Project Engineering Management

Bharat Heavy Electricals Limited

(A Govt. Of India Undertaking)

Ref. Enquiry No.: PE/PG/PA1/E-6753/2021, DTD.24-08-2021

DUE DATE
03 September 2021
BY 11:00 AM

Dear Sir/ Ma'am

Subject: Open tender Enquiry for "HEAT EXCHANGERS (PLATE TYPE)" for 3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434) as per Technical Specification No. PE-TS-434-179-N002 REV00.
OUR REF: TENDER ENQUIRY NO: PE/PG/PA1/E-6753/2021, DTD. 24-08-2021

BHEL invites your offers for Design, Engineering, Manufacture, assembly, Inspection testing, packing for transportation and delivery of "**HEAT EXCHANGERS (PLATE TYPE)**" complete in all respect including all accessories, mandatory spares & PG Test as specified in tender technical specification no. **PE-TS-434-179-N002 REV00** amendments & agreements till placement of order **for 3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434).**

Your offer shall be submitted in two parts (Part-I & Part-II) strictly as per Clause-2.0 of the "Instructions to Bidders" of GCC Rev. 07, in sealed cover for the below mentioned equipment/system.

Item Description – HEAT EXCHANGERS (PLATE TYPE) –[MAIN SUPPLY + MANDATORY SPARES SUPPLY+ PG TEST) AS PER ANNEXURE-I & ANNEXURE- IA TO NIT : PE/PG/PA1/E-6753/2021, DTD.24-08-2021

Sl. No.	Project	TECHNICAL SPECIFICATIONS	Delivery completion schedule
1	3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434)	PE-TS-434-179-N002 REV00	As per Annexure – A to NIT

Your best quotation/offer for the above requirement, in line with tender terms and conditions, should be submitted **online via e-procurement system (NIC portal:** https://eprocurebhel.co.in/nicgep/app**).** It shall be the responsibility of the bidder to ensure that the tender is submitted **on or before the due date** by **11:00 AM, 03.09.2021.** Part-I (techno-commercial) bids shall be opened at **04:00 PM.** on the due date.

Note: 1. Detailed tender documents have been uploaded on following websites: -
https://eprocurebhel.co.in/nicgep/app b) www.bhel.com c) https://pem.bhel.com/

Tender submission through e-procurement portal- https://eprocurebhel.co.in/nicgep/app (**Bidders are requested to upload their best offer on** : https://eprocurebhel.co.in/nicgep/app only) .

2. In case bidders are not interested to quote, please send us the regret by e-mail or letter.

ENQUIRY TERMS AND CONDITIONS:

1. Offers should be submitted/uploaded separately in two parts **online through e-procurement system** as follows:

   Part-I: TECHNO-COMMERCIAL BID **Part-II: PRICE BID**
   For detailed instructions, please refer GCC Rev 07- Instructions to Bidders.

2. Bidders shall submit their offers meeting the requirements of the following tender documents indicated in BHEL PEM GCC Rev- 07 and other Terms and Conditions included in this Enquiry Letter. Web link of GCC Rev 07 shall be as below, **bidders may download the GCC Rev 07 from the given web link and go through the same before quoting: - https://pem.bhel.com/Documents/GCC/GCCRev07.pdf**

3. Bidders to note that following form the part of tender documents:
   a. General Conditions of Contract (GCC) Rev 07 comprising of: Instructions to Bidders and General Commercial Terms & Conditions and SCC(REV00).
   b. Technical Specifications
   c. Technical PQR
   d. Enquiry terms & conditions (NIT) , ANX-A, ANX-B, ANX-C, ANX-I, ANX-IA, ANX-II, ANX-IIA, ANX-3, ANX-4, ANX-5, ANX-6, ANX-7 , ANX-8, & ANX-9 .

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4. Tenders shall be submitted strictly in accordance with the requirements of the above-mentioned tender documents. Bidders to submit their offers strictly in line with the form available at e-procurement portal. Deviations (Technical as well as Commercial), if any, shall be listed out separately in Annexure-II of GCC Rev-07 along with reasons for taking such deviations in the bidding format in E-Procurement portal (NIC portal). Any deviations (Technical as well as Commercial) not mentioned in the Annexure-II shall not be considered. Bidders to note all the points mentioned in "Notes" of Annexure-II of GCC Rev.07.
Any hidden conditions/deviations mentioned elsewhere in offer and standard pre-printed terms & conditions of the tenderers shall not be considered valid.

5. Bidder has to submit "NO DEVIATION CERTIFICATE FOR COMMERCIAL TERMS AND CONDITIONS as per General Conditions of Contracts (GCC, Rev.07), Special Conditions of Contract and Notice Inviting Tender (NIT)" **in case of no deviations.**

6. Unsolicited fresh/revised bids shall not be entertained.

7. If any bidder has mentioned the term "Not Applicable" / "not required" / "not quoted" in BHEL price format, the same to be substantiated by the bidder. If such item is required to be supplied for system completion in future, same will be supplied free of cost by the successful bidder.

8. Purchaser shall be under no obligation to accept the lowest or any other tender and shall be entitled to accept or reject any/all tender(s) in part or full without assigning any reason whatsoever.

9. Tenderers must enclose the Quality Plan in the prescribed format, for approval. Equipment will be dispatched only after Purchaser's/Owner's inspection of the hold points specified in the approved Quality Plan and issue of Material Dispatch Clearance Certificate (MDCC).

10. Offers should be submitted separately in two parts online through e-procurement system only (NIC portal), Offers should be uploaded in two parts online at https://eprocurebhel.co.in/nicgep/app in as follows:
    a) Part-I Bid: - Documents and Credential as per Technical PQR & Technical Specification and Techno-Commercial offer (along with un-priced copy of un-priced bid and un-priced schedule of Technical-Commercial Deviation - Annexure I , IA , & II) . Bidders mandatorily to submit compliance of ANX-A, ANX-B, ANX-C (Duly Filled), ANX-IIA(Duly Filled), ANX-3(Duly Filled), ANX-4(Duly Filled), ANX-5(Duly Filled), ANX-6 , ANX-7, ANX-8, ANX-9(Duly Filled), SCC(Rev00), Integrity Pact(duly filled), & GCC(Rev07).
    b) Part II Bid: - Price Bid [(Annexure-I , & Annexure-IA)-Main Supply, Mandatory Spares, PG Test, & CIF Content] and Priced schedule of Technical-Commercial Deviation(Annexure-II) .

    Terms and conditions: -

    a) Part I bid will be opened on date & time mentioned in the NIT or subsequent corrigenda/amendments, if any.
    b) **Techno-commercial offer of only those bidders shall be evaluated who will meet the Technical pre-qualifying requirement of the tender.**

however, all correspondence thereof, shall be addressed to the following persons and sent at the following address:

Mr. Deepak Kumar **Dy. Engineer, PG-III** **E-mail: dkgangwal@bhel.in** **Ph. +91-120-4368708;**	**Mr. Hemant Kr. Kaushik** **Mgr. PG-III** **E-Mail: hkkaushik@bhel.in** **Ph. No. +91-120-4213549;** **Mob: 9540180895**
M/s. Bharat Heavy Electricals Ltd., **Project Engineering Management,** PPEI Building, Plot No 25, Sector-16A, Noida-201301, U.P., INDIA	

11. Evaluation shall be done for lumpsum total package on Ex Works + Freight basis, i.e. 'Total Cost to BHEL basis (excluding GST) '. The Evaluation Currency for this tender shall be INR. Incomplete offer or part offer of NIT BOM/BOQ shall be summarily rejected.

12. **Evaluation Conditions: (Reverse Auction) -** Guidelines for Reverse Auction 2021 Amendment 1 dated-10.03.2021 shall be applicable for this tender and same is available at

    a) https://www.bhel.com/supplier-registration
    b) https://pem.bhel.com/Current_Tender.aspx

    Bidders to note following point before quoting-
    "BHEL shall be resorting to Reverse Auction (RA) (Guidelines as available on www.bhel.com) for this tender. RA shall be conducted among the techno-commercially qualified bidders. Price bids of all techno-commercially qualified bidders shall be opened and same shall be considered for RA. In case any bidder(s) do(es) not participate in online Reverse Auction, their sealed envelope price bid along with applicable loading, if any, shall be considered for ranking."
    In case of enquiry through e-Procurement, the sealed electronic price bid (e-bid) is to be treated as sealed envelope price bid.
    BHEL will inform bidders the details of service provider who will provide business rules, all necessary training and assistance before commencement of online bidding.
    Bidders will be advised to read the 'Business Rules' indicating details of RA event carefully, before reverse auction event.
    "The Bidders has to quote the Single Price (i.e. Total Cost to BHEL) (excl. GST) in Reverse Auction. Price are to be inclusive of Packing & Forwarding charges, all the routine, type tests, & PG Test as per tender scope, Freight as applicable, including loading (if any) but excluding GST. De-loading (if any) shall be done in line with NIT terms."

13. **Govt. of India's Public Procurement Policy – Preference to Make in India Clause:** -

For subject tender only Class I local suppliers are eligible to bid (in line with clause no. 3 (a) of MII circular no P-45021/2/2017-PP (BE-II) Dtd-16-09-2020, as there is sufficient Local Capacity & Local Competition. In case of subsequent orders issued by nodal ministry, changing the definition of local content for the items of the NIT, the same shall be applicable even if issued after issue of this NIT, but before opening of Part-II bids against this NIT".
The local supplier at the time of tender, bidding, solicitation, shall be required to provide self-certification that as per the offered item, they meet the requirements of Class I local supplier as per the provisions of PPP-MII Order of Govt. of India and relevant circulars issued by nodal ministry w.r.t. above mentioned orders and shall give details of location(s) at which the local value addition is made in Annexure- 4 to NIT. Subject package is not-divisible in nature.

14. This item/package /system falls under the list of items defined in para 3 of ministry of finance guideline dtd. 20.09.16 (Procurement of items related to Public safety, Health, Critical Security operations & Equipment's etc.) & hence criteria of prior experience/Turnover shall be same for all the bidders including start-up/MSME.

15. Bidders to comply the following orders & circulars(considering respective subsequent orders/circulars also):-

    • ensure compliance to Ministry of Power (MoP) Order No. 25-11/6/2018-PG dt. 02/07/2020 & Order No. 11/05/2018-Coord. dt. 23/07/2020, if applicable.
    • ensure compliance of Ministry of Finance (MoF) Order (Public Procurement No. 1 & 2) F. No. 6/18/2019/PPD dt. 23/07/2020.
    • to submit "Model Certificate for Tenders" as per Annexure-III of Ministry of Finance (MoF) Order (Public Procurement No. 1 & 2) F. No. 6/18/2019/PPD dt. 23/07/2020.

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16. Bidder to note that this is a conditional Open Tender enquiry subject to following condition: -

A) Meeting of Technical PQR

B) Techno-commercial qualification/recommendation of bidder by the BHEL-PEM. This enquiry is subject to Conditions/ limits if any imposed in PMD (PEM BHEL) / Vendor registration/ In Customer Approval.

C) Customer Approval is mandatory. Approval of vendor from end Customer(NTPC) shall be taken up by BHEL-PEM with customer. Bidders who are not approved from end customer should furnish the credentials as per (end customer) format – along with their bid.
Approval shall be taken up by BHEL with customer based on the credentials / reference list. Hence, Bidders are requested to submit the following (as part of their credentials) on or before Part-I opening: -

- Company Profile. Reference list indicating P.O. details, customer name, P.O. date, execution date etc.
- Performance certificate issued by the clients. (In last five years)
- NTPC's Main & Sub-supplier questionnaire (enclosed with enquiry) and submit all the supportive documents against details furnished therein (signed & stamped on each page)

D) Furnishing of 'Gem' seller ID.

E) Pre-Qualifying Requirements: - Bids of only those bidders shall be evaluated who meet the Technical pre-qualifying requirements.

F) Offered item should mandatorily confirm to Govt. PP-MII(Make In India) , Govt. MOP(Ministry of Power) , & Govt. MOF (Ministry of Finance) orders & provisions relevant to this tender.

17. PRE-QUALIFICATION REQUIREMENT: -
Bidders is requested to fill up the details in "TECHNICAL PRE-QUALIFYING REQUIREMENT" as per the conditions mentioned in the PQR (technical) and also to submit the credentials.
Bids of only those bidders shall be evaluated who meet the Technical pre-qualifying requirements (if applicable).
Bidders to ensure that Third party/customer issued certificates being submitted as proof of PQR qualification should have verifiable details of document/certificate issuing authority such as name & designation of Issuing Authority and its organisation contact number and email Id etc. In case the same found not available, Purchaser has right to reject such document from evaluation.
"This item/Package/System falls under the list of items defined in Para 3 of Ministry of Finance guideline datedt.20-09-2016 (procurement of items related to public safety, health, critical security operations and equipment's, etc.) & hence criteria of prior experience/turnover shall be same for all the bidders including start up/MSME".

18. Compliance of model clauses as provided in Annexure-III of Ministry of Finance Order (Public Procurement No. issued on 23.07.2020 (Restrictions under Rule 144 (xi) of the GFR,2017) shall be applicable for subject tender. Model Certificates provided in same Annexure-3 shall also be complied. Further, relevant clause of order no. 25-11/6/2018- shall also be complied. An undertaking regarding Model Clauses (as applicable from Annexure-III) shall be furnished by bidders along with bid documents.

19. For bidders (who are not registered with BHEL-PEM) -Online Registration Portal is operational in BHEL. Registration in BHEL-PEM is not mandatory for this tender. However, Non-registered Vendors, who wish to apply for registration with BHEL-PEM, can apply through Online Registration Portal available at www.bhelpem.com →vendor section → Online Supplier Registration. All credentials and/or documents duly signed and stamped related to registration may be uploaded on the website and submit the application for registration.

20. All corrigenda, addenda, amendments, time extensions, clarifications etc. to the tender will be hosted on BHEL websites only (a) https://eprocurebhel.co.in/nicgep/app b) www.bhel.com c) https://pem.bhel.com/) under subject tender reference. Bidders are requested to visit our websites from time to time to keep themselves updated. Bidders may go through the Sellers' manual & Help documents provided on E-Procurement Portal website & obtain required Digital Signature Certificate for participating in the subject Tender. For Bidders' convenience, the Helpdesk Nos. of E-Procurement (NIC) Portal is available at website i.e. https://eprocurebhel.co.in/nicgep/app .

21. If any bidder uploads price bid in the unpriced section (techno-commercial attachment page) of the tender in e-

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Procurement (NIC portal), in that case bidder(s) shall only be responsible for such mistake and any consequences thereof. Hence all bidders are requested to be more careful at the time of uploading the Unpriced and Price Bid for Part-I and Part-II respectively to avoid mismatch.

22. Bidders to quote the freight in terms of percentage of Total Ex-works price and mention the rate of freight (%) percentage in bid.

23. Inspection shall be done by BHEL/ END CUSTOMER/Third party Agency (finalized by BHEL). Due to COVID-19 pandemic condition prevailing in the country, BHEL/PEM may go for Remote Inspection of Offered items, if required. Vendors are requested to be equipped with the facilities/gadgets as indicated in the guidelines available at https://pem.bhel.com/Documents/VendorSection/Vendor/Guidelines.pdf to take up the inspection REMOTELY.

24. The Bidder declares that they will not enter into any illegal or undisclosed agreement or understanding, whether formal or informal with other Bidder(s). This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process. In case, the Bidder is found having indulged in above activities, suitable action shall be taken by BHEL as per extant policies/ guidelines.

25. In the course of evaluation, if more than one bidder happens to occupy L-1 status, effective L-1 will be decided by soliciting discounts from the respective L-1 bidders. In case more than one bidder happens to occupy the L-1 status even after soliciting discounts, the L-1 bidder shall be decided by a toss / draw of lots, in the presence of the respective L-1 bidder(s) or their representative(s). Ranking will be done accordingly. BHEL's decision in such situations shall be final and binding.

26. **Performance Bank Guarantee:** Performance Security amount shall be @5% of the value of contract value (excluding taxes duties & freight) as per GCC Rev 07.
Performance Security (Bank Guarantee) BG clause no. 11 of GCTC of GCC Rev 07 will be applicable for this tender.
Bidders to compile the following clause for timely submission of BG:
"Bidder agrees to submit performance security required for execution of the contract within the time period mentioned. In case of delay in submission of performance security, enhanced performance security which would include interest (SBI rate + 6%) for the delayed period, shall be submitted by the bidder. Further, if performance security is not submitted till such time the first bill becomes due, the amount of performance security due shall be recovered as per terms defined in NIT I contract, from the bills along with due interest "

27. **PAYMENT TERMS**: Payment terms shall be applicable as noted in GCC(Rev07) for Supply Items/respective package.

28. **DELIVERY PERIOD/SCHEDULE OF MATERIAL (MAIN SUPPLY OF PHE, MANDATORY SPARES, & PG TEST):** As per enclosed Annexure – A to NIT.
Terms of Delivery are : F.O.R Dispatch Station.

29. **Integrity Pact**: - Integrity pact is applicable for subject package. IP is a tool to ensure that activities and transactions between the Company and its Bidders/ Contractors are handled in a fair, transparent and corruption free manner. A panel of Independent External Monitors (IEMs) have been appointed by BHEL with the approval of CVC. The names of the IEMs in panel are as follows:
    I. Sh. Arun Chandra Verma, IPS (Retd.) - acverma1@gmail.com
    II. Sh. Virendra Bahadur Singh, IPS (Retd.) - vbsinghips@gmail.com
The IP as enclosed is to be submitted (duly signed by authorized signatory) along with techno-commercial bid. Only those bidders who have entered into such an IP with BHEL would be competent to participate in the bidding. In other words, entering into this pact would be a preliminary qualification.
Please refer Section-8 of IP for Role and Responsibilities of IEMs. In case of any complaint arising out of the tendering process, the matter may be referred to the any of the IEMs mentioned above. All correspondence with the IEMs shall be done through email only.
" No routine correspondence shall be addressed to the IEM (phone/ post/ email) regarding the clarifications, time extensions or any other administrative queries, etc. on the tender issued. All such clarification/ issues shall be addressed directly to the tender issuing (procurement) department officials."

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30. QTY.VARIATION CLAUSE: Overall (%) variation in contract values (due to changes in the scope) shall be limited to (+/-) 5 % , which is firm part of this NIT.

31. In case of joint bidding, bidders to furnish scope matrix which should be clearly defined between them along with the offer for the complete scope. It is mandatory to submit along with bid.

32. Bidders participating through open tender will necessarily have to buy class III DSCs issued by the certifying authorities in India. Basic procedure/ checklist is uploaded on www.bhel.com.

33. All the terms and conditions of NIT & GCC (Rev 07) shall be applicable for the subject Package. In the event of any contradiction in the terms and conditions mentioned, the order of preference shall be as mentioned in clause no 36 of GCTC of GCC (Rev.07).

34. Submission of complete offer as per NIT terms is the responsibility of bidder. BHEL reserves the right to reject the incomplete offer.
35. HSE Guiltiness as per Annexure-8 is firm part of this NIT.
36. MSME bidders will have to furnish the UAM details.

37. Bidders who are participating in this tender please note that GeM seller ID is mandatory before placement of order. Please furnish the same as per Annexure – IIA to NIT .

38. *The evaluation currency for this tender shall be INR.*

39. **CIF Contents & Concessional Custom Duty against Essentiality certificate (EC) :**
    (a) Please note that CIF is available for subject package & project [HEAT EXCHANGERS (PLATE TYPE) - 3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434)] and bidders to furnish their CIF Content in Annexure – IA to NIT as part of Price Bid(Part-II). Concessional rate of Customs Duty shall be applicable on the Import Contents of the supplier and benefits availed in Concessional Customs Duty must be passed on to BHEL in their offer by bidders.
    (b) The project has been qualified through Project Import route. Accordingly, the benefits applicable to PI project would be granted for this project, in this regard applicable documents such as Essentiality certificate will be issued by NTPC (ultimate customer). Based on the above EC, Customs Duty Benefits will be passed on to the vendor.
    (c) Please refer cl.no.21 of SCC(Rev00) of subject project for availing concessional custom duty benefits.

40. All terms and conditions shall be as per SCC(Rev00) of project, GCC rev. 07 & Technical Specification no- PE-TS-434-179-N002 Rev00. In the event of any contradiction, the terms and conditions mentioned, the order of preference shall be as mentioned in Cl. No. 36 of GCTC of GCC Rev. 07 .

41. Detailed offers are to be uploaded including the following along with the Price schedule as per BHEL format enclosed with NIT: -

- Acceptance of BHEL-PEM GCC (Rev.-07)
- Technical & Commercial Deviations, if any along with Cost of withdrawal sheet.
- TECHNICAL Pre-Qualifying Requirement (PQR)
- Along with your offer, please submit a copy of this letter (along with acceptance of all annexures to NIT) duly signed & stamped on each page as token of acceptance of terms & instructions conveyed.
- Un-Priced price format of BOQ/BOM duly filled in 'Quoted" or 'Q' in each column/row.
- Filled Format of self-certification reg. Local content.
- Acceptance of delivery schedule.
- Land Border Certificate.
- Please furnish 'Gem' Seller ID with bid.
- Credentials for end customer(NTPC) approval. : i.e. Reference list indicating P.O. details, customer name, P.O. date, execution date etc., Performance certificate issued by the clients., Filled NTPC's Main & Sub-supplier questionnaire (enclosed with enquiry) and submit all the supportive documents against details furnished therein (signed & stamped on each page) .

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All the above Tender Documents shall automatically become a part of the Order/Contract after its finalisation.

Please contact to BHEL (via mail or phone) for any clarification (technical or commercial) at least one week before the due date (Techno-Commercial bid opening). Please note all correspondence from BHEL-PEM before part – I opening, shall also be part of NIT.

Yours faithfully,
For and on behalf of BHEL-PEM

HEMANT KUMAR KAUSHIK

Digitally signed by HEMANT KUMAR KAUSHIK
DN: c=IN, o=BHARAT HEAVY ELECTRICALS LIMITED, ou=BHEL PS PEM Noida, postalCode=201301, st=UTTAR PRADESH, 2.5.4.20=203696390368698f8d85714 1c4a3a96f3d34ee71df65ba485176ba5f765d615ce, serialNumber=b8383a9c26a60f2dba74c0aeb38743445 4bf7842d4041b5fa6a51f532be80a18, cn=HEMANT KUMAR KAUSHIK
Date: 2021.08.24 18:18:18 +05'30'

Hemant Kumar Kaushik
(MANAGER/PG-III/BHEL-PEM)

Enclosures:

1. Enquiry Terms and Conditions. (NIT Letter no. PE/PG/PA1/E-6753/2021, DTD.24-08-2021)
2. Technical Specification No. **PE-TS-434-179-N002(REV00)**
3. Integrity Pact.
4. Technical PQR.
5. SCC(Rev00) of Project.
6. Delivery completion cum Drawings/documents submission Schedule (Annexure –A)
7. Price Schedule (BOQ/BOM- MAIN SUPPLY, MANDATORY SPARES, PG TEST, & CIF Content) - Annexure-I and Annexure-IA to NIT (to be filled in e-procurement portal only)
8. Cost of withdrawal sheet -Annexure-II to NIT (to be filled in e-procurement portal only)
9. Please note that unpriced copy of Annexure-I , IA, & II to NIT duly filled in word 'Quoted' should be uploaded in Part-I (techno-commercial bid also).
10. Annexure-IIA for furnishing 'GeM' seller ID .
11. Instructions to Packing & break-up (annexure –5)
12. Format of self-Certification reg. Local content (annexure –4)
13. Performa for End customer approval Annexure –9.
14. Land Border Certificate format (Annexure-3)
15. BG Format (Annexure-7)
16. Inspection Guideline (Annexure – 6)
17. Engineering doc. Annexure-B & Annexure-C for compliance.
18. HSE Guideline – Annexure -8 .

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ANNEXURE - A TO NIT NO. PE/PG/PA1/E-6753/2021, DTD. 24-08-2021

DELIVERY SCHEDULE FOR MAIN SUPPLY OF UNIT-I, II , & III , MANDATORY SPARES SUPPLY , AND PG TEST - HEAT EXCHANGERS (PLATE TYPE) - 3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434)

Sl. No.	Package name	BHEL Drawing No	Drawing Title	Primary/Secondary	BHEL Inputs	Drg Sch for Vendors	Standard Delivery Terms for Main Supply Portion of PHE's	Scope of Services, (if any,) and corresponding schedule for rendering the services
1	HEAT EXCHANGERS (PLATE TYPE)- PHE	PE-V5-XXX-179-N001	TDS -PHE	Primary	–	R-0 within 21 days from PO & subsequent revisions incorporating all the BHEL comments within 10 days of comments received from BHEL. BHEL shall furnish comments / approval on each submission within 18 days from receipt.	**Main Supply for Unit-I** :- Within Four (04) months for SS-PHEs , from date of CAT-1 approval of Primary drawing/documents OR BHEL manufacturing clearance whichever is later , subjected to drawing/document submission/re-submission schedule as stipulated, in case of any delay in submission/re-submission of Primary drawing/ documents, then same shall be reduced from the given delivery period. Delay in BHEL's comments/approval beyond 18 days shall also be considered for delay analysis. **Main Supply for Unit-II & Unit-III** : Four (04) months from the date of BHEL clearance for respective units . Separate dispatch/ manufacturing clearance will be issued for main supply of Unit-II & III . Also please refer : cl.no.03 of Sec 1A Sheet 2 of 2, cl.no.10 & 11 of section IIA Sheet 10-11 , & cl.no.01 Datasheet-C section-IIA sheet 1 of 1 of Technical Specification no- PE-TS-434-179-N002 Rev00 . Bidder to comply the same .	**PG Test** Vendor to depute its service engineer for PG Test within 15 days from BHEL's intimation (for deputing service engineer) for such site activity and vendor to complete PG Test for One PHE in One set of service as per BOQ/BOM. For delay in deputing service engineer, LD on PG test portion shall be applicable @ ½% of the total PG test portion (excluding element of taxes) per week or part thereof, with applicable GST. However, total LD (supply + PG Test portion) shall be limited to 10% of cumulative total contract value excluding taxes and freight (supply + PG Test portion).
		PE-V5-XXX-179-N002	GENERAL ARRANGEMENT OF PHE	Primary				
		PE-V5-XXX-179-N003	SIZING CALCULATION OF PHE's	Primary				
		PE-V5-XXX-179-N005	QP-PHE	Primary				
		PE-V5-XXX-179-N004	PERFORMANCE CURVES OF PHE's	Secondary		R-0 within 20 days of approval on TDS & subsequent revisions incorporating all the BHEL comments within 10 days of comments received from BHEL.BHEL shall furnish comments / approval on each submission within 18 days from receipt.		
		PE-V5-XXX-179-N006	O&M MANUAL-PHE	Secondary		within 30 days of issuance of MDCC.		
		PE-V5-434-179-N007	PG TEST PROCEDURE -PHE	Secondary		within 30 days of issuance of MDCC.		

2. Note : Delivery Schedule for Mandatory Spares Supply is : Mandatry Spares delivery shall be 04 months from the date of manufacturing clearance. Separate dispatch/ manufacturing clearance will be issued for mandatory spares supply .

3. Bidders to comply the following terms for delivery :

a. The end period specified is for completion of the deliveries. Deliveries to start progressively so as to meet the completion schedule.
b. The delivery conditions specified are for contractual LD purposes, however BHEL may ask for early deliveries without any compensation thereof.
c. Non-applicable drawings shall be decided during bid evaluation .
d. Wherever schedule of drawings/documents submission / re-submission is stipulated in the Technical Specification no.PE-TS-434-179-N002(Rev00), same shall be superseded by delivery specified in NIT .
e. Vendor to start manufacturing activities only after obtaining specific manufacturing clearance from BHEL Purchase group.

	TITLE : TECHNICAL SPECIFICATION FOR FOR PLATE HEAT EXCHANGERS	SPECIFICATION NO. PE-TS-434-179-N002	
		SECTION IIIA	
		REV. NO. 0	DATE 28/7/21

COMPLIANCE CERTIFICATE

The bidder shall confirm compliance with following by signing/ stamping this compliance certificate and furnishing same with the offer

a.) The scope of supply, technical details, construction features, design parameters etc. shall be as per technical specification & there are no exclusions/ deviations with regard to same.

b.) QP/ test procedures shall be submitted in the event of order based on the guidelines given in the specification & QP enclosed therein.
QP will be subject to BHEL/Customer approval in the event of order & customer hold points for inspection/ testing shall be marked in the QP at the contract stage. Inspection/ testing shall be witnessed as per same apart from review of various test certificates/ Inspection records etc.
The charges for 3rd party inspection (Lloyds, TUV or equivalent) for imported components shall be included in the base price of the equipment by the bidder.

c.) All drawings/data – sheets etc. to be submitted during contract shall be subject to BHEL/Customer review/ approval.
GA drawings, as submitted with offer at tender stage are for reference purpose only and shall be subject to approval during contract stage.

d.) There are no other deviations with respect to specification other than those furnished in the 'Schedule of Deviations'

e.) The offered materials shall be either equivalent or superior to those specified. Also for components where material is not specified it shall be suitable for intended duty, materials shall be subject to approval in the event of order.

f.) The commissioning spares (if any) are supplied on 'As Required Basis' & prices for same included in the base price (If bidders reply to this is "No commissioning spares are required" and if some spares are actually required during commissioning same shall be supplied by bidder without any cost to BHEL).

g.) All sub vendors shall be subject to BHEL/CUSTOMER approval.

h.) Any special tools & tackles, if required, shall be in bidder's scope.

i.) Performance Guarantees for PHE's shall stand valid as per commercial terms and conditions.

j.) Regarding bidder's association with their respective Principals (Plate & Gasket supplier) bidder confirms the following:

i. Plate supplier shall vet the thermal design of PHE at tender and contract stage and certify the adequacy of design and number of plates.
ii. Guarantee schedule duly vetted by Principal shall be submitted during contract stage.
iii. Bidders have back to back arrangement with their principal for technical guarantees.

	SCHEDULE OF PERFORMANCE GUARANTEES FOR PLATE HEAT EXCHANGER		SPECIFICATION NO.	PE-TS-434-179-N002
			Section	IIIB
			Rev No.	0

SL. NO.	DESCRIPTION	UNIT	GUARANTEE VALUE
	(To be Filled separately for each type of PHE)		
1.0	**PRIMARY SIDE (HOT WATER SIDE)**		
	CLEAN CONDITION		
a)	Flow rate	M^3/Hr.	
b)	DMCW inlet temperature	^oC	
c)	DMCW outlet temperature	^oC	
d)	Pressure drop	MWC	
2.0	**SECONDARY SIDE (COLD WATER SIDE)**		
	CLEAN CONDITION		
a)	Flow rate	M^3/Hr.	
b)	ACW inlet temperature	^oC	
c)	ACW outlet temperature	^oC	
d)	Pressure drop	MWC	
3.0	**PRIMARY SIDE (HOT WATER SIDE)**		
	FOULED CONDITION		
a)	Flow rate	M^3/Hr.	
b)	DMCW inlet temperature	^oC	
c)	DMCW outlet temperature	^oC	
d)	Pressure drop	MWC	
4.0	**SECONDARY SIDE (COLD WATER SIDE)**		
	FOULED CONDITION		
a)	Flow rate	M^3/Hr.	
b)	ACW inlet temperature	^oC	
c)	ACW outlet temperature	^oC	
d)	Pressure drop	MWC	

PARTICULARS OF BIDDER/ AUTHORISED REPRESENTATIVE

NAME

SIGNATURE

DATE

ANNEXURE - I TO NIT NO.: PE/PG/PA1/E-6753/2021, DTD. 24-08-2021

3X800MW PVUNLPATRATU TPP EXPANSION PHASE-I PROJECT(434)

BOQ/BOM CUM PRICE SCHEDULE FOR PLATE HEAT EXCHANGER PACKAGE

SL. No.	DESCRIPTIONS OF WORKS OR EQUIPMENT	HSN CODE	UOM	TOTAL QTY.	UNIT EX-WORKS PRICE (DULY PACKED) (INR)	TOTAL EX-WORKS PRICE (DULY PACKED) (INR)	RATE OF FREIGHT CHARGES @ % percentage OF TOTAL EX-WORKS	FREIGHT AMOUNT (IN INR)	TOTAL (EX-WORKS PRICE + FREIGHT AMOUNT) (INR)	APPLICABLE RATE OF GST @ %percentage ON (TOTAL EX-WORKS + FREIGHT)	TOTAL GST AMOUNT ON (TOTAL EX-WORKS + FREIGHT) (INR)	TYPE OF GST (IGST/CGST + SGST/UTGST)	TOTAL F.O.R. SITE PRICE (Total EX-Works Amount + Freight Amount + GST Aount) (INR)
A	**Total Price for Design, manufacture, assembly, inspection and testing, at manufacturer's and / or his sub contractor's works, painting, properly packed for transportation and delivery of Plate Heat Exchangers along with mandatory spares complete with all accessories, special tools & tackles (if any), commissioning spares (if any), counter flanges with nuts, bolts, gaskets , coatings (wherever necessary) & PG Test etc. as specified in the Technical specification No. PE-TS-434-179-N002 (Rev 0) .**												
	Break up of 'A' above												
A.1	**PHE for ECW-TG Aux.**	**84195020**	**NOS.**	**9**									
A.2	**PHE for ECW-SG Aux.**	**84195020**	**NOS.**	**6**									
A.3	**PHE for ECW-Common Station Aux.**	**84195020**	**NOS.**	**3**									
A.4	**Lumpsum price for following Mandatory spares (also specified in Data Sheet-A of T/S) Break-up as under:**												
A.4.1	**PHE for ECW-TG Aux.**												
A.4.1.1	**Plates**	84199090	LOT	20% of each type or minimum 03 nos. whichever is higher (Requirement for one PHE)									
A.4.2	**PHE for ECW-SG Aux.**												
A.4.2.1	**Plates**	84199090	LOT	1 Lot comprising 20% of each type									
A.4.2.2	**Gaskets (All type)**	84199090	LOT	1 Lot comprising 30% of total requirement of each type & size (Requirement for one PHE)									
A.4.2.3	**Fasteners**	84199090	LOT	1 Lot comprising 10% each type									
A.4.3	**PHE for ECW-Common Station Aux.**												
A.4.3.1	**Plates**	84199090	LOT	1 Lot comprising 20% of each type									
A.4.3.2	**Gaskets (All type)**	84199090	LOT	1 Lot comprising 30% of total requirement of each type & size (Requirement for one PHE)									

ANNEXURE - I TO NIT NO.: PE/PG/PA1/E-6753/2021, DTD. 24-08-2021

3X800MW PVUNLPATRATU TPP EXPANSION PHASE-I PROJECT(434)

BOQ/BOM CUM PRICE SCHEDULE FOR PLATE HEAT EXCHANGER PACKAGE

SL. No.	DESCRIPTIONS OF WORKS OR EQUIPMENT	HSN CODE	UOM	TOTAL QTY.	UNIT EX-WORKS PRICE (DULY PACKED) (INR)	TOTAL EX-WORKS PRICE (DULY PACKED) (INR)	RATE OF FREIGHT CHARGES @ % percentage OF TOTAL EX-WORKS	FREIGHT AMOUNT (IN INR)	TOTAL (EX-WORKS PRICE + FREIGHT AMOUNT) (INR)	APPLICABLE RATE OF GST @ %percentage ON (TOTAL EX-WORKS + FREIGHT)	TOTAL GST AMOUNT ON (TOTAL EX-WORKS + FREIGHT) (INR)	TYPE OF GST (IGST/CGST + SGST/UTGST)	TOTAL F.O.R. SITE PRICE (Total EX-Works Amount + Freight Amount + GST Aount) (INR)
A.4.3.3	**Fasteners**	84199090	LOT	1 Lot comprising 10% each type									
A.5	**TOTAL PRICE [A.1+A.2+A.3+A.4(Total price of mandatory spares)] i.e. Total Price for Main Supply + Mandatory Sapres**												
B	**Lumpsum Site Performance Testing(PG Test) of all PHE's. (Please note that One Set of service is applicable for One PHE)**	**SAC CODE**	UOM	TOTAL QTY.	UNIT PRICE FOR PG TEST (INR)	TOTAL PRICE FOR PG TEST (INR)				APPLICABLE RATE OF GST @ % percentage on PG Test (INR)	GST AMOUNT	TYPE OF GST (IGST/CGST + SGST/UTGST)	TOTAL PRICE FOR PG TEST WITH GST AMOUNT (INR)
		998335	SET	18									
C	**Grand Total (A.5 +B) - Total Lumpsum Price for Main Supply + Mandatory Spares + PG Test (In Figure)**												
D	**Grand Total (A.5 +B) - Total Lumpsum Price for Main Supply + Mandatory Spares + PG Test (IN Words)**												

NOTES :

1) Bidder to note that total price indicated above at C shall be considered complete in all respect for the full scope defined and considering all terms and conditions agreed.

