# TENDER SPECIFICATION BHEL: PSSR: SCT: 2074

**FOR** 

## **Framework Agreement**

for

Providing services of Repair & Servicing, Dismantling, Loading, Unloading, Assembly & Commissioning for cranes of various capacities, owned by BHEL at various projects of BHEL PSSR

**VOLUME -I BOOK - I** 

#### **TECHNOCOMMERCIAL BID - Consists of Book- I & Book- II**

#### **Book-I Consists of**

- Notice Inviting Tender
- Volume-IA: Technical Conditions of Contract

#### **Book-II consists of**

- Volume-IB: Special conditions of Contract,
- Volume-IC: General conditions of Contract
- O Volume-ID: Forms & Procedures

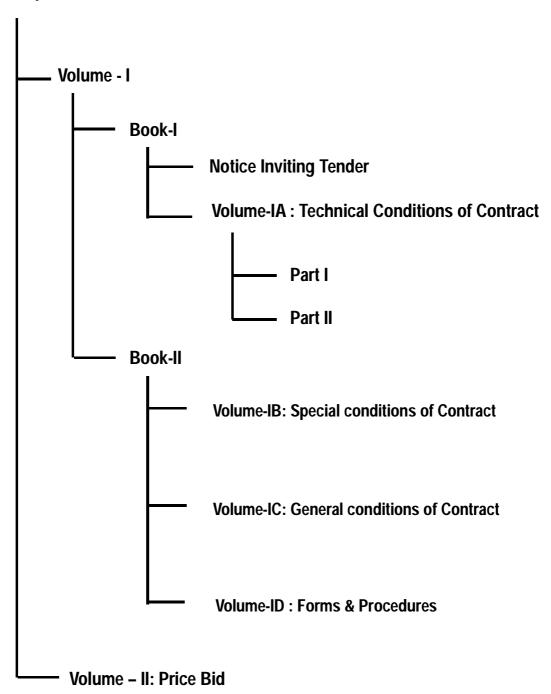


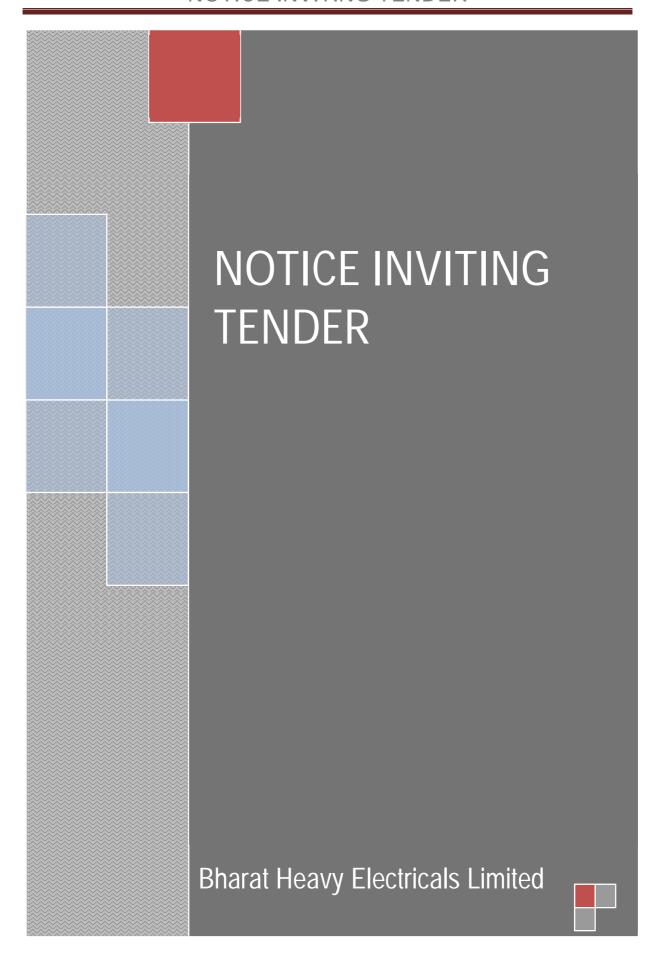
#### **BHARAT HEAVY ELECTRICALS LIMITED**

(A Government of India Undertaking)
Power Sector – Southern Region
Integrated Office Complex, TNEB Road, Pallikaranai,
Chennai, Tamilnadu 600100

## **TENDER SPECIFICATION CONSISTS OF**

## **Tender Specification**





Ref: BHEL: PSSR: SCT: 2074 Date: 11/07/2023

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#### **NOTICE INVITING TENDER (NIT)**

Note: Bidder may download Tender Documents from websites

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To

Dear Sir/Madam

**Subject: NOTICE INVITING TENDER** 

Sealed offers in two part bid system (National Competitive Bidding (NCB)) are invited from reputed & experienced bidders (meeting Pre-Qualification Criterion as mentioned in Annexure-1) through E-Procurement Portal <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a> only, for the subject job by the undersigned on the behalf of BHARAT HEAVY ELECTRICALS LIMITED as per the tender document. Following points relevant to the tender may please be noted and complied with.

#### 1.0 Salient Features of NIT

SI. No	ISSUE	DESCRIPTION			
i)	TENDER NUMBER	BHEL: PSSR: SCT: 2074			
ii)	•	Framework agreement for providing services of	•		
	of job	Servicing, Dismantling, Loading, Unloading, A			
		Commissioning for cranes of various capacities, own	ned by BHEL		
\	DETAIL C OF	at various projects of BHEL PSSR			
iii)		TENDER DOCUMENT			
Α	Volume-IA	Technical Conditions of Contract (TCC) consisting of			
		Scope of work, Technical Specification, Drawings,	Applicable		
		Procedures, Bill of Quantities, Terms of payment, etc			
В	Volume-IB	Special conditions of Contract Applicable			
С	Volume-IC	General conditions of Contract	Applicable		
D	Volume-ID	Forms & Procedures	Applicable		
Ε	Volume-II	Price Schedule (Absolute value).	Applicable		
iv)	Issue of	Tender documents will be available			
	Tender	for downloading from BHEL website	Applicable		
	Documents	http://www.bhel.com or e-procurement portal			
		https://eprocurebhel.co.in as per schedule below:			
		Start: 12/07/2023, Time: 10.00 Hrs			
		Close: Same as Due date & time of offer			
		submission (Refer Sl. No. v below)			

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		Brief information of the tenders shall also be available at central public procurement portal. (https://eprocure.gov.in)	
iv a)	Bid / Offer Submission start time	Start Date: 26/07/2023, Time: 10.00 Hrs	
V)	Due Date & Time of Offer Submission	Date: 02/08/2023, Time: 10.00 Hrs  The bidder should submit their offer online in e- Procurement portal at <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a> .  Bidders are requested to upload their offer well in advance in order to avoid last minute congestion at this website.  Hard copy bid or bids through E-mail / fax shall not be accepted.	
vi)	Opening of Tender	<ul> <li>Date: 02/08/2023, Time: 17.00 Hrs</li> <li>Notes:</li> <li>(1) In case the due date of opening of tender becomes a non-working day, then the due date &amp; time of offer submission and opening of tenders get extended to the next working day.</li> <li>(2) Bidder may witness the opening of tender through e-Procurement portal only.</li> </ul>	
vii)	EMD Amount	Category I – Rs. 1.75 Lakh (Rupees One lakh seventy-five thousand only)  Category II – Rs. 1.35 Lakh (Rupees One lakh thirty-five thousand only)  Category III – Rs.2.35 Lakh (Rupees Two lakh thirty-five thousand only)  Category IV – Rs.2.40 Lakh (Two lakh forty thousand only)  Note:  1 If the bidder submits offer for multiple categories, then EMD amount to be submitted by the bidder shall be the highest EMD amount amongst the categories for which the bidder is quoting. For example, if the bidder is quoting for Categories-II, III and IV, the EMD to be submitted by the bidder shall be Rs. 2,40,000/- (Rupees Two Lakh Forty thousand Thousand only).	Applicable

