------------|--------------|
| I.      | Incoming Material Control                | ----- /<br>18                                                                                                                                                                                        | -----<br>- % | ----- /<br>18                              | -----<br>- % |
| II.     | Process Control                          | ----- /<br>63                                                                                                                                                                                        | -----<br>- % | ----- /<br>63                              | -----<br>- % |
| III.    | Control of Non – Conformance             | ----- /<br>18                                                                                                                                                                                        | -----<br>- % | ----- /<br>18                              | -----<br>- % |
| IV.     | Control of measuring & monitoring device | ----- /<br>18                                                                                                                                                                                        | -----<br>- % | ----- /<br>18                              | -----<br>- % |
| V.      | Conformance to safety Requirements       | ----- /<br>09                                                                                                                                                                                        | -----<br>- % | ----- /<br>09                              | -----<br>- % |
| VI.     | Customer – Supplier Communication        | ----- /<br>27                                                                                                                                                                                        | -----<br>- % | ----- /<br>27                              | -----<br>- % |
|         | TOTAL =                                  | ----- /<br>153                                                                                                                                                                                       | -----<br>- % | ----- /<br>153                             | -----<br>- % |
|         | Category of Supplier Q1                  | - Overall System rating above 90%, rated 2 or better on all mandatory requirement and a rating of 70 % or better in each section or supplier is ISO 9001:2000 certified ( subject to verification) . |              |                                            |              |
|         | Q2                                       | - Overall System rating above 80 to 90%, rated 2 or better on all mandatory requirement and a rating of 60 % or better in each section                                                               |              |                                            |              |
|         | Q3                                       | - Overall System rating above 70 % and less than 80%, rated 2 or better on all mandatory requirement and a rating of 55 % or better in each section                                                  |              |                                            |              |

**TECHNICAL COMPETENCE:**

Tick category as per score on page 14.

|                                                                                                                                                                                                                                                                                       |                                                                        |         |                                                                    |         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|---------|--------------------------------------------------------------------|---------|
| <b>SUMMARY :</b>                                                                                                                                                                                                                                                                      | <b>MARKS ASSESSED / OUT OF POSSIBLE 12 OR 36 MARKS ( BY SUPPLIER )</b> |         | <b>MARKS ASSESSED / OUT OF POSSIBLE 12 OR 36 MARKS ( BY BHEL )</b> |         |
| <b>Technical Competence or</b>                                                                                                                                                                                                                                                        | ----- / 12                                                             | ----- % | ----- / 12                                                         | ----- % |
| <b>Technical Competence</b>                                                                                                                                                                                                                                                           | ----- / 36                                                             | ----- % | ----- / 36                                                         | ----- % |
| <b>Category of Supplier</b><br>TC 1 – Above 90 % and rated 2 or better in all mandatory requirements.<br>TC 2 – 80 to less than 90 % and rated 2 or better in all mandatory requirements.<br>TC 3 – Above 70 % and less than 80% and rated 2 or better in all mandatory requirements. |                                                                        |         |                                                                    |         |
| <b>SUPPLIER'S AUTHORISED SIGNATORY</b><br><b>CERTIFIED ASSESSOR</b> <div style="text-align: right;"><b>BHEL</b></div>                                                                                                                                                                 |                                                                        |         |                                                                    |         |