2) Quantities indicated above shall be known as Order Quantities. The variation in Quantity shall be as per NIT.

3) Unit prices quoted by bidder, as above, shall be binding for any quantity variation.

4) Price of commissioning & erection spares, special tools & tackles and other accessories not listed above shall be included in the price of PHE & shall be supplied with the PHE.

5) Price break up for items not asked are deemed to be included in Sl.no '**A'** of this price schedule.

Notes related to Mandatory Spares:

6) Wherever quantity has been specified as percentage (%), it shall mean percentage (%) of the requirement for one PHE, unless specified otherwise and the fraction will be rounded off to the next higher whole number.

7) Wherever the quantities have been indicated for each type, size etc., these shall cover all the items to be supplied and installed.

8) Any item indicated as 'not applicable' or 'na' in its offer by the bidder and later on during detailed engineering found applicable, same shall be supplied by the bidder without any cost implication to BHEL/Purchaser.

9) PG TEST : Please note that vendor to Complete PG Test for One PHE in One set of Service .

ANNEXURE-IA FOR CIF CONTENTS

PROJECT:- 3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434)

CIF CONTENTS

NIT NO.: PE/PG/PA1/E-6753/2021, DTD. 24-08-2021

HEAT EXCHANGERS (PLATE TYPE)

SL NO.	DESCRIPTION OF EQUIPMENT / CIF ITEM	UOM (Unit of Measurment)	QTY	COUNTRY OF ORIGIN/IMPORT	FOREIGN CURRENCY NAME	UNIT RATE OF ITEM IN FOREIGN CURRENCY	TOTAL CIF CONTENTS IN FOREIGN CURRENCY	RATE OF CUSTOM DUTY IN PERCENTAGE FOR CIF ITEM	CUSTOM DUTY AMOUNT FOR CIF ITEM
TOTAL VALUE OF CIF CONTENTS IN FOREIGN CURRENCY (In Figure)									
TOTAL CIF VALUE IN INDIAN RUPEES (INR) -(In Figure)									

TOTAL VALUE OF CIF CONTENTS IN FOREIGN CURRENCY (In Words) :

TOTAL CIF VALUE IN INDIAN RUPEES (INR) -(In Words) :

ANNEXURE-II NIT NO. PE/PG/PA1/E-6753/2021, DTD. 24-08-2021 : DEVIATION SHEET (COST OF WITHDRAWL)

HEAT EXCHANGERS (PLATE TYPE) for 3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434)

TENDER ENQUIRY REF NO-PE/PG/PA1/E-6753/2021, DTD. 24-08-2021

NAME OF VENDOR:-

SL NO	VOLUME/ SECTION	PAGE NO.	CLAUSE NO.	TECHNICAL SPECIFICATION/ TENDER DOCUMENT	COMPLETE DESCRIPTION OF DEVIATION	COST OF WITHDRAWL OF DEVIATION	REFERENCE OF PRICE SCHEDULE ON WHICH COST OF WITHDRAWL OF DEVIATION IS APPLICABLE	NATURE OF COST OF WITHDRAWL OF DEVIATION (POSITIVE/ NEGATIVE)	REASONS FOR QUOTING DEVIATION	
TECHNICAL DEVIATIONS										

COMMERCIAL DEVIATIONS										
PARTICULARS OF BIDDERS/ AUTHORISED REPRESENTATIVE										
NAME				DESIGNATIONS		SIGN & DATE				

NOTES:

1. Cost of withdrawal of deviation will be applicable on the basic price (i.e. excluding taxes, duties & freight) only.
2. All the bidders have to list out all their Technical & Commercial Deviations (if any) in detail in the above format.
3. Any deviation not mentioned above and shown separately or found hidden in offer, will not be taken cognizance of.
4. Bidder shall submit duly filled unpriced copy of above format indicating "quoted" in "cost of withdrawal of deviation" column of the schedule above along with their Techno-commercial offer, wherever applicable. In the absence of same, such deviation(s) shall not be considered and offer shall be considered in total compliance to NIT.
5. Bidder shall furnish price copy of above format along with price bid.
6. The final decision of acceptance/ rejection of the deviations quoted by the bidder shall be at discretion of the Purchaser.
7. Bidders to note that any deviation (technical/commercial) not listed in above and asked after Part-I opening shall not be considered.

8. For deviations w.r.t. Credit Period, Liquidated damages, Firm prices if a bidder chooses not to give any cost of withdrawal of deviation loading as per Annexure-VII, will apply. For any other deviation mentioned in un-priced copy of this format submitted with Part-I bid but not mentioned in priced copy of this format submitted with Priced bid, the cost of withdrawal of deviation shall be taken as NIL.
9. Any deviation mentioned in priced copy of this format, but not mentioned in the un-priced copy, shall not be considered.
10. All techno-commercial terms and conditions of NIT shall be deemed to have been accepted by the bidder, other than those listed in unpriced copy of this format.
11. Cost of withdrawal is to be given separately for each deviation. In no event bidder should club cost of withdrawal of more than one deviation else cost of withdrawal of such deviations which have been clubbed together shall be considered as NIL.
12. In case nature of cost of withdrawal (positive/negative) is not specified it shall be assumed as positive.
13. In case of discrepancy in the nature of impact (positive/ negative), positive will be considered for evaluation and negative for ordering.

ANNEXURE – IIA TO NIT NO . **PE/PG/PA1/E-6753/2021, DTD. 24-08-2021**

An undertaking regarding 'GeM' Seller ID on company letter head only

(To be provided along with bid)

Reference: **PE/PG/PA1/E-6753/2021, DTD. 24-08-2021**

Item: **HEAT EXCHANGERS (PLATE TYPE)**

Project: **3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434)**

TO WHOM SO IT MAY CONCERN

This is with reference to as per Department of Expenditure (DoE) OM No. 6/9/2020-PPD dt. 24/08/2020, it is mandatory for all the bidders to provide their 'GeM' (Government e-Market Place) Seller ID.

Bidder to Furnish:

(a) Bidder Name:
(b) Bidder 'GeM' Seller ID:

Above information is mandatory, failing which we are unable to place order. Please furnish the same.

Sign & Signature (Authorized Person)

Date:

Place:

ANNEXURE – 3 TO NIT NO . **PE/PG/PA1/E-6753/2021, DTD. 24-08-2021**

An undertaking regarding Model Clauses on company letter head only

(To be provided along with bid)

Reference: **PE/PG/PA1/E-6753/2021, DTD. 24-08-2021**

Item: **HEAT EXCHANGERS (PLATE TYPE)**

Project: **3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434)**

TO WHOM SO IT MAY CONCERN

This is with reference to Ministry of Finance circular dated 23.07.20 reg. restriction under rule 144 (xi) of GFR.

"I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India. I hereby certify that M/s …….. …… is not from such a country and is eligible to be considered/participate in tender enquiry no. : **PE/PG/PA1/E-6753/2021, DTD. 24-08-2021**

Sign & Signature (Not below Director/owner of the company)

Date:

Place:

ANNEXURE – 4
3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434)
HEAT EXCHANGERS (PLATE TYPE)

Letter head of Company

Ref: **PE/PG/PA1/E-6753/2021, DTD. 24-08-2021**

Date: …….

To,
Bharat Heavy Electricals Limited
PEM, PPEI Building, Plot No 25,
Sector -16A, Noida (U.P)-201301

Subject: - Certification regarding local content

Reference: Tender Enquiry No:- **PE/PG/PA1/E-6753/2021, DTD. 24-08-2021**

Name of Package: HEAT EXCHANGERS (PLATE TYPE)

Dear Sir,

We hereby certify that items offered by us of HEAT EXCHANGERS (PLATE TYPE) for 3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434) meets the requirement of minimum local content in line with clause no. 13 of NIT and the Public Procurement (Preference to Make in India), Order 2017 dated-15.06.2017, 28.05.2018 & 29.05.2019, 04.06.20 & 16.09.20.

Bidder to furnish: Percentage of local content quoted in subject offer is : …… .

We further confirm that details of location at which the local value addition is made will be our registered works at……
……
…… (address of the works)

Yours very truly

…….. (authorized signatory of company)

…… (firm name)

authorized signatory
of company

PROJECT: 3 X 800MW PVUNL PATRATU TPP PHASE-I PROJECT (434)
PACAKGE: - HEAT EXCHANGERS (PLATE TYPE)
ANNEXURE -5 TO NIT NO. PE/PG/PA1/E-6753/2021, DTD. 24-08-2021

INSTRUCTIONS TO PACKING LIST&BOM

For faster verification of bills, successful bidder to submit detailed Bill of Material (BOM) at the time of drawings/ documents submission after placement of PO. Each item of the BOM to be uniquely identified with item code no. or item Sl. No. Supplier to ensure that all items which will find separate mention in the packing list are covered in this detailed BOM.

Supplier to also give the following undertaking in the BOM:
"The BOM provided herewith completes the scope (in content and intent) of material supply under PO No. ……. Dated …… Any additional material which may become necessary for the intended application of the supplied items/package will be supplied free of cost in most reasonable time.

Packing List must indicate:

a) Packing size
b) Gross weight and net weight of each package
c) Contents of the package with cross reference to BOM item code no. / Sl. No.
d) Quantity of each items separately.

The packing list must cover all the BOM items.

Supplier to give following undertaking in the packing list:

The Packing list provided herewith is as per BOM approved under PO No. -----

BHEL	**Project Engineering Management, Noida**	Dated: 27.08.2020

Guidelines for Remote Inspection of PEM BOIs

1) OBJECTIVE:

To lay down the procedure for carrying out Remote Inspection of Bought-out Items (BOIs) for PEM suppliers wherever applicable.

2) SCOPE:

It will cover suppliers for packages of PEM BOIs for various project requirements.

Invitation is sent to the suppliers for remote inspection on applications like MS Teams, Webex, etc. by BHEL.

3) MINIMUM REQUIREMENTS AT SUPPLIER'S WORKS:

i. Uninterrupted internet services

ii. Good internet bandwidth (Min 100 Mbps)

iii. Good resolution camera (2 nos) – one preferably CCTV (static at one place) and one hand hold (moving)

iv. Smart phone with minimum 8MPi camera front and back both with optical zoom facility suitable for using web applications like Webex, MicroSoft (MS) Teams, etc.

v. Computer and Scanner with good resolution

vi. Digital signatures of supplier's Quality Engineer

vii. Availability of web applications like Webex, MicroSoft (MS) Teams, as required.

viii. All Test certificates, internal test reports, calibration reports, etc. for the items offered for inspection.

ix. Availability of the above to be submitted to BHEL two days in advance before inspection.

x. Dedicated team from supplier side for facilitating inspection requirements.

xi. For ensuring proper visibility, the suggested Portable lighting sources (torch/ electric LED bulb of minimum 15 W) with no glare is to be ensured at offered job, location for remote inspection/testing. This is to be verified before start of the inspection.

xii. The GPS location co-ordinates or any method to locate inspection location shall be captured indicating the location of the Vendor-Premises of remote inspection/testing.

4) MINIMUM REQUIREMENTS AT BHEL and CUSTOMER LOCATION :

i. Uninterrupted internet services

ii. Suitable internet bandwidth

iii. Digital signatures wherever required.

iv. Availability of web applications like Webex, MS Teams, etc. as required.

Digitally signed by Mohit Kumar Date: 2020.08.27 15:38:23 +05'30'	Digitally signed by RITESH KUMAR JAISWAL Date: 2020.08.27 15:52:34 +05'30'	Digitally signed by Rajesh kumar Date: 2020.08.28 09:01:59 +05'30'
Prepared by	Reviewed by	Approved by
Mohit Kumar, Dy.Mgr/Q&BE	Ritesh Kumar Jaiswal, DGM/Q&BE	Rajesh Kumar, AGM&DH/Q&BE

v. Clearance from customer for conducting remote inspection

5) PROCEDURE:

i. Supplier will raise the inspection call in BHEL - CQIR portal.

ii. Supplier shall ensure availability of minimum requirements at supplier's works as mentioned above at point 3.

iii. Before starting the inspection, the supplier shall submit the documents (TCs, internal test reports and calibration certificates as per approved QAP) two days before the date of inspection for review by BHEL and supplier shall coordinate with BHEL and if found satisfactory, inspection shall be considered for remote.

iv. Prior to commencement of remote inspection a pre inspection meeting shall be organised by BHEL inspector with supplier to ascertain the readiness for remote inspection.

6) During inspection, supplier shall share the location on Google maps for verifying the address of the manufacturer. Location may be captured by BHEL as screenshot.

i. Inspection shall be on the basis of approved Quality Plans and associated reference documents mentioned.

ii. For witnessing inspection, supplier shall bring the mobile video camera near to the surface of the equipment or as per requirement of the inspector for clarity in viewing the test/ equipment which shall be the responsibility of supplier. Supplier shall ensure that proper lighting in available during live video streaming.

iii. Before start of the inspection, inspector shall ensure that all instruments shall have valid calibration report. Supplier shall ensure use of digital instruments preferably for inspection to the extent possible.

iv. Details of suppliers's dedicated team handling the remote inspection shall also be incorporated in the CQIR.

v. All details of inspection/ testing referred documents shall be mentioned in the CQIR. Recording of remote inspection shall be maintained by the BHEL inspector and this recording (unedited) shall be maintained at BHEL system for a minimum period of 3 years or till the warranty period whichever is later.

vi. PEM (Engineering) shall accord final technical clearance, in case of any deviation in inspected item noticed during inspection.

vii. Inspection shall be conducted by PEM-Q&BE assigned inspector along with PEM-Engg (if required). CQIR shall be prepared and maintained by PEM-Q&BE.

viii. PG will issue MDCC on the basis of acceptance of inspected items along with accepted packing photographs as per contract provisions.

7) **UNDERTAKING BY VENDOR:** Material inspected through remote inspections is meeting all technical requirements of BHEL. In case of any discrepancy from the above procedure/ material inspected, if found later, vendor will replace the materials without any cost implication to BHEL.

8) Vendor shall provide the signed and stamped of the above guidelines to BHEL as a token of acceptance.

ANNEXURE - 7 TO NIT NO.: **PPE/PG/PA1/E-6753/2021, DTD. 24-08-2021**

BANK GUARANTEE FOR PERFORMANCE SECURITY

Bank Guarantee No:_____
Date:_____

To,

NAME
& ADDRESSES OF THE BENEFICIARY

Dear Sirs,
In consideration of the Bharat Heavy Electricals Limited 1 (hereinafter referred to as the 'Employer' which expression shall unless repugnant to the context or meaning thereof, include its successors and permitted assigns) incorporated under the Companies Act, 1956 and having its registered office at ……1 through its Unit at..... (name of the Unit) having awarded to (Name of the Vendor / Contractor / Supplier) having its registered office at ……2 hereinafter referred to as the 'Vendor/Contractor/Supplier', which expression shall unless repugnant to the context or meaning thereof, include its successors and permitted assigns), a contract Ref No.....dated .....3 valued at Rs ..... 4 (Rupees ……)/ FC …….. (in words .....) for..... 5 (hereinafter called the 'Contract') and the Vendor/Contractor/Seller having agreed to provide a Contract Performance Guarantee, equivalent to ..... % (.... Percent) of the said value of the Contract to the Employer for the faithful performance of the Contract,

we, ... , (hereinafter referred to as the Bank), having registered/Head office at ..... and inter alia a branch at ..... being the Guarantor under this Guarantee, hereby, irrevocably and unconditionally undertake to forthwith and immediately pay to the Employer a maximum amount Rs …… 6 (Rupees ……..) without any demur, immediately on first demand from the Employer and without any reservation, protest, and recourse and without the Employer needing to prove or demonstrate reasons for its such demand.

Any such demand made on the Bank shall be conclusive as regards the amount due and payable by the Bank under this guarantee. However, our liability under this guarantee shall be restricted to an amount not exceeding Rs. ……

We undertake to pay to the Employer any money so demanded notwithstanding any dispute or disputes raised by the Vendor/Contractor/ Supplier in any suit or proceeding pending before any Court or Tribunal, Arbitrator or any other authority, our liability under this present being absolute and unequivocal.

The payment so made by us under this Guarantee shall be a valid discharge of our liability for payment thereunder and the Vendor/Contractors/Supplier shall have no claim against us for making such payment.

We the ..... bank further agree that the guarantee herein contained shall remain in full force and effect during the period that would be taken for the performance of the said Contract/satisfactory completion of the performance guarantee period as per the terms of the Contract and that it shall continue to be enforceable till all the dues of the Employer under or by virtue of the said Contract have been fully paid and its claims satisfied or discharged.

We ..... BANK further agree with the Employer that the Employer shall have the fullest liberty without our consent and without affecting in any manner our obligations hereunder to vary any of the terms and conditions of the said Contract or to extend time of performance by the said Vendor/Contractor/Supplier from time to time or to postpone for any time or from time to time any of the powers exercisable by the Employer against the said Vendor/Contractor/Supplier and to forbear or enforce any of the terms and conditions relating to the said Contract and we shall not be relieved from our liability by reason of any such variation, or extension being granted to the said Vendor/Contractor/Supplier or for any forbearance, act or omission on the part of the Employer or any indulgence by the Employer to the said Vendor/Contractor/Supplier or by any such matter or thing whatsoever which under the law relating to sureties would but for this provision have effect of so relieving us.

The Bank also agrees that the Employer at its option shall be entitled to enforce this Guarantee against the Bank as a principal debtor, in the first instance without proceeding against the Vendor/Contractor/Supplier and notwithstanding any security or other guarantee that the Employer may have in relation to the Vendor/Contractor/Supplier 's liabilities.

This Guarantee shall remain in force up to and including..... 7 and shall be extended from time to time for such period as may be desired by Employer.

This Guarantee shall not be determined or affected by liquidation or winding up, dissolution or change of constitution or insolvency of the Vendor/Contractor/Supplier but shall in all respects and for all purposes be binding and operative until payment of all money payable to the Employer in terms thereof.

Unless a demand or claim under this guarantee is made on us in writing on or before the .....8 we shall be discharged from all liabilities under this guarantee thereafter.

We, ... BANK lastly undertake not to revoke this guarantee during its currency except with the previous consent

of the Employer in writing.

Notwithstanding anything to the contrary contained hereinabove:

a. The liability of the Bank under this Guarantee shall not exceed 6
b. This Guarantee shall be valid up to 7
c. Unless the Bank is served a written claim or demand on or before…… 8 all rights under this guarantee shall be forfeited and the Bank shall be relieved and discharged from all liabilities under this guarantee irrespective of whether or not the original bank guarantee is returned to the Bank.

We, ……Bank, have power to issue this Guarantee under law and the undersigned as a duly authorized person has full powers to sign this Guarantee on behalf of the Bank.

Dated..... .. For and on behalf of

Place of Issue..... . (Name of the Bank)

1 NAME AND ADDRESS OF EMPLOYER i.e. Bharat Heavy Electricals Limited

2 NAME AND ADDRESS OF THE VENDOR / CONTRACTOR / SUPPLIER.

3 DETAILS ABOUT THE NOTICE OF AWARD/ CONTRACT REFERENCE

4 CONTRACT VALUE

5 PROJECT/SUPPLY DETAILS

6 BG AMOUNT IN FIGURES AND WORDS

7 VALIDITY DATE

8 DATE OF EXPIRY OF CLAIM PERIOD

Note:

1. Units are advised that expiry of claim period may be kept 3-6 months after validity date. It may be ensured that the same is in line with the agreement/ contract entered with the vendor.

2. The BG should be on Non-Judicial Stamp paper/e-stamp paper of appropriate value as per Stamp Act prevailing in the State(s) where the BG is submitted or is to be acted upon or the rate prevailing in the State where the BG was executed, whichever is higher. The Stamp Paper/e-stamp paper shall be purchased in the name of Vendor/Contractor/Supplier/Bank issuing the guarantee.

3. In line with the GCC, SCC and contractual terms, Unit may carry out minor modifications in the Standard BG Formats. If required, such modifications may be carried out after taking up appropriately with the Unit/Region's Law Deptt.

4. In Case of Bank Guarantees submitted by Foreign Vendors-

a) From Nationalized Public Sector *1* Private Sector/ Foreign Banks (BG issued by Branches in India) can be accepted subject to the condition that the Bank Guarantee should be enforceable in the town/ city or at nearest branch where the Unit (New Delhi for POs issued from PEM Noida/ PO issuing agency) is located i.e. Demand can be presented at the Branch located in the town/ city or at nearest branch where the Unit is located.

b) From Foreign Banks (wherein Foreign Vendors intend to provide BG from local branch of the Vendor country's Bank)

b.1 In such cases, in the Tender Enquiry/ Contract itself, it may be clearly specified that Bank Guarantee issued by **any of the Consortium Banks** only will be accepted by BHEL. As such, Foreign Vendor needs to make necessary arrangements for issuance of Counter- Guarantee by Foreign Bank in favour of the Indian Bank's (BHEL's Consortium Bank) branch in India. It is advisable that all charges for issuance of Bank Guarantee/ Counter- Guarantee should be borne by the Foreign Vendor. The tender stipulation should clearly specify these requirements.

b 2 In case, Foreign Vendors intend to provide BG from Overseas Branch of our Consortium Bank (e.g. if a BG is to be issued by SBI Frankfurt), the same is acceptable. However, the procedure at sl.no. b.1 will required to be followed.

b 3 The BG issued may preferably be subject to Uniform Rules for Demand Guarantees (URDG) 758 (as amended from time to time). The BG Format provided to them should clearly specify the same.

or & On behalf of Guarantee issuing bank

(Office Seal)

Name:
E-mail ID:
Contact number:

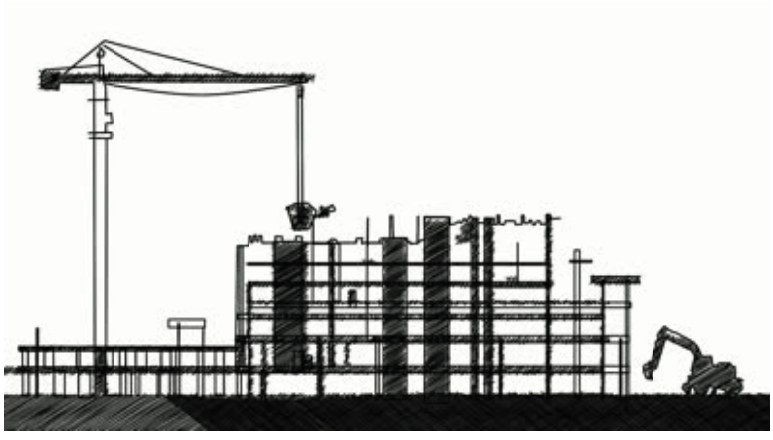
HEALTH, SAFETY and ENVIRONMENT PLAN

for

SITE OPERATIONS

by

SUB-CONTRACTORS



POWER SECTOR

बीएचईएल BHEL	**HEALTH, SAFETY AND ENVIRONMENT PLAN FOR SITE OPERATION by SUBCONTRACTORS**	Doc no.: HSEP: 14 REV: 00
	POWER SECTOR	Date: 12.08.2014

DOCUMENT ISSUE SHEET

	Prepared	Reviewed	Approved
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Designation	Dy. Manager PSHQ(FQA & Safety)	GM PSHQ(FQA & Safety)	ED PSHQ(FQA & Safety)
Signature		A.K. Sinha	
Date	12/8/14	12/8/14	12/8/14

HSE PLAN FOR SITE OPERATIONS BY BHEL'S SUBCONTRACTORS

AT A GLANCE

BEFORE START

SIGNING OF MOU
Agree to comply to HSE requirement- Statutory and BHEL's

PLAN

HSE ORGANISATION	
Manpower • 1 (one) safety officer for every 500 workers or part thereof • 1(one) safety-steward/ supervisor for every 100 workers **Qualification** As per Cl. 7.1	**HSE Roles and responsibilities** • Site In-charge- As per clause 7.2.1 • Safety officer- As per clause 7.2.2

HSE Planning
for Man , Machinery/Equipment/Tools & Tackles

PROVIDE

HSE INFRASTUCTURE	
• PPEs • Drinking Water • Washing Facilities • Latrines and Urinals • Provision of shelter for rest • Medical facilities	• Canteen facilities • Labour Colony • Emergency Vehicle • Pest Control • Scrapyard • Illumination

TRAIN

HSE TRAINING , AWARENESS & PROMOTION	
Training • Induction training • Height work and other critical areas • Tool Box talk & Pep Talk	**Awareness & Promotion** • Signage • Poster • Banner • Competition • Awards

COMMUNICATE

HSE COMMUNICATION	
Incident Reporting • Accident- Fatal & Major • Property damage • Near Miss	**Event Reporting** • Celebrations • Training • Medical camp

EXECUTE SAFELY

OPERATIONAL CONTROL PROCEDURES

PERMIT TO WORK

Height work (above 2 metres), Hot Work, Heavy Lifting, Confined Space, Radiography, excavation(More than 4 metres)

SAFETY DURING WORK EXECUTION

- Welding
- Rigging
- Cylinder- storage & Movement
- Demolition work
- T&Ps
- Chemical Handling
- Electrical works
- Fire
- Scaffolding
- Height work
- Working Platform
- Excavation
- Ladder
- Lifting
- Hoisting appliance

HOUSE KEEPING

WASTE MANGEMENT

TRAFFIC MANAGEMENT

ENVIRONMENTAL CONTROL

EMERGENCY PREPAREDNESS AND RESPONSE PLAN

CHECKS

HSE AUDITS & INSPECTION

- Daily Checks
- Inspection of PPEs
- Inspection of T& Ps
- Inspection of Cranes & Winches
- Inspection of Height work
- Inspection of Welding and Gas cutting
- Inspection of elevators etc

HSE PERFORMANCE EVALUATION PARAMETERS

NON CONFORMANCE

PENALTY for NON CONFORMANCE

Refer Clause 16

Incremental penalty

For repeated violation by the same person, the penalty would be double of the previous penalty

For repeated fatal incident in the same Unit incremental penalty to be imposed. The subcontractor will pay 2 times the penalty compared to previously paid in case there are repeated cases of fatal incidents under the same subcontractor for the same package in the same unit.

1.0 PURPOSE

1.1 The purpose of this HSE Plan is to provide for the systematic identification, evaluation, prevention and control of general workplace hazards, specific job hazards, potential hazards and environmental impacts that may arise from foreseeable conditions during installation and servicing of industrial projects and power plants.

1.2 This document shall be followed by BHEL's subcontractors at all installation and servicing sites. In case customer specific documents are to be implemented, this document will be followed in conjunction with customer specific documents.

1.3 Although every effort has been made to make the procedures and guidelines in line with statutory requirements, in case of any discrepancy relevant statutory guidelines must be followed.

1.4 In case the customer has any specific requirement, the same is to be fulfilled.

2.0 SCOPE

The document is applicable for BHEL's Subcontractors at all installation / servicing activities of BHEL Power Sector as per the relevant contractual obligations.

3.0 OBJECTIVES AND TARGETS

The HSE Plan reflects that BHEL places high priority upon the Occupational Health, Safety and Environment at workplaces.

- Ensure the Health and Safety of all persons at work site is not adversely affected by the work.
- Ensure protection of environment of the work site.
- Comply at all times with the relevant statutory and contractual HSE requirements.
- Provide trained, experienced and competent personnel. Ensure medically fit personnel only are engaged at work.
- Provide and maintain plant, places and systems of work that are safe and without risk to health and the environment.
- Provide all personnel with adequate information, instruction, training and supervision on the safety aspect of their work.
- Effectively control, co-ordinate and monitor the activities of all personnel on the Project sites including subcontractors in respects of HSE.
- Establish effective communication on HSE matters with all relevant parties involved in the Project works.
- Ensure that all work planning takes into account all persons that may be affected by the work.
- Ensure fitness testing of all T&Ps/Lifting appliances like cranes, chain pulley blocks etc. are to be certified by competent person.
- Ensure timely provision of resources to facilitate effective implementation of HSE requirements.
- Ensure continual improvements in HSE performance
- Ensure conservation of resources and reduction of wastage.
- Capture the data of all incidents including near misses, process deviation etc. Investigate and analyze the same to find out the root cause.
- Ensure timely implementation of correction, corrective action and preventive action.

HSE TARGETS

EXPLOSION	**ZERO**
FATALITY	**ZERO**
LOST TIME INJURY	**ZERO**
FIRE	**ZERO**
VEHICLE INCIDENTS	**ZERO**
ENVIRONMENTAL INCIDENTS	**ZERO**

4.0 BHEL POWER SECTOR HEALTH, SAFETY & ENVIRONMENT POLICY

Power Sector HSE Policy

We, at BHEL Power Sector, reaffirm our belief that the Health and Safety of our stakeholders and conservation of Environment is of utmost importance and takes precedence in all our business decisions. In pursuit of this belief and commitment, we strive to:

- ✓ **Ensure total compliance with applicable legislation, regulations and other requirements concerning Occupational Health, Safety and Environment.**
- ✓ **Ensure continual improvement in the Occupational Health, Safety and Environment Management System performance.**
- ✓ **Enhance Occupational Health, Safety and Environment awareness amongst employees, customers and suppliers by proactive communication and training.**
- ✓ **Review periodically and improve Occupational Health, Safety and Environment Management System to ensure its continuing suitability, adequacy and effectiveness in a continuously changing business environment.**
- ✓ **Develop a culture of safety through active leadership and provide appropriate training at all levels to enable employees to fulfill their Health, Safety and Environmental obligations.**
- ✓ **Incorporate appropriate Occupational Health, Safety and Environmental criteria into business decisions for selection of plant, technology and services as well as appointment of key personnel.**
- ✓ **Ensure availability at all times of appropriate resources to fully implement the Occupational Health, Safety and Environmental policy of the company.**

This policy will be communicated to all employees and made available to interested parties.

Sd/-

Date: 01.05.2013

Director (Power)

5.0 MEMORANDUM OF UNDERSTANDING:

After award of work, subcontractors are required to enter into a memorandum of understanding as given below:

Memorandum of Understanding

BHEL, Power Sector _____ Region is committed to Health, Safety and Environment Policy (HSE Policy).

M/s _____ do hereby also commit to comply with the same HSE Policy while executing the Contract Number _____

M/s _____ shall ensure that safe work practices as per the HSE plan. Spirit and content therein shall be reached to all workers and supervisors for compliance.

In addition to this, M/S _____ shall comply to all applicable statutory and regulatory requirements which are in force in the place of project and any special requirement specified in the contract document of the principal customer.

M/s _____ shall co-operate in HSE audits/inspections conducted by BHEL /customer/ third party and ensure to close any non-conformity observed/reported within prescribed time limit.

Signed by authorized representative of M/s ----------

Name :

Place & Date:

6.0 TERMS AND DEFINITIONS

6.1 DEFINITIONS

6.1.1 INCIDENT

Work- related or natural event(s) in which an injury , or ill health (regardless of severity), damage to property or fatality occurred, or could have occurred.

6.1.2 NEAR MISS

An incident where no ill health, injury, damage or other loss occurs, but it had a potential to cause, is referred to as "Near-Miss".

6.1.3 MAN-HOUR WORKED

The total number of man hours worked by all employees including subcontractors working in the premises. It includes managerial, supervisory, professional, technical, clerical and other workers including contract labours. Man-hours worked shall be calculated from the payroll or time clock recorded including overtime. When this is not feasible, the same shall be estimated by multiplying the total man-days worked for the period covered by the number of hours worked per day. The total number of workdays for a period is the sum of the number of men at work on each day of period. If the daily hours vary from department to department separate estimate shall be made for each department and the result added together.

6.1.4 FIRST AID CASES

First aids are not essentially all reportable cases, where the injured person is given medical treatment and discharged immediately for reporting on duty, without counting any lost time.

6.1.5 LOST TIME INJURY

Any work injury which renders the injured person unable to perform his regular job or an alternative restricted work assignment on the next scheduled work day after the day on which the injury occurred.

6.1.6 MEDICAL CASES

Medical cases come under non-reportable cases, where owing to illness or other reason the employee was absent from work and seeks Medical treatment.

6.1.7 TYPE OF INCIDENTS & THEIR REPORTING:

The three categories of Incident are as follows:

Non-Reportable Cases:

An incident, where the injured person is given medical help and discharged for work without counting any lost time.

Reportable Cases:
In this case the injured person is disable for 48 hours or more and is not able to perform his duty.

Injury Cases:
These are covered under the heading of non-reportable cases. In these cases the incident caused injury to the person, but he still continues his duty.

6.1.8 **TOTAL REPORTABLE FREQUENCY RATE**

Frequency rate is the number of Reportable Lost Time Injury (LTI) per one Million Man hours worked. Mathematically, the formula read as:

$$\frac{\text{Number of Reportable LTI}}{\text{Total Man Hours Worked}} \times 1{,}000{,}000$$

6.1.9 **SEVERITY RATE**

Severity rate is the Number of days lost due to Lost Time Injury (LTI) per one Million Man hours worked. Mathematically, the formula reads as:

$$\frac{\text{Days lost due to LTI}}{\text{Total Man Hours Worked}} \times 1{,}000{,}000$$

6.1.10 **INCIDENCE RATE**

Incidence Rate is the Number of LTI per one thousand manpower deployed. Mathematically, the formula reads as:

$$\frac{\text{Number of LTI}}{\text{Average number of manpower deployed}} \times 1000$$

7.0 HSE ORGANISATION

Number of safety officers:
The subcontractor must deploy one safety officer for every 500 workers or part thereof in each package. In addition, there must be one safety-steward/safety-supervisor for every 100 workers.

Deployment: The subcontractor should deploy sufficient safety officers and safety-steward/Safety-supervisor, as per requirement given above, since initial stage and add more in proportion to the added strength in work force. Any delay in deployment will attract a penalty of Rs.30,000/- per man month for the delayed period.

7.1 QUALIFICATION FOR HSE PERSONNEL

Sl.no	Designation	Qualification	Experience
1	Safety officer (Construction Agency)	Degree or Diploma in Engineering with full time diploma in Industrial Safety with construction safety as one of the subjects	Minimum two years for degree holder and five years for diploma holder in the field of Construction of power plant/ major industries

2	Safety-Steward/ Safety-Supervisor	Degree or diploma in any discipline with full time diploma in Industrial Safety with construction safety as one of the subjects	Minimum two years

7.2 RESPONSIBILITIES

7.2.1 **SITE IN -CHARGE OF SUBCONTRACTOR**

- Shall sign Memorandum of Understanding (MoU) for compliance to BHEL's HSE Plan for Site Operations as per clause 5.0
- Shall engage qualified safety officer(s) and steward (s) as per clause 7.0
- Shall adhere to the rules and regulations mentioned in this code, practice very strictly in his area of work in consultation with his concerned engineer and the safety coordinator.
- Shall screen all workmen for health and competence requirement before engaging for the job and periodically thereafter as required.
- Shall not engage any employee below 18 years.
- Shall arrange for all necessary PPEs like safety helmets, belts, full body harness, shoes, face shield, hand gloves etc. before starting the job. Shall ensure that no working men/women carry excessive weight more than stipulated in Factory Rule Regulation R57.
- Shall ensure that all T&Ps engaged are tested for fitness and have valid certificates from competent person.
- Shall ensure that provisions stipulated in contract Labour Regulation Act 1970, Chapter V C.9, canteen, rest rooms/washing facilities to contracted employees at site.
- Shall adhere to the instructions laid down in Operation Control Procedures (OCPs) available with the site management.
- Shall ensure that person working above 2.0 meter should use Safety Harness tied to a life line/stable structure.
- Shall ensure that materials are not thrown from height. Cautions to be exercised to prevent fall of material from height.
- Shall report all incidents(Fatal/Major/Minor/Near Miss)to the Site engineer /HSE officer of BHEL.
- Shall ensure that Horseplay is strictly forbidden.
- Shall ensure that adequate illumination is arranged during night work.
- Shall ensure that all personnel working under subcontractor are working safely and do not create any Hazard to self and to others.
- Shall ensure display of adequate signage/posters on HSE.
- Shall ensure that mobile phone is not used by workers while working.
- Shall ensure conductance of HSE audit, mockdrill, medical camps, induction training and training on HSE at site.
- Shall ensure full co-operation during HQ/External /Customer HSE audits.

- Shall ensure submission of look-ahead plan for procurement of HSE equipment's and PPEs as per work schedule.
- Shall ensure good housekeeping.
- Shall ensure adequate valid fire extinguishers are provided at the work site.
- Shall ensure availability of sufficient number of toilets /restrooms and adequate drinking water at work site and labour colony.
- Shall ensure adequate emergency preparedness.
- Shall be member of site HSE committee and attend all meetings of the committee
- Power source for hand lamps shall be maximum of 24 v.
- Temporary fencing should be done for open edges if Hand – railings and Toe-guards are not available.