		<ol> <li>Only the highest EMD Amount amongst the above has been indicated in the eProcurement portal. The same amount may be indicated by the bidder while uploading their Bid in the eProcurement portal, if required, in order to satisfy the portal conditions. However, Bidders are required to submit the EMD amount as per Note (1) above only.</li> <li>Refer General Conditions of Contract (Volume IC) for mode of payment of EMD.</li> <li>Exemption of EMD for MSEs is applicable for this tender. Bidders may also refer Clause 29.0 of NIT.</li> <li>One time EMD is not applicable for this tender - Refer Volume-IC of General Conditions of Contract (Volume-I, Book-II) for mode of payment of Earnest Money Deposit (EMD)</li> </ol>	
viii)	Cost of Tender	Free	-
ix)	Last Date For Seeking Clarification	Bidders may submit their queries on or before the scheduled date of pre bid discussion along with soft version also, addressing to undersigned & to others as per contact address given below:  1. Mr. Mohammad Hussain BHEL-PSSR, Integrated Office Complex, TNEB Road, Pallikaranai, Chennai, Tamilnadu 600100 Tel: +91 44 245894087 Email: mdhussain@bhel.in  2. Mr. S Subburaj BHEL-PSSR, Integrated Office Complex, TNEB Road, Pallikaranai, Chennai, Tamilnadu 600100 Tel: +91 9487762262 Email: ssubburaj@bhel.in	Applicable
x)	Schedule of Pre Bid Discussion (PBD)	Date: 17/07/2023 Time: 11.00 AM At BHEL-PSSR, Integrated Office Complex, TNEB Road, Pallikaranai, Chennai, Tamilnadu 600100.	
xi)	Integrity Pact & Details of Independent	a. Integrity Pact (IP) is a tool to ensure that activities and transactions between the Company and its Bidders/ Contractors are	Applicable

VII	External Monitor (IEM)	handled in a fair, transparent and corruption free manner. Following Independent External Monitors (IEMs) on the present panel have been appointed by BHEL with the approval of CVC to oversee implementation of IP in BHEL:  1. Shri Otem Dai, IAS (Retd.)
хii	Latest updates	Latest updates on the important dates, Amendments, Correspondences, Corrigenda, Clarifications, Changes, Errata, Modifications, Revisions, etc to Tender Specifications will be hosted in BHEL webpage (www.bhel.com → Tender Notifications→View Corrigendums), Central Public Procurement Portal https://eprocure.gov.in & e-tender portal https://eprocurebhel.co.in and not in the newspapers.

information. This also form part of tender hence the	
same shall be enclosed with their offer.	

2.0 The offer shall be submitted as per the instructions of tender document and as detailed in this NIT. Bidders to note specifically that all pages of tender document, including these NIT pages of this particular tender together with subsequent correspondences shall be submitted by them, duly signed digitally using Class III DSC & uploaded in E-Procurement Portal, as part of offer. Rates / Price including discounts / rebates, if any, mentioned anywhere / in any form in the technocommercial offer other than the Price Bid, shall not be entertained.

#### 3.0 Not Used.

**4.0** Unless specifically stated otherwise, bidder shall deposit Earnest Money Deposit (EMD) as mentioned in clause 1.9 in General Conditions of Contract, Volume IC Book II.

For Electronic Fund Transfer, the details are as below-:

a) Name of the Beneficiary -: Bharat Heavy Electricals Limited

#### b) **Bank Particulars**

- i) Bank Name -: State Bank of India
- ii) Bank Telephone No.(with STD code)-: 044 2433 0583 / 2433 0407
- iii) Branch Address-: NO.80-A, CHEVALIER SIVAJI GANESAN SALAI, SOUTH BOAG ROAD, T.NAGAR, CHENNAI-600017
- iv) Branch Code -: 00912
- v) 9 Digit MICR Code of the Bank Branch -: 600002045
- vi) Bank Account Number -: 10610819499
- vii) Bank Account Type -: Cash Credit Account
- viii) 11 Digit IFSC Code of Beneficiary Branch-: SBIN0000912
- ix) Details for SFMS (Structured Financial Messaging System) transmission of BG

Bank and Branch	SBI TFCPC Branch	
Branch Code	5056	
IFSC Code	SBIN0005056	

(Note -: In case of E-Tenders, proof of remittance of EMD should be uploaded in the E-Procurement Portal and originals, as applicable, shall be sent to the officer inviting tender within a reasonable time, failing which the offer is liable to be rejected.

**5.0** Procedure for Submission of Tenders: This is an E-tender floated online through our E-Procurement site <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a>. The bidder should respond by submitting their offer online only in our e-Procurement platform at <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a>. Offers are invited in two-parts only.

#### **Documents Comprising the e-Tender**

The tender shall be submitted online ONLY EXCEPT EMD (in physical form) as mentioned below:

#### a. Technical Tender (UN priced Tender)

All Technical details (e.g. Eligibility Criteria requested (as mentioned below)) should be attached in e-tendering module, failing which the tender stands invalid & may be REJECTED. Bidders shall furnish the following information along with technical tender (preferably in pdf format):

- i). Earnest Money Deposit (EMD) furnished in accordance with NIT Clause 4.0.
- ii). Technical Bid (without indicating any prices).

#### b. Price Bid:

- Prices are to be quoted in the attached Price Bid format online on e-tender portal.
- ii). The price should be quoted for the accounting unit indicated in the e-tender document.
- iii). Note: It is the responsibility of tenderer to go through the Tender document to ensure furnishing all required documents in addition to above, if any. Any deviation would result in REJECTION of tender and would not be considered at a later stage at any cost by BHEL.
- iv). A person signing (manually or digitally) the tender form or any documents forming part of the contract on behalf of another shall be deemed to warrantee that he has authority to bind such other persons and if, on enquiry, it appears that the persons so signing had no authority to do so, the purchaser may, without prejudice to other civil and criminal remedies, cancel the contract and hold the signatory liable for all cost and damages.
- v). A tender, which does not fulfil any of the above requirements and/or gives evasive information/reply against any such requirement, shall be liable to be ignored and rejected.

#### **DO NOT'S**

Bidders are requested NOT to submit the hard copy of the Bid. In case offer is sent through hard copy/fax/telex/cable/electronically in place of e-tender, the same shall not be considered. Also, uploading of the price bid in prequalification bid or technical bid may RESULT IN REJECTION of the tender.

#### **Digital Signing of e-Tender**

Tenders shall be uploaded with all relevant PDF/zip format. The relevant tender documents should be uploaded by an authorized person having Class 3- SHA2-2048 BIT- SIGNING & ENCRYPTION digital signature certificate (DSC).

#### The Requirement:

- 1. A PC with Internet connectivity &
- DSC (Digital Signature Certificate) (Class 3- SHA2- 2048 BIT- SIGNING & ENCRYPTION)

#### BHEL has finalized the e-procurement service Provider-:

NIC PORTAL (https://eprocurebhel.co.in)

For E-PROCUREMENT ASSISTANCE & TRAINING, NIC PORTAL HELPDESK CONTACTS AS PER FOLLOWING: -

For any technical related queries please call at 24 x 7 Help Desk Number

0120-4001 002

0120-4200 462

0120-4001 005

0120-6277 787

1. Peter Raj, NIC, Ph: 9942069052; Email Support: <a href="mailto:support-eproc@nic.in">support-eproc@nic.in</a>
The process of utilizing e-procurement necessitates usage of **DSC (Digital Signature Certificate) (Class 3- SHA2- 2048 BIT- SIGNING & ENCRYPTION)** and you are requested to procure the same immediately, if not presently available with you. Please note that only with DSC, you will be able to login the e-procurement secured site and take part in the tendering process.