7.2.2 **HEALTH, SAFETY AND ENVIRONMENT OFFICER OF SUBCONTRACTOR**

- Carry out safety inspection of Work Area, Work Method, Men, Machine & Material, P&M and other tools and tackles.
- Facilitate inclusion of safety elements into Work Method Statement.
- Highlight the requirements of safety through Tool-box / other meetings.
- Help concerned HOS to prepare Job Specific instructions for critical jobs.
- Conduct investigation of all incident/dangerous occurrences & recommend appropriate safety measures.
- Advice & co-ordinate for implementation of HSE permit systems, OCPs & MPs.
- Convene HSE meeting & minute the proceeding for circulation & follow-up action.
- Plan procurement of PPE & Safety devices and inspect their healthiness.
- Report to PS Region/HQ on all matters pertaining to status of safety and promotional program at site level.
- Facilitate administration of First Aid
- Facilitate screening of workmen and safety induction.
- Conduct fire Drill and facilitate emergency preparedness
- Design campaigns, competitions & other special emphasis programs to promote safety in the workplace.
- Apprise PS– Region on safety related problems.
- Notify site personnel non-conformance to safety norms observed during site visits / site inspections.
- Recommend to Site In charge, immediate discontinuance of work until rectification, of such situations warranting immediate action in view of imminent danger to life or property or environment.
- To decline acceptance of such PPE / safety equipment that do not conform to specified requirements.
- Encourage raising Near Miss Report on safety along with, improvement initiatives on safety.
- Shall work as interface between various agencies such customer, package-in-charges, subcontractors on HSE matters

8.0 PLANNING BY SUBCONTRACTOR

8.1 MOBILISATION OF MACHINERY/EQUIPMENT/TOOLS BY SUBCONTRACTOR

- As a measure to ensure that machinery, equipment and tools being mobilized to the construction site are fit for purpose and are maintained in safe operating condition and complies with legislative and owner requirement, inspection shall be arranged by in-house competent authority for acceptance as applicable.

- The machinery and equipment to be embraced for this purpose shall include but not limited to the following:

  - Mobile cranes.
  - Side Booms.
  - Forklifts.
  - Grinding machine.
  - Drilling machine.
  - Air compressors.
  - Welding machine.
  - Generator sets.
  - Dump Trucks.
  - Excavators.
  - Dozers
  - Grit Blasting Equipment.
  - Hand tools.

- Subcontractor shall notify the engineer, of his intention to bring on to site any equipment or any container, with liquid or gaseous fuel or other substance which may create a hazard. The Engineer shall have the right to prescribe the condition under which such equipment or container may be handled and used during the performance of the works and the subcontractor shall strictly adhere to such instructions. The Engineer shall have the right to inspect any construction tool and to forbid its use, if in his opinion it is unsafe. No claim due to such prohibition will be entertained.

8.2 MOBILISATION OF MANPOWER BY SUBCONTRACTOR

- The subcontractor shall arrange induction and regular health check of their employees as per schedule VII of BOCW rules by a registered medical practitioner.
- The subcontractor shall take special care of the employees affected with occupational diseases under rule 230 and schedule II of BOCW Rules. The employees not meeting the fitness requirement should not be engaged for such job.
- Ensure that the regulatory requirements of excessive weight limit (to carry/lift/ move weights beyond prescribed limits) for male and female workers are complied with.
- Appropriate accommodation to be arranged for all workmen in hygienic condition.

8.3 PROVISION OF PPEs

- Personnel Protective Equipment (PPEs), in adequate numbers, will be made available at site & their regular use by all concerned will be ensured
- The following matrix recommends usage of minimum PPEs against the respective job.

Sl. No	Type of work	PPEs
1	Concrete and asphalt mixing	Nose mask, hand glove, apron and gum boot
2	Welders/Grinders/ Gas cutters	Welding/face screen, apron, hand gloves, nose mask and ear muffs if noise level exceeds 90dB. Helmet fitted with welding shield is preferred for welders
3	Stone/ concrete breakers	Ear muffs, safety goggles, hand gloves
4	Electrical Work	Rubber hand glove, Electrical Resistance shoes
5	Insulation Work	Respiratory mask, Hand gloves, safety goggles
6	Work at height	Double lanyard full body harness, Fall arrestor (specific cases)
7	Grit/Sand blasting	Blast suit, blast helmet, respirator, leather gloves
8	Painting	Plastic gloves, Respirators (particularly for spray painting)
9	Radiography	As per BARC guidelines

- The PPEs shall conform to the relevant standards as below and bear ISI mark.

Relevant is-codes for personal protection

IS: 2925 – 1984	Industrial Safety Helmets.
IS: 4770 – 1968	Rubber gloves for electrical purposes.
IS: 6994 – 1973 (Part-I)	Industrial Safety Gloves (Leather &Cotton Gloves).
IS: 1989 – 1986 (Part-I-II)	Leather safety boots and shoes.
IS: 5557 – 1969	Industrial and Safety rubber knee boots.
IS: 6519 – 1971	Code of practice for selections care and repair of Safety footwear.
IS: 11226 – 1985	Leather Safety footwear having direct molding sole.
IS: 5983 – 1978	Eye protectors.
IS: 9167 – 1979	Ear protectors.
IS: 1179-1967	Eye & Face protection during welding
IS: 3521 – 1983	Industrial Safety Belts and Harness
IS:8519 -1977	Guide for selection of industrial Safety equipment for body protection
IS:9473-2002,14166-1994,14746-1999	Respiratory Protective Devices

The list is not exhaustive. The safety officer may demand additional PPEs based on specific requirement.

- Where workers are employed in sewers and manholes, which are in use, the subcontractor shall ensure that the manhole covers are opened and ventilated at least for an hour before the workers are allowed to get into manhole, and the manholes so opened shall be cordoned off with suitable railing and provided with warning signals or boards to prevent incident to the public
- Besides the PPEs mentioned above, the persons shall use helmet and safety shoe. The visitors shall use Helmet and any other PPEs as deemed appropriate for the area of work.

Colour scheme for Helmets:

1. Workmen: Yellow
2. Safety staff: Green or white with green band
3. Electrician: Red
4. Others including visitors: White

- All the PPEs shall be checked for its quality before issue and the same shall be periodically checked. The users shall be advised to check the PPEs themselves for any defect before putting on. The defective ones shall be repaired/ replaced.
- The issuing agency shall maintain register for issue and receipt of PPEs.
- The Helmets shall have logo or name (abbreviation of agency name permitted) affixed or printed on the front.
- The body harnesses shall be serial numbered.

8.4 ARRANGEMENT OF INFRASTRUCTURE

8.4.1 DRINKING WATER

- Drinking water shall be provided and maintained at suitable places at different elevations.
- Container should be labeled as " Drinking Water"
- Cleaning of the storage tank shall be ensured atleast once in 3 months indicating date of cleaning and next due date.
- Potability of water should be tested as per IS10500 at least once in a year.

8.4.2 WASHING FACILITIES

- In every workplace, adequate and suitable facilities for washing shall be provided and maintained.
- Separate and adequate cleaning facilities shall be provided for the use of male and female workers. Such facilities shall be conveniently accessible and shall be kept in clean and hygienic condition and dully illuminated for night use.
- Overalls shall be supplied by the subcontractor to the workmen and adequate facilities shall be provided to enable the painters and other workers to wash during the cessation of work.

8.4.3 LATRINES AND URINALS

- Latrines and urinals shall be provided in every work place.
- Urinals shall also be provided at different elevations.
- They shall be adequately lighted and shall be maintained in a clean and sanitary condition at all times, by appointing designated person.
- Separate facilities shall be provided for the use of male and female worker if any.

8.4.4 **PROVISION OF SHELTER DURING REST**

Proper Shed & Shelter shall be provided for rest during break

8.4.5 **MEDICAL FACILITIES**

8.4.5.1 **MEDICAL CENTRE (As per Schedule V, X and XI of BOCW central Rules, 1998)**

- A medical centre shall be ensured/identified at site with basic facilities for handling medical emergencies. The medical center can be jointly developed on proportionate sharing basis with permission from BHEL
- A qualified medical professional, not less than MBBS, shall be deployed at the medical centre
- The medical centre shall be equipped with one ambulance, with trained driver and oxygen cylinder.
- Medical waste shall be disposed as per prevailing legislation (Bio-Medical Waste –Management and Handling Rules, 1998)

8.4.5.2 **FIRST AIDER**

- Ensure availability of Qualified First-aider throughout the working hours.
- Every injury shall be treated, recorded and reported.
- Refresher course on first aid shall be conducted as necessary.
- List of Qualified first aiders and their contact numbers should be displayed at conspicuous places.

8.4.5.3 **FIRST AID BOX (as per schedule III of BOCW)**

- The subcontractor shall provide necessary first aid facilities as per schedule III of BOCW. At every work place first aid facilities shall be provided and maintained.

- The first aid box shall be kept by first aider who shall always be readily available during the working hours of the work place.His name and contact no to be displayed on the box.
- The first aid boxes should be placed at various elevations so as to make them available within the reach and at the quickest possible time.
- The first aid box shall be distinctly marked with a Green Cross on white background.

- Details of contents of first aid box is given in Annexure No. 01
- Monthly inspection of First Aid Box shall be carried out by the owner as per format no. HSEP:13-F01
- The subcontractor should conduct periodical first –aid classes to keep his supervisor and Engineers properly trained for attending to any emergency.

8.4.5.4 **HEALTH CHECK UP (As per schedule VII and Form XI)**

The persons engaged at the site shall undergo health checkup as per the format no. HSEP:13-F02 before induction. The persons engaged in the following works shall undergo health checkup at least once in a year:

a. Height workers
b. Drivers/crane operators/riggers

c. Confined space workers
d. Shot/sand blaster
e. Welding and NDE personnel

8.4.6 **PROVISION OF CANTEEN FACILITY**

- Canteen facilities shall be provided for the workmen of the project inside the project site.
- Proper cleaning and hygienic condition shall be maintained.
- Proper care should be taken to prevent biological contamination.
- Adequate drinking water should be available at canteen.
- Fire extinguisher shall be provided inside canteen.
- Regular health check-up and medication to the canteen workers shall be ensured.

8.4.7 **PROVISION OF ACCOMODATION/LABOUR COLONY**

- The subcontractor shall arrange for the accommodation of workmen at nearby localities or by making a labour colony.
- Regular housekeeping of the labour colony shall be ensured.
- Proper sanitation and hygienic conditions to be maintained.
- Drinking water and electricity to be provided at the labour colony.
- Bathing/ washing bay
- Room ventilation and electrification.

8.4.8 **PROVISION OF EMERGENCY VEHICLE**

- Dedicated emergency vehicle shall be made available at workplace by each subcontractor to handle any emergency

8.4.9 **PEST CONTROL**

Regular pest control should be carried out at all offices, mainly laboratories, canteen, labour colony and stores.

8.4.10 **SCRAPYARD**

- In consultation with customer, scrapyard shall be developed to store metal scrap, wooden scrap, waste, hazardous waste.
- Scrap/Waste shall be segregated as Bio-degradable and non-bio-degradable and stored separately.

8.4.11 **ILLUMINATION**

- The subcontractor shall arrange at his cost adequate lighting facilities e.g. flood lighting, hand lamps, area lighting etc. at various levels for safe and proper working operations at dark places and during night hours at the work spot as well as at the pre-assembly area.

- Adequate and suitable light shall be provided at all work places & their approaches including passage ways as per IS: 3646 (Part-II). Some recommended values are given below:

S. No.	Location	Illumination (Lux)
A.	**Construction Area**	
1.	Outdoor areas like store yards, entrance and exit roads	20
2.	Platforms	50
3.	Entrances, corridors and stairs	100
4.	General illumination of work area	150
5.	Rough work like fabrication, assembly of major items	150
6.	Medium work like assembly of small machined parts rough measurements etc.	300
7.	Fine work like precision assembly, precision measurements etc.	700
8.	Sheet metal works	200
9.	Electrical and instrument labs	450
B.	**Office**	
1.	Outdoor area like entrance and exit roads	20
2.	Entrance halls	150
3.	Corridors and lift cars	70
4.	Lift landing	150
5.	Stairs	100
6.	Office rooms, conference rooms, library reading tables	300
7.	Drawing table	450
8.	Manual telephone exchange	200

- Lamp (hand held) shall not be powered by mains supply but either by 24V or dry cells.
- Lamps shall be protected by suitable guards where necessary to prevent danger, in case of breakage of lamp.
- Emergency lighting provision for night work shall be made to minimise danger in case of main supply failure.

If the subcontractor fails to take appropriate safety precautions or to provide necessary safety devices and equipment or to carry out instructions issued by the authorized BHEL official, BHEL shall have the right to take corrective steps at the risk and cost of the subcontractor

9.0 HSE TRAINING& AWARENESS

9.1 HSE INDUCTION TRAINING

All persons entering into project site shall be given HSE induction training by the HSE officer of BHEL /subcontractor beforebeing assigned to work.

In-house induction training subjects shall include but not limited to:

- Briefing of the Project details.
- Safety objectives and targets.
- Site HSE rules.
- Site HSE hazards and aspects.
- First aid facility.
- Emergency Contact No.
- Incident reporting.
- Fire prevention and emergency response.
- Rules to be followed in the labour colony (if applicable)

- Proper safety wear & gear must be issued to all the workers being registered for the induction (i.e., Shoes/Helmets/Goggles/Leg guard/Apron etc.)
- They must arrive fully dressed in safety wear & gear to attend the induction.
- Any one failing to conform to this safety wear& gear requirement shall not qualify to attend.
- On completing attending subcontractor's in-house HSE induction, each employee shall sign an induction training form (format no. HSEP:13-F03) to declare that he had understood the content and shall abide to follow and comply with safe work practices. They may only then be qualified to be issued with a personal I.D. card, for access to the work site.

9.2 HSE TOOLBOX TALK

- HSE tool Box talk shall be conducted by frontline foreman/supervisor of subcontractor to specific work groups prior to the start of work. The agenda shall consist of the followings:
    - Details of the job being intended for immediate execution.
    - The relevant hazards and risks involved in executing the job and their control and mitigating measures.
    - Specific site condition to be considered while executing the job like high temperature, humidity, unfavorable weather etc.
    - Recent non-compliances observed.
    - Appreciation of good work done by any person.
    - Any doubt clearing session at the end.
- Record of Tool box talk shall be maintained as per format no. HSEP:13-F04
- Tool box talk to be conducted at least once a week for the specific work.

9.3 TRAINING ON HEIGHT WORK

Training on height work shall be imparted to all workers working at height by in-house/external faculty at least twice in a year. The training shall include following topics:

- Use of PPEs
- Use of fall arrester, retractable fall arrester, life line, safety nets etc.
- Safe climbing through monkey ladders.
- Inspection of PPEs.
- Medical fitness requirements.
- Mock drill on rescue at height.
- Dos & Don'ts during height work.

9.4 HSE TRAINING DURING PROJECT EXECUTION

- Other HSE training shall be arranged by BHEL/ subcontractor as per the need of the project execution and recommendation of HSE committee of site.
- The topics of the HSE training shall be as follows but not limited to:
    - Hazards identification and risk analysis (HIRA)
    - Work Permit System
    - Incident investigation and reporting
    - Fire fighting
    - First aid
    - Fire-warden training
    - EMS and OHSMS
    - T & Ps fitness and operation

- Electrical safety
- Welding, NDE & Radiological safety
- Storage, preservation & material handling.

- A matrix shall be maintained to keep an up-to-date record of attendance of training sessions carried out.

9.5 HSE PROMOTION-SIGNAGE, POSTERS, COMPETITION, AWARDS ETC

9.5.1 **Display of HSE posters and banners**

- Site shall arrange appropriate posters, banners, slogans in local/Hindi/English languages at work place

9.5.2 **Display of HSE signage**

- Appropriate HSE signage shall be displayed at the work area to aware workmen and passersby about the work going on and do's and don'ts to be followed

9.5.3 **Competition on HSE and award**

- Site will arrange different competition (slogan, poster, essay etc.) on HSE time to time (Safety day, BHEL day, World Environment Day etc.) and winners will be suitably awarded.

9.5.4 **HSE awareness programme**

- Subcontractor shall arrange HSE awareness programme periodically on different topics including medical awareness for all personnel working at site

10.0 HSE COMMUNICATION

10.1 INCIDENT REPORTING

- The subcontractor shall submit report of all incidents, fires and property damage etc to the Engineer immediately after such occurrence, but in any case not later than 24 hours of the occurrence. Such reports shall be furnished in the manner prescribed by BHEL. (Refer HSE procedure for incident investigation, analysis and reporting for details)
- In addition, periodic reports on safety shall also be submitted by the subcontractor to BHEL from time to time as prescribed by the Engineer. Compiled monthly reports of all kinds of incidents, fire and property damage to be submitted to BHEL safety officer as per prescribed formats.
- HSE incidents of site shall be reported to BHEL site Management as per Procedure for Incident Investigation and Reporting in format no. HSEP:14-F15. Corrective action shall be immediately implemented at the work place and compliance shall be verified by BHEL HSE officer and until then, work shall be put on hold by Construction Manager.

10.2 HSE EVENT REPORTING

- Important HSE events like HSE training, Medical camp etc. organized at site shall be reported to BHEL site management in detail with photographs for publication in different in-house magazines
- Celebration of important days like National Safety Day, World Environment Day etc. shall also be reported as mentioned above.

11.0 OPERATIONAL CONTROL

All applicable OCPs (Operational control procedures) will be followed by subcontractor as per BHEL instructions. This will be done as part of normal scope of work. List of such OCPs is given below . In case any other OCP is found to be applicable during the execution of work at site, then subcontractor will follow this as well, within quoted rate. These OCPs (applicable ones) will be made available to subcontractor during work execution at site. However for reference purpose, these are kept with Safety Officer of BHEL at the Power Sector Regional HQ, or available in downloadable format in the website, which may be refereed by subcontractor, if they so desire.

LIST OF OCPs

Safe handling of chemicals	Safety in use of cranes	Hydraulic test
Electrical safety	Storage and handing of gas cylinders	Spray insulation
Energy conservation	Manual arc welding	Trial run of rotary equipment
Safe welding and gas cutting operation	Safe use of helmets	Stress relieving
Fire safety	Good house keeping	Material preservation
Safety in use of hand tools	Working at height	Cable laying/tray work
First aid	Safe excavation	Transformer charging
Food safety at canteen	Safe filling of hydrogen in cylinder	Electrical maintenance
Illumination	Vehicle maintenance	Safe handling of battery system
Handling and erection of heavy metals	Safe radiography	Computer operation
Safe acid cleaning	Waste disposal	Storage in open yard
Safe alkali boil out	Working at night	For sanitary maintenance
Safe oil flushing	Blasting	Batching
Steam blowing	DG set	Piling rig operation
Safe working in confined area	Handling & storage of mineral wool	Gas distribution test
Safe operation of passenger lift, material hoists & cages	Drilling, reaming and grinding(machining)	Cleaning of hotwell / deaerator
Electro-resistance heating	Compressor operation	O&M of control of AC plant & system
Air compressor	Passivation	Safe Loading of Unit
Safe EDTA Cleaning	Safe Chemical cleaning of Pre boiler system	Safe Boiler Light up
Safe Rolling and Synchronisation		

11.1 HSE ACTIVITIES

HSE activities shall be conducted at site based on the HSEMSM developed by Power Sector and issued to site by Regions.
While planning for any activity the following documents shall be referred for infrastructural requirements to establish control measures:

1) HSE Procedure for Register of OHS Hazards and Risks
2) HSE Procedure for Register of Environmental Aspects and Impacts
3) HSE Procedure for Register of Regulations

4) Operational Control Procedures
5) HSE Procedure for Emergency Preparedness and Response Plan
6) Contract documents

11.2 WORK PERMIT SYSTEM

- The following activities shall come under Work Permit System
  a. Height working above 2 metres
  b. Hot working at height
  c. Confined space
  d. Radiography
  e. Excavation more than 4 meter depth
  f. Heavy lifting above 50 ton
  Refer Annexure 05 for Work permit formats.
- "HSE Procedure for Work Permit System" shall be followed while implementing permit system. Where customer is having separate Work Permit System the same shall be followed.
- Permit applicant shall apply for work permit of particular work activity at particular location before starting of the work with Job Hazard Analysis.
- Permit signatory shall check that all the control measures necessary for the activity are in place and issue the permit to the permit holder.
- Permit holder shall implement and maintain all control measures during the period of permit .He will close the permit after completion of the work. The closed permit shall be archived in HSE Department of site.

11.3 SAFETY DURING WORK EXECUTION

Respective OCPS are to be followed and adherence to the same would be contractually binding

11.3.1 WELDING SAFETY

All safety precautions shall be taken for welding and cutting operations as per IS-818. All safety precautions shall be taken for foundation and other excavation marks as per IS-3764.

11.3.2 RIGGING

Rigging equipment shall not be loaded in excess of its recommended safe working load. Rigging equipment, when not in use, shall be removed from the original work area so as not to present a hazard to employees.

11.3.3 CYLINDERS STORAGE AND MOVEMENT

All gas cylinders shall be stored in upright position. Suitable trolley shall be used. There shall be flash-back arrestors conforming to IS-11006 at both cylinder and burner ends. Damaged tube and regulators must be immediately replaced. No of cylinders shall not exceed the specified quantity as per OCP
Cylinders shall be moved by tilting and rolling them on their bottom edges. They shall not be intentionally dragged, struck or permitted to strike each other violently.

When cylinders are transported by powered vehicle they shall be secured in a vertical position.

11.3.4 **DEMOLITION WORK**

Before any demolition work is commenced and also during the process of the work the following shall be ensured:

- All roads and open areas adjacent to the work site shall either be closed or suitably protected.
- No electric cable or apparatus which is liable to be a source of danger nor a cable or an apparatus used by the operator shall remain electrically charged.
- All practical steps shall be taken to prevent danger to persons employed from the risks of fire or explosion or flooding. No floor, roof or other part of the building shall be so overloaded with debris or materials as to render them unsafe.

11.3.5 **T&Ps**

All T&Ps/ MMEs should be of reputed brand/appropriate quality & must have valid test/calibration certificates bearing endorsement from competent authority of BHEL..Subcontractor to also submit monthly reports of T&Ps deployed and validity test certificates to BHEL safety Officer as per the format/procedure of BHEL.

11.3.6 **CHEMICAL HANDLING**

Displaying safe handling procedures for all chemicals such as lube oil, acid, alkali, sealing compounds etc , at work place.Where it is necessary to provide and/or store petroleum products or petroleum mixture & explosives, the subcontractor shall be responsible for carrying out such provision / storage in accordance with the rules & regulations laid down in the relevant petroleum act, explosive act and petroleum and carbide of calcium manual, published by the chief inspector of explosives of India. All such storage shall have prior approval if necessary from the chief inspector of explosives or any other statutory authority. The subcontractor shall be responsible for obtaining the same.

11.3.7 **ELECTRICAL SAFETY**

- Providing adequate no. of 24 V sources and ensure that no hand lamps are operating at voltage level above 24 Volts.
- Fulfilling safety requirements at all power tapping points.
- High/ Low pressure welders to be identified with separate colour clothings. No welders will be deployed without passing appropriate tests and holding valid welding certificates. Approved welding procedure should be displayed at work place.
- The subcontractor shall not use any hand lamp energized by Electric power with supply voltage of more than 24 volts in confined spaces like inside water boxes, turbine casings, condensers etc.
- All portable electric tools used by the subcontractor shall have safe plugging system to source of power and be appropriately earthed. Only electricians licensed by appropriate statutory authority shall be employed by the subcontractor to carry out all types of electrical works. Details of earth resource ad their test date to be given to BHEL safety officer as per the prescribed formats of BHEL
- The subcontractor shall use only properly insulated and armored cables which conform to the requirement of Indian Electricity Act and Rules for all wiring, electrical applications at site.

- BHEL reserves the right to replace any unsafe electrical installations, wiring, cabling etc. at the cost of the subcontractor.
- All electrical appliances used in the work shall be in good working condition and shall be properly earthed.
- No maintenance work shall be carried out on live equipment.
- The subcontractor shall maintain adequate number of qualified electricians to maintain his temporary electrical installations.
- Area wise Electrical safety inspection is to be carried out on monthly basis as per "Electrical Safety Inspection checklist' and the report is to be submitted to BHEL safety officer
- Adequate precautions shall be taken to prevent danger for electrical equipment. No materials on any of the sites of work shall be so stacked or placed as to cause danger or inconvenience to any person or the public
- The subcontractor shall carefully follow the safety requirement of BHEL/ the purchaser with the regard to voltages used in critical areas.

11.3.8 **FIRE SAFETY**

- Providing appropriate fire fighting equipment at designated work place and nominate a fire officer/warden adequately trained for his job.
- Subcontractor shall provide enough fire protecting equipment of the types and numbers at his office, stores, temporary structure in labor colony etc. Such fire protection equipment shall be easy and kept open at all times.
- The fire extinguishers shall be properly refilled and kept ready which should be certified at periodic intervals. The date of changing should be marked on the Cylinders.
- All other fire safety measures as laid down in the "codes for fire safety at construction site" issued by safety coordinator of BHEL shall be followed.
- Non-compliance of the above requirement under fire protection shall in no way relieve the subcontractor of any of his responsibility and liabilities to fire incident occurring either to his materials or equipment or those of others.
- Emergency contacts nos must be displayed at prominent locations
- Tarpaulin being inflammable should not be used (instead, only non infusible covering materials shall be used) as protective cover while preheating, welding, stress relieving etc. at site.

11.3.9 **SCAFFOLDING**

- Suitable scaffolds shall be provided for workman for all works that cannot safely be done from the ground, or from solid construction except in the case of short duration of work which can be done safely from ladders.
- When a ladder is used, it shall be of rigid construction made of steel. The steps shall have a minimum width of 45 cm and a maximum rise of 30 cm. Suitable handholds of good quality wood or steel shall be provided and the ladder shall be given an inclination not steeper then ¼ horizontal and 1 vertical.
- Scaffolding or staging more than 3.6 m above the ground floor, swung or suspended from an overhead support or erected with stationery support shall have a guard rail properly bolted, braced or otherwise secured, at least 90 cm above the floor or platform of such scaffolding or staging and extending along the entire length of the out side and ends thereof with only such openings as may be necessary for the delivery of materials. Such scaffolding or staging shall be so fastened as to prevent it form saver, from swaying, from the building or structure.

11.3.10 **WORK AT HEIGHT**:

- Guardrails and toe-board/barricades and sound platform conforming to IS:4912-1978 should be provided.

- Wherever necessary, life-line(pp or metallic) and fall arrestor along with Polyamide rope or Retractable lifeline should be provided.
- Safety Net as per IS:11057:1984 should be used extensively for prevention/ arrest of men and materials falling from height. The safety nets shall be fire resistant, duly tested and shall be of ISI marked and the nets shall be located as per site requirements to arrest or to reduce the consequences of a possible fall of persons working at different heights.
- Reaching beyond barricaded area without lifeline support, moving with support of bracings, walking on beams without support, jumping from one level to another, throwing objects and taking shortcut must be discouraged.
- Use of Rebar steel for making Jhoola and monkey-ladder (Rods welded to vertical or inclined structural members), temporary platform etc. must be avoided.
- Monkey Ladder should be properly made and fitted with cages.
- Jhoola should be made with angles and flats and tested like any lifting tools before use.
- Lanyard must be anchored always and in case of double lanyard, each should be anchored separately.
- In case of pipe-rack, persons should not walk on pipes and walk on platforms only.
- In case of roof work, walking ladder/ platform should be provided along with lifeline and/ or fall arrestor.
- Empty drums must not be used.
- For chimney or structure painting, both hanging platform and men should be anchored separately to a firm structure alongwith separate fall arrestor. Rope ladder should be discouraged.

11.3.11 **WORKING PLATFORM**

Working platforms, gangways and stairways shall be so constructed that they do not sag unduly or unequally and if the height of the platform gangways provided is more than 3.6 m above ground level or floor level, they shall be closely boarded and shall have adequate width which shall not be less than 750 mm and be suitably fenced as described above. Every opening in the floor or a building or in a working platform shall be provided with suitable means to prevent the fall of persons or materials by providing suitable fencing or railing whose minimum height shall be 90 cm.

11.3.12 **EXCAVATION**

Wherever there are open excavation in ground, they shall be fenced off by suitable railing and danger signals installed at night so as to prevent persons slipping into the excavations.

11.3.13 **LADDER SAFETY**

Safe means of access shall be provided to all working places. Every ladder shall be securely fixed. No portable single ladder shall be over 9 m in the length while the width between side rails in rung ladder shall in no case be less than app. 29.2 cm for ladder upto and including 3 m in length. For longer ladders this width shall be increased at least ¼'' for each additional foot of length.

A sketch of the ladders and scaffolds proposed to be used shall be prepared and approval of the Engineer obtained prior to Construction.

11.3.14 **LIFTING SAFETY**

- It will be the responsibility of the subcontractor to ensure safe lifting of the equipment, taking due precaution to avoid any incident and damage to other equipment and personnel.

- All requisite tests and inspection of handling equipment, tools & tackle shall be periodically done by the subcontractor by engaging only the Competent Persons as per law.
- Defective equipment or uncertified shall be removed from service.
- Any equipment shall not be loaded in excess of its recommended safe working load.

11.3.15 **HOISTING APPLIANCE**

- Motors, gearing, transmission, electric wiring and other dangerous parts of hoisting appliances should be provided with efficient safe guards.
- Hoisting appliance should be provided with such means as will reduce to the minimum the risk of any part of a suspended load becoming incidentally displaced.
- When workers employed on electrical installations which are already energized, insulating mats, wearing apparel, such as gloves, sleeves and boots as may be necessary should be provided.
- The worker should not wear any rings, watches and carry keys or other materials which are good conductor of electricity.

11.4 ENVIRONMENTAL CONTROL

Environment protection has always been given prime importance by BHEL. Environmental damage is a major concern of the principal subcontractor and every effort shall be made, to have effective control measures in place to avoid pollution of Air, Water and Land and associated life. Chlorofluorocarbons such as carbon tetrachloride and trichloroethylene shall not be used. Waste disposal shall be done in accordance with the guidelines laid down in the project specification.

Any chemical including solvents and paints, required for construction shall be stored in designated bonded areas around the site as per Material Safety Data Sheet (MSDS).

In the event of any spillage, the principle is to recover as much material as possible before it enters drainage system and to take all possible action to prevent spilled materials from running off the site. The subcontractor shall use appropriate MSDS for clean-up technique

All subcontractors shall be responsible for the cleanliness of their own areas.

The subcontractors shall ensure that noise levels generated by plant or machinery are as low as reasonably practicable. Where the subcontractor anticipates the generation of excessive noise levels from his operations the subcontractor shall inform to Construction Manager of BHEL accordingly so that reasonable &practicable precautions can be taken to protect other persons who may be affected.
It is imperative on the part of the subcontractor to join and effectively contribute in joint measures such as tree plantation, environment protection, contributing towards social upliftment, conversion of packing woods to school furniture, keeping good relation with local populace etc.
The subcontractor shall carry out periodic air and water quality check and illumination level checking in his area of work place and take suitable control measure.

11.5 HOUSEKEEPING

- Keeping the work area clean/ free from debris, removed scaffoldings, scraps, insulation/sheeting wastage /cut pieces, temporary structures, packing woods etc. will be in the scope of the subcontractor. Such cleanings has to be done by

subcontractor within quoted rate, on daily basis by an identified group. If such activity is not carried out by subcontractor / BHEL is not satisfied, then BHEL may get it done by other agency and actual cost along with BHEL overheads will be deducted from contractor's bill. Such decisions of BHEL shall be binding on the subcontractor

- Proper housekeeping to be maintained at work place and the following are to be taken care of on daily basis.
- All surplus earth and debris are removed/disposed off from the working areas to identified locations.
- Unused/Surplus cables, steel items and steel scrap lying scattered at different places/elevation within the working areas are removed to identified locations.
- All wooden scrap, empty wooden cable drums and other combustible packing materials, shall be removed from workplace to identified locations. Sufficient waste bins shall be provided at
- Different work places for easy collection of scrap/waste. Scrap chute shall be installed to remove scrap from high location.
- Access and egress (stair case, gangways, ladders etc.) path should be free from all scrap and other hindrances.
- Workmen shall be educated through tool box talk about the importance of housekeeping and encourage not to litter.
- Labour camp area shall be kept clear and materials like pipes, steel, sand, concrete, chips and bricks, etc. shall not be allowed in the camp to obstruct free movement of men and machineries.
- Fabricated steel structures, pipes & piping materials shall be stacked properly.
- No parking of trucks/trolleys, cranes and trailers etc. shall be allowed in the camp, which may obstruct the traffic movement as well as below LT/HT power line.
- Utmost care shall be taken to ensure over all cleanliness and proper upkeep of the working areas

11.6 WASTE MANAGEMENT

Take suitable measures for waste management and environment related laws/legislation as a part of normal construction activities. Compliance with the legal requirements on storage/ disposal of paint drums (including the empty ones), Lubricant containers, Chemical Containers, and transportation and storage of hazardous chemicals will be strictly maintained.

11.6.1 BINS AT WORK PLACE

- Sufficient rubbish bins shall be provided close to workplaces.
- Bins should be painted yellow and numbered.
- Sufficient nos. of drip trays shall be provided to collect oil and grease.
- Sufficient qty. of broomsticks with handle shall be provided.
- Adequate strength of employees should be deployed to ensure daily monitoring and service for waste management.

11.6.2 STORAGE AND COLLECTION

- Different types of rubbish/waste should be collected and stored separately.
- Paper, oily rags, smoking material, flammable, metal pieces should be collected in separate bins with close fitting lids.
- Rubbish should not be left or allowed to accumulate on construction and other work places.
- Do not burn construction rubbish near working site.

11.6.3 **SEGREGATION**

- Earmark the scrap area for different types of waste.
- Store wastes away from building.
- Oil spill absorbed by non-combustible absorbent should be kept in separate bin.
- Clinical and first aid waste stored and incinerated separately.

11.6.4 **DISPOSAL**

- Sufficient containers and scrap disposal area should be allocated.
- All scrap bin and containers should be conveniently located.
- Provide self-closing containers for flammable/spontaneously combustible material.
- Keep drainage channels free from choking.
- Make schedule for collection and disposal of waste.

11.6.5 **WARNING AND SIGNS**

- Appropriate sign to be displayed at scrap storage area
- No toxic, corrosive or flammable substance to be discarded into public sewage system.
- Waste disposal shall be in accordance with best practice.
- Comply with all the requirements of Pollution Control Board (PCB) for storage and disposal of hazardous waste.

11.7 TRAFFIC MANAGEMENT SYSTEM

11.7.1 **SAFE WORKPLACE TRANSPORT SYSTEM**

- Traffic routes in a work place shall be suitable for the persons or vehicles using them. This shall be sufficient in number and of sufficient size. This shall reflect the suitability of traffic routes for vehicles and pedestrians.
- Where vehicles and pedestrians use the same traffic routes there shall be sufficient space between them. Where necessary all traffic routes must be suitably indicated. Pedestrians or vehicles must be able to use traffic routes without endangering those at work. There must be sufficient separation of traffic routes from doors, gates and pedestrian traffic routes.
- For internal traffic, lines marked on roads / access routes and between buildings shall clearly indicate where vehicles are to pass.
- Temporary obstacles shall be brought to the attention of drivers by warning signs or hazard cones.
- Speed limits shall be clearly displayed. Speed ramps preceded by a warning signs or marker are necessary.
- The traffic route should be wide enough to allow vehicles to pass and re-pass oncoming or parked traffic and it may be advisable to introduce on-way system or parking restrictions.
- Safest route shall be provided between places where vehicles have to call or deliver.
- Avoid vulnerable areas/items such as fuel or chemicals tanks or pipes, open or unprotected edges and structures likely to collapse

- Safe areas shall be provided for loading and unloading.
- Avoid sharp or blind bends. If this is not possible hazards should be indicated e.g. blind corner.
- Ensure road crossings are minimum and clearly signed.
- Entrance and gateways shall be wide enough to accommodate a second vehicle without causing obstruction.
- Set sensible speed limits which are clearly sign posted.
- Where necessary ramps should be used to retard speed. This shall be preceded by a warning sign or mark on the road.
- Forklift trucks shall not pass over road hump unless of a type capable of doing so.
- Overhead electric cable, pipes containing flammable hazardous chemical shall be shielded by using goal posts height gauge posts or barriers.
- Road traffic signs shall be provided on prominent locations for prevention of incidents and hazards and for quick guidance and warning to employees and public. Safety signs shall be displayed as per the project working requirement and guideline of the state in which project is done. Vehicles hired or used shall not be parked within the 15m radius of any working area. Any vehicle, that is required to be at the immediate/near the vicinity, shall be approved by the person in-charge of the site.

11.7.2 TRAFFIC ROUTE FOR PEDESTRIANS

- Where traffic routes are used by both pedestrians and vehicles road shall be wide enough to allow vehicles and pedestrians safely.
- Separate routes shall be provided for pedestrians to keep them away from vehicles. Provide suitable barriers/guard at entrances/exit and the corners or buildings.
- Where pedestrian and vehicle routes cross, appropriate crossing shall be provided.
- Where crowd is likely to use roadway e.g. at the end of shift, stop vehicles from using them at such times.
- Provide high visibility clothing for people permitted in delivery area.

11.7.3 WORK VEHICLE

Work vehicle shall be as safe stable efficient and roadworthy as private vehicles on public roads. Site management shall ensure that drivers are suitably trained. All vehicle e.g. heavy motor vehicle forklift trucks dump trucks mobile cranes shall ensure that the work equipment conforms to the following:

- A high level of stability.
- A safe means of access/egress.
- Suitable and effective service and parking brakes.
- Windscreens with wipers and external mirrors giving optimum all round visibility.
- Provision of horn, vehicle lights, reflectors, reversing lights, reversing alarms.
- Provision of seat belts.
- Guards on dangerous parts.
- Driver protection - to prevent injury from overturning and from falling objects/materials.
- Driver protection from adverse weather.
- No vehicle shall be parked below HT/LT power lines.
- Valid Pollution Under Control certification for all vehicles

11.7.4 **DAILY CHECK BY DRIVER**

- There should also be daily safety checks containing below mentioned points by the driver before the vehicle is used.
  - Brakes.
  - Tires.
  - Steering.
  - Mirrors.
  - Windscreen waters.
  - Wipers.
  - Warning signals.
  - Specific safety system i.e. control interlocks
- Management should ensure that drivers carry out these checks.

11.7.5 **TRANSPORTATION OF PERSONNEL AND MATERIALS BY VEHICLES**

- All drivers shall hold a valid driving License for the class of vehicle to be driven and be registered as an authorized BHEL driver with the Administration Department.
- Securing of the load shall be by established and approved methods, i.e. chains with patented tightening equipment for steel/heavy loads. Sharp corners on loads shall be avoided when employing ropes for securing.
- All overhangs shall be made clearly visible and restricted to acceptable limits
- Load shall be checked before moving off and after traveling a suitable distance.
- On no account is construction site to be blocked by parked vehicles Drivers of vehicles shall only stop or park in the areas designate by the stringing foreman.
- Warning signs shall be displayed during transportation of material.
  All vehicles used by BHEL shall be in worthy condition and in conformance to the Land Transport requirement.

11.7.6 **MAINTENANCE**

All Vehicles used for transportation of man and material shall undergo scheduled inspections on frequent intervals to secure safe operation. Such inspections shall be conducted in particular for steering, brakes, lights, horn, doors etc. Site management shall ensure that work equipment is maintained in an efficient, working order and in good repair. Inspections and services carried out at regular intervals of time and or mileage. No maintenance shall be carried below HT/LT power lines.

11.8 EMERGENCY PREPAREDNESS AND RESPONSE

- Emergency preparedness and response capability of site shall be developed as per Emergency Preparedness and Response plan issued by Regional HQ
- Availability of adequate number of first aiders and fire warden shall be ensured with BHEL and its subcontractors
- All the subcontractor's supervisory personnel and sufficient number of workers shall be trained for fire protection systems. Enough number of such trained personnel must be available during the tenure of contract. Subcontractor should nominate his supervisor to coordinate and implement the safety measures.
- Assembly point shall be earmarked and access to the same from different location shall be shown
- Fire exit shall be identified and pathway shall be clear for emergency escape.

- Appropriate type and number of fire extinguisher shall be deployed as per Fire extinguisher deployment plan and validity shall be ensured periodically through inspection
- Adequate number of first aid boxes shall be strategically placed at different work places to cater emergency need. Holder of the first aid box shall be identified on the box itself who will have the responsibility to maintain the same.
- First aid center shall be developed at site with trained medical personnel and ambulance
- Emergency contact numbers (format given in EPRP) of the site shall be displayed at prominent locations.
- Tie up with fire brigade shall be done in case customer is not having fire station.
- Tie up with hospital shall be done in case customer is not having hospital.
- Disaster Management group shall be formed at site
- Mock drill shall be arranged at regular intervals. Monthly report of the above to be given to BHEL safety Officer as per prescribed BHEL formats
- Mock drill shall be conducted on different emergencies periodically to find out gaps in emergency preparedness and taking necessary corrective action

12.0 HSE INSPECTION

Inspection on HSE for different activities being carried out at site shall be done to ensure compliance to HSEMS requirements. The subcontractor shall maintain and ensure necessary safety measures as required for inspection and tests HV test, Pneumatic test, Hydraulic test, Spring test, Bend testetc as applicable, to enable inspection agency for performing Inspection. If any test equipment is found not complying with proper safety requirements then the Inspection Agency may withhold inspection, till such time the desired safety requirements are met.

12.1 DAILY HSE CHECKS

Both the Site Supervisors and safety officer of Subcontractor are to conduct daily site Safety inspection around work activities and premises to ensure that work methods and the sites are maintained to an acceptable standard. The following are to form the common subjects of a daily safety inspection:

- Personal Safety wears & gear compliance.
- Complying with site safety rules and permit-to-work (PTW).
- Positions and postures of workers.
- Use of tools and equipment etc. by the workers.

The inspection should be carried out just when work starts in beginning of the day, during peak activities period of the day and just before the day's work ends.

12.2 INSPECTION OF PPE

- PPEs shall be inspected by HSE officer at random once in a week as per format no. HSEP:13-F06 for its compliance to standard and compliance to use and any adverse observation shall be recorded in the PPE register.
- The applicable PPEs for carrying out particular activities are listed below.

12.3 INSPECTION OF T&Ps

- A master list of T&Ps shall be maintained by each subcontractor.
- All T&Ps being used at site shall be inspected by HSE officer once in a month as per format no. HSEP:13-F07 for its healthiness and maintenance.
- The T&Ps which require third party inspection shall be checked for its validity during inspection. The third party test certificate should be accompanied with a copy of the concerned competent person's valid qualification record.
- The validity of T&P shall be monitored as per "Status of T&Ps" format no. HSEP:13-F08

12.4 INSPECTION OF CRANES AND WINCHES

- Cranes and winches shall be inspected by the operator through a daily checklist for its safe condition (as provided by the equipment manufacturer) before first use of the day.
- Cranes and Winches shall be inspected by HSE officer once in a month as per format no. HSEP:13-F09 for healthiness, maintenance and validity of third party inspection.
- The date of third party inspection and next due date shall be painted on cranes and winches.
- The operators/drivers shall be authorized by sub-contractor based on their competency and experience and shall carry the I-card.
- The operator should be above 18 years of age and should be in possession of driving license of HMV man & goods), vision test certificate and should have minimum qualification so that he can read the instructions and check list.

12.5 INSPECTION ON HEIGHT WORKING

- Inspection on height working shall be conducted daily by supervisors before start of work to ensure safe working condition including provision of
    - Fall arrestor
    - Lifelines
    - Safety nets
    - Fencing and barricading
    - Warning signage
    - Covering of opening
    - Proper scaffolding with access and egress.
    - Illumination
- Inspection on height working shall be conducted once in a week by HSE officer as per format no. HSEP:14-F10.
- Medical fitness of height worker shall be ensured.
- Height working shall not be allowed during adverse weather.

12.6 INSPECTION ON WELDING AND GAS CUTTING OPERATION

- Supervisor shall ensure that no flammable items are available in near vicinity during welding and gas cutting activity.
- Gas cylinders shall be kept upright.
- Use of Flash back arrestor shall be ensured at both ends.

- Inspection during welding and gas cutting operations shall be carried out by HSE officer once a month as per format no. HSEP:14-F11.
- Use of fire blanket to be ensured to avoid falling of splatters during welding or gas cutting operation at height.
- Availability of fire extinguisher at vicinity shall be ensured.

12.7 INSPECTION ON ELECTRICAL INSTALLATION / APPLIANCES

- Ensure proper earthing in electrical installation
- Use ELCB at electrical booth
- Electrical installation shall be properly covered at top where required
- Use appropriate PPEs while working
- Use portable electrical light < 24 V in confined space and potentially wet area.
- Monthly inspection shall be carried out as per format no. HSEP:14-F12.

12.8 INSPECTION OF ELEVATOR

- Elevators shall be inspected by concerned supervisors once in a week as per format no. HSEP:14-F13.
- All elevators shall be inspected by competent person and validity shall be ensured.
- The date of third party inspection and next due date shall be painted on elevator.

13.0 HSE PERFORMANCE

HSE performance of the subcontractor shall be monitored as per the following parameters:

Sl. No.	Parameters of measurement
1	Timely deployment of qualified safety officer and cumulative number of days in a month the required no. of qualified safety officer is available
2	Shortfall in number of meetings in the month conducted or attended by the safety officer
3	Level of compliance wrt decisions taken in previous meetings/audit/inspection/as reported.
4	Delay in submission of monthly report on safety in the prescribed format
5	Delay in reporting any incident including near–miss to BHEL /Customer/statutory authority(if required)
6	Degree of PPE non-compliance
7	Non- conducting of health check-up as per BOCW equirements
8	Non availability of proper first-aid facility , ambulance, adequate labour welfare initiatives
9	Non conductance of induction training and tool box meeting
10	Total number of instances in the month, House keeping NOT attended inspite of instructions by BHEL i.e. removal/disposal of surplus earth/ debris/scrap/unused/surplus cable drums/other electrical items/surplus steel items/packing material

- Suitable HSE reward system shall be developed at site level to promote HSE compliance amongst workmen.
- To decide HSE reward performance towards HSE shall be evaluated for workmen and it shall be awarded regularly in public gathering.
- If safety record of the subcontractor in execution of the awarded job is to the satisfaction of safety department of BHEL, issue of an appropriate certificate to recognize the safety performance of the subcontractor may be considered by BHEL after completion of the job.

14.0 HSE PENALTIES

- As per contractual provision HSE penalties shall be imposed on subcontractors for non- compliance on HSE requirement as per format no. HSEP:14-F14. The list in the format is only indicative. For any other violation, not listed in the format, the minimum penalty amount is to be decided as per BOCW act.
- If principal customer/statutory and regulatory bodies impose some penalty on HSE due to the non-compliance of the subcontractor the same shall be passed on to them.
- The penalty amount shall be recovered by Site Finance department from subcontractors from the RA/Final bill.

15.0 OTHER REQUIREMENTS

- In case of any delay in completion of a job due to mishaps attributable to lapses by the subcontractor, BHEL shall have the right to recover cost of such delay from the payments due to the subcontractor, after notifying the subcontractor suitably.
- If the subcontractor fails to improve the standards of safety in its operation to the satisfaction of BHEL after being given reasonable opportunity to do so and/or if the subcontractor fails to take appropriate safety precautions or to provide necessary safety devices and equipment or to carry out instruction regarding safety issued by BHEL, BHEL shall have the right to take corrective steps at the risk and cost of the subcontractor after giving a notice of not less than 7 days indicating the steps that would be taken by BHEL.
- If the subcontractor succeeds in carrying out its job in time without any fatal or disabling injury incident and without any damage to property BHEL may, at its sole discretion, favorably consider to reward the subcontractor suitably for the performance.
- In case of any damage to property due to lapses by the subcontractor, BHEL shall have the right to recover the cost of such damages from the subcontractor after holding an appropriate enquiry.
- The subcontractor shall take all measures at the sites of the work to protect all persons from incidents and shall be bound to bear the expenses of defense of every suit, action or other proceeding of law that may be brought by any persons for injury sustained or death owing to neglect of the above precautions and to pay any such persons such compensation or which may with the consent of the subcontractor be paid to compromise any claim by any such person, should such claim proceeding be filed against BHEL, the subcontractor hereby agrees to indemnify BHEL against the same.
- The subcontractor shall not employ men below the age of 18 years and women on the work of painting with products containing lead in any form. Wherever men above the age of 18 are employed on the work of lead painting, overalls shall be supplied by the subcontractor to the workmen and adequate facilities shall be provided to enable the working painters to wash during the cessation of work.
- The subcontractor shall notify BHEL of his intention to bring to site any equipment or material which may create hazard.
- BHEL shall have the right to prescribe the conditions under which such equipment or materials may be handled and the subcontractor shall adhere to such instructions.

- BHEL may prohibit the use of any construction machinery, which according to the organization is unsafe. No claim for compensation due to such prohibition will be entertained by BHEL.

16.NON COMPLIANCE

NONCONFORMITY OF SAFETY RULES AND SAFETY APPLIANCES WILL BE VIEWED SERIOUSLY AND BHEL HAS RIGHT TO IMPOSE FINES ON THE SUBCONTRACTOR AS UNDER FOR EVERY INSTANCE OF VIOLATION NOTICED:

SN	Violation of Safety Norms	Fine (in Rs)
01	Not Wearing Safety Helmet	200/- *
02.	Not wearing Safety Belt or not anchoring life line	500/-*
03	Not wearing safety shoe	200/-*
04	Not keeping gas cylinders vertically	200/-
05	Not using flash back arrestors	100/-
06	Not wearing gloves	50/- *
07.	Grinding Without Goggles	50/- *
08.	Not using 24 V Supply For Internal Work	500/-
09.	Electrical Plugs Not used for hand Machine	100/-
10.	Not Slinging properly	200/-
11.	Using Damaged Sling	200/-
12.	Lifting Cylinders Without Cage	500/-
13.	Not Using Proper Welding Cable With Lot of Joints And Not Insulated Property.	200/-
14.	Not Removing Small Scrap From Platforms	500/-
15.	Gas Cutting Without Taking Proper Precaution or Not Using Sheet Below Gas Cutting	500/-
16.	Not Maintaining Electric Winches Which are Operated Dangerously	500/-
17.	Improper Earthing Of Electrical T&P	500/-
18	No or improper barricading	500/-
19.	Activity carried out without Safety work permit (Height work, Lifting activity, Hot work-each person/case)	1000/-
20.	Incident Resulting in Partial Loss in Earning Capacity	25,000/- per victim
21.	Fatal Incident Resulting in total loss in Earning Capacity	1,00,000/- per victim for first instance #

- Legend:-

  *: per head. For repeated violation by the same person, the penalty would be double of the previous penalty. Date of "Repeated violation" will be counted from subsequent days.

  #: or as deducted by customer, whichever is higher. For repeated fatal incident in the same Unit incremental penalty to be imposed. The subcontractor will pay 2 times the penalty compared to previously paid in case there are repeated cases of fatal incidents under the same subcontractor for the same package in the same unit.

Any other non-conformity noticed not listed above will also be fined as deemed fit by BHEL. The decision of BHEL engineer is final on the above. The amount will be deducted from running bills of the subcontractor. The amount collected above will be utilized for giving award to the employees who could avoid incident by following safety rules. Also the amount will be spent for purchasing the safety appliances and supporting the safety activity at site.

17.0 HSE AUDIT/INSPECTION

- Regular HSE Audit/inspection shall be carried out by Subcontractor as per Site HSE audit calendar.
- HSE checklist**(Annexure 02)**shall be used for carrying out audit/inspection and report shall be submitted to BHEL sitemangement
- All non-conformities and observations on HSE identified during internal or external HSE audit shall be disposed off by site in a time bound manner and reported back the implementation status
- Corrective action and Preventive action on HSE issues raised by certification body issued by Regional HQs shall be implemented by site and reported to Site management.

18.0 MONTHLY HSE REVIEW MEETING

- Site shall hold HSE review meeting every month to discuss and resolve HSE issues of site and improve HSE performance. It will also discuss the incidents occurred since previous meeting,its root causeand Corrective action and Preventive action.The agenda is given below:
  - Implementation of earlier MOM
  - HSE performance
  - HSE inspection
  - HSE audit and CAPA
  - HSE training
  - Health check-up camp
  - HSE planning for the erection and commissioning and installation activities in the coming month
  - HSE reward and promotional activities
- The meeting shall be chaired by Construction Manager, convened by HSE coordinator and attended by all HOS, Site Incharge of Subcontractors and HSE officer of Subcontractors.
- MOM on the discussion will be circulated to the concerned for implementation.

19.0 FORMATS USED(Details available in Annexure-04)

SL. No.	Format Name	Format No.	Rev No.
01	Inspection of First Aid Box	HSEP:13-F01	00
02	Health Check Up	HSEP:13-F02	00
03	HSE Induction Training	HSEP:13-F03	00
04	Tool Box Talk	HSEP:13-F04	00
05	Monthly Site HSE Report	HSEP:13-F05	00
06	Inspection of PPE	HSEP:13-F06	00

07	Inspection of T&Ps	HSEP:13-F07	00
08	Status of T&Ps	HSEP:13-F08	00
09	Inspection of Cranes and Winches	HSEP:13-F09	00
10	Inspection on Height Working	HSEP:13-F10	00
11	Inspection on Welding & Gas Cutting	HSEP:13-F11	00
12	Inspection on Electrical Installation	HSEP:13-F12	00
13	Inspection on Elevator	HSEP:13-F13	00
14	HSE Penalty	HSEP:13-F14	00
15	Accident /incident / property damage /fire incident report	HSEP:13-F15	00

20.0 ANNEXURES

ANNEXURE 01

As per Contract Labour (Regulation & Abolition Act), Central Rules, 1971,

(1) The first-aid box shall be distinctively marked with a Red Cross on a white background and shall contain the following items, namely:

(a) For establishments in which the number of contract labour employed does not exceed fifty, each first aid box shall contain the following equipment:

(i)	6 small sterilized dressings
(ii)	3 medium size sterilized dressings
(iii)	3 large size sterilized dressings
(iv)	6 pieces of sterilized eye pads in separate sealed packets.
(v)	6 roller bandages 10 cm wide.
(vi)	6 roller bandages 5 cm wide.
(vii)	One tourniquet
(viii)	A supply of suitable splints
(ix)	Three packets of safety pins.
(x)	Kidney tray.
(xi)	3 large sterilized burn dressings.
(xii)	1 (30ml) bottle containing a two percent alcoholic solution of iodine
(xiii)	1 (30 ml) bottle containing Sal volatile having the dose and mode of administration indicated on the label
(xiv)	1 snake bite lancet
(xv)	1 (30gms) bottle of potassium permanganate crystals.
(xvi)	1 pair scissors
(xvii)	1 copy of the First-Aid leaflet issued by the Director General, Factory Advice Service and Labour Institutes, Government of India.
(xviii)	A bottle containing 100 tablets (each of 5 grains) of aspirin
(xix)	Ointment for burns
(xx)	A bottle of suitable surgical anti-septic solution

(b) For establishment in which the number of contract labour exceeds fifty each first-aid box shall contain the following equipment:

(i)	12 small sterilized dressings
(ii)	6 medium size sterilized dressings
(iii)	6 large size sterilized dressings.
(iv)	6 large size sterilized burn dressings
(v)	6 (15 grams) packets sterilized cotton wool
(vi)	12 pieces of sterilized eye pads in separate sealed packets.

(vii)	12 roller bandages 10 cm wide.
(viii)	12 roller bandages 5 cm wide.
(ix)	One tourniquet.
(x)	A supply of suitable splints.
(xi)	Three packets of safety pins.
(xii)	Kidney tray.
(xiii)	Sufficient number of eye washes bottles filled with distilled water or suitable liquid clearly indicated by a distinctive sign which shall be visible at all times.
(xiv)	4 per cent Xylocaine eye drops, and boric acid eye drops and soda by carbonate eye drops.
(xv)	1 (60ml) bottle containing a two percent alcoholic solution of iodine
(xvi)	One (two hundred ml) bottle of mercurochrome (2 per cent) solution in water.
(xvii)	1 (120ml) bottle containing Sal volatile having the dose and mode of administration indicated on the label.
(xviii)	1 roll of adhesive plaster (6 cmX1 meter)
(xix)	2 rolls of adhesive plaster (2 cmX1 meter)
(xx)	A snake bite lancet.
(xxi)	1 (30 grams) bottle of potassium permanganate crystals.
(xxii)	1 pair scissors
(xxiii)	1 copy of the First-Aid leaflet issued by the Director-General, Factory Advice service and labour Institutes, Government of India.
(xxiv)	a bottle containing 100 tablets (each of 5 grains) of aspirin
(xxv)	Ointment for burns
(xxvi)	A bottle of a suitable surgical anti septic solution.

(2) Adequate arrangement shall be made for immediate recoupment of the equipment when necessary.

ANNEXURE 02

HSE AUDIT/INSPECTION CHECKLIST CUM COMPLIANCE REPORT

PROJECT:_____ SUBCONTRACTOR:_____

DATE : _____ OWNER :_____

INSPECTION BY:_____

Note : write 'NA' wherever the items is not applicable

Item	Yes	No	Remarks	Action
HOUSEKEEPING				
Waste containers provided and used				
Passageways and walkways clear				
General neatness of working area				
Other				
PERSONNELPROTECTIVEEQUIPTMENTS				
Goggles; shields				
Face protection				
Hearing protection				
Respiratory masks etc.				
Safety belts				
Other				
EXCAVATIONS / OPENINGS				
Openings properly covered or barricaded				
Excavations shored				
Excavations barricaded				
Overnight lighting provided				
Other				
WELDING, CUTTING				
Gas cylinders chained upright				
Cable and hoses not obstructing				
Fire extinguisher (s) accessible				
Others				
SCAFFOLDING				
Fully decked platforms				
Guard and intermediate rails in place				
Toe boards in place				
Adequate shoring				
Adequate access				
Others				
LADDER				
Extension side rails 1 m above				
Top of landing				
Properly secured				

Angle ± 70^0 from horizontal				
Other				
HOISTS, CRANES AND DERRICKS				
Condition of cables and sheaf OK				
Condition of slings, chains, hooks OK				
Inspection & maintenance log maintained				
Outriggers used				
Signals observed and understood				
Qualified operators				
Others				
MACHINERY, TOOLS & EQUIPMENT				
Proper instruction				
Safety devices				
Proper cords				
Inspection and maintenance				
Other				
VEHICLE AND TRAFFIC				
Rules and regulations observed				
Inspection and maintenance				
Licensed drivers				
Other				
TEMPORARY FACILITIES				
Emergency instructions posted				
Fire extinguishers provided				
Fire-aid equipment available				
General neatness				
Others				
FIRE PREVENTION				
Personnel instructed				
Fire extinguishers checked				
No smoking in prohibited areas.				
Hydrants				
Clearance				
Others				
ELECTRICAL				
Proper wiring				
ELCB's provided				
Ground fault circuit interrupters				
Protection against damage				
Prevention of tripping hazards				
Other				
HANDLING & STORAGE OF MATERIALS				
Properly stored or stacked				
Passageways clear				
Other				
FLAMMABLE GASES AND LIQUIDS				
Containers clearly identified				
Proper storage				
Fire extinguisher nearby				

Other				
WORKING AT HEIGHT				
Safety nets				
Safety belts				
Safety helmets				
Anchoring of safety belt to the life line rope				
ENVIRONMENT				
Lubricant waste/engine oils properly dispose.				
Waste from Canteen, offices, sanitation etc. disposed properly.				
Disposal of surplus earth, stripping materials, expired batteries, oily rags and combustible materials done properly.				
HEALTH CHECKS				
Hygienic conditions at labor camps O.K.				
Availability of first-aid facilities				
Proper sanitation at site, office & labor camps.				
Arrangement of medical facilities.				
Measures for dealing with illness.				
Availability of potable drinking water for workmen & staff.				
Provision of crèches for children.				

ANNEXURE 03

REFERENCES

- Contract documents
- Relevant legislations
- HSEMSM
- Relevant Indian standards as listed below (illustrative only):

SL NO	CODE NAME	TITLE
(1)	IS : 818-1888 (Reaffirmed 2003)	Code of Practice for safety and health requirements in Electric and Gas Welding and Cutting operations.
(2)	IS: 1179-1967 (Reaffirmed 2003)	Specification for Equipment for Eye & Face protection during welding.
(3)	IS : 1989 (Part 2):1986 (Reaffirmed 1997)	Specification for Leather Safety Boots & Shoes
(4)	IS:2925 – 1984 (Reaffirmed 2010)	Specification for Industrial Safety Helmets
(5)	IS:3521 : 1999 (Reaffirmed 2002)	Industrial Safety Belts & Harnesses-Specification
(6)	IS:3646(Part II) – 1966 (Reaffirmed 2003)	Code of Practice for Interior Illumination
(7)	IS:3696 (Part I) – 1987 (Reaffirmed 2002)	Safety Code for Scaffolds and Ladders
(8)	IS: 3696(Part 2) : 1991 (Reaffirmed 2002)	Scaffolds and Ladders-Code of Safety
(9)	IS:3786 – 1983 (Reaffirmed 2002)	Method for Computation of Frequency and Severity Rates for Industrial Injuries and Classification of Industrial Incidents
(10)	IS:4770 : 1991 (Reaffirmed 2006)	Rubber Gloves – Electricals purposes-Specification
(11)	IS:4912 : 1978 (Reaffirmed 2002)	Safety Requirements for Floor and Wall Openings, Railings and Toe Boards
(12)	IS: 5983 – 1980 (Reaffirmed 2002)	Specification for Eye-Protectors
(13)	IS:6519 – 1971 (Reaffirmed 1997)	Code of Practice for Selection, Care and Repair of Safety Footwear
(14)	IS:9167:1979	Specification for Ear-Protectors
(15)	IS:6994(Part I)-1973 (Re affirmed 1996)	Specification for Industrial Safety Gloves Leather and Cotton Gloves
(16)	IS:8519 – 1977 (Reaffirmed 1983)	Guide for Selection of Industrial Safety Equipment for Body Protection.
(17)	IS 11006 : 2011	Flash Back(Flame Arrestor) Specification

(18)	IS:8520 – 1977 (Reaffirmed 2002)	Guide for Selection of Industrial Safety Equipment for Eye, Face and Ear Protection.
(19)	IS:9473:2002	Respiratory Protective Devices-Filtering Half Masks to protect against Particles-Specification.
(20)	IS:9944:1992 (Reaffirmed 2003)	Natural and Man-made Fiber Rope Slings-Recommendations on Safe working loads.
(21)	IS:11057 – 1884 (Reaffirmed 2001)	Specification for Industrial Safety Nets
(22)	IS:12254:1993 (Reaffirmed 2002)	Polyvinyl Chloride (PVC) Industrial Boots-Specification
(23)	IS:13367(Part 1):1992 (Reaffirmed 20030	Safe Use of Cranes-Code of Practice
(24)	IS:14166:1994 (Reaffirmed 2002)	Respiratory Protective Devices-Full Face Masks Specification
(25)	IS:14746 : 1999 (Reaffirmed 2003)	Respiratory Protective Devices-Half Masks and Quarter Masks - Specification
(26)	IS : 15397 :2003 (Reaffirmed 2008)	Portable Extinguisher Mechanical Foam Type(Stored Pressure)-Specification
(27)	IS: 19011:2002	Guidelines for Quality and/or Environmental Management Systems Auditing

ANNEXURE 04 : SAFETY FORMATS
&
ANNEXURE 05 : WORK PERMIT FORMATS

बी एच ई एल BHEL	**POWER SECTOR** **INSPECTION OF FIRST AID BOX**	FORMAT NO: HSEP:13-F01 REV NO.: 00 PAGE NO. 01 OF 02

Name of Site :	
Name of Sub-Contractor :	
Inspected by :	
Date of Inspection :	

Number of employees on the site:-_____

Sl.No.	Item	No. Available	Remarks
1	No. of small sterilized dressings		
2	No of medium sized sterilized dressings		
3	No of large sized sterilized dressings.		
4	No of large sized sterilized burn dressings		
5	No of (15 grams) packets sterilized cotton wool		
6	No of pieces of sterilized eye pads in separate sealed packets.		
7	No of roller bandages 10 cm wide.		
8	No of roller bandages 5 cm wide.		
9	Whether tourniquet available		
10	Whether supply of suitable splints available.		
11	No of packets of safety pins.		
12	Whether kidney tray available		
13	Whether sufficient number of eye wash bottles, filled with distilled water or suitable liquid, clearly indicated by a distinctive sign which shall be visible at all times, available.		
14	Whether 4%-xylocaine eye drops, and boric acid eye drops and soda by carbonate eye drops available.		
15	Whether (60ml) bottle containing a two percent alcoholic solution of iodine available		
16	Whether (two hundred ml) bottle of mercurochrome (2 per cent) solution in water available.		

Sl.No.	Item	No. Available	Remarks
17	Whether 120ml bottle containing Sal volatile having the dose and mode of administration indicated on the label, available.		
18	Whether roll of adhesive plaster (6 cmX1 meter) available		
19	No of rolls of adhesive plaster (2 cmX1 meter)		
20	Whether snake bite lancet available.		
21	Whether (30 grams) bottle of potassium permanganate crystals available.		
22	Whether a pair scissors available		
23	Whether copy of the First-Aid leaflet issued by the Director-General, Factory Advice service and labour Institutes, Government of India available.		
24	Whether bottle containing 100 tablets (each of 5 grains) of aspirin available		
25	Whether Ointment for burns available		
26	Whether bottle of a suitable surgical anti septic solution available		

Signature of Subcontractor's Site I/C::

बी एच ई एल BHEL	**POWER SECTOR** **HEALTH CHECK UP**	FORMAT NO: HSEP:13-F02 REV NO.: 00 PAGE NO. 01 OF 02

Name of Site :	
Name of Sub-Contractor :	
Name of Employee :	

NAME:

History Of Past Illness	H/O Epilepsy
	H/O Drug Allergy
	H/O Diabetics/ Hypertension
	H/O Unconsciousness
Personal History	

EXAMINATION		**OBSERVATION**	
General Physical Examination			
Height	:		
Weight	:		
BMI	:		
Built And nourishment	:		
Pallor	:		
Temperature	:		
Chest Expansion	:	Inspiration	Expansion
Lymph Node Enlargement	:		
Ear, Nose, Throat	:		
Ear	:		
Nose	:		
Throat	:		

EXAMINATION		OBSERVATION	
Cardiovascular System Examination :			
Inspection	:		
Palpation	:	Pulse	BP
Auscultation (Heart Sounds)	:		
Respiratory System :			
Inspection	:	Respiratory Rate	
Palpation:	:		
Percussion	:		
Auscultation (Breath Sounds)	:		
Examination of Abdomen	:		
Inspection	:		
Palpation	:		
Auscultation (Bowel Sounds)	:		
Any Other	:		
Clinical Impression			

Signature of the examining doctor

	POWER SECTOR **HSE INDUCTION TRAINING**	FORMAT NO: HSEP:13-F03 REV NO.: 00 PAGE NO. 01 OF 01

Name of Site :	
Name of Sub-Contractor :	
Date :	
Name of Training Co-ordinator	

Sl No.	**Name**	**Designation**	**Organisation**	**Signature**

Signature of Training co-ordinator :

	POWER SECTOR	FORMAT NO: HSEP:13-F04 REV NO.: 00 PAGE NO. 01 OF 01
	TOOL-BOX TALK	

Name of Site :	
Sub-Contractors Name :	
Date :	

Topic	**Name of person delivered Tool Box Talk**	**No. of Participants attended**	**Remarks**

Signature of Site I/C of Subcontractor :

	POWER SECTOR **PERSONAL PROTECTIVE EQUIPMENTS**	FORMAT NO: HSEP:13-F06 REV NO.: 00 PAGE NO. 01 OF 01

Name of Site :	
Name of Sub-Contractor :	
Inspected by :	
Date of Inspection :	

Item	**Issued this Month**	**Nos. Issued up to the Month**	**Percentage of usage at site**
Safety Helmet			
Safety Shoes			
Full Body Harness			
Fall Arrestor			
Safety Nets			
Other PPEs.			

Signature of Site I/C of Subcontractor :

बी एच ई एल BHEL	**POWER SECTOR** **INSPECTION OF T&Ps**	FORMAT NO: HSEP:13-F07 REV NO.: 00 PAGE NO. 01 OF 01

Name of Site :	
Name of Sub-Contractor :	
Date of Inspection :	

Sl.No.	Description	Remarks	
1.0	Name of equipment		
2.0	Basic Information of equipment		
2.1	Specification		
2.2	Sr. No. of equipment		
2.3	Make		
2.4	Year of manufacture		
3.0	Major repairs / overhauls(Furnish details of work carried out)		Date(s) of major repair/overhaul
3.1			
3.2			
3.3	Repairs carried out at site		
4.0	Any performance test conducted	Yes/No	
5.0	Document Submitted	Yes/No	
6.0	Manufacturer's test / guarantee certificate	Available/ Not available	
7.0	Performance test	Done/ Not Done	
8.0	Acceptance Norms		
9.0	Committee Observations		
10.0	Date of next review (if accepted)		

Signature-Site Safety Officer (BHEL)	**Signature-Subcontractor/ Subcontractor's Safety Officer**

	POWER SECTOR **STATUS OF T&Ps**	FORMAT NO: HSEP:13-F08 REV NO.: 00 PAGE NO. 01 OF 01

Name of Site	
Name of Sub-Contractor	
Date of Inspection	

Item	**Nos. Deployed**	**Identification No.**	**Nos. Tested by competent person**	**Validity of Test Certificate**
Winches				
Chain Blocks				
Wire Rope Slings				
Man Cages				
D-Shackles				
Air Compressors				
Crawler Cranes				
Mobile Cranes				
Hydra Cranes				
Others				

Signature of Site I/C of subcontractor :

बी एच ई एल BHEL	**POWER SECTOR** **INSPECTION OF CRANES AND WINCHES**	FORMAT NO: HSEP:13-F09 REV NO.: 00 PAGE NO. 01 OF 03

Name of Site :	
Name of Sub-Contractor :	
Inspected by :	
Date of Inspection:	

Crane Reg. No (Make/Model) _____

Name of Driver/Operator _____

Sl.no.	Description	Observation	Measures
1	Valid Driving license		
2	Hook & Hook Latch		
3	Over Hoist limit switch		
4	Boom limit switch		
5	Boom Angle Indicator		
6	Boom limit cutoff switch		
7	Condition of Boom		
8	Condition of ropes		
9	Number of load lines		
10	Size and condition of the slings		
11	Stability of the cranes		
12	Soil Condition		
13	Swing Break And Lock		
14	Proper Break And Lock		
15	Hoist Break And Lock		
16	Boom Break And Lock		
17	Main Clutch		
18	Leakage in Hydraulic Cylinders		
19	Out riggers filly extendable		
20	Tyre pressure		
21	Condition of Battery And Lamps		

Sl.no.	Description	Observation	Measures
22	Guards of moving and rotating parts		
23	Load chart provided		
24	Number and position of pedant ropes		
25	Reverse Horn		
26	Load Test Details		
27	Operator's fitness		
28	Pollution under control certificate		
29	Fire extinguisher of appropriate type.		
30	Training of the operator		

WINCH

Sl. No.	Description	YES	NO	NA	Remarks
1	Has the copy of Third Party Inspection certificate been provided in winch machine shed?				
2	Is winch machine operator experienced enough to operate the winch machine?				
3	Is the winch machine operated by someone other than the winch machine operator?				
4	Is there guard provided in all moving parts like wheel and motor's shaft?				
5	Will it protect against unforeseen operational contingencies?				
6	Are brakes, clutch and locking arrangement working properly?				
7	Has it been ensured that the guard does not constitute a hazard by itself?				
8	Are the cranks and the connecting rods protected by guardrails?				
9	Is there provision for fully covered shed with wooden plank roof?				