The contact details of the DSC Certifying Authority as given below:

SI. No	Name	Website Link
1	(n) Code solutions	https://www.ncodesolutions.com/
2	e-Mudhra	https://www.e-mudhra.com/
3	3 Safescrypt www.safescrypt.com	

Vendors are also requested to go through seller manual available on <a href="https://eprocurebhel.co.in.">https://eprocurebhel.co.in.</a>

**6.0** Documents to be uploaded & modality of uploading in e-procurement portal <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a> shall be as detailed below (All pages to be signed and stamped):

SI No	Description	Remarks
	Techno-Commercial Bid CONTAINING THE FOLLOWING:-	
i.		Refer "Bidder Manual for BHEL Bidders" available at <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a>

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ii.	Duly filled-in `No Deviation Certificate' as per prescribed format to be placed after document under sl no (i) above.	Refer "Bidder Manual for BHEL Bidders" available at https://eprocurebhel.co.in
	<ol> <li>In case of any deviation, the same should be submitted separately for technical &amp; commercial parts, indicating respective clauses of tender against which deviation is taken by bidder. The list of such deviation shall be attached along with document under sl no (i) above. It shall be specifically noted that deviation recorded elsewhere shall not be entertained.</li> <li>BHEL reserves the right to accept / reject the deviations without assigning any reasons, and BHEL decision is final and binding.</li> <li>(i) In case of acceptance of the deviations, appropriate loading shall be done by BHEL</li> <li>(ii) In case of unacceptable deviations, BHEL reserves the right to reject the tender.</li> </ol>	
iii.	Supporting documents / annexure / schedules / drawing etc as required in line with Pre-Qualification criteria. (Technical & Financial)	Refer "Bidder Manual for BHEL Bidders" available at <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a>
	As detailed in Clause No. 24 of NIT, It shall be specifically noted that all documents as per above shall be indexed properly and credential certificates issued by clients shall distinctly bear the name of organization, contact phone no, FAX no, E-mail ID, etc.	
iv.	All Amendments / Correspondences / Corrigenda / Clarifications / Changes / Errata etc pertinent to this NIT.	Refer "Bidder Manual for BHEL Bidders" available at <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a>
V.	Integrity Pact Agreement (Duly signed by the authorized signatory) (As applicable)	Refer "Bidder Manual for BHEL Bidders" available at <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a>
Vİ.	Duly filled-in annexures, formats etc as required under this Tender Specification / NIT	Refer "Bidder Manual for BHEL Bidders" available at <a href="https://eprocurebhel.co.in">https://eprocurebhel.co.in</a>
vii.	Notice inviting Tender (NIT)	Refer "Bidder Manual for
viii.	Volume–I A : Technical Conditions of Contract (TCC)	BHEL Bidders" available at
	consisting of Scope of work, Technical	https://eprocurebhel.co.in
	Specification, Drawings, Procedures, Bill of Quantities, Terms of payment, etc	
ix.	Volume – I B : Special Conditions of Contract (SCC)	
./\.	1 2 1 Special Conditions of Contract (500)	

Χ.	Volume – I C : General Conditions of Contract (GCC)	Refer "Bidder Manual for
xi.	Volume – I D : Forms & Procedures	BHEL Bidders" available at
		https://eprocurebhel.co.in
χij.	Volume – II (UNPRICED – without disclosing	
		BHEL Bidders" available at
	'UNQUOTED'.	https://eprocurebhel.co.in
xiii.	Any other details preferred by bidder with proper	Refer "Bidder Manual for
	indexing.	BHEL Bidders" available at
		https://eprocurebhel.co.in

#### Caution to Bidders: -

The duly signed & stamped copies of Volume – I Book I & Volume I Book II are to be attached as a part of your offer, under the form Techno-commercial Bid. Also for any further queries, please refer "Bidder Manual for BHEL Bidders" available at https://eprocurebhel.co.in

SI	PRICE BID consisting of the following shall be	
No	provided as mentioned below	
i	Price/ Total Amount corresponding to the total	Refer "Bidder Manual for
	works as specified in "Part C Bill of Quantities"	BHEL Bidders" available at
	available in "Volume II - PRICE BID (latest	https://eprocurebhel.co.in
	Revision) shall be quoted in the format enclosed	
	as a separate Excel file and uploaded under	
	'Packet details -> Tender covers -> Finance' (Cover	
	Type Description – Price Bid). Bidders to note that	
	total amount quoted by the bidder in this format	
	shall be considered for evaluation of offer.	

**SPECIAL NOTE:** All documents/ annexures submitted with the offer shall be properly annexed and placed in respective places of the offer as per enclosure list mentioned in the covering letter. BHEL shall not be responsible for any missing documents.

- **7.0** Deviation with respect to tender clauses and additional clauses / suggestions / in Techno-commercial bid / Price bid shall NOT be considered by BHEL. Bidders are requested to positively comply with the same.
- 8.0 BHEL reserves the right to accept or reject any or all Offers without assigning any reasons thereof. BHEL also reserves the right to cancel the Tender wholly or partly without assigning any reason thereof. Also BHEL shall not entertain any correspondence from bidders in this matter (except for the refund of EMD).
- 9.0 Not Used (Capacity of Bidders as per CPES -Not Applicable for this tender)
- 10.0 Since the job shall be executed at site, bidders must visit site/ work area and study the job content, facilities available, availability of materials, prevailing site conditions including law & order situation, applicable wage structure, wage rules, etc. before quoting for this tender. They may also consult this office before submitting their offers, for any clarifications regarding scope of work, facilities available at sites or on terms and conditions.

- 11.0 For any clarification on the tender document, the bidder may seek the same in writing through e-mail and/ through or or e-procurement https://eprocurebhel.co.in, as per specified format, within the scheduled date for seeking clarification, from the office of the undersigned. BHEL shall not be responsible for receipt of queries after due date of seeking clarification due to postal delay or any other delays. Any clarification / query received after last date for seeking clarification may not be normally entertained by BHEL and no time extension will be given.
- 12.0 BHEL may decide holding pre-bid discussion (PBD) with all intending bidders as per date indicated in the NIT. The bidder shall ensure participation for the same at the appointed time, date and place as may be decided by BHEL. Bidders shall plan their visit accordingly. The outcome of pre-bid discussion (PBD) shall also form part of tender.
- 13.0 In the event of any conflict between requirement of any clause of this specification / documents / drawings / data sheets etc or requirements of different codes / standards specified, the same to be brought to the knowledge of BHEL in writing for clarification before due date of seeking clarification (whichever is applicable), otherwise, interpretation by BHEL shall prevail. Any typing error/missing pages / other clerical errors in the tender documents, noticed must be pointed out before pre-bid meeting / submission of offer, else BHEL's interpretation shall prevail.
- **14.0** Unless specifically mentioned otherwise, bidder's quoted price shall deem to be in compliance with tender including PBD.
- 15.0 Bidders shall submit Integrity Pact Agreement (Duly signed by authorized signatory who signs in the offer), **if applicable**, along with techno-commercial bid. This pact shall be considered as a preliminary qualification for further participation. The Integrity Pact is to be submitted by Prime Bidder & Consortium/ Technical Tie up partner jointly in case Consortium bidding is permitted, otherwise by the sole bidder. The names and other details of Independent External Monitor (IEM) for the subject tender is as given at point (1) above.
- 16.0 The Bidder has to satisfy the Pre-Qualifying Requirements stipulated for this Tender in order to be qualified. The Price Bids of only those bidders will be opened who will be qualified for the subject job on the basis of satisfying the Pre-Qualification Criteria specified in this NIT as per Annexure-1(as applicable) past performance etc. and date of opening of price bids shall be intimated to only such bidders. BHEL reserves the right NOT to consider offers of parties under HOLD.
- 17.0 In case BHEL decides on a 'Public Opening', the date & time of opening of the sealed PRICE BID shall be intimated to the qualified bidders and in such a case, bidder may depute one authorized representative to witness the price bid opening. BHEL reserves the right to open 'in-camera' the 'PRICE BID' of any or all Unsuccessful / Disgualified bidders under intimation to the respective bidders.

- **18.0** Validity of the offer shall be for **six months** from the latest due date of offer submission (including extension, if any) unless specified otherwise.
- **19.0 Reverse Auction (RA)**: BHEL shall be resorting to Reverse Auction (RA) (Guidelines for Reverse Auction 2021 as available on www.bhel.com on "supplier registration page") for this tender. RA shall be conducted among the technocommercially qualified bidders.
  - Price bids of all techno-commercially qualified bidders shall be opened and same shall be considered for RA. In case any bidder(s) do(es) not participate in online Reverse Auction, their sealed envelope price bid along with applicable loading, if any, shall be considered for ranking.
- **20.0** On submission of offer, further consideration will be subject to compliance to tender & qualifying requirement and customer's acceptance, as applicable.
- 21.0 In case the bidder is an "Indian Agent of Foreign Principals", 'Agency agreement has to be submitted along with Bid, detailing the role of the agent along with the terms of payment for agency commission in INR, along with supporting documents.
- **22.0** The bidders shall not enter into any undisclosed M.O.U. or any understanding amongst themselves with respect to tender.
- **23.0** Consortium Bidding is not applicable for this Tender.
- 24.0 The bidder shall submit documents in support of possession of 'Qualifying Requirements' duly self-certified and stamped by the authorized signatory, indexed and properly linked in the format for PQR. In case BHEL requires any other documents/ proofs, these shall be submitted immediately.
- **25.0** The bidder may have to produce original document for verification if so decided by BHEL.
- **26.0** The consultant / firm (and any of its affiliates) shall not be eligible to participate in tender(s) for the related works or services for the same project, if they were engaged for the consultancy services.
- 27.0 Guidelines/rules in respect of Suspension of Business dealings, Vendor evaluation format, Quality, Safety & HSE guidelines, Experience Certificate, etc. may undergo change from time to time and the latest one shall be followed. The abridged version of extant 'Guidelines for suspension of business dealings with suppliers/contractors' is available on www.bhel.com on "supplier registration page".

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- **28.0** The offers of the bidders who are on the banned/ hold list and also the offer of the bidders, who engage the services of the banned/ hold firms, shall be rejected. The list of banned/ hold firms is available on BHEL web site www.bhel.com.
- 28.1 Integrity commitment, performance of the contract and punitive action thereof:

#### 28.1.1 **Commitment by BHEL:**

BHEL commits to take all measures necessary to prevent corruption in connection with the tender Process and execution of the contract. BHEL will during the tender process treat all Bidder(s) in a transparent and fair manner, and with equity.

#### 28.1.2 **Commitment by Bidder/ Supplier/ Contractor:**

- (i) The bidder/ supplier/ contractor commit to take all measures to prevent corruption and will not directly or indirectly influence any decision or benefit which he is not legally entitled to nor will act or omit in any manner which tantamount to an offence punishable under any provision of the Indian Penal Code, 1860 or any other law in force in India.
- (ii) The bidder/ supplier/ contractor will, when presenting his bid, disclose any and all payments he has made, and is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract and shall adhere to relevant guidelines issued from time to time by Govt. of India/ BHEL.
- (iii) The bidder/ supplier/ contractor will perform/ execute the contract as per the contract terms & conditions and will not default without any reasonable cause, which causes loss of business/ money/ reputation, to BHEL.

If any bidder/ supplier/ contractor during pre-tendering/ tendering/ post tendering/ award/ execution/ post-execution stage indulges in mal-practices, cheating, bribery, fraud or and other misconduct or formation of cartel so as to influence the bidding process or influence the prices or acts or omits in any manner which tantamount to an offence punishable under any provision of the Indian Penal Code, 1860 or any other law in force in India, then, action may be taken against such bidder/ supplier/ contractor as per extent guidelines of the company available on www.bhel.com and / or under applicable legal provisions.

#### 29.0 MICRO AND SMALL ENTERPRISES (MSE) -

Any Bidder falling under MSE category, shall furnish the following details & submit documentary evidence/ Govt. Certificate etc. in support of the same along with their techno-commercial offer.

Type under MSE	SC/ST owned	Women owned	Others (excluding SC/ ST & Women Owned)
Micro			
Small			

**Note 1:** - If the bidder does not furnish the above, offer shall be processed construing that the bidder is not falling under MSE category.

a) MSE suppliers can avail the intended benefits in respect of the procurements related to the Goods and Services only (Definition of Goods and Services as enumerated by Govt. of India vide Office Memorandum F. No. 21(8)/2011-MA dtd. 09/11/2016 office of AS & DC, MSME) only if they submit along with the offer, attested copies of either Udyam Registration Certificate or EM-II certificate having deemed validity (five years from the date of issue of acknowledgement in EM-II) or valid NSIC certificate or Udyog Aadhar Memorandum (UAM) & Acknowledgement or EM-II Certificate along with attested copy of a CA certificate (format enclosed as Annexure – 3) where deemed validity of EM-II certificate of five years has expired applicable for the relevant financial year (latest audited). Date to be reckoned for determining the deemed validity will be the last date of Technical Bid submission. Non submission of such documents will lead to consideration of their bids at par with other bidders. No benefits shall be applicable for this enquiry if the above required documents are not submitted before price bid opening. If the tender is to be submitted through e-procurement portal, then the above required documents are to be uploaded on the portal. Documents should be notarized or attested by a Gazetted officer. Documents submitted by the bidder may be verified by BHEL for rendering the applicable benefits.

Note 2: The Bidder may note that Relaxation in Prior Experience and Prior Turnover criteria for MSEs, Start-ups shall NOT be applicable for this tender.

30.0 The Bidder along with its associate/ collaborators/ sub-contractors/ sub-vendors/ consultants/ service providers shall strictly adhere to BHEL Fraud Prevention Policy displayed on BHEL website <a href="http://www.bhel.com">http://www.bhel.com</a> and shall immediately bring to the notice of BHEL Management about any fraud or suspected fraud as soon as it comes to their notice.

#### 31.0 PREFERENCE TO MAKE IN INDIA:

For this procurement, the local content to categorize a supplier as a Class I local supplier/ Class II local Supplier/Non-Local Supplier and purchase preferences to Class I local supplier, is as defined I Public Procurement (Preference to Make in India), Order 2017 dated 04.06.2020 issued by DPIIT. In case of subsequent orders

issued by the nodal ministry, changing the definition of local content for the items of the NIT, the same shall be applicable even if issued after issue of this NIT, but before opening of Part-II bids against this NIT.

#### 31.1 Compliance to Restrictions under Rule 144 (xi) of GFR 2017

- I. Any bidder from a country which shares a land border with India will be eligible to bid in this tender only if the bidder is registered with the Competent Authority. The Competent Authority for the purpose of this Clause shall be the Registration Committee constituted by the Department for Promotion of Industry and Internal Trade (DPIIT).
- II. "Bidder" (including the term 'tenderer', 'consultant' or 'service provider' in certain contexts) means any person or firm or company, including any member of a consortium or joint venture (that is an association of several persons, or firms or companies), every artificial juridical person not falling in any of the descriptions of bidders stated hereinbefore, including any agency branch or office controlled by such person, participating in a procurement process.
- III. "Bidder from a country which shares a land border with India" for the purpose of this Clause means:
  - a. An entity incorporated established or registered in such a country; or
  - b. A subsidiary of an entity incorporated established or registered in such a country; or
  - c. An entity substantially controlled through entities incorporated, established or registered in such a country; or
  - d. An entity whose beneficial owner is situated in such a country; or
  - e. An Indian (or other) agent of such an entity; or
  - f. A natural person who is a citizen of such a country; or
  - g. A consortium or joint venture where any member of the consortium or joint venture falls under any of the above.
- IV. The beneficial owner for the purpose of (III) above will be as under:
  - In case of a company or Limited Liability Partnership, the beneficial owner is the natural person(s), who, whether acting alone or together or through one or more juridical person, has a controlling ownership interest or who exercises control through other means. Explanation
    - a. "Controlling ownership interest" means ownership of or entitlement to more than twenty-five per cent of shares or capital or profits of the company.
    - b. "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by

virtue of their shareholding or management rights or shareholders agreements or voting agreements.