Sl. No.	Description	YES	NO	NA	Remarks
10	Is wire rope free from any kind of damage or wear and tear?				
11	Is split pin provided for the protection of clutch and brake locking arrangement?				
12	Is pulley inspected by competent person and certified before use?				
13	Is pulley free from any wear and tear visually?				
14	Is winch rope barricaded with clipsheet for the protection of rope and person?				
15	Is the wire rope lubricated by cardium oil?				
16	Is there any friction in wire rope which may damage the wire rope rather than the rolling parts?				
17	Is there any oil leakage in the hydraulic system of the winch machine?				
18	Has it been ensured that the guard will not cause discomfort or inconvenience to operator?				
	Total Number of NO:				
	Total Number of NA:				
	% Compliance :				

Signature of Site I/C of subcontractor :

	POWER SECTOR	FORMAT NO: HSEP:13-F10 REV NO.: 00 PAGE NO. 01 OF 02
	INSPECTION OF HEIGHT WORKING	

Name of Site :	
Name of Sub-Contractor :	
Inspected by :	
Date of Inspection:	

Sl. No.	Descriptions	Observation (Yes/No)	Remarks
1	All the workers have been explained safe work method?		
2	An established communication system has been established and explained to the workers.		
3	Adequate illumination has been ensured.		
4	Work area inspected prior to the start of the work.		
5	Area below the work place barricaded, particularly below hot work.		
6	Workers provided with bags /box to carry bolts, nuts and hand tools		
7	Arrangement for fastening hand tools made.		
8	All work platforms ensured to be of adequate strength and ergonomically suitable.		
9	Fabricated makeshift arrangements are checked for quality and type of material welding, anchoring etc.		
10.	Work at more than one elevation at the same segment is restricted.		
	ACCESS/EGRESS		
1	Walkways provided with handrail, mid-rail and toe guard?		
2	All checkered plates, gratings properly welded/ bolted?		
3	Are ladders inspected and they are in good condition?		
4	Are ladders spliced?		
5	Are ladders properly secured to prevent slipping, sliding or falling?		
6	Do side rails extend 36” above top landing?		
7	Are built up ladders constructed of sound materials?		

Sl. No.	Descriptions	Observation (Yes/No)	Remarks
8	Are rugs and cleats not over 12" on center?		
9	Metal ladders not used around electrical hazards.		
10	Proper maintenance and storage.		
11	Ladders placed at right slope.		
12	Ladders / staircases welded/ bolted properly.		
13	Any obstruction in the stairs.		
14	Are landing provided with handrails, knee rails, toe boards etc.?		
15	Whether ramp is provided with proper slope.		
16	Proper hand rails / guards provided in ramps.		
	Housekeeping		
1	Walkways, aisles & all overhead workplaces cleared of loose material.		
2	Flammable materials, if any, are cleared.		
3	All the de shuttering materials are removed after de shuttering is done.		
4	Platforms and walkways free from oil/grease or other slippery material.		
5	Collected scrap are brought down or lowered down and not dropped from height.		
	PPE And Safety Devices		
1	Use of safety helmet, safety belts ensured for all workers		
2	Anchoring points provided at all places of work.		
3	Common lifeline provided wherever linear movement at height is required.		
4	Safety nets are use wherever required.		
5	Proper fall arrest system is deployed at critical workplaces.		
6	Crawler boards/Safety system or works on fragile roof are used.		

Signature of Site I/C of subcontractor :

	POWER SECTOR **INSPECTION OF WELDING AND GAS CUTTING**	FORMAT NO: HSEP:13-F11 REV NO.: 00 PAGE NO. 01 OF 02

Name of Site	
Name of Sub-Contractor	
Inspected by	
Date of Inspection	

Welding				
Sl.no.	Description	Yes	No	Remarks
1	Is electric connection given through 30 mA ELCB/RCCB to welding m/c?			
2	Is electric cable fitted properly in junction box on m/c?			
3	Is electrical cable free from joints?			
4	Are the joints attached firmly & insulated with tape?			
5	Is double earthing given to body of m/c?			
6	Is the physical condition of the m/c good?			
7	Is ON/OFF switch connected to the m/c is working and in good condition?			
8	Are indication lamps on m/c working?			
9	Is the electrode holder in good condition?			
10	Are the cables of the welding m/c lugged & tight properly?			
11	Are return lead connected properly (Rod, Angle, Channels shall not be used)			
	Total No of NO			
	Total No of YES			

	POWER SECTOR	FORMAT NO: HSEP:13-F11 REV NO.: 00 PAGE NO. 02 OF 02
	INSPECTION OF WELDING AND GAS CUTTING	

Gas Cutting				
Sl. no	Description	Yes	No	Remarks
1	Are Cylinders kept on trolleys?			
2	Physical condition of Gas cylinders Good?			
3	Is there Oil/Grease on valve of the cylinder?			
4	Are pressure regulators in good condition?			
5	Condition of hose pipe OK?			
6	Are hose pipe clamped with hose clip?			
7	Is flash back arrestor & NRV fitted on torch both for O2 and LPG cylinder?			
8	Is nozzle of the torch cleaned?			
	Total Number of NO			
	Total No of YES			
	% Compliance			

Signature of Site I/C of subcontractor :

	POWER SECTOR **INSPECTION OF ELECTRICAL INSTALLATION**	FORMAT NO: HSEP:13-F12 REV NO.: 00 PAGE NO. 01 OF 02

Name of Site	
Name of Sub-Contractor	
Inspected by	
Date of Inspection:	

Sr. No.	**Contents**	**Yes/No**	**Remarks**
A	**Cable**		
1.	Whether the condition of cable is checked?		
2.	Are cables received from other sites checked for insulation resistance before putting them into use?		
3.	Are all main cables taken either underground / overhead?		
4.	Are welding cables routed properly above the ground?		
5.	Are welding and electrical cables overlapping?		
6.	Is any improper joining of cables/wires prevailing at site?		
B	**DBs/SDBs**		
1.	Is earth conductor continued upto DB / SDB?		
2.	Whether DBs and extension boards are protected from rain / water?		
3.	Is there any overloading of DBs / SDBs?		
4.	Are correct / proper fuses & CBs provided at main boards and sub-boards?		
5.	Is energized wiring in junction boxes, CB panels & similar places covered all times?		
C	**ELCB**		
1.	Whether the connections are routed through ELCB?		
2.	Is ELCB sensitivity maintained at 30 mA?		

Sr. No.	Contents	Yes/No	Remarks
3.	Are the ELCB numbered and tested periodically & test results recorded in a logbook countersigned by a competent person?		
D	**Grounding**		
1.	Is natural earthing ensured at the source of power (main DB at Generator or Transformer)?		
2.	Whether the continuity and tightness of the earth conductor are checked?		
3.	Mention the gauge of the earth conductor used at the site.		
4.	Mention the value of Earth Resistance.		
E	**Electrically operated Machines or Accessories**.		
1.	Whether the plug top is provided everywhere.		
2.	Are all metal parts of electrical equipment and light fittings / accessories grounded?		
3.	Is there any shed or cover for welding machines?		
4.	Are halogen lamps fixed at proper places?		
5.	Are portable power tools maintained as per norms?		
6.	Any other information:		

Signature of Site I/C of subcontractor :

	POWER SECTOR	FORMAT NO: HSEP:13-F13 REV NO.: 00 PAGE NO. 01 OF 01
	INSPECTION OF ELEVATOR	

Name of Site	
Name of Sub-Contractor	
Inspected by	
Date of Inspection	

Sr. No.	**Description**	**Remarks**
1.0	Name of equipment	
2.0	Basic Information of equipment	
2.1	Specification	
2.2	Sr. No. of equipment	
2.3	Make	
2.4	Year of manufacture	
3.0	Major repairs/overhauls(Furnish details of work carried out)	Date(s) of major repair/overhaul
3.1		
3.2		
3.3	Repairs carried out at site	
4.0	Any performance test conducted	Yes/No
5.0	Document Submitted	Yes/No
6.0	Manufacturer's test / guarantee certificate	Available/ Not available
7.0	Performance test	Done/ Not Done
8.0	Acceptance Norms	
9.0	Committee Observations	
10.0	Date of next review (if accepted)	

Signature-Subcontractor/ Subcontractor's Safety Officer	**Signature-Site Safety Officer (BHEL)**

Sub: MEMO for Penalty for non compliances in Safety

Following lapse (tick marked) was observed and penalty is imposed as stated at the bottom of this memo. It is requested that such occurrences be please avoided in future.

Safety Area

SN	Violation of Safety Norms	Fine (in Rs)
01	Not Wearing Safety Helmet	200/- *
02.	Not wearing Safety Belt or not anchoring life line	500/-*
03	Not wearing safety shoe	200/-*
04	Not keeping gas cylinders vertically	200/-
05	Not using flash back arrestors	100/-
06	Not wearing gloves	50/- *
07.	Grinding Without Goggles	50/- *
08.	Not using 24 V Supply For Internal Work	500/-
09.	Electrical Plugs Not used for hand Machine	100/-
10.	Not Slinging properly	200/-
11.	Using Damaged Sling	200/-
12.	Lifting Cylinders Without Cage	500/-
13.	Not Using Proper Welding Cable With Lot of Joints And Not Insulated Property.	200/-
14.	Not Removing Small Scrap From Platforms	500/-
15.	Gas Cutting Without Taking Proper Precaution or Not Using Sheet Below Gas Cutting	500/-
16.	Not Maintaining Electric Winches Which are Operated Dangerously	500/-
17.	Improper Earthing Of Electrical T&P	500/-
18	No or improper barricading	500/-
19.	Activity carried out without Safety work permit (Height work, Lifting activity, Hot work-each person/case)	1000/-
20.	Incident Resulting in Partial Loss in Earning Capacity	25,000/- per victim
21.	Fatal Incident Resulting in total loss in Earning Capacity	1,00,000/- per victim for first instance #

Legend:-

*: per head. For repeated violation by the same person, the penalty would be double of the previous penalty. Date of "Repeated violation" will be counted from subsequent days.

#: or as deducted by customer, whichever is higher. For repeated fatal incident in the same Unit incremental penalty to be imposed. The subcontractor will pay 2 times the penalty compared to previously paid in case there are repeated cases of fatal incidents under the same subcontractor for the same package in the same unit.

Details (if any) related to non- compliance (Name of persons, Nature of deficiency, etc.)

_____

Penalty imposed:

1, Rate as per above chart_____

2. No. of Persons/ machine/ event/ labour _____

3. Total Penalty= 1. X 2. =_____

Signature :

Witnessed by: (Sub- Contractor representative) (BHEL Personnel)

Name_____ Name_____

Distribution: 1 Copy: to Sub- contractor,
1 Copy to Site Construction Manager(BHEL)

बी एच ई एल BHEL	**POWER SECTOR- HQ** **Incident Report** (To be submitted within 24 hours of time of incident)	FORMAT NO: HSEP:13-F15 REV NO.: 00 PAGE NO. 01 OF 01

Type of incident: Fatal/Major/ Minor/Fire/Property Damage/Near-miss

1	NAME OF SITE		3	ACTIVITY AREA	
2	SCOPE OF WORK		4	NAME OF CONTRACTOR	
			5	NAME & DESIGNATION OF BHEL ACTIVITY I/C	
6	DATE & TIME OF ACCIDENT		7	DATE RESUMED	
8	NO. OF WORK-DAYS LOST BY VICTIM (If duty not resumed, give estimated figure)				
9	NO. OF MANHOURS LOST BY OTHERS				

10	PERSONAL DETAILS OF INJURED AND / OR DETAILS OF MATERIALS / EQUIPMENT / PROPERTY DAMAGED				
NAME				NAME OF MATERIAL / EQUIPMENT / PROPERTY	
PERIOD OF EMPLOYMENT					
AGE	YRS	SEX	MALE/ FEMALE	ESTIMATED COST	ACTUAL COST
MARITAL STATUS	SINGLE / MARRIED				
OCCUPATION				NATURE OF DAMAGE	
PART OF BODY INJURED					
NATURE OF INJURY					
AGENCY (OBJECT / EQUIPMENT / SUBSTANCE) MOST RESPONSIBLE FOR CAUSING ACCIDENT / INJURY / DAMAGE					
12	PERSON (NAME & DESIGNATION) WITH MOST CONTROL OVER AGENCY (OBJECT / EQUIPMENT / SUBSTANCE) CAUSING ACCIDENT INJURY / DAMAGE				
13	DESCRIBE CLEARLY HOW THE ACCIDENT OCCURRED (USE ADDITIONAL SHEET, IF REQUIRED				

ANALYSIS		
14	WHAT ACTS AND / OR CONDITIONS CONTRIBUTED MOST DIRECTLY TO THIS ACCIDENT	
15	WHAT ARE THE BASIC REASON FOR THE EXISTENCE OF THESE ACTS AND / OR CONDITION ?	
16	WHAT CORRECTIVE ACTIONS HAVE BEEN TAKEN TO PREVENT ACCIDENT RECURRENCE ?	
	DATE :	SIGNATURE OF SITE HSE COORDINATOR
17	COMMENTS OF HEAD / SOX	
	DATE:	SIGNATURE OF HEAD/SOX

	SAFETY WORK CLEARANCE	**Permit no.**
	Project:	**Emergency Contact Nos:**
	Subcontractor:	

BURNING/WELDING /HOT WORK PERMIT

Area : _____ Date: _____ Time: _____

Name of Site Engineer (Permit Requesting Authority): _____ Sign: _____

Name of Work Performing Contractor: _____

Name of Package In charge: _____ Sign: _____ Date: _____

Description of Work: _____

_____

Work Execution Date: _____ Time Valid from: _____ to _____

The above signing person(s) will be responsible to ensure that the above described work will be done under all the safety precautions mentioned on the permit to work.

The following precautions are to be taken:

No.	Item	Yes	Not required
1.	Proper Access/Exit available		
2.	Proper ventilation and /or lighting provided.		
3.	Proper and safe scaffolding, platform, ladder provided.		
4.	Welding machine located in a clean and dry area.		
5.	Welding machine grounded at the equipment and proper leakage current protection device (ELCB) provided for welding machine.		
6.	Emergency STOP buttons are in working condition. Welder /Helper knows how to operate it.		
7.	Welding machine input/output cables, welding holder and weld return clamp (Holder) are insulated and in good condition.		
8.	Welder & Fitter trained to connect ground/work return clamps (Holder) to work place prior to energization of welding machine.		
9.	Gas cylinders are stacked vertically and not below the welding / cutting area. Regulator key is available with cylinder.		
10.	Pressure gauges/Flash back arrestor provided and in working condition.		
11.	Personal Protective equipment Minimum applicable: safety helmet, safety goggles, welding helmet, safety shoes, leather gloves, long sleeve and nose mask -provided		
12.	In case of pits, water removed from the pit and wood/rubber insulation provided.		
13.	Safety signboards are in place.		
14.	Adequate and Suitable nos. of fire fighting extinguisher provided.		
15.	Nearby combustible material removed. Housekeeping done.		
16.	Other		

Name of Contractor Safety Officer: _____ Sign: _____ Date: _____ Time: _____

Reviewed and approved by BHEL Site Engineer (Permit Issuing Authority):

Name: _____ Sign: _____ Date: _____ Time: _____

Name of BHEL Safety Representative: _____ Sign: _____

I understand the precaution to be taken as described above and as per project requirement and hereby confirm that work will be executed under my supervision by following all precaution and Safety Rules.

Name of Work Performing Authority: _____Sign: _____ Date: _____ Time: _____

Permit Cancellation:

I hereby declare that the work is complete, all workers under my control have been withdrawn and the site restored to safe tidy condition.

Name of Work performing Authority: _____ Sign: _____ Date: _____ Time: _____

Name of Site Engr. (Permit Requesting Authority): _____ Sign: _____ Date: _____ Time: _____

Name of BHEL Site Engr. (Permit Issuing Authority): _____ Sign: _____ Date: _____ Time: _____

(This permit is valid only for the date it is issued)

Original at BHEL site	**Second Copy – BHEL SAFETY**	**Third Copy : Contractor**

	SAFETY WORK CLEARANCE	**Permit no.**
	Project:	**Emergency Contact Nos:**
	Subcontractor:	

LIFTING ACTIVITY PERMIT

Area : _____ Date: _____ Time: _____

Name of Site Engineer (Permit Requesting Authority): _____ Sign: _____

Name of Work Performing Contractor: _____

Name of Package In charge: _____ Sign: _____ Date: _____

Description of Work: _____

_____

Work Execution Date: _____ Time Valid from: _____ to _____

The above signing person(s) will be responsible to ensure that the above described work will be done under all the safety precautions mentioned on the permit to work.

The following precautions are to be taken:

No.	Item	Yes	Not required
1.	Crane used for lifting activity tested, certified and approved for rated lifting		
2.	All lifting tackles, gears/appliances are tested and certified for lifting works.		
3.	Crane operator is trained and competent for lifting operation.		
4.	Lifting sling/ belt is protected against sharp edge of the jobs to be lifted.		
5.	Access and exit marked and without obstruction.		
6.	Lifting arrangement adequate.		
7.	Uwanted rubbish material removed from work platform.		
8.	Minimum 2 guidelines have been provided for balancing and guiding jobs to be lifted.		
9.	Periphery area of crane booms as well as lifting job is barricaded and unauthorised/no-entry sign board posted.		
10.	Rigger and signal man is trained and competent for lifting work.		
11.	No lifting activity to be carried out during lightening, heavy wind/rain.		
12.	If scaffolding to be used during lift, scaffolding with valid tag available for use.		
13.	Double lanyards safety harness/belt checked an in working condition.		
14.	Safety shoes (non-slip), helmet with chin strap available with employees.		
15.	Others.		

Name of Contractor Safety Officer: _____ Sign: _____ Date: _____ Time: _____

Reviewed and approved by BHEL Site Engineer (Permit Issuing Authority):

Name: _____ Sign: _____ Date: _____ Time: _____

Name of BHEL Safety Representative: _____ Sign: _____

I understand the precaution to be taken as described above and as per project requirement and hereby confirm that work will be executed under my supervision by following all precaution and Safety Rules.

Name of Work Performing Authority: _____Sign: _____ Date: _____ Time: _____

Permit Cancellation:

I hereby declare that the work is complete, all workers under my control have been withdrawn and the site restored to safe tidy condition.

Name of Work performing Authority: _____ Sign: _____ Date: _____ Time: ____

Name of Site Engr. (Permit Requesting Authority): _____ Sign: _____ Date: _____ Time: ____

Name of BHEL Site Engr. (Permit Issuing Authority): _____ Sign: _____ Date: _____ Time: ____

(This permit is valid only for the date it is issued)

Original at BHEL site **Second Copy – BHEL SAFETY** **Third Copy : Contractor**

SAFETY WORK CLEARANCE	Permit no.
Project:	Emergency Contact Nos:
Subcontractor:	

WORKING AT HEIGHT PERMIT

Area : _____ Date: _____ Time: _____

Name of Site Engineer (Permit Requesting Authority): _____ Sign: _____

Name of Work Performing Contractor: _____

Name of Package In charge: _____ Sign: _____ Date: _____

Description of Work: _____

_____

Work Execution Date: _____ Time Valid from: _____ to _____

The above signing person(s) will be responsible to ensure that the above described work will be done under all the safety precautions mentioned on the permit to work.

The following precautions are to be taken:

No.	Item	Yes	Not required
1.	All workers on job are medically fit for working at height (Person should not have vertigo)		
2.	Scaffolding with valid tag available for use		
3.	Safety harness with life line support/ fall arrester are checked and in working condition		
4.	Safety shoes (non-slip), Helmet with chin strip available with employees		
5.	Safety nets are provided as per design and provided 25 ft. below working area & extending 8 ft beyond.		
6.	Horizontal life lines are provided to cater to design specification of 2300kg per person.		
7.	Ladders have been inspected and provided as per BHEL standard/contract.		
8.	All lifting / tightening tools, hand tools/equipment checked and in good condition		
9.	Access and exit marked and without obstruction.		
10.	Lighting arrangement adequate.		
11.	Unwanted and rubbish material removed from working platform.		
12.	Electrical cable, welding Hose/Compressed air hose properly secured and lay down without obstruction.		
13.	Signboards provided on working platforms		
14.	Hazards in the vicinity are identified and communicated to the worker.		
15.	Other		

Name of Contractor Safety Officer: _____ Sign: _____ Date: _____ Time: _____

Reviewed and approved by BHEL Site Engineer (Permit Issuing Authority):

Name: _____ Sign: _____ Date: _____ Time: _____

Name of BHEL Safety Representative: _____ Sign: _____

I understand the precaution to be taken as described above and as per project requirement and hereby confirm that work will be executed under my supervision by following all precaution and Safety Rules.

Name of Work Performing Authority: _____Sign: _____ Date: _____ Time: _____

Permit Cancellation:

I hereby declare that the work is complete, all workers under my control have been withdrawn and the site restored to safe tidy condition.

Name of Work performing Authority: _____ Sign: _____ Date: _____ Time: ____

Name of Site Engr. (Permit Requesting Authority): _____ Sign: _____ Date: _____ Time: ____

Name of BHEL Site Engr. (Permit Issuing Authority): _____ Sign: _____ Date: _____ Time: ____

(This permit is valid only for the date it is issued)

Original at BHEL site **Second Copy – BHEL SAFETY** **Third Copy : Contractor**

CORPORATE QUALITY ASSURANCE/ कॉरपोरेट गुणवत्ता आश्वासन

MAIN CONTRACTOR'S PROPOSAL CUM EVALUATION REPORT

मुख्य संविदाकार प्रस्ताव सह मुल्यांकन रिपोर्ट

Ref No: संदर्भ सं.:			***Date:*** तिथिः		
i.	***Main Contractor*** मुख्य संविदाकार				
ii.	***Project*** परियोजना				
iii.	***Package Name*** पैकेज का नाम		***Package No*** पैकेज सं.		
iv.	***Proposed Item/Scope of Sub-contracting*** उप-संविदा(अनुबंध) का प्रस्तावित मद/ दायरा				
v.	***Item covered under*** *निम्नलिखित के अंतर्गत शामिल मद*	***Schedule-1*** */अनुसूची-* ***1***	☐	***As per contract clause No-*** *अनुबंध के अनुसार खंड सं.--* **-**	
		Schedule-2 *अनुसूची-* ***-2***	☐		
vi.	***If item is Schedule-1 and proposed sub-vendor is indigenous, Main Contractor to explain how the contractual provisions will be fulfilled*** /यदि मद अनुसूची **-1** है और प्रस्तावित उप-विक्रेता स्वदेशी है, तो मुख्य संविदाकार को स्पष्ट करना होगा कि संविदा/अनुबंध के प्रावधान कैसे पूरे किए जाएंगे				
vii.	***Name and Address of the proposed Sub-vendor's works*** /प्रस्तावित सब-वेंडर का नाम तथा पता				
viii.	***PO placement date/ Start of manufacturing (if self-manufactured) as per L2 network*** **पीओ नियोजन की तिथि / एल-** 2 **नेटवर्क के अनुसार विनिर्माण (यदि स्व-निर्मित है) की शुरुआत**				

ix.	***Item Description (Type/Size/Rating/Scope of Sub-Contracting)*** मद का विवरण (प्रकार / आकार / रेटिंग / उप-अनुबंध का दायरा)	***Total quantity of proposed item envisaged in this package (Nos/ Running Meters/ Kgs/ Tons etc)*** इस पैकेज में परिकल्पित प्रस्तावित मद की कुल मात्रा (संख्या / क्रियाशील मीटर / किलोग्राम / टन आदि)	***Quantity proposed to be procured from proposed sub-vendor (Nos/ Running Meters /Kgs /Tons etc)*** प्रस्तावित उप-विक्रेता (संख्या / क्रियाशील मीटर / किलोग्राम / टन आदि) से खरीदी जाने वाली मात्रा	***Timeline for quantity requirements as per project schedule & whether the proposed Sub-vendor equipped with adequate capacity to supply proposed order quantity in time*** / परियोजना समय सूची के अनुसार मात्रा आवश्यकताओं के लिए समय-सीमा और क्या प्रस्तावित उप-विक्रेता समय पर प्रस्तावित मांग की मात्रा की आपूर्ति करने में पूरी तरह से सक्षम है

x.	***Supply experience of the proposed sub-vendor (including supplies to Main Contractor, if any) for similar item/scope of sub-contracting, for last 3 years (Note:- Only relevant experience details w.r.t. proposed item/scope of subcontracting to be brought out here)*** पिछले **3** वर्षों के लिए उप-अनुबंध के समान मद / दायरे के लिए प्रस्तावित सब-वेंडर (मुख्य संविदाकार हेतु आपूर्ति, यदि कोई हो, सहित) का आपूर्ति अनुभव (नोट: - उप-अनुबंध के प्रस्तावित मद / दायरे के संबंध में केवल प्रासंगिक अनुभव के विवरण का उल्लेख हो

CORPORATE QUALITY ASSURANCE/ कॉरपोरेट गुणवत्ता आश्वासन

MAIN CONTRACTOR'S PROPOSAL CUM EVALUATION REPORT

मुख्य संविदाकार प्रस्ताव सह मुल्यांकन रिपोर्ट

	Project/Package परियोजना/पैकेज	***Customer Name*** ग्राहक का नाम	***Supplied Item (Type/Rating/Model /Capacity/Size etc)*** आपूर्त्तित मद (प्रकार/रेटिंग /मॉडल /क्षमता/आकार आदि)	***PO ref no/date*** पीओ संदर्भ सं. /तिथि	***Supplied Quantity*** आपूर्ति की मात्रा	***Date of Supply*** आपूर्त्ति की तिथि

We confirm that as per our assessment, the proposed sub-vendor has requisite capabilities & supply experience and is suitable for supplying the proposed item/scope of sub-contracting/हम अपने आकलन के अनुसार इस बात की पुष्टि करते हैं कि, प्रस्तावित उप-विक्रेता के पास अपेक्षित क्षमता और आपूर्ति करने का अनुभव है और उप-अनुबंध के दायरे /प्रस्तावित मद की आपूर्ति के लिए उपयुक्त है।

Name: नाम:		***Desig:*** पद:		***Contact No:*** दूरभाष सं.:		***Sign:*** हस्ताक्षर:		***Date:*** तिथि:	

Company's Seal/Stamp:- कंपनी का मुहर:-

एनटीपीसी NTPC	**CORPORATE QUALITY ASSURANCE/ कॉरपोरेट गुणवत्ता आश्वासन** **SUB-VENDOR QUESTIONNAIRE/ सब-वेंडर प्रश्नावली**

i.	***Item/Scope of Sub-contracting*** **उप-संविदा(अनुबंध) का मद/ दायरा**			
ii.	***Address of the registered office*** पंजीकृत कार्यालय का पता		***Details of Contact Person*** संपर्क व्यक्ति का विवरण ***(Name, Designation, Mobile, Email)*** (नाम, पदनाम, मोबाइल, ईमेल)	
iii.	***Name and Address of the proposed Sub-vendor's works where item is being manufactured*** प्रस्तावित उप-विक्रेता के कार्यों का नाम और पता, जहां मद का निर्माण किया जा रहा है		***Details of Contact Person:*** संपर्क व्यक्ति का विवरण ***(Name, Designation, Mobile, Email)*** (नाम, पदनाम, मोबाइल, ईमेल)	
iv.	***Annual Production Capacity for proposed item/scope of sub-contracting*** **उप-संविदा(अनुबंध)** के प्रस्तावित मद / दायरे के लिए वार्षिक उत्पादन क्षमता			
v.	***Annual production for last 3 years for proposed item/scope of sub-contracting*** **उप-संविदा(अनुबंध)** के प्रस्तावित मद / दायरे के लिए पिछले 3 वर्षों का वार्षिक उत्पादन			
vi.	***Details of proposed works*** **प्रस्तावित कार्यों का विवरण**			
1.	***Year of establishment of present works*** वर्तमान फैक्टरी की स्थापना का वर्ष			
2.	***Year of commencement of manufacturing at above works*** उपरोक्त फैक्टरी में निर्माण कार्य शुरू होने का वर्ष			
3.	***Details of change in Works address in past (if any*** पूर्व में फैक्टरी स्थल में परिवर्तन का विवरण (यदि कोई हो)***)***			
4.	***Total Area*** कुल क्षेत्र			
	Covered Area शामिल क्षेत्र			
5.	***Factory Registration Certificate*** फैक्टरी पंजीकरण प्रमाण पत्र		***Details attached at Annexure – F2.1*** विवरण अनुलग्नक- एफ 2.1 पर संलग्न है	
6.	***Design/ Research & development set-up*** डिजाइन / अनुसंधान और विकास सेटअप ***(No. of manpower, their qualification, machines & tools employed etc.)*** (श्रमिकों की संख्या, उनकी योग्यता, मशीन और उपलब्ध उपकरण आदि)		***Applicable / Not applicable if manufacturing is as per Main Contractor/purchaser design)*** ***Details attached at Annexure – F2.2*** ***(if applicable)*** लागू / लागू नहीं, अगर विनिर्माण मुख्य संविदाकार / खरीददार के डिजाइन के अनुसार है) विवरण अनुलग्नक –एफ 2.2 पर संलग्न है। (यदि लागू हो)	
7.	***Overall organization Chart with Manpower Details (Design/Manufacturing/Quality etc)*** मैनपावर विवरण के साथ समग्र संगठन का चार्ट(डिजाइन / विनिर्माण / गुणवत्ता आदि)		***Details attached at Annexure – F2.3*** विवरण अनुलग्नक – F2.3 में संलग्न है।	

8.	***After sales service set up in India, in case of foreign sub-vendor(Location, Contact Person, Contact details etc.)*** भारत में बिक्री सेवा की स्थापना के बाद, विदेशी उप-विक्रेता के मामले में(स्थल , संपर्क व्यक्ति, संपर्क विवरण आदि)	***Applicable / Not applicable*** लागू / लागू नहीं ***Details attached at Annexure – F2.4*** विवरण अनुलग्नक -2.4 पर संलग्न है ।
9.	***Manufacturing process execution plan with flow chart indicating various stages of manufacturing from raw material to finished product including outsourced process, if any*** फ्लोचार्ट सहित विनिर्माण प्रक्रिया निष्पादन योजना , जिसमें आउटसोर्स प्रक्रिया, यदि कोई हो, सहित कच्चे माल से तैयार उत्पाद तक विनिर्माण के विभिन्न चरणों को दर्शाया गया हो,	***Details attached at Annexure – F2.5*** विवरण अनुलग्नक - F2.5में संलग्न है ।
10.	***Sources of Raw Material/Major Bought Out Item*** कच्चे माल के स्रोत / खरीदे हुए मुख्य मद	***Details attached at Annexure – F2.6*** विवरण अनुलग्नक - F2.6में संलग्न है।
11.	***Quality Control exercised during receipt of raw material/BOI, in-process , Final Testing, packing*** कच्चे माल / खरीदे हुए मद, प्रक्रियाबद्ध, अंतिम परीक्षण, पैकिंग करते समय गुणवत्ता नियंत्रण	***Details attached at Annexure – F2.7*** विवरण अनुलग्नक - F2.7 पर संलग्न है
12.	***Manufacturing facilities*** ***(List of machines, special process facilities, material handling etc.)*** विनिर्माण सुविधा(मशीनों की सूची , विशेष प्रक्रिया सुविधाएं, सामग्री रख-रखाव आदि)	***Details attached at Annexure – F2.8*** विवरण अनुलग्नक - F2.8में संलग्न है ।
13.	***Testing facilities (List of testing equipment)*** परीक्षण सुविधाएं(परीक्षण उपकरण की सूची)	***Details attached at Annexure – F2.9*** विवरण अनुलग्नक – F2. 9 में संलग्न है ।
14.	***If manufacturing process involves fabrication then-*** यदि निर्माण प्रक्रिया में फेब्रिकेशन की गई है तो- ***List of qualified Welders*** पात्र वेल्डर की सूची ***List of qualified NDT personnel with area of specialization*** विशेषज्ञता के क्षेत्र सहित पात्र एनडीटी कार्मिकों की सूची	***Applicable / Not applicable*** लागू / लागू नहीं ***Details attached at Annexure – F2.10*** विवरण अनुलग्नक - F2.10में संलग्न है। ***(if applicable)*** लागू / लागू नहीं
15.	***List of out-sourced manufacturing processes with Sub-Vendors' names & addresses*** सब-वेंडर द्वारा बाह्य स्रोतों (उनके नाम और पते सहित)से करवाएं गए निर्माण प्रक्रियाओं की सूची	***Applicable / Not applicable*** लागू / लागू नहीं ***Details attached at Annexure. –F2.11*** विवरण अनुलग्नक - F2.10में संलग्न है। ***(if applicable)*** (यदि लागू हो)
16.	***Supply reference list including recent supplies*** नवीनतम आपूर्ति सहित आपूर्ति संदर्भ सूची	***Details attached at Annexure – F2.12*** विवरण अनुलग्नक - F2.12 में संलग्न है । ***(as per format given below)*** (नीचे दिए गए प्रारूप के अनुसार)

Project/ package परियोजना /पैकेज	***Customer Name*** ग्राहक का नाम	***Supplied Item (Type/Rating/Model /Capacity/Size etc)*** आपूर्ति की गई वस्तु (प्रकार / रेटिंग / मॉडल / क्षमता / आकार आदि)	***PO ref no/date*** पीओ संदर्भ सं. / तिथि	***Supplied Quantity*** आपूर्ति की मात्रा	***Date of Supply*** आपूर्ति की तारीख

17.	***Product satisfactory performance feedback letter/certificates/End User Feedback*** उत्पाद के संतोषजनक प्रदर्शन संबंधी फीडबैक पत्र / प्रमाण पत्र / अंतिम उपयोगकर्ता फ़ीडबैक	***Attached at annexure - F2.13*** अनुलग्नक F2. 3पर संलग्न है

18.	***Summary of Type Test Report (Type Test Details, Report No, Agency, Date of testing) for the proposed product (similar or higher rating)*** प्रस्तावित उत्पाद (एक समान या उच्च रेटिंग वाले) के लिए टाइप टेस्ट रिपोर्ट (टाइप टेस्ट विवरण, रिपोर्ट संख्या, एजेंसी, जांच की तारीख) का सारांश नोट: - रिपोर्ट प्रस्तुत करने की आवश्यकता नहीं है ***Note:- Reports need not to be submitted***	***Applicable / Not applicable*** लागू / लागू नहीं ***Details attached at Annexure – F2.14*** विवरण अनुलग्नक - F2.1 4में संलग्न है ***(if applicable)*** (यदि लागू हो)
19.	***Statutory / mandatory certification for the proposed product*** प्रस्तावित उत्पाद के लिए वैधानिक / अनिवार्य प्रमाणीकरण	***Applicable / Not applicable*** लागू / लागू नहीं ***Details attached at Annexure – F2.15 (if applicable)*** (यदि लागू हो)
20.	***Copy of ISO 9001 certificate*** आईएसओ 9001 प्रमाण पत्र की प्रति ***(if available***(यदि उपलब्ध हो)	***Attached at Annexure – F2.16*** अनुलग्नक में संलग्न - F2.1 6 है
21.	***Product technical catalogues for proposed item (if available)*** प्रस्तावित मद के लिए उत्पाद तकनीकी कैटलॉग (यदि उपलब्ध हो)	***Details attached at Annexure – F2.17*** विवरण अनुलग्नक - F2.1 7 में संलग्न है

Name: ***नाम:***		***Desig:*** ***पद:***		***Sign:*** ***हस्ताक्षर:***		***Date:*** ***तिथि:***	

Company's Seal/Stamp:- कंपनी की मुहर / मोहर: -

397154/2021/PS-PEM-MSE

	PRE-QUALIFYING REQUIREMENTS (TECHNICAL) - PLATE HEAT EXCHANGERS (PHE)	DOCUMENT NO: PE-TS-434-179-N002 REVISION NO: 03 DATE: 03/08/2021 SHEET: **1** of **3**

FORM NO. PEM 6100-0

ENQUIRY NO.:

PROJECT: 3X800MW NTPC PATRATU STPS EXPANSION PHASE-1

PACKAGE: PLATE HEAT EXCHANGER (PHE)

1. The bidder should have designed, manufactured, tested, inspected & supplied the PHE with minimum heat load of **4161000 Kcal/hr**, which have been successfully in use for at least 1 year in thermal power plant or similar industry/ application and bidder is in business of PHE on continuous basis.

2. Offers of the JV companies/ Joint Bidders/ bidders having collaboration / licensing agreement/ MOU/ Indian subsidiaries meeting the PQR at sl. no. 1 above shall be evaluated as follows:

   a. If bidder happens to be an Indian subsidiaries of foreign OEM, then the credentials of the foreign OEM can also be considered for meeting PQR.

   b. If bidder happens to be the Joint Venture Company, then the credentials of any of JV partners can be also considered for meeting PQR.

   c. If bidder happens to bid jointly with their partner, then credentials of both the partners will be considered for meeting PQR as per distribution of the work. In all such cases, lead bidder as specified in bid documents shall be responsible for overall execution of the contract and all guarantee/ warranty

   d. If bidder happens to be the having valid collaboration agreement/ MOU/ licensing agreement with some other company, then the credentials of collaborator/ MOU partner/ licensing company can also be considered for meeting PQR.

Notes:

i) Bidder quoting on above route(s) should be manufacturer of PHE and qualifying on the basis of credentials of his principal/ JV partner/ Collaborator/ MOU partner/joint bidder/licensing Company etc., then the principal/ JV partner/ Collaborator/ MOU partner/ joint bidder/ licensing Company shall be responsible for overall design vetting and warranty/ guarantee of the package. The scope matrix clearly defining their respective roles including design vetting, manufacturing of critical component, E&C etc. and warranty/ guarantee shall be submitted along with the offer.

ii) Bidder to note that the arrangement of bidding (joint bid partners/ collaborator/ MOU partner/ licensing company etc.) once offered to BHEL as a part of bidding documents cannot be changed till the execution of the project.