- 2. In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of entitlement to more than fifteen percent of capital or profits of the partnership.
- 3. In case of an unincorporated association or body of individuals, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person has ownership of or entitlement to more than fifteen percent of the property or capital or profits of the such association or body of individuals.
- 4. Where no natural person is identified under (1) or (2) or (3) above, the beneficial owner is the relevant natural person who holds the position of senior managing official;
- 5. In case of a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
- V. An Agent is a person employed to do any act for another, or to represent another in dealings with third person.
- VI. The successful bidder shall not be allowed to sub-contract works to any contractor from a country which shares a land border with India unless such contractor is registered with the Competent Authority.

#### Note:

The bidder shall provide undertaking for their compliance to this Clause, in the Format provided in Annexure-11.

Registration of the bidder with Competent Authority should be valid at the time of submission as well as acceptance of the bids.

- **32.0** Bid should be free from correction, overwriting, using corrective fluid, etc. Any interlineation, cutting, erasure or overwriting shall be valid only if they are attested under full signature(s) of person(s) signing the bid else bid shall be liable for rejection
- 33.0 In the course of evaluation, if more than one bidder happens to occupy L-1 status, effective L-1 will be decided by soliciting discounts from the respective L-1 bidders. In case more than one bidder happens to occupy the L-1 status even after soliciting discounts, the L-1 bidder shall be decided by a toss/ draw of lots, in the presence of the respective L-1 bidder(s) or their representative(s).

Ranking will be done accordingly. BHEL's decision in such situations shall be final and binding.

- 34.0 The Bidder declares that they will not enter into any illegal or undisclosed agreement or understanding, whether formal or informal with other Bidder(s). This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.
  - In case, the Bidder is found having indulged in above activities, suitable action shall be taken by BHEL as per extant policies/ guidelines.
- 34 A One Bid per Bidder: A Bidder shall submit only one bid in a particular bidding process. In case of a holding company having more than one subsidiary having common business ownership / management, only one business entity amongst the holding company and its subsidiaries should quote for a particular tender. Similar restrictions shall apply to closely related sister companies. Bidder's sister/ Associated/ Allied concern(s) participating or applying against the same tender, shall lead to disqualification of Bidders. Sister/ Associated/ Allied concern means a company, society, partnership firm or proprietorship firm having one or more common persons as Director/ Partner/ Member/ Owner. A Bidder who submits more than one bid will cause all the proposals submitted in the particular bid to be disqualified.

In relation to the above, a person will include firm(s) of Proprietorship / Partnership Firm / Limited Liability Partnership / Private Limited / Limited company / Society registered under Society's Act / Statutory Bodies / any other legal entity, as the case maybe, & will be deemed to have submitted multiple bids in a particular bid if a person bids in any of the two formats given below:

- i. individual or proprietorship format and/or
- ii. a partnership or association of persons format and/or
- iii. a company format

#### Whereby,

- A company shall for this purpose include any artificial person whether constituted under the Indian laws or of any other country.
- A person shall be deemed to have bid in a partnership format or in association of persons format if he is a partner of the firm which has submitted the bid or is a member of any association of persons which has submitted a bid.
- A person shall be deemed to have bid in a company format if the person holds:

- i. more than 10% (ten percent) of the voting share capital of the company which has submitted a bid, or
- ii. is a director and / or Key Managerial Personnel of the company which has submitted a bid, or

holds more than 10% (ten percent) of voting share capital in and/or is a director and / or Key Managerial Personnel of a holding company of that company which has submitted the bid.

By making a bid pursuant to the Tender Documents, the bidder / tenderer shall be deemed to have declared that the bidder / tenderer has not made any other bid or multiple bids as understood or deemed in terms of this clause.

All the bids of a bidder who has submitted multiple bids, as per the clause, shall be rejected. Bidder shall submit Declaration as per format provided in Annexure-9A.

#### 34 B Conflict of interest

A bidder shall not have conflict of interest with other bidders. Such conflict of interest can lead to anti-competitive practices to the detriment of Procuring Entity's interests. The bidder found to have a conflict of interest shall be disqualified. A bidder may be considered to have a conflict of interest with one or more parties in this bidding process, if:

- a) They have controlling partner (s) in common; OR
- b) They receive or have received any direct or indirect subsidy/ financial stake from any of them; OR
- c) They have the same legal representative/agent for purposes of this bid; OR
- d) They have relationship with each other, directly or through common third parties, that puts them in a position to have access to information about or influence on the bid of another Bidder; OR
- e) Bidder participates in more than one bid in this bidding process. Participation by a Bidder in more than one Bid will result in the disqualification of all bids in which the parties are involved. However, this does not limit the inclusion of the components/ sub-assembly/Assemblies from one bidding manufacturer in more than one bid; OR
- f) In cases of agents quoting in offshore procurements, on behalf of their principal manufacturers, one agent cannot represent two manufacturers or quote on their behalf in a particular tender enquiry. One manufacturer can also authorize only one agent/dealer. There can be only one bid from the following:
  - 1. The principal manufacturer directly or through one Indian agent on his behalf; and
  - 2. Indian/foreign agent on behalf of only one principal;

Or

- g) A Bidder or any of its affiliates participated as a consultant in the preparation of the design or technical specifications of the contract that is the subject of the Bid; OR
- h) In case of a holding company having more than one independently manufacturing units, or more than one unit having common business ownership/management, only one unit should quote. Similar restrictions would apply to closely related sister companies. Bidders must proactively declare such sister/ common business/ management units in same/ similar line of business.

#### 35.0 Order of Precedence:

In the event of any ambiguity or conflict between the Tender Documents, the order of precedence shall be in the order below:

- a. Amendments / Clarifications / Corrigenda / Errata etc. issued in respect of the tender documents by BHEL
- a. Notice Inviting Tender (NIT)
- b. Price Bid
- c. Technical Conditions of Contract (TCC)—Volume-1A
- d. Special Conditions of Contract (SCC) —Volume-1B
- e. General Conditions of Contract (GCC) —Volume-1C
- f. Forms and Procedures —Volume-1D

It may please be noted that guidelines/ circulars/ amendments/ govt. directives issued from time to time shall also be applicable.

#### **36.0** Mode of Award

- 36.1 Bidder may choose to quote against any category or all the categories of cranes. However, quoting for a particular category shall mean bidder's acceptance to provide services for cranes of all the capacities within that particular category.
- 36.2 Evaluation shall be done separately for each category based on the lowest value quoted by bidders in the respective Rate Schedule Format enclosed in Volume-II Price Bid Rate Schedule.
- 36.3 Successful bidder in any category shall also be considered for other categories subject to fulfilment of Pre-Qualification Criteria.
- 36.4 In each category the bidder who has quoted lowest price is called L1 bidder and second lowest price is called L2 bidder and so on for that category.
- 36.5 BHEL shall counter offer the lowest price of the L1 bidder as finalized and accepted by BHEL to other bidders (i.e. L2, L3 and so on, in the order / sequence of their ranking, until finding one more bidder matching L1 bidder) in the respective category with an intention to award the cranes under each category to maximum of 2 agencies, termed as A1 & A2 for the purpose of

- earmarking of cranes, under each category separately.
- 36.6 If none of the bidder matches BHEL accepted price of L1 Bidder, then all the cranes under the specific category shall be awarded to L1 bidder (Agency A1).
- 36.7 In case of awarding of contract to 2 agencies in a category, efforts will be made to split the work approximately in the ratio of 60:40 between L-1 bidder (A1) and other bidder(A2), based on number of cranes, rounded off to next higher value for A1. Accordingly, the tentative earmarking of available cranes listed under Cl.1.1 has been indicated in the table 13.12 of TCC Part 1 Chapter 13.

#### For BHARAT HEAVY ELECTRICALS LTD

General Manager / SCT, Purchase & Commercial

#### **Enclosure**

- (i) Annexure-1: Pre Qualifying criteria.
- (ii) Annexure-2: Check List.
- (iii) Annexure-3: Certificate by Chartered Accountant
- (iv) Annexure-4: Reverse Auction Process Compliance Form
- (v) Annexure-5: Authorization of representative who will participate in the online Reverse Auction Process
- (vi) Annexure-6: RA Price Confirmation and Breakup
- (vii) Annexure-7: Integrity Pact
- (viii) Annexure-8: Undertaking as per C4 of Annexure-1 i.e. PQR
- (ix) Annexure-9: Declaration regarding Details of related firms and their area of activities
- (x) Annexure-9A: Declaration One Bid per Bidder as per Clause no. 34A of NIT
- (xi) Annexure-10: Declaration regarding Minimum Local Content in Line with Revised Public Procurement (Preference to Make in India), Order 2017 Dated 04th June, 2020) And Subsequent Order(s)
- (xii) Annexure-11: Declaration Regarding Compliance to Restrictions Under Rule 144 (xi) of GFR 2017
- (xiii) Other Tender documents as per this NIT.

## **ANNEXURE - 1**

## PRE QUALIFYING CRITERIA

JOB Framework agreement for providing services of Repair & Servici Dismantling, Loading, Unloading, Assembly & Commissioning for cranes various capacities, owned by BHEL at various projects of BHEL PSSR					
Tena	PRE QUALIFICATION CRITERIA		Bidders claim in respect of fulfilling the PQR Criteria		
SI. No.			Name and Description of qualifying criteria	Page no of supporting document. Bidder must fill up this column as per applicability	
Α	duly : ( <u>Note</u> : & Con jointly	nission of Integrity Pact signed (if applicable) To be submitted by Prime Bidder sortium / Technical Tie up partner in case Consortium bidding is ted, otherwise by the sole bidder)	Applicable		
В	<u>Technical</u>				
	Refer A	Innexure 1A	Applicable		
<b>C</b> C-1	annual specifie Financi ,2020-2				
	Category II -Rs. 20 lakh,		A m m     -   -		
	Cate	gory III -Rs.35 lakh,	Applicable		
	Cate	gory IV -Rs.36 lakh			
	multiple qualifie which turnove	A bidder who is bidding for e categories, shall be considered d for the category/ categories, for his average annual financial er (Audited) is more than the specified against that particular ry.			

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	For example, if a bidder with average annual financial turnover (Audited) of Rs. 30 lakh quotes for Categories II, III and IV, he will be considered qualified for categories II only and will not be qualified for category III AND IV.		
C-2	NET WORTH (only in case of Companies) Net worth of the Bidder based on the latest Audited Accounts as furnished for 'C-1' above should be positive	Applicable	
C-3	PROFIT  Bidder must have earned profit in any one of the three Financial Years as applicable in the last three Financial Years as furnished for 'C-1 above.	Applicable	
C-4	Bidder must not be under Bankruptcy Code Proceedings (IBC) by NCLT or under Liquidation / BIFR, which will render him ineligible for participation in this tender, and shall submit undertaking to this effect.  (Format as provided in Annexure 8 of NIT)	Applicable	
D	Assessment of Capacity of Bidder to execute the work as per SI. No 9 of NIT (if applicable)	Applicable	By BHEL
E	Approval of Customer (if applicable)  Note: Names of bidders (including consortium/Technical Tie up partners in case consortium bidding is permitted) who stand qualified after compliance of criteria A to D shall be forwarded to customer for their approval.	Not Applicable	BY BHEL
F	Price Bid Opening  Note: Price Bids of only those bidders shall be opened who stand qualified after compliance of criteria A to F	Applicable	BY BHEL
G	Consortium criteria (if applicable)	Not Applicable	

#### **Explanatory Notes for the PQR (unless otherwise specified in the PQR):**

- 1. Bidder to submit Audited Balance Sheet and Profit and Loss Account for the respective years as indicated against C-1 above along with all annexures.
- 1. In case audited financial statements have not been submitted for all the three years as indicated against C-1 above, then the applicable audited statements submitted by the bidders against the requisite three years, will be averaged for three years i.e. total divided by three.
- 2. If Financial Statements are not required to be audited statutorily, then instead of audited financial statements, financial statements are required to be certified by Chartered Accountant.
- 3. C-2:-NETWORTH: Shall be calculated based on the latest Audited Accounts as furnished for 'C-1' above.
  - Net worth = Paid up share capital + Reserves.
  - (Net worth is required to be evaluated only in case of companies)
- 4. C-3:- PROFIT shall be PBT earned during any one year of the three financial years as in 'C-1' above.
- 5. For Evaluation of PQR, in case Bidder alone does not meet the pre-qualifying technical B1 above, bidder may utilize the experience of its Parent/Subsidiary Company along with its own experience, subject to the following:
  - a. The parent company shall have a controlling stake of ≥50% in the subsidiary company (as per Format-1).
  - a. The Parent Company/ Subsidiary Company of which experience is being utilized for bidding shall submit Security Deposit (SD) equivalent to 1% of the total contract value.
  - b. The parent /subsidiary company and bidder shall provide an undertaking that they are jointly or severally responsible for successful performance of the contract (as per Format-2).
  - c. Parent Company/Subsidiary Company of which experience is being used for bidding, cannot participate as a 'Standalone Bidder' or as a 'Consortium bidder'.
- 6. "Completion date for achievement of the technical criteria specified in the Technical' criteria of PQR (as in 'B' above) should be in the last seven years ending on the 'latest date of Bid Submission' of tender irrespective of date of the start of work. Completion date shall be reckoned from the "FY quarter of bid submission". (for e.g. Work completed on 01.01.2014 shall be considered even if the latest date of bid submission is 20.03.2021)".
- 7. 'EXECUTED' means the bidder should have achieved the criteria specified in the Technical criteria of PQR (as in 'B' above) even if the Contract has not been completed or closed.

- 8. Unless otherwise specified, for the purpose of 'Technical' criteria of PQR (as in 'B' above), the word 'EXECUTED' means:
  - i. "Achievement of physical Quantities" as per PQRs.
- 9. Void
- 10. Void
- 11. Void
- 12. Void
- 13. Void
- 14. The evaluation currency for this tender shall be INR.
- 15. Wherever the credential submitted for satisfying the Technical PQR is from direct order of BHEL, bidders to ensure that relevant certificate issued by respective contracting department of BHEL is provided as part of the offer. Certificates can be obtained from BHEL by submitting request through online portal i.e. <a href="https://siddhi.bhel.in">https://siddhi.bhel.in</a>
- 16. In case, where BHEL has awarded a particular work to Main Vendor and the Main Vendor in turn has awarded, the work awarded by BHEL in part / full to a sub vendor (known as bidder); and the bidder has now quoted to BHEL for the said tender floated by BHEL, citing the above work as a pre-qualification experience.

In such situation as above, the following documents shall be scrutinized by BHEL before qualifying the bidder.