3. The Bidders shall furnish following support documents for assessment of Bidder

	PRE-QUALIFYING REQUIREMENTS (TECHNICAL) - PLATE HEAT EXCHANGERS (PHE)	DOCUMENT NO: PE-TS-434-179-N002 REVISION NO: 03 DATE: 03/08/2021 SHEET: **2** of **3**

w.r.t. PQR as indicated at Sl. No. 1 above:

A. Bidder's Experience list of PHE for last 5 years (as on the enquiry/NIT date) for assessment of bidder for supplying the PHE on regular basis for establishing business continuity in the enclosed format- Annexure-1.

Bidder shall furnish the PO copy of at least one executed Contract as indicated in the experience list.

B. Bidder shall furnish any one from below in support of successful performance of PHE for one year:

i. Satisfactory Performance feedback certificates from End Customer (Owner) (in English) for at least one successfully executed contract which has been in use for at least one year indicating salient features like year of commissioning of PHE, rating of project, flow & heat load of PHE, project name etc., date of issue of certificate and name/ designation of the certificate issuer for power plant/similar application industry. The time duration of satisfactory performance completion should be before the date of subject enquiry/NIT.

OR

ii. The bidder has been awarded one repeat contract for PHE from End Customer (Owner) / Purchaser (in English) for power plant/similar application industry. Repeat contract shall be considered when the second contract is given by the same purchaser/ owner after lapse of minimum 1 year from execution (viz. supply) of first contract. Supporting documents for execution of the first contract like dispatch[N2] details or commissioning report or PG test report along with the PO Copy to be furnished, if bidder intends to submit the documents for Repeat Contracts. The date of repeat contract order should not be later than the date of subject enquiry/NIT.

Notes:-

N1 - Purchase order copy, supporting drgs/technical data sheets etc. are to be submitted along with the bid for which the bidder intends to furnish the performance feedbacks / repeat contracts for reference purpose only.

N2 - Dispatch details shall include any one of the following documents:
a. Tax Invoice.
b. Site receipt/Receipted LR.
c. Customer's material dispatch clearance certificate.

N3 – Purchase order for spare items shall not be considered as repeat order qualifying criteria.

Any additional document required in support of above documents to establish the co-relation between the above documents and the supplied item shall be provided by the

	PRE-QUALIFYING REQUIREMENTS (TECHNICAL) - PLATE HEAT EXCHANGERS (PHE)	DOCUMENT NO: PE-TS-434-179-N002
		REVISION NO: 03 DATE: 03/08/2021
		SHEET: **3** of **3**

	bidder.
	4. Bidder to submit all supporting documents in English. If documents submitted by bidder are in language other than English, a self-attested English translated document should also be submitted.
	5. Notwithstanding anything stated above, BHEL reserves the right to assess the capabilities and capacity of the bidder/collaborators to perform the contract, should the circumstances warrant such assessment in the overall interest of BHEL.
	6. After satisfactory fulfilment of all the above criteria/ requirement, offer shall be considered for further evaluation as per NIT and all the other terms of the tender.
	7. Consideration of offer shall be subject to customer's approval of bidders, if applicable.

EXPERIENCE LIST

ANNEXURE-1

EXPERIENCE LIST

PROJECT NAME	END CUSTOMER	PHE PARAMETERS			HEAT TRANSFER AREA (sq.m.)	PHE MODEL NUMBER	No. OF PHE's SUPPLIED	TYPE OF FLUID	PLATE MATERIAL	YEAR OF SUPPLY	PERFORMANE FEEDBACK CERTIFICATE ENCLOSED (Y/N)
		FLOW (Cu M/Hr.)	TEMP RISE (Deg. Cel.)	HEAT LOAD							

396998/2021/PS-PEM-MSE

PATRATU SUPER THERMAL POWER STATION EXPANSION PHASE-I (3X800MW)

TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGERS

Specification No. : PE-TS-434-179-N002 (REV 0)

BHARAT HEAVY ELECTRICALS LIMITED
POWER SECTOR
PROJECT ENGINEERING MANAGEMENT
NOIDA-201301

396998/2021/PS-PEM-MSE

	TITLE : TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGERS 3X800MW PATRATU STPS EXP. PH-1	SPECIFICATION NO. PE-TS-434-179-N002
		SECTION
		REV. NO. 0 / DATE 28/7/21

CONTENTS

This Technical Specification consists of three Sections:

Notes:

1) For detailed list of documents to be submitted by bidder in their technical offer, please refer cl. no. 10.00.00 of Section-IIA.

2) For detailed list of documents to be submitted by vendor after award of contract, please refer Datasheet-C of Section-IIA.

3) In case there is conflict in different clauses of specification, most stringent clause (as decided by BHEL / end customer) shall be followed, if no specific deviation is taken by bidder and accepted by BHEL during tender stage in that regard.

	TITLE : TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGERS 3X800MW PATRATU STPS EXP. PH-1	SPECIFICATION NO. PE-TS-434-179-N002
		SECTION I
		REV. NO. 0 / DATE 28/7/21

SECTION I

IA SPECIFIC TECHNICAL REQUIREMENTS

IB DATASHEET – A

396998/2021/PS-PEM-MSE

	TITLE : TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGERS 3X800MW PATRATU STPS EXP. PH-1	SPECIFICATION NO. PE-TS-434-179-N002	
		SECTION IA	
		REV. NO. 0	DATE 28/7/21
		SHEET 1 OF 2	

1.0 GENERAL :

1.1 This enquiry covers the design, manufacture, assembly, inspection and testing at manufacturer's and/ or his sub-contractors works, painting, proper packing & delivery of the item namely PLATE HEAT EXCHANGERS complete with all mandatory spares (as applicable), accessories, commissioning spares (if any), counter flanges with nuts, bolts, gaskets and coatings (wherever necessary), including special tools & tackles (if any), including site PG test (as applicable) as mentioned in this specification for 3X800MW Patratu STPS Expansion Phase-1 project.

Note:

PHEs have been detailed in Data Sheet-A, Section-1B. The bidder shall include complete supplies in his scope. Part supplies offered shall disqualify the bidder's offer. Evaluation shall be combined and further details shall be indicated in NIT.

The Plate heat Exchangers complete with all accessories including special tools and tackles (if any) shall conform to the Data Sheet-A (Section IB) and other requirements of section IIA. In addition, the requirements of this Section IA including Customer Specification attached at Appendix 1 (as applicable) shall also be complied with.

1.2 The bids shall be evaluated as per NIT. Ordering shall be done as per NIT.

1.3 Bidder to quote for items as per price schedule attached in NIT.

1.4 It is not the intent to specify herein all the details of design and manufacture. However, the equipment shall conform in all respects to high standards of design, engineering and workmanship, and shall be capable of performing the required duties in a manner acceptable to Engineer / Owner, who will interpret the meaning of drawing and specifications and shall be entitled to reject any component, work or material, which in his opinion is not in conformity with the duty requirements.

2.0 SPECIFIC REQUIREMENTS:

2.1 Pressure drop across the heat exchanger on the primary & secondary water circuit to be demonstrated at site. PG test at site shall have to be conducted as per clause 8.02.00 of Section-IIA. Bidder to quote Unit Rate for PG test accordingly.

2.2 The Heat transfer plate area measurement procedure and packing procedure indicated at Section-IIA are only for reference. Project specific procedures shall be submitted by the bidder during detailed engineering for approval.

2.3 Velocity in the PHE plates shall be so chosen such that sufficient turbulence should be maintained so as to prevent any deposition on the plate surface.

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3.0 The drawing / document submission schedule shall be as per NIT. MDL shall be as follows:

PACKAGE	BHEL DRG NO	DRG TITLE
PLATE HEAT EXCHANGERS (PHE)	PE-V5-434-179-N001	Technical Data sheet of PHE
	PE-V5-434-179-N002	GA drawing of PHE
	PE-V5-434-179-N003	Thermal sizing calculation of PHE
	PE-V5-434-179-N005	QAP of PHE
	PE-V5-434-179-N004	Performance curves of PHE
	PE-V5-434-179-N006	O&M MANUAL for PHE
	PE-V5-434-179-N007	PG TEST PROCEDURE -PHE

Drawings submitted shall be complete in all respects with revised drawing submitted incorporating all comments. Any incomplete drawing submitted shall be treated as non-submission with delays to bidder's account. For any clarification/ discussion required to complete the drawings, the bidder shall himself depute his personal to BHEL for across the table discussions/ finalizations/ submissions of drawings.

4.0 Following to be complied by the bidder:

a. Supplier to submit detailed 'Bill of Material' (BoM) at the time of drawing/document submission after placement of PO. Each item of the BoM to be uniquely identified with item code no. or item serial no.
b. Supplier to ensure that all items which will find separate mention in the packing list are covered in this detailed BoM.
c. Supplier to give following undertaking in the BoM"
"The BoM provided herewith completes the scope (in content and intent) of material supply under PO no.----------, dated ----------.
Any additional material which may become necessary for the intended application of the supplied items(s)/package will be supplied free of cost in most reasonable time."

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	TITLE : TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGERS	SPECIFICATION NO. PE-TS-434-179-N002	
		SECTION IA- Appendix 1	
		REV. NO. 0	DATE 28/7/21

Appendix 1

(Customer Specification)

CLAUSE NO.	QUALITY ASSURANCE	NTPC

EQUIPMENT COOLING WATER SYSTEM

	TEST / CHECKS / ITEM / COMPONENTS	Material Test	WPS/PQR/Welder Qualification	DPT/MPI	Assembly Fit Up	Visual & Dimensional Check	UT	RT	Hydraulic / Water Fill	Balancing	Type Test	Performance Test	Other Test
A	**PLATE TYPE HEAT EXCHANGER**		Y	Y[3]	Y	Y			Y				
A.1	Heat Transfer Plates	Y[1]		Y[2]		Y							Y[7]
A.2	Gaskets	Y				Y							
A.3	Cover Plates (Front & Rear)	Y[1]				Y	Y[5]						
A.4	Tie Rods	Y[1]		Y[4]			Y[6]						
B	**HORIZONTAL CENTRIFUGAL PUMP**				Y	Y						Y[10]	
B.1	Casing	Y[1]		Y[4]		Y			Y8				
B.2	Impeller	Y[1]		Y[4]		Y				Y[9]			
B.3	Shaft	Y[1]		Y		Y	Y[6]			Y[9]			

NOTES

1 One per heat / HT batch

2 DP Test shall be conducted for 10% of the lot of HT plates. However, in case of any defect, entire lot shall be tested and only defect free plates shall be accepted.

3 100% DP Test shall be conducted on butt welds and 10% DPT on fillet weld after final run.

4 100% DPT shall be carried out on machined surfaces.

5 UT shall be done on plates with thickness 25 mm or above.

6 UT shall be done on shaft / tie rod with diameter 50 mm or above.

7 After pressing each HT plate shall be subjected to either of the following tests, as per Manufacturer Practice
a) Light Box Test b) Vacuum Test c) Air Chamber Test

8 All pressure retaining parts shall be hydrostatically tested at 200% of pump rated head or 150% of shut – off head, whichever is higher, for at least 30 minutes. No leakage is allowed.

~~9 Static and Dynamic Balancing shall be carried out on complete rotor assembly~~.

~~10 All pumps shall be tested at rated speed, for head, flow capacity, efficiency and power consumption for the entire operating range i.e. from shut off head to maximum flow. A minimum of 7 readings shall be taken to plot the curve, with one reading at design flow. Testing standard shall be HIS (Hydraulic Institute Standard) of USA.~~
~~Performance test shall be carried out with contract motor, wherever Liquidated Damages are to be ascertained based on performance test at shop.~~

~~11 For Pipes, Valves and RE Joints refer LP Piping System requirements~~.

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	TECHNICAL SPECIFICATION FOR			Technical specification no	PE-TS-434-179-N002 (Rev 0)	
	PLATE HEAT EXCHANGER			Section	IB	
	DATASHEET - A			Rev	0	
	FOR 3X800MW PATRATU STPS EXPANSION PH-1			Date	28-07-2021	
	PHE DESCRIPTION			PHE FOR ECW-TG AUX.	PHE FOR ECW-SG AUX.	PHE FOR ECW-COMMON STATION AUX.
1.0	General					
1.1	Number of Plate Heat Exchanger		Nos	Nine (9) nos	Six (6) nos	Three (3) nos
1.2	Arrangement			3X50% configuration per Unit	2X100% configuration per Unit	3X50% configuration for Station
1.3	Location			Indoor	Indoor	Outdoor
1.4	Primary side (Hot) Fluid			Passivated DM water (Refer enclosed water analysis)	Passivated DM water (Refer enclosed water analysis)	Passivated DM water (Refer enclosed water analysis)
1.5	Secondary side (Cold) fluid			Clarified water (Refer enclosed water analysis)	Clarified water (Refer enclosed water analysis)	Clarified water (Refer enclosed water analysis)
1.6	Connecting Pipe size	(Primary Side)	NB	450	300	500
		(Secondary Side)	NB	500	350	600
1.7	Maximum permitted Length of the PHE		mm	6000 mm (excluding reducer)	5000 mm (excluding reducer)	6000 mm (excluding reducer)
2.0	Design					
2.1	Design Code			Latest IS/BS/DIN/ASTM/ASME Standards	Latest IS/BS/DIN/ASTM/ASME Standards	Latest IS/BS/DIN/ASTM/ASME Standards
2.2	Design Pressure		Kg/cm^2 (g)	10	12	10
2.3	Operating Pressure	(Primary Side)	Kg/cm^2 (g)	6.0 to 7.0 Kg/sq. cm(g)	8.0 to 9.0 Kg/sq. cm(g)	6.0 to 7.0 Kg/sq. cm(g)
		(Secondary Side)	Kg/cm^2 (g)	2.5 to 3.5 Kg/sq. cm(g)	2.5 to 3.5 Kg/sq. cm(g)	2.0 to 3.0 Kg/sq. cm(g)
2.4	Mechanical Design Temp.		^oC	60	60	60
2.5	Heat Transfer per Sq.Mtr. Of Heat Transfer Plate		$Kcal/Hr./m^2$	6500 (Max.)	6500 (Max.)	6500 (Max.)
2.6	Specific Heat of Fluid	(Primary Side)	Cal/gmDeg.C	1	1	1
		(Secondary Side)	Cal/gmDeg.C	1	1	1
2.7	Density of Fluid	(Primary Side)	gm/cc	1	1	1
		(Secondary Side)	gm/cc	1	1	1
3.0	Guaranteed Performance Requirements for each Heat Exchangers in fouled condition:					
3.1	Flow rate	(DMCW Side)	M^3/hr	1350	650	1460
		(ACW Side)	M^3/hr	1350	650	1460
3.2	Inlet temperature	(DMCW Side)	^oC	44.3	44.4	47.5
		(ACW Side)	^oC	36	36	36
3.3	Outlet temp	(DMCW Side)	^oC	38	38	38
		(ACW Side)	^oC	42.3	42.4	45.5
3.4	* Allowable pressure drop across heat exchanger from inlet to outlet in fouled conditions at 1.1 times of design flow	(DMCW Side)	MWC	7	7	7
		(ACW Side)	MWC	7	7	7
	* High pressure drop than the specified figure will not be accepted, no credit shall be, however, given for lower pressure drop in bid evaluation. Pressure drop mentioned shall be calculated against flow mentioned at S. No 3.1. Each heat exchanger shall be capable of passing a flow of at least 1.1 times the design flow rate on both primary and secondary water sides. Bidder shall indicate maximum pressure drop through the heat exchanger under this condition.					
4.0	Additional HT plates on Design Plates		%	NIL	NIL	NIL
5.0	Heat Transfer Coefficient/Margin					
5.1	Overall fouling resistance (minimun)		Hr m2deg C/Kcal	0.00008	0.00008	0.00008
5.2	Minimum corrosion allowance on heat exchanger parts of carbon steel (e.g. pressure parts,nozzles,sliding channel and frame) (refer note 1)		mm	1.6	1.6	1.6
6.0	Material of Construction :					
6.1	Heat Transfer Plates (Minimum acceptable plate thickness 0.6 mm). Refer Note no. 3			SS-316	SS-316	SS-316
6.2	Plate Gasket			Nitrile rubber, 65 ± 5 Deg. shore hardness	Nitrile rubber, 65 ± 5 Deg. shore hardness	Nitrile rubber, 65 ± 5 Deg. shore hardness
6.3	Compression/Fixed/Frame/Movable Pressure plates			Carbon Steel, IS-2062, Gr.B, Epoxy painted	Carbon Steel, IS-2062, Gr.B, Epoxy painted	Carbon Steel, IS-2062, Gr.B, Epoxy painted
6.4	Guide Rails/ bar			Carbon Steel, IS-2062, Gr.B, with SS Cladding	Carbon Steel, IS-2062, Gr.B, with SS Cladding	Carbon Steel, IS-2062, Gr.B, with SS Cladding
6.5	Support Beam/ column			Carbon Steel, IS-2062, Gr.B, Epoxy painted	Carbon Steel, IS-2062, Gr.B, Epoxy painted	Carbon Steel, IS-2062, Gr.B, Epoxy painted
6.6	Nozzle (Reducer/Expander)			Carbon steel IS 2062, Gr. B, Epoxy Coated	Carbon steel IS 2062, Gr. B, Epoxy Coated	Carbon steel IS 2062, Gr. B, Epoxy Coated
6.7	Nozzle flanges			Carbon Steel IS 2062 (Confirming to ANSI B 16.5 class, Min.-150 lb) Epoxy Coated	Carbon Steel IS 2062 (Confirming to ANSI B 16.5 class, Min.-150 lb) Epoxy Coated	Carbon Steel IS 2062 (Confirming to ANSI B 16.5 class, Min.-150 lb) Epoxy Coated

	TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGER		Technical specification no	PE-TS-434-179-N002 (Rev 0)	
			Section	IB	
	DATASHEET - A		Rev	0	
	FOR 3X800MW PATRATU STPS EXPANSION PH-1		Date	28-07-2021	
	PHE DESCRIPTION		PHE FOR ECW-TG AUX.	PHE FOR ECW-SG AUX.	PHE FOR ECW-COMMON STATION AUX.
6.8	Flange/ Counter flanges		Carbon Steel as per IS 2062 Gr. B (Confirming to ANSI B 16.5 class, Min.-150 lb) Epoxy Coated	Carbon Steel as per IS 2062 Gr. B (Confirming to ANSI B 16.5 class, Min.-150 lb) Epoxy Coated	Carbon Steel as per IS 2062 Gr. B (Confirming to ANSI B 16.5 class, Min.-150 lb) Epoxy Coated
6.9	Tightening Bolts/Rods & Nuts		IS-1367 Gr.8.8 or equivalent	IS-1367 Gr.8.8 or equivalent	IS-1367 Gr.8.8 or equivalent
6.10	Nozzle flange bolts / nuts		SA 193 B7/ SA 194 2H	SA 193 B7/ SA 194 2H	SA 193 B7/ SA 194 2H
6.11	Nozzle flange gasket		3mm wire inserted Red Rubber	3mm wire inserted Red Rubber	3mm wire inserted Red Rubber
6.12	Name Plate		AISI 316 18'-8' SS (3 mm thick)	AISI 316 18'-8' SS (3 mm thick)	AISI 316 18'-8' SS (3 mm thick)
6.13	Wetted fasteners		SS-316	SS-316	SS-316
6.14	Painting				
	External Surface				
	a.) Surface Preparation b.) Primer c.) Final Paint		All surface other than stainless steels shall be painted. (a) Surface preparation shall be blast cleared using non-siliceous abrasive after usual wire brushing, which shall conform to Sa 2-1/2 Swiss Standard. (b) Primer coat shall consist of one coat of epoxy resin based zinc phosphate primer having minimum DFT of 100 microns. (c) Intermediate coat (or under coat) shall consist of epoxy resin based paint pigmented with Titanium dioxide with minimum DFT of 100 microns. (d) Top coat shall consist of one coat of epoxy paint suitable pigmented of approved shade and colour with glossy finish and DFT of 75 microns. Additionally finishing coat of polyurethane of minimum DFT of 25 microns shall be provided. The paint may be applied in one coat, in case high built paint is used, otherwise two coats shall be applied. Total DFT shall not be less than 300 microns.	All surface other than stainless steels shall be painted. (a) Surface preparation shall be blast cleared using non-siliceous abrasive after usual wire brushing, which shall conform to Sa 2-1/2 Swiss Standard. (b) Primer coat shall consist of one coat of epoxy resin based zinc phosphate primer having minimum DFT of 100 microns. (c) Intermediate coat (or under coat) shall consist of epoxy resin based paint pigmented with Titanium dioxide with minimum DFT of 100 microns. (d) Top coat shall consist of one coat of epoxy paint suitable pigmented of approved shade and colour with glossy finish and DFT of 75 microns. Additionally finishing coat of polyurethane of minimum DFT of 25 microns shall be provided. The paint may be applied in one coat, in case high built paint is used, otherwise two coats shall be applied. Total DFT shall not be less than 300 microns.	All surface other than stainless steels shall be painted. (a) Surface preparation shall be blast cleared using non-siliceous abrasive after usual wire brushing, which shall conform to Sa 2-1/2 Swiss Standard. (b) Primer coat shall consist of one coat of epoxy resin based zinc phosphate primer having minimum DFT of 100 microns. (c) Intermediate coat (or under coat) shall consist of epoxy resin based paint pigmented with Titanium dioxide with minimum DFT of 100 microns. (d) Top coat shall consist of one coat of epoxy paint suitable pigmented of approved shade and colour with glossy finish and DFT of 75 microns. Additionally finishing coat of polyurethane of minimum DFT of 25 microns shall be provided. The paint may be applied in one coat, in case high built paint is used, otherwise two coats shall be applied. Total DFT shall not be less than 300 microns.
7.0	Extra Carrying capacity to be provided on frame assembly.	%	25	25	25
8.0	Spares	Unit of Measurement			
8.1	Plates		20% of each type or minimum 03 nos. whichever is higher(Requirement for one PHE)	1 Lot comprising 20% of each type	1 Lot comprising 20% of each type
8.2	Gaskets (All types)		-	1 Lot comprising 30% of total requirement of each type & size	1 Lot comprising 30% of total requirement of each type & size
8.3	Fasteners		-	1 Lot comprising 10% each type	1 Lot comprising 10% each type
9.0	Hydrotesting at Shop				
9.1	Hydrotesting Pressure	Kg/cm2 (g)	1.5 times the design pressure	1.5 times the design pressure	1.5 times the design pressure
9.2	Duration of Hydrotesting	Minutes	30	30	30
10.0	**Performance curves and figures to be furnished during contact stage**				
10.1	Primary side water outlet temperature vs. Secondary side water inlet temperature.				
10.2	Primary side water flow (80% to 115%) vs. Pressure drop and outlet temperature (Secondary side flow – 100%)				
10.3	Secondary side water flow (80% to 115%) vs. Secondary side pressure drop and primary side outlet temp (Primary side flow – 100%)				
10.4	Primary side water outlet temperature vs. Primary side inlet temp.				
10.5	Film heat transfer coefficient curve				
10.6	Correction Curves.				
11.0	**Minimum Standard requirement of the PHE to be offered by the bidder.**				
11.1	Minimum Corrosion allowance on thickness (as per ASME Sec. VIII Div. I)				
11.2	Metallurgy specified above is bare minimum . Equivalent or Superior materials suitable for fluid handled is also acceptable subject to Customer/BHEL approval.				
11.3	Plate thickness should be adequate to withstand all operating conditions but with Minimum plate thickness of 0.6 mm (No negative tolerance allowed in thickness specified). The plates shall be pressed from one single piece with the corrugation being smooth, uniform and identical for every plate.				
11.4	The plate Heat exchangers shall be single pass type. Heat transfer plates shall be sealed at their outer edges and around the ports by gaskets in order to prevent leakage and inter-mixing of fluids.				
11.5	Double sealing arrangement shall be provided at outer edge and around ports with the inter space between the seals vented to atmosphere in order to avoid inter-mixing of liquids in case of gaskets failure.				
11.6	Each Plate shall be numbered in sequence with the number marked by indelible ink on each plate to permit easy reassembly.				
11.7	Flanges shall be as per ANSI 16.5 or equivalent. Thickness of pressure and frame plates shall be as per ASME Sect. VIII div.1.				
11.8	Painting as specified at Sl. No. 6.14 above shall be subject to customer/BHEL approval during detailed engineering.				

DM WATER ANALYSIS

Applicable for ALL Projects (PHE - Primary Side)

ANNEXURE - A-9

CLAUSE NO.	PROJECT INFORMATION NTPC

ANALYSIS OF DM WATER TO BE USED

AS

PRIMARY FLUID FOR PHEs OF ALL THE PROJECTS

S.No.	Characteristics		Value
i)	Silica (Max.)	-	0.02 ppm as SiO2
ii)	Iron as Fe	-	Nil
iii)	Total hardness	-	Nil
iv)	pH value	-	CORRECTED TO 8.5-9.5
v)	Conductivity excludingthe effects of free CO_2	-	Not more than. 0.1

CLAUSE NO.	PROJECT INFORMATION	एनटीपीसी NTPC

ANNEXURE-III

RAW WATER ANALYSIS

S.No	Constituent	As	mg/l
1	Calcium	$CaCO_3$	105
2	Magnesium	$CaCO_3$	81
3	Sodium	$CaCO_3$	70
4	Potassium	$CaCO_3$	7
	Total cations	$CaCO_3$	263
5	M- Alkalinity	$CaCO_3$	180
6	P- Alkalinity	$CaCO_3$	0
7	Chloride	$CaCO_3$	60
8	Sulphate	$CaCO_3$	23
	Total Anions	$CaCO_3$	263
9	Total Silica, Reactive Silica, Reactive Silica, Colloidal	SiO_2	17 15 2
10	Iron (Total)	Fe	0.5
11	pH	-	7.0-7.8
12	Turbidity	NTU	100
13	Total dissolved solids		350-400
14	Temperature	Deg C	20-35
15.	TOC		1.93
16.	BOD		8
17.	COD		14

CLARIFIED WATER ANALYSIS (For DM Plant Design)

Organic Matter - Less than 0.05 mg/litre (see note #1 below)
Iron Content - Less than 0.3 mg/litre
Turbidity - Less than 10 NTU
Note # 1- Organic matter shall be tested as per KMnO4 method.
Note # 2- Clarified water is being used as cooling water. The cycle of Concentration of Cooling water is 5. Other parameters of Cooling Water shall be derived based on Raw water analysis after modifying the continuous dosing of Alum as $Al_2(SO4)_3$ -70ppm on 100% Basis, Lime as Ca(OH)2 -30ppm on 100% Basis , Coagulant aid - 2ppm on 100% Basis, PAC- 25-40 ppm on 100% Basis & Chlorine Di Oxide - 2 ppm.
Note- The above dosing rate may subject to minor variation during detail engineering stage. However the design of UF shall be based on colloidal silica value given in raw water analysis.

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SECTION II

IIA STANDARD TECHNICAL SPECIFICATION STANDARD QUALITY PLAN

	TITLE : STANDARD TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGER	**SPECIFICATION NO.** PE-TS-999-179-N001	
		VOLUME :	
		SECTION : **IIA**	
		REV. NO. 01	**DATE :** 2/5/2018
		SHEET 1 **OF** 1	

SECTION - IIA
PLATE HEAT EXCHANGER
STANDARD TECHNICAL SPECIFICATION
DATA SHEET C

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	TITLE : STANDARD TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGER	SPECIFICATION NO. PE-TS-999-179-N001	
		VOLUME :	
		SECTION : IIA	
		REV. NO. 01	DATE : 2/5/18
		SHEET 1 OF 11	

1.00.00 **GENERAL**

This specification covers the Design, Performance requirements, Constructional Features, Materials requirements, manufacture, assembly, Inspection and Testing at Manufacturer's and/ or his sub-contractor's works and Painting requirements for delivery of Plate Heat Exchanger complete with all accessories as specified herein-after.

1.01.00 **SYSTEM DESCRIPTION**:

1.01.01 The Plate Heat Exchanger are intended to be used in closed circuit DM cooling water circuit for Cooling Hot passivated DM Water by Auxiliary Cooling Water (Clarified / Sea Water).

1.01.02 Passivated DM Water is circulated through various auxiliary coolers of TG/SG/Station Aux., in closed loop by means of pumps. This DM water picks up heat from different cooling equipment's. Heat from DM water is transferred to auxiliary cooling water (Secondary side) thru' the Plate Heat Exchangers covered under this specification.

1.01.03 The analysis of DM Water, Clarified /Sea Water (Auxiliary cooling water) to be handled by the Plate Heat Exchangers are attached as Annexure to Data Sheet-A.

1.01.04 A strainer of 2 mm size at ACW inlet lines of PHE is provided and backwashing of PHE's is not envisaged.

2.00.00 **CODES AND STANDARDS :**

2.01.00 The design manufacture and testing of the plate heat exchanger complete with all accessories, shall generally conform to the latest editions of the following appropriate standards.

2.01.01 IS/BS/DIN/US Standards regarding pressure vessels, pressure piping, pipes, valves, flanges and other as necessary.

2.01.02 IS/ BS/ DIN/ ASTM for material specification and testing procedures.

2.02.00 In case of any conflict between the above codes/ standards and this

specification, the latter shall prevail and in case of any further conflict in the matter, the interpretation of the specification by the Engineer shall be final and binding.

3.00.00 **SCOPE OF SUPPLY**:

3.01.00 The details of the Plate Heat Exchangers with the quantity, design parameters etc. to be supplied shall be as per Data Sheet-A enclosed at Section IB.

3.02.00 Each Plate Heat Exchanger (quantity and other details specified in Data Sheet-A) shall be complete with the following accessories and auxiliaries.

(i) Suitable drain and vent connections for both primary (DMCW) and Secondary Water (Raw Water/ Sea Water as applicable) streams complete with isolation valves.

(ii) Supporting arrangement complete with foundation plate channels, anchor bolts, nuts, sleeves, inserts etc.

(iii) Lifting arrangement i.e., lifting lugs, eye bolts etc.

(iv) Matching counter flanges with necessary bolts, nuts, and gaskets for all flanged terminal points, including for DMCW and ACW inlet/outlet nozzles & reducers/ expanders.

(v) Inspection ports at the End plates of the PHE.

(vi) Other accessories as required to make PHE's complete in all respects.

(vii) Commissioning spares, if any.

(viii) One Ratchet spanner for each type of PHE is included in bidder's scope of supply.

(ix) Matching piece (Reducer/Expander), with coatings (as required), to match the PHE nozzle connection with connecting pipe size at DMCW side/ ACW side as indicated in Data Sheet. In case of sea Water, Matching piece on ACW Side (Secondary) shall be flanged with coatings (as required for sea water application).

(x) Spares as applicable as per data sheet A at Section IB.

3.03.00 Finish paints for touch up painting of equipment after erection at Site in sealed containers.

3.04.00 Items though not specifically mentioned in the specification but needed to complete the equipment to meet the intent of specification, shall also be deemed to be included unless otherwise specifically mentioned in exclusions.

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		VOLUME :	
		SECTION : IIA	
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		SHEET 3 OF 11	

4.00.00 **EXCLUSIONS :**

The following are excluded from the bidder's scope:

4.01.00 Civil foundation works required for installation of the heat exchangers.

4.02.00 Piping, valves etc., on the external circuit of both primary and secondary water streams.

4.03.00 Erection & Commissioning of equipment at site.

5.00.00 **DESIGN AND CONSTRUCTION:**

5.01.00 **General Requirements:**

5.01.01 Unless otherwise necessary manufacturer's standard and proven models of the plate heat exchanger shall be supplied.

5.01.02 The equipment shall be capable of safe, proper and continuous operation at all heat loads and water from up to those corresponding to the operating conditions mentioned in Data Sheet-A. Vibration, noise, mechanical and thermal stresses shall be kept within allowable limits specified by relevant codes/ standards in design. Due attention shall be given to ease of maintenance, repair and cleaning.

5.01.03 Suitable corrosion allowance shall be provided wherever necessary. The corrosion allowance for the heat exchanger parts such as pressure plates (support plates), nozzles, sliding channels and frame shall be 1.6 mm (minimum).

5.01.04 Each heat exchanger shall be capable of passing a flow of at least 1.1. times the design flow rate on both primary and secondary water sides. Bidder shall indicate maximum pressure drop through the heat exchanger under this condition.

5.01.05 For the purpose of calculating dirty overall heat transfer coefficient a total fouling factor as given in Data Sheet-A shall be assumed. It is expected that the cleaning frequency shall be once in a year with the above fouling factor.

5.01.06 No back wash for the heat exchangers is envisaged.

5.02.00 **Performance Requirements:**

5.02.01 The pressure drop across plate heat exchanger from inlet to outlet in fouled conditions for primary and secondary sides, shall not be more than those

specified in Data Sheet-A, for the specified flow rates.

5.02.02 For the specified flow rate and inlet temperature, the primary side (hot fluid) outlet temperature shall not be more than that specified in Data Sheet-A.

5.02.03 In the event of failure to meet the above stipulated performance requirements, the equipment will be outrightly rejected.

5.03.00 **Construction of Heat Exchanger:**

5.03.01 Heat transfer plates shall be packed in a frame consisting of fixed frame plate and movable pressure plate and aligned at top and bottom on carrying bars. Design shall be such that cleaning is possible without dismantling the piping.

5.03.02 Heat transfer plates shall be sealed at their outer edges and around the ports by gaskets in order to prevent leakage and inter-mixing of fluids.

Double sealing arrangement shall be provided at outer edge and around ports. The interspace between the seals shall be vented to atmosphere in order to avoid inter-mixing of liquids in case of gaskets failure.

The gasket arrangement shall be such that it receives continuous support to ensure a long gasket life. The gasket should be able to retain their properties and shape over a life period of 10 years.

5.03.03 Heat transfer plates shall be provided with sufficient thickness in order to impart sufficient rigidity to the plates particularly from handling considerations. Plates shall have contact points in order to provide inter-plate supports. The recesses on the plates are suitably strengthened by a reinforcement plate.

Plate thickness shall be adequate to withstand all operating conditions as specified in Datasheet-A. Flanges shall be as per ANSI B 16.5 or equivalent. Thickness of pressure and frame plates shall be as per ASME Sec. VIII Div. 1. 25% extra capacity for additional plates shall be provided in frame.

Each plate shall be numbered in sequence. The number shall be marked by indelible ink on the plate to permit easy reassembly. The plates shall be pressed from one piece. They shall be pressed in single / progressive manner.

The corrugation shall be smooth, uniform and identical for every plate. The PHE

bottom frame plate and support should have fixing lugs and cleats to keep provision for enabling to fit trough with outlet nozzle fitted underneath to collect and drain out water in the event of leakages.

5.03.04 Frame for each heat exchanger shall have extra capacity to accommodate the additional plates if required in future because of any reason whatsoever. The extra capacity to be provided is indicated in Data Sheet-A.

The upper carrying bar and lower guide bar shall be rigid in construction without any risk or sagging or buckling, and shall facilitate easy guiding of the plates.

5.03.05 All inlet, outlet and other nozzles shall be flanged type and shall be as specified in Data Sheet-A. Counter flanges complete with gaskets, bolts, nuts and coatings (wherever necessary) shall be supplied for all the nozzle connections. The nozzle sizes of primary / secondary streams of PHEs shall be of adequate size within acceptable range of velocity. The size selection shall be subject to approval in the event of order.

5.03.06 If necessary, relief valves shall be provided on both the streams.

5.04.00 **Materials of construction :**

Material of the heat transfer plates and gaskets shall be consistent with the fluid handled. However, material specification for various parts shall be equal or superior to those specified in Data Sheet - A.

5.05.00 **Foundation And Lifting Arrangements:**

5.05.01 Plate heat exchanger shall be supplied with necessary foundation plates, anchor bolts, sleeves, nuts, inserts etc.

5.05.02 Plate heat exchanger shall be equipped with suitable lifting lugs/ eye-bolts to facilitate handling during erection and maintenance.

6.00.00 **PAINTING:**

6.01.00 The surface preparation of all exterior and interior surfaces of plate heat exchanger shall include the following:

a) Removal of oil, grease, dirt and swarf etc.,

b) Removal of rust and scale etc.,

c) Sand blasting/ shot blasing.

6.02.00 All exterior surfaces of PHEs shall be sand / shot blasted, painted with primer and finish coated with coal tar based epoxy coating of min. 250 microns thickness. Colour shade etc. shall be subject to BHEL / customer approval.