- i) Work Order from BHEL's main vendor in the name of bidder, indicating scope of work, Order value & Completion period.
- ii) Completion certificate issued by BHEL's main vendor, indicating the Scope, Duration of work & Quantum of work completed.
- iii) Copy of BHEL letter according permission by BHEL to sublet the work from BHEL's Main vendor to bidder.
- iv) TDS certificate and any one of the documents in the name of bidder for the plant, like Labour license / BOCW registration / Workmen compensation insurance / Gate Pass for material or for T&P and Gate Pass for labour / any other relevant documentary evidence.

The bidder shall be disqualified if any one of the above points (i to iv) are not satisfied.

#### Note:

ii) BIDDER SHALL SUBMIT PRE-QUALIFICATION CRITERIA FORMAT DULY FILLED-IN, SPECIFYING RESPECTIVE ANNEXURE NUMBER AGAINST EACH CRITERIA AND FURNISH RELEVANT DOCUMENT INCLUSIVE OF WORK ORDER AND WORK COMPLETION CERTIFICATE ETC. IN THE RESPECTIVE ANNEXURES IN THEIR OFFER.

iii)	Credentials submitted by the Bidder against 'PRE-QUALIFYING CRITERIA' shall be verified for its authenticity. In case, any credential(s) is/are found to be unauthentic, offer of the bidder is liable for rejection. BHEL reserves the Right to Initiate any further action as per extant guidelines for Suspension of Business Dealings.

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#### Annexure 1A

#### PRE QUALIFICATION CRITERIA/REQUIREMENT - Technical

#### **B** Technical Pre-Qualification Criteria

#### **CATEGORY-I: CRANES OF CAPACITY BELOW 100 MT**

Bidder should have executed at least one job of Repair & Servicing to the Hydraulic/Mechanical cranes of capacity 75MT or above in last 7 years in any organization as on latest date of offer submission.

#### **CATEGORY-II: CRANES OF CAPACITY 100MT & ABOVE (BELOW 200MT)**

Bidder should have executed at least one job of Repair & Servicing to the Hydraulic/Mechanical cranes of capacity 100MT or above in last 7 years in any organization as on latest date of offer submission.

#### CATEGORY-III: CRANES OF CAPACITY 200MT & ABOVE (BELOW 350MT)

Bidder should have executed at least one job of Repair & Servicing to the Hydraulic/Mechanical cranes of capacity 200MT or above in last 7 years in any organization as on latest date of offer submission.

#### **CATEGORY-IV: CRANES OF CAPACITY 350MT & ABOVE**

Bidder should have executed at least one job of Repair & Servicing to the Hydraulic/Mechanical cranes of capacity 350MT or above in last 7 years in any organization as on latest date of offer submission.

<u>Note:</u> The term executed in the above QR means, the bidder should have carried out the service as mentioned above to any customer and submit the proof for completion of the same in support of the above.

#### Annexure - 2

#### **CHECK LIST**

## NOTE: - Tenderers are required to fill in the following details and no column should be left blank. No column should be left blank.

1	Name and Address of the Tenderer			
2	Details about type of the Firm/Company			
3.a	Details of Contact person for this Tender Name : Mr/Ms Designation: Telephone No: Mobile No: Email ID: Fax No:			
3.b	Details of alternate Contact person for the Name: Mr/Ms Designation: Telephone No: Mobile No: Email ID: Fax No:			
4	EMD DETAILS (Remittance of EMD should be in line with Mode of Deposit as detailed in Vol 1A, Part-2, Chapter-1) a) Cash portion of EMD – online payment/ DD/ Cheque b) Balance portion of EMD  a. Mode of Remittance: Ref No: Date: Ref No: Date: Amount:			
5	Validity of Offer	To be valid for six me	onths from due d	late
			Applicability (By BHEL)	Bidder's reply
6	Whether the format for complication CRITERIA (ANNEXU and filled with proper supporting docume specified format	RE-I) is understood nts referenced in the	Applicable	Yes / No
7	Submission of Audited Balance sheet an Account for the last three years	Applicable	Yes / No	
8	Submission of Copy of PAN Card		Applicable	Yes / No
9	Whether all pages of the Tender docume annexures, appendices etc., are read un		Applicable	Yes / No
10	Whether all nages of the offer documents are signed by the			Yes / No

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11	Submission of Integrity Pact	Applicable	Yes / No
12	Submission of Offer Forwarding Letter	Applicable	Yes / No
13	Submission of Declaration by Authorized Signatory	Applicable	Yes / No
14	Submission of No Deviation Certificate	Applicable	Yes / No
15	Submission of Declaration confirming knowledge about Site Conditions	Applicable	Yes / No
16	Submission of Declaration for relation in BHEL	Applicable	Yes / No
17	Submission of Non-Disclosure Certificate	Applicable	Yes / No
18	Submission of Bank Account Details for E-Payment	Applicable	Yes / No
19	Submission of Capacity Evaluation of Bidder for current Tender	Applicable	Yes / No
20	Submission of Tie Ups / Consortium Agreement are submitted as per format	Not Applicable	Yes / No
21	Submission of Power of Attorney for Submission of Tender / Signing Contract Agreement	Applicable	Yes / No
22	Submission of Analysis of Unit rates	Applicable	Yes / No
23	Submission of unquoted Price Bid (Volume-II Price Bid) without disclosing rates / price	Applicable	Yes / No
24	Submission of Copy of Organization Chart	Applicable	Yes / No
25	Submission of Copy of Registration/ Incorporation certificate, Partnership Deed (Certified by Notary Public) as applicable for firm	Applicable	Yes / No
26	Submission of Undertaking as per clause C4 of Annexure-1 to NIT i.e. PQR (as per Annexure-8 to NIT)	Applicable	Yes / No
27	Submission of Declaration regarding One Bid per Bidder w.r.t. Clause No. 34A of NIT	Applicable	Yes / No
28	Submission of Declaration regarding Details of related firms and their area of activities (as per Annexure-9 to NIT)	Applicable	Yes / No
29	Submission of Declaration regarding Minimum Local Content In Line With Revised Public Procurement (Preference To Make In India), Order 2017 Dated 04th June, 2020) And Subsequent Order(s) (as per Annexure-10 to NIT)	Applicable	Yes / No
30	Submission of Declaration Regarding Compliance to Restrictions Under Rule 144 (xi) of GFR 2017 (as per Annexure-11 to NIT)	Applicable	Yes / No

#### NOTE:

- 1. STRIKE OFF 'YES' OR 'NO', AS APPLICABLE.
- 2. For SI. No.11 to 21 above, the formats are available in "Volume ID of Volume I Book-II Forms and Procedures" of this tender specification.
- 3. TENDER NOT ACCOMPANIED BY THE PRESCRIBED **ABOVE APPLICABLE DOCUMENTS** ARE LIABLE TO BE SUMMARILY REJECTED.