7.00.00 **SHOP INSPECTION AND TESTS:**

7.01.00 **General:**

7.01.01 Manufacturer shall conduct all tests and stage inspections as per the approved quality plan to ensure that the plate heat exchanger shall conform to the requirements of this specification and of the applicable codes/ standards.

7.01.02 All materails used for manufacture/ fabrication of the plate heat exchanger components shall be of tested quality. Relevant test certificates for chemical analysis, mechanical tests and heat treatment shall be made available before the final shop inspection. In case the relevant test certificates are not available, the manufacturer shall arrange to carry out the necessary tests required as per approved quality plan and applicable codes at his cost, for which samples shall be identified by BHEL's representative.

7.01.03 All shop tests shall be conducted in the presence of BHEL's representative and test certificates for the same shall be furnished to BHEL for approval.

7.01.04 Qualification of welding procedures and welders shall be as per ASME B&PV Code, Section-IX/applicable code.

7.02.00 **Heat Transfer Plates:**

7.02.01 Plate material used for pressing shall be furnished with mill test report showing chemical and physical properties and heat treatment records. Suitable correlating mark shall be available, so that BHEL's inspector can identify the material with test certificates before pressing the plates.

7.02.02 After pressing visual and dimensional checks on the plates shall be made in the presence of BHEL's inspector, on sampling basis.

7.02.03 The heat transfer plates from each lot of the plates shall be tested by liquid / dye penetrant test in order to check for cracks and other surface defects in presence of BHEL / customer's representative / third party (Llyods, TUV or equivalent). If any defect is detected in any of these plates, the whole lot shall be tested and plates without defects only shall be accepted. Plates cleaning agent, liquid penetrant and developer shall not contain any halogen. Procedure for DP test shall be subjected to purchaser's approval. Quantum of check shall be subject to customer's/purchaser's approval in the event of order.

7.02.04 The heat transfer plates shall be tested by light box test in order to check for cracks and other surface defects in presence of BHEL / customer's representative / third party (Llyods, TUV or equivalent). The plates without defects only shall be accepted. Procedure for Light box test shall be subjected to purchaser's approval. Quantum of check shall be subject to customer's/purchaser's approval in the event of order.

7.02.05 **Inspection Requirements**

(i) Inspection for "Pressing of plates to form whole corrugation of the heat transfer plate" shall be from third party like TUV/Lloyd and certificate shall be submitted for review of BHEL.

(ii) Minimum requirement for quality Plan shall be as per quality plan attached in the Section IIA of the specification. Manufacturing Quality Plan for PHE shall be subject to approval during detail engineering. No price implication shall be admissible to QP approval by BHEL/Customer.

(iii) Heat transfer area for the PHE shall be measured by White light scanning / similar method during contract stage to ascertain the correctness of heat transfer area.

Bidder to note that Heat Transfer Area measured by White Light Scanning should not have negative tolerance more than 3% w.r.t to the heat transfer area indicated by bidder. However in the case of negative tolerance (limited to maximum 3 percent) , bidder has to provide additional plates proportionately, as free issue, assembled into all the applicable PHE's before the Final inspection and "As built Certificate" shall be issued by the bidder accordingly. Bidder to note that negative tolerance beyond three percent shall not be accepted, however no credit shall be given to the bidder for positive tolerance of the plate area measurement.

	TITLE : STANDARD TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGER	SPECIFICATION NO. PE-TS-999-179-N001	
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7.03.00 **Gaskets :**

7.03.01 Certificate on Chemical composition of the gasket material shall be furnished to prove the quality. Sample testing in presence of BHEL's inspector shall also be conducted if desired.

7.03.02 Shore hardness test shall be conducted on the gasket and certificate shall be furnished. Sample tests shall also be done in presence of BHEL's inspector.

7.03.03 Visual and dimensional check on a sampling basis shall be done. Plates and gaskets assembled together, will be inspected for proper assembly.

7.04.00 **Frame Assembly:**

7.04.01 All materials for various components of frame assembly viz frame plate, pressure plate, carrying bar, guide bar, tightening/ clamping bolts and nuts etc., shall be of tested quality and test certificates for chemical composition and physical properties shall be furnished.

7.04.02 If the thickness of the plates used for frame and pressure plates is 40 mm or more the same shall be checked ultrasonically to demonstrate the absence of lamination and lack of fusion etc.

7.05.00 All weld joints used for fabrication of heat exchangers shall be subjected to suitable non-destructive examination. This shall include 100% magnetic particle examination or other suitable NDT of all welds.

7.06.00 **Nozzle and Flanged Connections:**

All materails for various nozzles, flanges, gaskets, nuts, bolts etc., shall be of tested quality and correlating test certificates for chemical and mechanical properties shall be furnished. These shall be checked for the edge preparation, fit up, orientation and satisfactory working with matching parts.

7.07.00 **Dimensional Checks**:

Dimensional checks of various components of plate heat exchanger, plate pack length etc., shall be carried out as per assembly drawings approved by BHEL.

7.08.00 **Hydrostatic Test :**

Plate heat exchanger shall by hydrostatically tested at a pressure of 1.5 times the design pressure. Pressure shall be applied first to both the sides of the plate at the same time, then only to one side and finally only to other side. Pressure shall be maintained for a minimum period of 30 Minutes for each of the three cases above and for such additional time as may be necessary to conduct the examination, for leakage. The examination shall be performed on all joints, connections and regions of high stress. Fluorescent dye shall be used during the test for ease of leak detection. There shall be no structural damage deformation of the plates.

8.00.00 **PERFORMANCE GUARANTEE AND TESTING AT SITE:**

8.01.00 **Performance Guarantee**

8.01.01 After completion of erection at site, performance test will be conducted to ensure that the plate heat exchanger operation meets the specified requirements. Rectification of all defects shall have to be done by the supplier at no extra cost to the purchaser. However the purchaser reserves the right to reject the equipment/ parts not meeting the requirement if the deficiency still persists.

8.01.02 The Plate Heat Exchanger shall be guaranteed to meet the performance requirements as given in Data Sheet-A of Section-IB and also for trouble free operation after commissioning.

8.02.00 **PG Testing at Site**

8.02.01 The guaranteed performance figures of the plat heat exchangers shall be proved by the supplier during the performance testing at site (as applicable). If the results of these tests show the non-performance of the heat exchanger to meet the guaranteed values, the supplier shall modify the heat exchanger as required to enable it to meet the guarantees.

Even If PG test is not envisaged for any project, in the event of performance shortfall at site or if insisted by customer, performance parameters (Flow, Temperature rise & Pressure drop) are to be demonstrated at site by bidder without any cost implication to BHEL.

8.02.02 All duly calibrated instruments required for PG testing including for flow

measurements shall be arranged by the bidder and taken back after the Test. The computation of flow by characteristics curve of Pumps for PG Testing of PHE's shall not be permitted.

8.02.03 It is clarified that pressure gauges and temperature gauges are provided at each PHE inlet / outlet on both primary / secondary sides and bidder can install their calibrated instruments on these locations. It is further clarified that due to layout constraints flow measuring instruments installation on pipe is not feasible. Bidder shall arrange the Ultra-sonic flow meter / similar type of instrument for PG testing.

8.02.04 At the time of performance testing, cleaning of the plates (if required) and instruments like pressure gauges, temp. Gauges, flow measuring instruments etc. shall be arranged by the bidder and no instruments shall be provided by BHEL for performance testing.

9.00.00 **QUALITY ASSURANCE & QUALITY PLAN:**

9.01.00 The Plate Heat Exchanger to be supplied shall have assured quality and workmanship.

9.02.00 Typical quality plan is enclosed in section-IIB for guidance. The bidder shall comply with these minimum requirements and shall furnish his own quality plan for approval. The quality plan shall be subjected to customer's / purchaser's approval in the event of order without any cost implication.

10.00.00 **DRAWINGS, DATA & INFORMATION TO BE SUBMITTED ALONG WITH THE OFFER:**

10.01.00 Compliance certificate (duly signed and stamped).

10.02.00 Guarantee Schedule (duly signed and stamped).

10.03.00 Thermal sizing calculations (only for reference and shall be reviewed during detailed engineering).

10.04.00 GA Drg. of PHE indicating all-important details for Layout purpose, withdrawal space required for plates, weight of assembly, nozzle & matching piece details etc. (only for reference and shall be reviewed during detailed engineering).

10.05.00 Deviation Schedule (as per NIT format; in case of nil Deviation, mention "No Deviation" in the schedule and submit).

11.00.00 **DRAWINGS, DATA & INFORMATION TO BE SUBMITTED AFTER THE AWARD OF CONTRACT:**

The drawings, data and other documents as required in Data Sheet-C shall be furnished after the award of contract.

12.00.00 **In the event of Contradictions between Section I and Section-II of the Specification, the requirements of Section-I shall prevail over the Section-II.**

	TITLE : DATA SHEET - C PLATE HEAT EXCHANGER	SPECIFICATION NO. PE-TS-999-179-N001	
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1.00.00 **DRAWINGS, DATA AND INFORMATION TO BE SUBMITTED AFTER THE AWARD OF CONTRACT**

After the award of contract, the following drawings, data and information is to be submitted for review/ approval of BHEL as per the distribution schedule given in the enquiry.

~~1.01.00 Within 2 (two) weeks of the date of LOI, the following shall be submitted.~~

1.01.01 Data Sheet-B duly revised conforming to accepted bid.

1.01.02 Final versions of the following drawings to enable BHEL to design foundations and structures and to finalise the layout.

a) General Arrangement/ Installation drawings indicating principal dimensions, and heights of equipment being supplied, size and location of various nozzles, connections, supporting arrangement, withdrawal space, bill of quantities and materials of construction and scope of supply etc.

b) Foundation arrangement drawings showing load data on support, size and location of anchor bolts etc.

1.01.03 Sizing and calculations related to PHE/plates.

1.01.04 Performance curve and figures as indicated in Data Sheet-A (for both clean and fouled conditions).

1.01.05 Quality Plan for PHE.

1.01.06 PG Test procedure (as applicable).

1.02.00 Within the stipulated time period the following drawing/ document shall be submitted:

1.02.01 Drawings of components and details as deemed necessary.

1.02.02 Material Test Certificates.

1.02.03 Shop Tests Reports and Certificates.

1.02.04 Write-up and Instruction Manuals for Erection, Operation and Maintenance.

1.02.05 Storage Instruction.

MANUFACTURER/ BIDDER/ SUPPLIER NAME & ADDRESS	STANDARD QUALITY PLAN		SPEC. NO : PE-TS-999-179-N001, R01	DATE: 02/05/18
	CUSTOMER :		QP NO.: PE-QP-999-179-N004	DATE: 15/02/2020
	PROJECT:		PO NO.:	DATE:
	ITEM: PLATE HEAT EXCHANGER	SYSTEM: DMCW/ACW	SECTION:	SHEET 1 of 6

SL NO.	COMPONENT & OPERATIONS	CHARACTERISTICS	CLASS	TYPE OF CHECK	QUANTUM OF CHECK		REFERENCE DOCUMENT	ACCEPTANCE NORMS	FORMAT OF RECORD	*	AGENCY			REMARKS
1	2	3	4	5	6		7	8	9	D	**			
					M	C/ N					M	C	N	
1.0	RAW MATERIAL INSPESTION													
1.1	Frame Plates & Pressure Plates, Counter Flanges, Connection Lining Material, Top And Bottom Carrying Bar.	Physical Properties	MA	Physical Test	1/ Heat	1/ Heat	App. Drg / Data Sheet	Relevant material Std.	Mill TC Or Lab Test Report	√	P,V	V	V	If co-related mill TCS are not available then check testing carried out by NABL lab
		Chemical Properties	MA	Chemical Analysis	1/ Heat	1/ Heat	App. Drg / Data Sheet	Relevant material Std.	Mill TC Or Lab Test Report	√	P,V	V	V	If co-related mill TCS are not available then check testing carried out by NABL lab
		Dimensions	MA	Measurement	100%	100%	Approved Drawings	Approved Drawings	Inspection Reports	√	P,V	V	V	
		Workmanship And Finish	MA	Visual	100%	100%	Approved Drawings	Approved Drawings	Inspection Reports	√	P,V	V	V	
		Lamination (Applicable For Frame And Pressure Plate Only)	CR	Ultrasonic Test	100%	100%	SA 435	SA 435	Inspection Reports	√	P,V	V	V	Applicable for plate thickness more than 25 mm only
1.2	Heat Transfer (HT) Plates/Coils	Physical Properties	MA	Physical Test	1/ Heat	1/ Heat	App. Drg. / Data Sheet	App. Drg. / Data Sheet	Mill TC Or Lab Test Report	√	P,V	V	V	**See Remark 1**
		Chemical Properties	MA	Chemical Analysis	1/ Heat	1/ Heat	App. Drg. / Data Sheet	App. Drg. / Data Sheet	Mill TC Or Lab Test Report	√	P,V	V	V	**See Remark 1**
		Dimensions	MA	Measurement	100%	Sample	Approved Drawings	Approved Drawings	Inspection Reports	√	P,V	V	V	

BHEL						BIDDER/ SUPPLIER	
ENGINEERING			QUALITY			Sign & Date	
	Sign & Date	Name		Sign & Date	Name	Seal	
Prepared by:	19/2/20	NIKHIL DUBEY	Checked by:	19.02.20	MOHIT KUMAR		
Reviewed by:	19/2/2020	VISHAL KR. YADAV	Reviewed by:	19/2/2020	RITESH KR. JAISWAL		

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	Sign & Date	Name	Seal
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Approved by:			

MANUFACTURER/ BIDDER/ SUPPLIER NAME & ADDRESS	STANDARD QUALITY PLAN		SPEC. NO : PE-TS-999-179-N001, R01	DATE: 02/05/18
	CUSTOMER :		QP NO.: PE-QP-999-179-N004	DATE: 15/02/2020
	PROJECT:		PO NO.:	DATE:
	ITEM: PLATE HEAT EXCHANGER	SYSTEM: DMCW/ACW	SECTION:	SHEET 2 of 6

SL NO.	COMPONENT & OPERATIONS	CHARACTERISTICS	CLASS	TYPE OF CHECK	QUANTUM OF CHECK		REFERENCE DOCUMENT	ACCEPTANCE NORMS	FORMAT OF RECORD	*	AGENCY			REMARKS
1	2	3	4	5	6		7	8	9	D	**			
					M	C/ N					M	C	N	
1.3	Gaskets	Dimensions	MA	Measurement	100%	Sample	Approved Drawings	Approved Drawings	Inspection Reports	√	P,V	V	V	Co-related mill TCS to be provided.
		Workmanship And Finish	MA	Visual	100%	Sample	No damage, No Surface defects.	No damage, No Surface defects.	Inspection Reports	√	P,V	V	V	
		Contour	MA	Visual	100%	Sample	Mfg. Drgs / specification	Mfg. Drgs / specification	Inspection Reports	√	P,V	V	V	
		Hardness	CR	Measurement	100%	Sample	Approved Drawings	Approved Drawings	Inspection Reports	√	P,V	V	V	
1.4	Tightening Bolts & Nuts. (Tie Rod)	Physical Properties	MA	Physical Test	1/ Heat	1/ Heat	App. Drg / data sheet	Relevant Material Std.	Mill Tc Or Lab Test Report	√	P,V	V	V	
		Chemical Properties	MA	Chemical Analysis	1/ Heat	1/ Heat	App. Drg / data sheet	Relevant Material Std.	Mill Tc Or Lab Test Report	√	P,V	V	V	
		Dimensions	MA	Measurement	100%	100%	Approved Drawings	Approved Drawings	Inspection Reports	√	P,V	V	V	
		Workmanship and Finish	MA	Visual	100%	100%	Approved Drawings	Approved Drawings	Inspection Reports	√	P,V	V	V	
		Internal Soundness (For diameter >= 40 mm)	CR	UT	100%	100%	ASTM A 388	See Remark - 4	Inspection Reports	√	P,V	V	V	UT will be carried on raw material stage.
2.0	IN PROCESS INSPECTION													
2.1	HT PLATES	Area Measurement	NA	White Light Scanning	1 per Type	1 per Type	Approved drawing/ data sheet	Approved drawing/ data sheet	Inspection Reports	√	P,V	W	V	See Remark 2

BHEL						BIDDER/ SUPPLIER	
ENGINEERING			QUALITY			Sign & Date	
	Sign & Date	Name		Sign & Date	Name	Seal	
Prepared by:	19/2/20	NIKHIL DUBEY	Checked by:	19.02.20	MOHIT KUMAR		
Reviewed by:	19/2/2020	VISHAL KR. YADAV	Reviewed by:	19/2/2020	RITESH KR. JAISWAL		

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	Sign & Date	Name	Seal
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Approved by:			

MANUFACTURER/ BIDDER/ SUPPLIER NAME & ADDRESS	STANDARD QUALITY PLAN		SPEC. NO : PE-TS-999-179-N001, R01	DATE: 02/05/18
	CUSTOMER :		QP NO.: PE-QP-999-179-N004	DATE: 15/02/2020
	PROJECT:		PO NO.:	DATE:
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SL NO.	COMPONENT & OPERATIONS	CHARACTERISTICS	CLASS	TYPE OF CHECK	QUANTUM OF CHECK		REFERENCE DOCUMENT	ACCEPTANCE NORMS	FORMAT OF RECORD	* D	AGENCY ** M	C	N	REMARKS
1	2	3	4	5	6 M	6 C/ N	7	8	9					
		Physical Properties	MA	Physical Test	1 Sample per Heat	1 Sample per Heat	Approved drawing/ data sheet	Relevant Material Std.	Mill TC or Lab Test Report	√	P,V	V	V	
		Chemical Properties	MA	Chemical Analysis	1 Sample per Heat	1 Sample per Heat	Approved/ drawing/ data sheet	Relevant Material Std.	Mill TC or Lab Test Report	√	P,V	V	V	
		Dimension	MA	Measurement	1 Sample per Heat	1 Sample per Heat	Approved drawing/ data sheet	Approved drawing/ data sheet	Inspection Report	√	P,V	V	V	
		Workmanship And Finish	MA	Visual	100%	100%	Approved drawing/ data sheet	No scratches, cracks etc.	Inspection Report	√	P,V	V	V	
		Surface Defects And Cracks	CR	DP test	10%	2 % or min. 100 nos. whichever is higher	Manufacturer's DP test procedure (to be reviewed and approved by BHEL/Customer during contract stage)		DPT Report	√	P,V	W	V	See Remark 3
				Light Box Test/ Vacuum chamber test	100%	10%	Manufacturer's Light Box/Vacuum test procedure (to be reviewed and approved by BHEL/Customer during contract stage)		Vacuum Test Report	√	P,V	W	V	See Remark 3
		PMI testing	CR	PMI test	100 %	1 Sample per Heat	Approved drawing/ data sheet	Approved drawing/ data sheet	PMI Compliance report	√	P,V	V	V	See Remark 5

BHEL					
ENGINEERING			QUALITY		
	Sign & Date	Name		Sign & Date	Name
Prepared by:	19/2/20	NIKHIL DUBEY	Checked by:	19.02.20	MOHIT KUMAR
Reviewed by:	19/2/2020	VISHAL KR. YADAV	Reviewed by:	19/2/2020	RITESH KR. JAISWAL

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Sign & Date	
Seal	

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Reviewed by:			
Approved by:			

MANUFACTURER/ BIDDER/ SUPPLIER NAME & ADDRESS	STANDARD QUALITY PLAN		SPEC. NO : PE-TS-999-179-N001, R01	DATE: 02/05/18
	CUSTOMER :		QP NO.: PE-QP-999-179-N004	DATE: 15/02/2020
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SL NO.	COMPONENT & OPERATIONS	CHARACTERISTICS	CLASS	TYPE OF CHECK	QUANTUM OF CHECK		REFERENCE DOCUMENT	ACCEPTANCE NORMS	FORMAT OF RECORD		AGENCY			REMARKS
1	2	3	4	5	6		7	8	9	*	**			
					M	C/ N				D	M	C	N	
2.2	Welding Procedures Specification (WPS)	Correctness	MA	Verification	100%	100%	ASME SEC-IX.	ASME SEC-IX.	QW 482 ASME SEC-IX	√	P,V	V	V	Customer /BHEL/ BHEL TPI / NPCIL, EIL, LLYODS) approved WPS shall be used for welding.
2.3	Procedure Qualification Records (PQR)	Suitability	MA	Visual & Mechanical Test	100%	100%	ASME SEC-IX.	ASME SEC-IX.	QW 483 ASME SEC-IX.	√	P,V	V	V	
2.4	Welders Performance Qualification	Welder's Performance Soundness Of Welds	MA	Visual / RT & Mechanical	100%	100%	ASME SEC-IX.	ASME SEC-IX.	QW 484 ASME SEC-IX	√	P,V	V	V	Customer /BHEL/ BHEL TPI / NPCIL, EIL, LLYODS) approved WPS shall be used for welding.
2.5	Weld joint of expander/reducer.	Welding Of Outer Flange To Reducer/Expander	MA	Visual	100%	100%	Approved Drawings	Approved Drawings	Inspection Report	√	P,V	V	V	
			CR	DPT	100%	100%	Manufacturer's DP test procedure (to be reviewed and approved by BHEL/Customer during contract stage)		DPT Report	√	P,V	W	V	
2.6	PHE Structure	Workmanship and finish	MA	Measurement & Visual	100%	100%	Approved Drawings	Approved Drawings	Inspection Report	√	P,V	V	V	
2.7	Plate Gaskets	Presence Of Gasket	MA	Visual	100%	100%	Mfg. Spec.	Mfg. Spec.	Inspection Report	√	P,V	W	V	
2.8	Plate arrangement to flow diagram	Correctness	CR	Visual as per flow diagram	100%	100%	Approved Drawing	Approved Drawings	Inspection Report	√	P,V	V	-	
2.9	Assembly of tightening bolts and nuts	Squeezing of threads on T/B	MA	Visual	100%	100%	Approved Drawing / Data sheet	Approved Drawing / Data sheet	Inspection Report	√	P,V	V	-	
2.10	Plate Pack	Length	MA	Dimension Measurement	100%	100%	Approved Drawing	Approved Drawing	Inspection Report	√	P,V	V	V	

BHEL						BIDDER/ SUPPLIER		FOR CUSTOMER REVIEW & APPROVAL			
ENGINEERING			QUALITY			Sign & Date		Doc No:			
	Sign & Date	Name		Sign & Date	Name	Seal			Sign & Date	Name	Seal
Prepared by:	19/2/20	NIKHIL DUBEY	Checked by:	19.02.20	MOHIT KUMAR			Reviewed by:			
Reviewed by:	19/2/2020	VISHAL KR. YADAV	Reviewed by:	19/2/2020	RITESH KR. JAISWAL			Approved by:			

MANUFACTURER/ BIDDER/ SUPPLIER NAME & ADDRESS	STANDARD QUALITY PLAN		SPEC. NO : PE-TS-999-179-N001, R01	DATE: 02/05/18
	CUSTOMER :		QP NO.: PE-QP-999-179-N004	DATE: 15/02/2020
	PROJECT:		PO NO.:	DATE:
	ITEM: PLATE HEAT EXCHANGER	SYSTEM: DMCW/ACW	SECTION:	SHEET 5 of 6

SL NO.	COMPONENT & OPERATIONS	CHARACTERISTICS	CLASS	TYPE OF CHECK	QUANTUM OF CHECK		REFERENCE DOCUMENT	ACCEPTANCE NORMS	FORMAT OF RECORD		AGENCY			REMARKS
1	2	3	4	5	6		7	8	9	*	**			
					M	C/ N				D	M	C	N	
3.0	FINAL INSPESTION													
3.1	Complete Assembly	a. Conformance to GA drg.	MA	Dimension Measurement	100%	100%	Approved Drawing	Approved Drawing	Inspection Report	√	P,V	W	W	
		B. Dimensions, No. of Heat Transfer Plates, Workmanship & finish	MA	Dimension Measurement	100%	100%	Approved Drawing	Approved Drawing	Inspection Report	√	P,V	W	W	
3.2	Unbalanced hydrostatic pressure (Primary Side)	Leakage / strength of structure	MA	Hyd. Test	100%	100%	Manufacturer's Hydro test procedure (to be reviewed and approved by BHEL/Customer during contract stage)		Hydro Test Report	√	P,V	W	W	Hydro test @ 1.5 times the design pressure with 30 minutes holding time.
3.3	Unbalanced hydrostatic pressure (Secondary Side)	Leakage / strength of structure	MA	Hyd. Test	100%	100%	Manufacturer's Hydro test procedure (to be reviewed and approved by BHEL/Customer during contract stage)		Hydro Test Report	√	P,V	W	W	Hydro test @ 1.5 times the design pressure with 30 minutes holding time.
3.4	Surface Preparation for Painting	Cleanliness (dust, dirt free, oil, grease free surface), surface profile	MA	Measurement & visual	100%	100%	Tech. Specs / App. Drawings	Tech. Specs / App. Drawings	Test Report	√	P,V	V	-	Surface profile as per SA 2.5
3.5	Painting	Dry film thickness & shade	MA	Measurement & visual	100%	100%	Customer/BHEL Tech. Spec. / Approved Data sheets		Test Report	√	P,V	V	V	
3.6	Packing	Completeness	MA	Measurement & visual	100%	100%	Customer/BHEL Tech. Spec. / Approved Data sheets		Test Report	√	P,V	V	V	Packing procedure as per Annexure B. **See Remarks 7**
REMARKS:-														

BHEL						BIDDER/ SUPPLIER	
ENGINEERING			QUALITY			Sign & Date	
	Sign & Date	Name		Sign & Date	Name	Seal	
Prepared by:	19/2/20	NIKHIL DUBEY	Checked by:	19.02.20	MOHIT KUMAR		
Reviewed by:	19/2/2020	VISHAL KR. YADAV	Reviewed by:	19/2/2020	RITESH KR. JAISWAL		

FOR CUSTOMER REVIEW & APPROVAL			
Doc No:			
	Sign & Date	Name	Seal
Reviewed by:			
Approved by:			

MANUFACTURER/ BIDDER/ SUPPLIER NAME & ADDRESS	STANDARD QUALITY PLAN		SPEC. NO : PE-TS-999-179-N001, R01	DATE: 02/05/18
	CUSTOMER :		QP NO.: PE-QP-999-179-N004	DATE: 15/02/2020
	PROJECT:		PO NO.:	DATE:
	ITEM: PLATE HEAT EXCHANGER	SYSTEM: DMCW/ACW	SECTION:	SHEET 6 of 6

SL NO.	COMPONENT & OPERATIONS	CHARACTERISTICS	CLASS	TYPE OF CHECK	QUANTUM OF CHECK		REFERENCE DOCUMENT	ACCEPTANCE NORMS	FORMAT OF RECORD		AGENCY			REMARKS
1	2	3	4	5	6		7	8	9	*	**			
					M	C/ N				D	M	C	N	

1	Co-related Mill TC's to be furnished by vendor to BHEL representative during inspection stage for review. BHEL to verify physical correlation of Mill TC's with material.
2	Inspection of Heat Transfer Plate Area Measurement shall be by White Light Scanning Method from BHEL (Refer Annexure –A). In case, inspection of plate area measurement by white light scanning method of specific PHE model has been witnessed by BHEL in past project then Type test certificates are acceptable to BHEL for same. The type test certificate shall not be more than 5 years old from date of inspection.
3.	Reg. Dye Penetrant Test & Light Box Test: There shall be random witness by BHEL/ Customer at Bidder's works, in case any defect is found in any of selected % of plates, the whole lot shell be tested in presence of BHEL & Customer. H.T. Plates without defect only shall be accepted.
4.	Ultrasonic test of tie rods shall be carried out using 10 mm / 20 mm size Normal Beam Probe of frequency 2 MHz. Using this probe, the back wall echo in the sound area of bar shall be adjusted to 100% of full Screen Height (FSH). The whole bar shall be scanned under this sensitivity setting. In this sensitivity setting any defect echo indication having height greater than 20% of FSH is not acceptable.
5.	100% PMI Inspection for material grade of PHE Heat Transfer plates shall be from BHEL/ BHEL TPI. BHEL reserves the right to conduct random & independent PMI inspection on PHE's Heat Transfer plates to ascertain the plate material.
6.	BHEL reserves the right for conducting repeat test, if required.
7.	Photographs of packed material to be verified by BHEL before issuing MDCC.
8.	Project specific QP to be developed based on customer requirement.

LEGENDS:
*RECORDS, INDENTIFIED WITH "TICK"(√) SHALL BE ESSENTIALLY INCLUDED BY SUPPLIER IN QA DOCUMENTATION,
** **M**: SUPPLIER/ MANUFACTURER/ SUB-SUPPLIER, **C**: MAIN SUPPLIER/ BHEL/ THIRD PARTY INSPECTION AGENCY, **N**: CUSTOMER, **D**: DOCUMENTATION
P: PERFORM, **W**: WITNESS, **V**: VERIFICATION, AS APPROPRIATE
MA: MAJOR, **MI**: MINOR, **CR**: CRITICAL

BHEL						BIDDER/ SUPPLIER	
ENGINEERING			QUALITY			Sign & Date	
	Sign & Date	Name		Sign & Date	Name	Seal	
Prepared by:	19/2/20	NIKHIL DUBEY	Checked by:	19.02.20	MOHIT KUMAR		
Reviewed by:	19/2/2020	VISHAL KR. YADAV	Reviewed by:	19/2/2020	RITESH KR. JAISWAL		

FOR CUSTOMER REVIEW & APPROVAL			
Doc No:			
	Sign & Date	Name	Seal
Reviewed by:			
Approved by:			

396998/2021/PS-PEM-MSE

	TITLE : TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGERS	SPECIFICATION NO. PE-TS-434-179-N002	
		SECTION IIA	
		REV. NO. 1	DATE 28/7/21
		SHEET 1 OF 2	

Annexure-A to Standard Quality Plan

PROCEDURE FOR MEASUREMENT OF HEAT TRANSFER SURFACE AREA OF THE PHE PLATES

Definition of Heat transfer area:-

The Heat transfer area of the PHE plate is the area of the plate participating in the heat transfer process viz. the wetted surface area inside the gasketed groove of the plate as shown in the **Annexure 1**.

Steps to Measure the Heat transfer Area:

1) The surface area of the plate shall be cleaned thoroughly.
2) Apply the developer (as used in Dye Penetrant test) over the entire surface area of the plate.
3) Fix the reference stickers at several appropriate locations on the plate.
4) White light (CFL) is projected on the plate.
5) The entire surface area including all the geometrical features of the plate (corrugations) is captured by the 3D camera.
6) The 3D image of the plate is then converted into CAD format.
7) The surface area can be measured from the 3D· CAD drawing.

	TITLE : TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGERS	SPECIFICATION NO. PE-TS-434-179-N002	
		SECTION IIA	
		REV. NO. 1	DATE 28/7/21
		SHEET 2 OF 2	

ANNEXURE-1

Heat transfer area to be measured-Shown in Hatched portion below

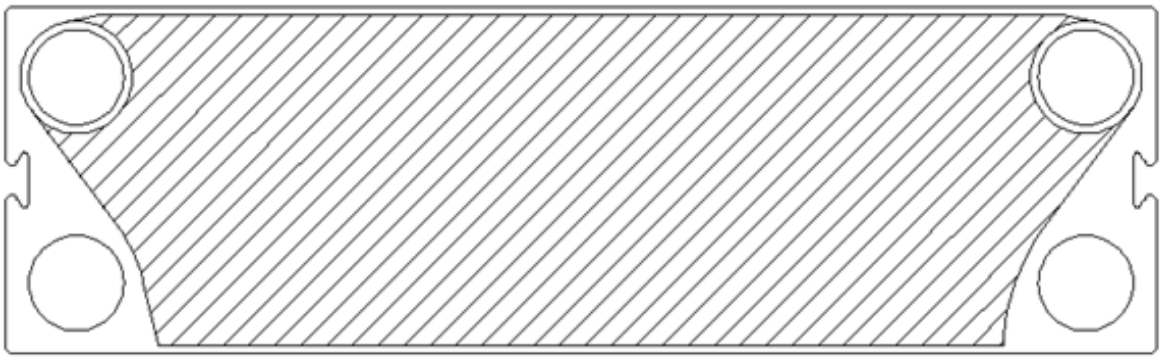

Fig. 1: Wetted Surface Area for Parallel Connection

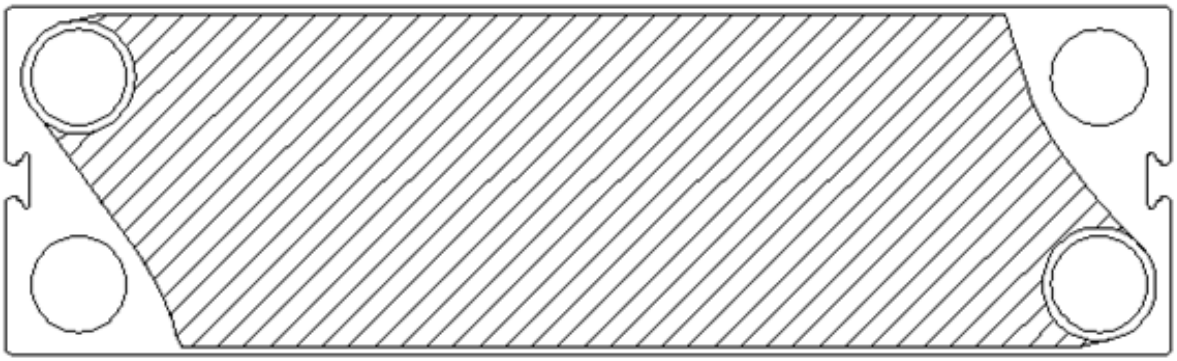

Fig. 2: Wetted Surface Area for Diagonal Connection

396998/2021/PS-PEM-MSE

	TITLE : TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGERS	SPECIFICATION NO. PE-TS-434-179-N002	
		SECTION IIA	
		REV. NO. 1	DATE 28/7/21
		SHEET 1 OF 2	

Annexure-B to Standard Quality Plan

PHE packing procedure before dispatch

1. Purpose:

The purpose of this procedure is to outline the requirements and procedures for protecting the equipment's during shipment and preserving during the storage.

2. Preparation for Packing:

- After hydro testing, operation, all fluids e.g. water etc., shall be completely drained from all PHE's, and the equipment blown dry.
- All material shall be cleaned internally and externally to remove, scale, rust fillings and any other foreign material.
- The PHE shall be placed on a strong wooden base & bolted to the wooden base using the foundation holes for further transportation upto site.

3. Protection of parts:

- Plate Heat Exchangers shall be packed in proper sizes of wooden cases. High grade woods like Rubber woods, jungle wood, hard wood, mango wood, pine wood, etc. is used for packing.
- All finished (or) machined (External C.S. Surfaces shall be protected against corrosion with corrosion resisting coating, which is easily removable (Compound shall be such that it will remain on the surface at temperature normally encountered during shipping & storage).
- All machined surfaces shall be protected from mechanical damage. All external unfinished carbon steel surfaces shall be sand blasted & shall be coated with rust preventive primer.
- Flanged opening if any shall be covered with blank flanges sealed with blank gasket of natural rubber or equivalent. Butt welded opening shall be closed with temporary closing covers. Internal threads shall be protected with metal plug sealed with Teflon tape (if applicable). External thread shall be protected with PVC sleeve.
- Wooden cases shall be covered with HDPE cloth from inside wooden box and the top. All the opening in plate heat exchanger shall be closed properly by suitably covering to prevent foreign material entering in plate heat exchanger.
- Loose material, primary and secondary a shall be packed in corrugated box and plastic bags with proper tagging.
- All fabricated wooden cases & crates conform to the requirement as per table given below:

Gross Weight [Kgs.]	Board Thickness	Batton / Rafter Thickness
2000 to 9000	Min. 30 mm	Min. 35 mm

	TITLE : TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGERS	SPECIFICATION NO. PE-TS-434-179-N002	
		SECTION IIA	
		REV. NO. 1	DATE 28/7/21
		SHEET 2 OF 2	

9000 to 18000	Min. 50 mm	Min. 35 mm

- All the equipment shall be protected for entire period of dispatch, storage and erection against corrosion, incidental damage due to vermin, sunlight, rain, high temperature, humid atmosphere, rough handling in transit and storage. All MS parts which are not painted shall be provided with coating of grease.
- Clay Desiccant or such other moisture absorbing material in small cotton bags shall be placed and tied at various points on the equipment, wherever necessary.

4. Special tools and Spare parts:

Special tools and tackles and spares shall be packed separately with adequate identification. Such packages shall be identified as Tools/Commissioning/Operational spares.

5. Preservation

The equipment's shall be stored under closed/open space in packed condition until installation. The packages containing loose plates and gaskets are to be protected from extreme climatic conditions.

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	TITLE : TECHNICAL SPECIFICATION FOR PLATE HEAT EXCHANGERS	SPECIFICATION NO. PE-TS-434-179-N002	
		SECTION III	
		REV. NO. 0	DATE 28/7/21

SECTION III

IIIA COMPLIANCE CERTIFICATE (TO BE SUBMITTED BY BIDDER DURING TENDER STAGE).

IIIB GUARANTEE SCHDULE (TO BE SUBMITTED BY BIDDER DURING TENDER STAGE).

IIIC DATASHEET –B FORMAT (TO BE SUBMITTED BY SUCESSFUL BIDDER AFTER AWARD OF CONTRACT).

396998/2021/PS-PEM-MSE

	TITLE : TECHNICAL SPECIFICATION FOR FOR PLATE HEAT EXCHANGERS	SPECIFICATION NO. PE-TS-434-179-N002	
		SECTION IIIA	
		REV. NO. 0	DATE 28/7/21

COMPLIANCE CERTIFICATE

The bidder shall confirm compliance with following by signing/ stamping this compliance certificate and furnishing same with the offer

a.) The scope of supply, technical details, construction features, design parameters etc. shall be as per technical specification & there are no exclusions/ deviations with regard to same.

b.) QP/ test procedures shall be submitted in the event of order based on the guidelines given in the specification & QP enclosed therein.
QP will be subject to BHEL/Customer approval in the event of order & customer hold points for inspection/ testing shall be marked in the QP at the contract stage. Inspection/ testing shall be witnessed as per same apart from review of various test certificates/ Inspection records etc.
The charges for 3rd party inspection (Lloyds, TUV or equivalent) for imported components shall be included in the base price of the equipment by the bidder.

c.) All drawings/data – sheets etc. to be submitted during contract shall be subject to BHEL/Customer review/ approval.
GA drawings, as submitted with offer at tender stage are for reference purpose only and shall be subject to approval during contract stage.

d.) There are no other deviations with respect to specification other than those furnished in the 'Schedule of Deviations'

e.) The offered materials shall be either equivalent or superior to those specified. Also for components where material is not specified it shall be suitable for intended duty, materials shall be subject to approval in the event of order.

f.) The commissioning spares (if any) are supplied on 'As Required Basis' & prices for same included in the base price (If bidders reply to this is "No commissioning spares are required" and if some spares are actually required during commissioning same shall be supplied by bidder without any cost to BHEL).

g.) All sub vendors shall be subject to BHEL/CUSTOMER approval.

h.) Any special tools & tackles, if required, shall be in bidder's scope.

i.) Performance Guarantees for PHE's shall stand valid as per commercial terms and conditions.

j.) Regarding bidder's association with their respective Principals (Plate & Gasket supplier) bidder confirms the following:

i. Plate supplier shall vet the thermal design of PHE at tender and contract stage and certify the adequacy of design and number of plates.
ii. Guarantee schedule duly vetted by Principal shall be submitted during contract stage.
iii. Bidders have back to back arrangement with their principal for technical guarantees.

	SCHEDULE OF PERFORMANCE GUARANTEES FOR PLATE HEAT EXCHANGER	SPECIFICATION NO.	PE-TS-434-179-N002
BHEL		Section	IIIB
		Rev No.	0

SL. NO.	DESCRIPTION	UNIT	GUARANTEE VALUE
	(To be Filled separately for each type of PHE)		
1.0	**PRIMARY SIDE (HOT WATER SIDE)**		
	CLEAN CONDITION		
a)	Flow rate	$M^3/Hr.$	
b)	DMCW inlet temperature	^oC	
c)	DMCW outlet temperature	^oC	
d)	Pressure drop	MWC	
2.0	**SECONDARY SIDE (COLD WATER SIDE)**		
	CLEAN CONDITION		
a)	Flow rate	$M^3/Hr.$	
b)	ACW inlet temperature	^oC	
c)	ACW outlet temperature	^oC	
d)	Pressure drop	MWC	
3.0	**PRIMARY SIDE (HOT WATER SIDE)**		
	FOULED CONDITION		
a)	Flow rate	$M^3/Hr.$	
b)	DMCW inlet temperature	^oC	
c)	DMCW outlet temperature	^oC	
d)	Pressure drop	MWC	
4.0	**SECONDARY SIDE (COLD WATER SIDE)**		
	FOULED CONDITION		
a)	Flow rate	$M^3/Hr.$	
b)	ACW inlet temperature	^oC	
c)	ACW outlet temperature	^oC	
d)	Pressure drop	MWC	

PARTICULARS OF BIDDER/ AUTHORISED REPRESENTATIVE

NAME

SIGNATURE

DATE

396998/2021/PS-PEM-MSE

बी एच ई एल BHEL	Title **DATA SHEET - B**	**SPECIFICATION NO.** PE-TS-434-179-N002
	PLATE HEAT EXCHANGER	VOLUME **III** SECTION **B**
		SHEET 1 OF 7

INSTRUCTION TO BIDDER

1. This data sheet shall be read in conjunction with Specification No. PE-TS-434-179-N002, Section – IA & IB.
2. Items which deviate from Specification shall be marked with an asterisk (*)

SL.NO.	ITEM	UNIT	PARTICULARS	
1.0	**General**			
1.1	Number of plate heat exchangers being supplied.	Nos.		
1.2	Manufacturer			
1.3	Model Number/ Type			
1.4	Whether single or double pass			
1.5	Flow Pattern			
2.0	**Design**			
2.1	Design Pressure	bar (g)		
2.2	Design Temperature	^{o}C		
2.3	Heat Load(without LMTD correction)	KW		
2.4	Heat Load(with LMTD correction)	KW		
2.5	LMTD (Corrected)	^{o}C		
3.0	**Guaranteed Performance for Each Heat Exchanger (in fouled condition)**		**Primary Side (Hot Fluid)**	**Secondary Side (Cold Fluid)**
3.1	Flow rate	M^3/hr		
3.2	Inlet temperature	^{o}C		
3.3	Outlet temperature	^{o}C		
3.4	Total pressure drop across heat exchanger from inlet to outlet(including inlet & outlet nozzles) a) For design flow b) For 110% design flow rate	bar		
4.0	**Heat Transfer & Fluid flow data**		**Primary Side (Hot Fluid)**	**Secondary Side (Cold Fluid)**
4.1	Film heat transfer co-efficient	$KCal/hrM^2\ ^{o}C$		
4.2	Fouling factor	$M^2hr\ ^{o}C/KCal$		

Name of Bidder/ Vendor					
Revision Number	**0**	**1**	**2**	**3**	**4**
Signature of Bidder/ Vendor Authorised Representative					
Date :					

396998/2021/PS-PEM-MSE

बी एच ई एल BHEL	Title **DATA SHEET - B**	**SPECIFICATION NO.** PE-TS-434-179-N002
	PLATE HEAT EXCHANGER	VOLUME **III** SECTION **B**
		SHEET 2 OF 7

INSTRUCTION TO BIDDER

1. This data sheet shall be read in conjunction with Specification No. PE-TS-434-179-N002, Section – IA & IB.
2. Items which deviate from Specification shall be marked with an asterisk (*)

SL.NO.	ITEM	UNIT	PARTICULARS
4.3	Overall fouling	M^2 hr^{o}C/KCal	
4.4	Overall heat transfer coefficient	KCal/hrM^2 ^{o}C	
	a) In clean conditions		
	b) In fouled conditions		
4.5	Total effective heat transfer area per heat exchanger	M^2	
4.6	Average velocity	m/s	
	a) Through ports		
	b) Through Plate Channels		
4.7	Pressure drop in ports	bar	
4.8	Pressure drop in channels	bar	
4.9	Maximum differential pressure between hot and cold fluids in plate channels (operating)	bar (g)	
5.0	**Heat Transfer Plates**		
5.1	Area of each plate	M^2	
5.2	Dimension (width x height)	mm x mm	
5.3	Thickness	mm	
5.4	Material & chemical composition		
5.5	Number of plates per heat exchanger	Nos.	
5.6	Maximum number of plates that can be accommodated in the heat exchanger frame	Nos.	
5.7	Type of corrugation		
5.8	Minimum plate pack length	mm	

Name of Bidder/ Vendor					
Revision Number	**0**	**1**	**2**	**3**	**4**
Signature of Bidder/ Vendor Authorised Representative					
Date :					

396998/2021/PS-PEM-MSE

BHEL	Title **DATA SHEET - B**	**SPECIFICATION NO.** PE-TS-434-179-N002
	PLATE HEAT EXCHANGER	VOLUME **III** SECTION **B**
		SHEET 3 OF 7

INSTRUCTION TO BIDDER

1. This data sheet shall be read in conjunction with Specification No. PE-TS-434-179-N002, Section – IA & IB.
2. Items which deviate from Specification shall be marked with an asterisk (*)

SL.NO.	ITEM	UNIT	PARTICULARS
	a) As per 5.5 above b) As per 5.6 above		
	Maximum plate pack length	mm	
	a) As per 5.5 above b) As per 5.6 above		
5.9	Average spacing between two plates	mm	
5.10	Hold up volume of each passage	M^3	
5.11	Port size (diameter)	mm	
6.0	**Plate Gaskets**		
6.1	Type		
6.2	Material and composition		
6.3	Thickness of gasket	mm	
6.4	Hardness of gasket		
6.5	Expected life of gasket		
7.0	**Carrying Bar**		
7.1	Type of construction		
7.2	Number per heat exchanger		
7.3	Size		
7.4	Material		
8.0	**Guide Bar**		
8.1	Type of construction		
8.2	Number per heat exchanger		
8.3	Size		
8.4	Material		
9.0	**Frame Plate**		

Name of Bidder/ Vendor					
Revision Number	**0**	**1**	**2**	**3**	**4**
Signature of Bidder/ Vendor Authorised Representative					
Date :					

396998/2021/PS-PEM-MSE

BHEL	Title **DATA SHEET - B**	**SPECIFICATION NO.** PE-TS-434-179-N002
	PLATE HEAT EXCHANGER	VOLUME **III** SECTION **B**
		SHEET 4 OF 7

INSTRUCTION TO BIDDER

1. This data sheet shall be read in conjunction with Specification No. PE-TS-434-179-N002, Section – IA & IB.
2. Items which deviate from Specification shall be marked with an asterisk (*)

SL.NO.	ITEM	UNIT	PARTICULARS	
9.1	Type of Construction			
9.2	Material			
10.0	**Pressure Plate**			
10.1	Type of construction			
10.2	Material			
11.0	**Supporting Columns**			
11.1	Type of Construction			
11.2	Material			
12.0	**Clamping/Gasket Compression Arrangement**			
12.1	Type of arrangement			
12.2	Tie Rod size & material (Length to take care 25% extra plates)			
12.3	Tie Rod Nuts size & material			
12.4	Nozzle flange stud size & material			
12.5	Nozzle flange Nut size & material			
13.0	**Inlet & outlet Connection Nozzles**		**Primary Side (Hot Fluid)**	**Secondary Side (Cold Fluid)**
13.1	Size	mm		
13.2	Rating			
13.3	Facing & drilling standard			
13.4	Flange material			
13.5	Are all nozzles counter-flanges, bolts, nuts, gaskets etc., are included in the		YES/NO	

Name of Bidder/ Vendor					
Revision Number	**0**	**1**	**2**	**3**	**4**
Signature of Bidder/ Vendor Authorised Representative					
Date :					

	Title **DATA SHEET - B**	**SPECIFICATION NO.** PE-TS-434-179-N002
	PLATE HEAT EXCHANGER	VOLUME **III** SECTION **B**
		SHEET 5 OF 7

INSTRUCTION TO BIDDER

1. This data sheet shall be read in conjunction with Specification No. PE-TS-434-179-N002, Section – IA & IB.
2. Items which deviate from Specification shall be marked with an asterisk (*)

SL.NO.	ITEM	UNIT	PARTICULARS
	offer?		
14.0	Recommended Cleaning frequency of the heat exchanger for assumed fouling factor	Months	
15.0	Is backwash necessary		YES/NO
16.0	Are all auxiliaries and accessories included in the offer		YES/NO
17.0	Are all counter-flanges with nuts, bolts and gaskets for all terminal points included in the offer?		YES/ NO
18.0	Are all heat exchangers supplied with necessary foundation plates, anchor, bolts, sleeves, inserts, lifting lugs etc., as specified.		YES/ NO
19.0	**Shop Tests & Inspection**		
19.1	Whether all the tests and inspections as detailed in the specification/ quality plan are carried out		YES/ NO
19.2	Hydrostatic Test :		
	a) Test Pressure	bar (g)	
	b) Test duration	min.	
19.3	Are all plates checked for cracks and other defects by the penetration method?		YES/NO

Name of Bidder/ Vendor					
Revision Number	**0**	**1**	**2**	**3**	**4**
Signature of Bidder/ Vendor Authorised Representative					
Date :					

396998/2021/PS-PEM-MSE

BHEL	Title **DATA SHEET - B**	**SPECIFICATION NO.** PE-TS-434-179-N002
	PLATE HEAT EXCHANGER	VOLUME **III** SECTION **B**
		SHEET 6 OF 7

INSTRUCTION TO BIDDER

1. This data sheet shall be read in conjunction with Specification No. PE-TS-434-179-N002, Section – IA & IB.
2. Items which deviate from Specification shall be marked with an asterisk (*)

SL.NO.	ITEM	UNIT	PARTICULARS
	If not, what percentage is checked?		
19.4	Is hardness test conducted for plate gaskets?		YES/NO
20.0	**Details of Painting**		
20.1	***Exterior surface*** a) Surface preparation b) Primer c) Finish Preparation		
20.2	***Interior Surface*** a) Surface preparation b) Primer c) Finish Preparation		
21.0	Weight of each heat exchanger a) Empty b) Flooded Flooded Weight of heat exchanger with Max. Plates	kg.	
22.0	Overall dimensions - (Length x Breadth x Height)	mm x mm x mm	
23.0	withdrawal space		
24.0	Recommended Maintenance tools and tackles furnished		Yes/No

Name of Bidder/ Vendor					
Revision Number	**0**	**1**	**2**	**3**	**4**
Signature of Bidder/ Vendor Authorised Representative					
Date :					

396998/2021/PS-PEM-MSE

बी एच ई एल BHEL	Title **DATA SHEET - B**	**SPECIFICATION NO.** PE-TS-434-179-N002
	PLATE HEAT EXCHANGER	VOLUME **III** SECTION **B**
		SHEET 7 OF 7

INSTRUCTION TO BIDDER

1. This data sheet shall be read in conjunction with Specification No. PE-TS-434-179-N002, Section – IA & IB.
2. Items which deviate from Specification shall be marked with an asterisk (*)

SL.NO.	ITEM	UNIT	PARTICULARS
25.0	Mesh Size of recommended Strainer	mm	
26.0	Foundation nuts and bolts supplied		Yes/No
27.0	Other information (if any)		

Name of Bidder/ Vendor					
Revision Number	**0**	**1**	**2**	**3**	**4**
Signature of Bidder/ Vendor Authorised Representative					
Date :					

PEM / PG-III, BHEL, Noida

SPECIAL CONDITIONS OF CONTRACT (SCC) Rev-0

3 x 800 MW PVUNL PATRATU TPP PHASE-I (Job No. 434)

These Conditions shall be read and construed along with General Conditions of Contract (GCC) rev.06 & GST related Corrigendum to GCC rev.06, to be enclosed along with the tender enquiry. In case of any conflict or inconsistency, the conditions given in SCC shall prevail over the GCC and its corrigendum.

Sl No.	Title	Description
1.	Project Name	3 x 800 MW PVUNL PATRATU TPP PHASE-I (EPC)
2.	Nature of project & Type of Bidding	Non-Mega & ICB (International Competitive Bidding)
3.	Customer Order Ref No	01/PVUNL-CS-9585-001-2/NOA-FC dated 08.03.2018 01/PVUNL-CS-9585-001-2/NOA-SC dated 08.03.2018 01/PVUNL-CS-9585-001-2/NOA-TC dated 08.03.2018
4.	BHEL's Customer	PATRATU VIDYUT UTPADAN NIGAM LIMITED (subsidiary of NTPC Limited in joint venture with JBVNL)
5.	**PVUNL GST No.**	**20AAICP3718K1ZH**
6.	Customer Consultants	No consultant
7.	Consignee Address (Bill To)	For supply package: BHEL, Power Sector-Project Engineering Management, Power Project Engineering Institute, Plot No. 25, Sector-16A, Noida, Uttar Pradesh-201301. **GSTIN: 09AAACB4146P2ZC** **For turnkey packages** (where BHEL-PEM will issue only the LOA and Purchase Order shall be issued by BHEL-PSWR): Construction Manager, BHEL site office, Patratu Vidyut Utpadan Njigam Ltd , PO: PTPS , Patratu , Ramgarh, Jharkhand - 829119 **BHEL PSWR GSTIN No.- 27AAACB4146P1ZF**
8.	Delivery Address (Ship To)	Construction Manager, Bharat Heavy Electricals Limited, Patratu Vidyut Utpadan Njigam Ltd, PO: PTPS , Patratu , Ramgarh ,Jharkhand - 829119
9.	BHEL Site Office Address	Construction Manager, Bharat Heavy Electricals Limited, Patratu Vidyut Utpadan Njigam Ltd , PO: PTPS , Patratu , Ramgarh, Jharkhand - 829119
10.	Location of Plant	Site is Located just outside the coal belt of South Karanpura in Ramgarh District of Jharkhand State. The nearest Railway Station is Patratu which is at a distance of about 4 km on Barkakhana-Barwadih Railway line. **District: Ramgarh (state- Jharkhand)** **Next big cities to site: Ranchi** **Nearest Railway Station: - Patratu** **Nearest Airport: Ranchi (45 km by road from site)**
11.	Mode of Dispatch	Air, Road, Rail & Sea Transportation For indigenous supplies: By Rail/Road on door delivery and freight pre-paid basis. For imported supplies: On C&F basis. Transit Insurance will be in BHEL scope
12.	Road Permit /E-waybill	Road Permit / E-way bill, to be arranged by Supplier/ transporter/ BHEL (as per GOI mandate).
13.	BHEL GSTIN Details	For supply packages: BHEL-PEM is registered in the State of Uttar Pradesh with GSTIN 09AAACB4146P2ZC

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		For Turnkey packages: BHEL PSWR GSTIN No.- 27AAACB4146P1ZF
14.	Transit Insurance	In BHEL Scope. For each dispatch, vendor shall inform the following to the Underwriter under intimation to BHEL-PEM and BHEL Site office: (i) Policy No. (ii) Consignee Name. (iii) Consignment Details (items with their weights and value (in INR). (iv) Project Name and P.O. No. (v) LR No. and date, Dispatch origin and destination details, Invoice No. Vendors to intimate the underwriters quoting the insurance Policy No. as mentioned in Purchase Order.
15.	Dispatch intimation	Yes in writing, Not less than 30 (Thirty) days prior to date of shipment and dispatch details to be sent to: BHEL Site office (As mentioned in Sl. No. 9) BHEL PEM Noida (As mentioned in NIT) At the point of dispatch, vendor must furnish docs required as given below through Email / Fax i. Vendor's invoice ii. LR / RR / GR / Courier Receipt iii. Packing List/ Challan indicating the items dispatched (with their weights) iv. Insurance intimation letter informing the underwriters about the dispatches v. MDCC (of BHEL / NTPC) as applicable vi. Photograph of packing / boxes showing dispatch marking as per Sl. No. 26
16.	Document required for Vendor's payment.	**For materials originating from Indian territory** For claiming the payment against dispatch, MRC & Freight, documents as mentioned in GCC rev 06 & its corrigendum shall be submitted by vendor to BHEL. Original money receipt must be submitted for Freight payment. **Packing List** must comply to Clause No. ~~19.3 of General Commercial~~ Terms & ~~Conditions of~~ GCC rev.06. Description of items in packing list shall be as per PO such that proper correlation between PO & packing list must be furnished. Soft copy of documents for claiming payment shall be submitted by vendor as advance copy. **For materials originating from non-Indian Territory** Three (3) original and Three (3) copies of clean bill of lading or One (1) clean original Airway Bill & Three (3) copies, in case of air freight. One (1) original and Three (3) copies of signed Invoices One (1) original and Three (3) copies of Packing List (clearly showing number of packages, gross weight and net weight). Three (3) copies of certificate of country of origin. Copy of MDCC from BHEL / NTPC (as applicable) Three (3) copies of inspection certificate, if any, issued by the customer/his authorized representative. Three (3) of certificate from the vendor to the effect that drawings and catalogues for customs clearance purpose have been kept with the packages for shipment. Three (3) copies of certificate from the vendor to the effect that the contents in each case are not less than that entered in the invoices and guaranteed as new and as per the relevant technical specifications. Shipping Specification – One (1) copy. Quality Certificate – One (1) copy. Approved Test Certificates, if any. - Three (3) copies. Guarantee Certificate – One (1) Original + One (1) copy.

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		Inspection Reports – One (1) Original + One (1) copy. PVC Calculation and copy of all applicable indices, if PVC applicable. – Two (2) copies.
17.	Material Receipt Certificate (MRC)	A) For supply packages- BHEL-PEM will arrange MRC from BHEL site B) For Turnkey (Supply + Erection & Commissioning) – Original MRC duly signed by customer (PVUNL) & BHEL site is to be arranged by Vendor.
18.	Buyer and Paying Authority	For packages where PEM will issue the Purchase Order: **BHEL PEM** will be the paying authority. For packages where BHEL-PEM will issue only the LOA and Purchase Order shall be issued by BHEL-PSWR: **BHEL Patratu Site will be the paying Authority.**
19.	Demurrage charges	Demurrage charges shall be paid by supplier/ vendor only to the transporter. No claim shall be acceptable to BHEL in this regard.
20.	Unloading, Storage & Movement of material at site	a) By BHEL site office for supply packages. b) By vendors for Turnkey i.e. Supply and E&C packages
21.	Concessional custom duty against Essentiality certificate (EC)	The project has been qualified through Project Import route. Accordingly, the benefits applicable to PI project would be granted for this project In this regard applicable documents such as Essentiality certificate will be issued by NTPC (ultimate customer). Under this, Concessional rate of Customs Duty shall be applicable on the Import Contents of the supplier respectively. Based on the above EC, Customs Duty Benefits will be passed on to the vendor. The Bidder to indicate the Import contents i.e. list of the item, Currency of Import and Country of Import including CIF value in their offers. BHEL shall inform, the availability of CIF value for a particular package, if any, at the time of NIT. The benefits availed in Concessional Customs Duty must be passed on to BHEL in their offer. Vendor shall inform BHEL and provide the necessary documents to obtain required certificates from BHEL to avail exemption. Obtaining custom duty benefit in line with the Essentiality Certificate issued shall be in vendor's scope.
22.	Taxes & Duties (For Domestic Vendor)	As per General Conditions of Contract (GCC rev 06) & GST related Corrigendum to GCC rev.06
23. a	Taxes & Duties (For Order Directly to Foreign Bidders)- supply packages	In case of foreign vendors, quoted prices & Dispatches shall be on C & F (Port-Chennai) basis and the Taxes & duties in the country of dispatch shall be borne by Foreign vendor.
23. b	Taxes & Duties (For Order Directly to Foreign Bidders)- Turnkey packages	Complete responsibility of import including (but not limited to) import clearance, all taxes and duties in the country of export (origin), all taxes and duties in India shall be to vendor's account.
24.	Inspection Agency	BHEL/ BHEL approved 3rd party inspection agencies and/or NTPC/ Customer Agency as applicable.
25.	Inspection procedure for Domestic supplies	<u>For Domestic supplies</u> Vendor shall raise inspection call at least 15 business days in advance on BHEL CQS website to applicable inspection agency (as mentioned in PO/LOI or to be informed later) and submit copy of inspection call to BHEL-PEM for arranging NTPC inspection/Joint inspection on the proposed date, as applicable. MDCC shall be issued on the basis of clear inspection report (CQIR). <u>For Foreign supplies</u> In case of Foreign supplies, if NTPC approved 3rd party inspection agency does not participate in the inspection, test certificates & inspection reports duly accepted by the agreed Inspection agency shall be submitted in soft copy to BHEL-PEM. The same shall be reviewed by PEM and then, sent to NTPC for clearance. The dispatch clearance (MDCC) by NTPC/ BHEL as applicable shall be given to the foreign supplier or representative in India after acceptance of above test certificates.

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26.	Packing, Identification & marking [if not specified in NIT]	Each box shall be marked with Capital Letters in "Red" indicating the **PEM SUPPLY (Main Supply/ Commissioning Spares/ Mandatory Spares) for** 3 x 800 MW PVUNL PATRATU TPP. **NOTE:** Main supply item and items for commissioning spares must be packed separately. Each package delivered under the Contract shall be marked by supplier and such marking must be distinct and in English language (all previous irrelevant markings being carefully obliterated). Such marking shall show the description and quantity of contents, the name and address of consignee, the Gross weight and Net weight of the package, the name of the Supplier, PEM P.O. reference number, with a distinctive number of mark sufficient for purposes of identification. Besides above necessary, packing shall bear a special marking `TOP', `BOTTOM', 'DO NOT TURN OVER", "KEEP DRY", "HANDLE WITH CARE", etc **IMPORTANT: -** • Two copies of respective standard manufacturer's erection instruction/operation instruction manual shall be kept in each package / container for immediate reference by BHEL site and same shall be reflected in packing slip also • The Packing list details for the consignment must be put inside the Box/Boxes. Items like pumps, Valves, Hoists, Cranes etc shall essentially have O&M Manuals and E&C guidelines duly enclosed in the packing box. Certificate to such effect shall also be reflected in packing slip. Mandatory spares shall be properly packed separately in separate box painted in Red, indicating **Mandatory Spares in bold letters** and each spare shall be properly tagged giving details i.e. item number of the equipment in line with the CUSTOMER approved BBU for Mandatory spares & Number per item (to match the description given ~~in the packing slip) to facilitate their proper~~ identification by PVUNL/ NTPC. One Copy of Packing list must be put inside the Box along with Manufacturing drawing no. reference, Catalogue reference etc.
27.	Submission of Final Drgs/Docs alongwith O&M Manual, Type Test Certificates (if any)	As per GCC rev.06/ Technical Specification/Kick-off meeting.

	Prepared by	Checked by	Reviewed by	Vetted by	Approved by
Name	Gaurav Garg				16/05/18
Designation	Sr. Engr/ PG III	DGM/ PG III	DGM/ PG III	Finance	AGM & DH/ PG III
Signature	Gaurav 08/5/18				DEEPAK GUPTA

IP

INTEGRITY PACT

Between

Bharat Heavy Electricals Ltd. (BHEL), a company registered under the Companies Act 1956 and having its registered office at "BHEL House", Siri Fort, New Delhi – 110049 (India) hereinafter referred to as "The Principal", which expression unless repugnant to the context or meaning hereof shall include its successors or assigns of the ONE PART

and

_____, (description of the party along with address), hereinafter referred to as "The Bidder/ Contractor" which expression unless repugnant to the context or meaning hereof shall include its successors or assigns of the OTHER PART

Preamble

The Principal intends to award, under laid-down organizational procedures, contract/s for _____ _____. The Principal values full compliance with all relevant laws of the land, rules and regulations, and the principles of economic use of resources, and of fairness and transparency in its relations with its Bidder(s)/ Contractor(s).

In order to achieve these goals, the Principal will appoint Independent External Monitor(s), who will monitor the tender process and the execution of the contract for compliance with the principles mentioned above.

Section 1 – Commitments of the Principal

1.1 The Principal commits itself to take all measures necessary to prevent corruption and to observe the following principles: -

1.1.1 No employee of the Principal, personally or through family members, will in connection with the tender for, or the execution of a contract, demand, take a promise for or accept, for self or third person, any material or immaterial benefit which the person is not legally entitled to.

1.1.2 The Principal will, during the tender process treat all Bidder(s) with equity and reason. The Principal will in particular, before and during the tender process, provide to all Bidder(s) the same information and will not provide to any Bidder(s) confidential / additional information through which the Bidder(s) could obtain an advantage in relation to the tender process or the contract execution.

1.1.3 The Principal will exclude from the process all known prejudiced persons.

1.2 If the Principal obtains information on the conduct of any of its employees which is a penal offence under the Indian Penal Code 1860 and Prevention of Corruption Act 1988 or any other statutory penal enactment, or if there be a substantive suspicion in this regard, the Principal will inform its Vigilance Office and in addition can initiate disciplinary actions.

Section 2 – Commitments of the Bidder(s)/ Contractor(s)

2.1 The Bidder(s)/ Contractor(s) commit himself to take all measures necessary to prevent corruption. He commits himself to observe the following principles during his participation in the tender process and during the contract execution.

2.1.1 The Bidder(s)/ Contractor(s) will not, directly or through any other person or firm, offer, promise or give to the Principal or to any of the Principal's employees involved in the tender process or the execution of the contract or to any third person any material, immaterial or any other benefit which he / she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract.

2.1.2 The Bidder(s)/ Contractor(s) will not enter with other Bidder(s) into any illegal or undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.

2.1.3 The Bidder(s)/ Contractor(s) will not commit any penal offence under the relevant IPC/ PC Act; further the Bidder(s)/ Contractor(s) will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the Principal as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.

2.1.4 Foreign Bidder(s)/ Contractor(s) shall disclose the name and address of agents and representatives in India and Indian Bidder(s)! Contractor(s) to disclose their foreign principals or associates. The Bidder(s)/ Contractor(s) will, when presenting his bid, disclose any and all payments he has made, and is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract.

2.2 The Bidder(s)/ Contractor(s) will not instigate third persons to commit offences outlined above or be an accessory to such offences.

2.3 The Bidder(s)/ Contractor(s) shall not approach the Courts while representing the matters to IEMs and will await their decision in the matter.

Section 3 – Disqualification from tender process & exclusion from future contracts

If the Bidder(s)/ Contractor(s), before award or during execution has committed a transgression through a violation of Section 2 above, or acts in any other manner such as to put his reliability or credibility in question, the Principal is entitled to disqualify the Bidder(s)/ Contractor(s) from the tender process or take action as per the separate "Guidelines on Banning of Business dealings with Suppliers/ Contractors". framed by the Principal.

Section 4 – Compensation for Damages

4.1 If the Principal has disqualified the Bidder from the tender process prior to the award according to Section 3, the Principal is entitled to demand and recover the damages equivalent Earnest Money Deposit/Bid Security.

4.2 If the Principal has terminated the contract according to Section 3, or if the Principal is entitled to terminate the contract according to section 3, the Principal shall be entitled to demand and recover from the Contractor liquidated damages equivalent to 5% of the contract value or the amount equivalent to Security Deposit/Performance Bank Guarantee, whichever is higher.

Section 5 – Previous Transgression

5.1 The Bidder declares that no previous transgressions occurred in the last 3 years with any other company in any country conforming to the anti-corruption approach or with any other Public Sector Enterprise in India that could justify his exclusion from the tender process.

5.2 If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process or the contract, if already awarded, can be terminated for such reason.

Section 6 – Equal treatment of all Bidders/ Contractors/ Sub-contractors

6.1 The Principal will enter into agreements with identical conditions as this one with all Bidders and Contractors. In case of sub-contracting, the Principal contractor shall be responsible for the adoption of IP by his sub-contractors and shall continue to remain

responsible for any default by his sub-contractors.

6.2 The Principal will disqualify from the tender process all bidders who do not sign this pact or violate its provisions.

Section 7 – Criminal Charges against violating Bidders / Contractors / Sub-contractors

If the Principal obtains knowledge of conduct of a Bidder, Contractor or Subcontractor, or of an employee or a representative or an associate of a Bidder, Contractor or Subcontractor which constitutes corruption, or if the Principal has substantive suspicion in this regard, the Principal will inform the Vigilance Office.

Section 8 –Independent External Monitor(s)

8.1 The Principal appoints competent and credible Independent External Monitor for this Pact. The task of the Monitor is to review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.

8.2 The Monitor is not subject to instructions by the representatives of the parties and performs his functions neutrally and independently. He reports to the CMD, BHEL.

8.3 The Bidder(s)/ Contractor(s) accepts that the Monitor has the right to access without restriction to all contract documentation of the Principal including that provided by the Bidder(s)/ Contractor(s). The Bidder(s)/ Contractor(s) will grant the monitor, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his contract documentation. The same is applicable to Sub-contractor(s). The Monitor is under contractual obligation to treat the information and documents of the Bidder(s)/ Contractor(s) / Sub-contractor(s) with confidentiality in line with Non-disclosure agreement.

8.4 The Principal will provide to the Monitor sufficient information about all meetings among the parties related to the contract provided such meetings could have an impact on the contractual relations between the Principal and the Contractor. The parties offer to the Monitor the option to participate in such meetings.

8.5 The role of IEMs is advisory, would not be legally binding and it is restricted to resolving issues raised by an intending bidder regarding any aspect of the tender which allegedly restricts competition or bias towards some bidders. At the same time, it must be understood that IEMs are not consultants to the Management. Their role is independent in nature and the advice once tendered would not be subject to review at the request of the organization.

8.6 For ensuring the desired transparency and objectivity in dealing with the complaints arising out of any tendering process, the matter should be examined by the full panel of IEMs jointly as far as possible, who would look into the records, conduct an investigation, and submit their joint recommendations to the Management.

8.7 The IEMs would examine all complaints received by them and give their recommendations! views to CMD, BHEL, at the earliest. They may also send their report directly to the CVO and the Commission, in case of suspicion of serious irregularities requiring legal! administrative action. IEMs will tender their advice on the complaints within 10 days as far as possible.

8.8 The CMD, BHEL shall decide the compensation to be paid to the Monitor and its terms and conditions.

8.9 IEM should examine the process integrity, they are not expected to concern themselves with fixing of responsibility of officers. Complaints alleging mala fide on the part of any officer of the organization should be looked into by the CVO of the concerned organization.

8.10 If the Monitor has reported to the CMD, BHEL, a substantiated suspicion of an offence under relevant Indian Penal Code! Prevention of Corruption Act, and the CMD, BHEL has not, within reasonable time, taken visible action to proceed against such offence or reported it to the Vigilance Office, the Monitor may also transmit this information directly to the Central Vigilance Commissioner, Government of India.

8.11 The number of Independent External Monitor(s) shall be decided by the CMD, BHEL.

8.12 The word 'Monitor' would include both singular and plural.

Section 9 – Pact Duration

9.1 This Pact shall be operative from the date IP is signed by both the parties till the final completion of contract for successful bidder and for all other bidders 6 months after the contract has been awarded. Issues like warranty! guarantee etc. should be outside the purview of IEMs.

9.2 If any claim is made / lodged during this time, the same shall be binding and continue to be valid despite the lapse of this pact as specified as above, unless it is discharged/ determined by the CMD, BHEL.

Section 10 – Other Provisions

10.1 This agreement is subject to Indian Laws and jurisdiction shall be registered office of the Principal, i.e. New Delhi.

10.2Changes and supplements as well as termination notices need to be made in writing. Side agreements have not been made.

10.3If the Contractor is a partnership or a consortium, this agreement must be signed by all partners or consortium members.

10.4Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties will strive to come to an agreement to their original intentions.

10.5 Only those bidders/ contractors who have entered into this agreement with the Principal would be competent to participate in the bidding. In other words, entering into this agreement would be a preliminary qualification.

HEMANT KUMAR KAUSHIK

Digitally signed by HEMANT KUMAR KAUSHIK
DN: c=IN, o=BHARAT HEAVY ELECTRICALS LIMITED, ou=BHEL PS PEM Noida, postalCode=201301, st=UTTAR PRADESH, 2.5.4.20=2036963903686989b857141c4a3a96f3d34ee71df65ba485176ba5f765d615ce, serialNumber=b8383a9c26a60f2dba74c0aeb38743445 4bf7842d4041b5fa6a51f532be80a18, cn=HEMANT KUMAR KAUSHIK
Date: 2021.08.24 18:15:42 +05'30'

For & On behalf of the Principal

(Office Seal)

For & On behalf of the Bidder/ Contractor

(Office Seal)

Place----------

Date----------

HEMANT KUMAR KAUSHIK

Digitally signed by HEMANT KUMAR KAUSHIK
DN: c=IN, o=BHARAT HEAVY ELECTRICALS LIMITED, ou=BHEL PS PEM Noida, postalCode=201301, st=UTTAR PRADESH, 2.5.4.20=2036963903686989b857141c4a3a96f3d34ee71df65ba485176ba5f765d615ce, serialNumber=b8383a9c26a60f2dba74c0aeb387434454bf7842d4041b5fa6a51f532be80a18, cn=HEMANT KUMAR KAUSHIK
Date: 2021.08.24 18:15:15 +05'30'

Witness: _____

(Name & Address) _____

Witness: _____

(Name & Address)_____