DATE: AUTHORISED SIGNATORY (With Name, Designation and Company seal)

## Annexure-3

## **Certificate by Chartered Accountant on letter head**

•	pplicable o <sup>th</sup> June′202	upto 31st Ma 20)	arch′20	)21 in	line with MS	SME notific	ation n	o. S.O. 2119	) (E), dat	ed
(h	ereinafter		to		'company')	having	its	registered	office	, at
 Ud	dyam	is regis	tered u Reç	gistratio	ISMED Act 20 on	006, (Entrep (	oreneur Certifica	te		 /—II)/ No. dtd:
		/Medium)). (C		Ca	itegory:					
		d from the Book as per MSME				ent of the co	mpany a	s per the latest	audited fin	ancial
1.	and build S.O.1722	ufacturing Ente ing and the ite (E) dated Octo	ms spe ober 5, 2	cified b 2006:						
2.	furniture, under the	ice Enterprise fittings and of MSMED Act,	ther iter 2006:	ms not						
3.	Investme	rprises (havino nt in plant and	d machi	inery o	r equipment is	s Rs		Lacs and	turnover is	s Rs.
4.	machiner	rprises (Udya y or equipme notified in MSI	nt is Rs	S	L	acs and tur	nover is	s Rs		
		estment of Rs . Micr				thin permissib	le limit o			
the	e enterprise s	has been gradua shall maintain its (E) dated 26.06.2	prevailing	g status	ts original catego till expiry of one	year from the	close of y	ear of registratio	n, as notifie	
the ch	e enterprise v anged status	has been reverse will continue in its s only with effect O. No. 2119 (E) c	s present from 1st	t categor April of	its original category till the closure the financial year	of the financia or following the	al year an e year in	ld it will be given which such chan	the benefit ge took plac	of the ce, as
Da	ite:									
(Si	ignature)									
	ıme: embership Nı	umber:					S	Seal of the Charte	red Account	tant

#### Annexure - 4

# Reverse Auction Process Compliance Form (The bidders are required to print this on their company's letterhead and sign, stamp before RA)

Tο

- M/s. {Service provider
- Postal address}

**Sub: Agreement to the Process related Terms and Conditions** 

Dear Sir,

This has reference to the Terms & Conditions for the Reverse Auction mentioned in the RFQ document for {Items} against BHEL enquiry/ RFQ no.{......} dt. {......} This letter is to confirm that:

- 1) The undersigned is authorized official/ representative of the company to participate in RA and to sign the related documents.
- We have studied the Reverse Auction guidelines (Guidelines for Reverse Auction 2021) as available on www.bhel.com), and the Business rules governing the Reverse Auction as mentioned in your letter and confirm our agreement to them.
- 3) We also confirm that we have taken the training on the auction tool and have understood the functionality of the same thoroughly.
- 4) We also confirm that, in case we become L1 bidder, we will FAX/ email the price confirmation & break up of our quoted price as per <u>Annexure 6</u> within **two** working days (of BHEL) after completion of RA event, besides sending the same by registered post/ courier both to M/s. BHEL and M/s. {Service provider.}

We, hereby confirm that we will honor the Bids placed by us during the auction process.

With regards

Signature with company seal

Name:

Company / Organization:

Designation within Company / Organization:

Address of Company / Organization:

Sign this document and FAX/ email it to M/s {Service provider} at {.......} prior to start of the Event.

#### Annexure – 5

## **Authorization of representative who will participate in the online Reverse Auction Process:**

1	NAME OF THE BIDDER	
2	NAME & DESIGNATION OF OFFICIAL	
3	POSTAL ADDRESS (COMPLETE)	
4	TELEPHONE NOS. (LAND LINE & MOBILE BOTH)	
5	E-MAIL ADDRESS	
6	NAME OF PLACE/ STATE/ COUNTRY, WHEREFROM S/HE WILL PARTICIPATE IN THE REVERSE AUCTION	

#### Annexure – 6

## RA price confirmation and breakup (To be submitted by L1 bidder after completion of RA)

<ul><li>M/s. Service provider</li><li>Postal address</li></ul>	
CC: M/s BHEL {Unit- Address-} Sub: Final price quoted during Reverse	· Auction and price breakup
Dear Sir,	
We confirm that we have quoted.	
Rs.{in value & in words} No. {} dt.{}	for item(s) covered under tender enquiry
forwarding, GST, E.D., C.S.T., freight and	
as our final landed prices as quoted during which will be valid for a period of { in r	g the Reverse Auction conducted today { date} nos. & in words } days.
The price break-up is as given below.	
Total	====== - Rs. <b>in value &amp; in words</b> ======
Yours sincerely,	
For	
Name: Company: Date: Seal:	

Annexure - 7

#### **INTEGRITY PACT**

#### Between

Bharat Heavy Electricals Ltd. (BHEL), a company registered under the Companies Act 1956 and having its registered office at "BHEL House", Siri Fort, New Delhi - 110049 (India) hereinafter referred to as "The Principal", which expression unless repugnant to the context or meaning hereof shall include its successors or assigns of the ONE PART

and

(description of the party along with address), hereinafter referred to as "The Bidder/ Contractor" which expression unless repugnant to the context or meaning hereof shall include its successors or assigns of the OTHER PART

#### **Preamble**

The Principal intends to award, under laid-down organizational procedures, contract/s for BHEL: PSSR: SCT: 2074 Framework agreement for providing services of Repair & Servicing, Dismantling, Loading, Unloading, Assembly & Commissioning for cranes of various capacities, owned by BHEL at various projects of BHEL PSSR

The Principal values full compliance with all relevant laws of the land, rules and regulations, and the principles of economic use of resources, and of fairness and transparency in its relations with its Bidder(s)/ Contractor(s).

In order to achieve these goals, the Principal will appoint Independent External Monitor(s), who will monitor the tender process and the execution of the contract for compliance with the principles mentioned above.

#### Section 1 - Commitments of the Principal

- 1.1 The Principal commits itself to take all measures necessary to prevent corruption and to observe the following principles:-
- 1.1.1 No employee of the Principal, personally or through family members, will in connection with the tender for, or the execution of a contract, demand, take a promise for or accept, for self or third person, any material or immaterial benefit which the person is not legally entitled to.
- 1.1.2 The Principal will, during the tender process treat all Bidder(s) with equity and reason. The Principal will in particular, before and during the tender process, provide to all Bidder(s) the same information and will not provide to any Bidder(s)

Tender Specification No.: BHEL: PSSR: SCT: 2074



- confidential/ additional information through which the Bidder(s) could obtain an advantage in relation to the tender process or the contract execution.
- 1.1.3 The Principal will exclude from the process all known prejudiced persons.
- 1.2 If the Principal obtains information on the conduct of any of its employees which is a penal offence under the Indian Penal Code 1860 and Prevention of Corruption Act 1988 or any other statutory penal enactment, or if there be a substantive suspicion in this regard, the Principal will inform its Vigilance Office and in addition can initiate disciplinary actions.

#### Section 2 - Commitments of the Bidder(s)/ Contractor(s)

- 2.1 The Bidder(s)/ Contractor(s) commit himself to take all measures necessary to prevent corruption. He commits himself to observe the following principles during his participation in the tender process and during the contract execution.
- 2.1.1 The Bidder(s)/ Contractor(s) will not, directly or through any other person or firm, offer, promise or give to the Principal or to any of the Principal's employees involved in the tender process or the execution of the contract or to any third person any material, immaterial or any other benefit which he/ she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract.
- 2.1.2 The Bidder(s)/ Contractor(s) will not enter with other Bidder(s) into any illegal or undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.
- 2.1.3 The Bidder(s)/ Contractor(s) will not commit any penal offence under the relevant Indian Penal Code (IPC) and Prevention of Corruption Act; further the Bidder(s)/ Contractor(s) will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the Principal as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.
- 2.1.4 Foreign Bidder(s)/ Contractor(s) shall disclose the name and address of agents and representatives in India and Indian Bidder(s)/ Contractor(s) to disclose their foreign principals or associates. The Bidder(s)/ Contractor(s) will, when presenting his bid, disclose any and all payments he has made, and is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract.
- 2.2 The Bidder(s)/ Contractor(s) will not instigate third persons to commit offences outlined above or be an accessory to such offences.



2.3 The Bidder(s)/ Contractor(s) shall not approach the Courts while representing the matters to IEMs and will await their decision in the matter.

## Section 3 - Disqualification from tender process and exclusion from future contracts

If the Bidder(s)/ Contractor(s), before award or during execution has committed a transgression through a violation of Section 2 above, or acts in any other manner such as to put his reliability or credibility in question, the Principal is entitled to disqualify the Bidder(s)/ Contractor(s) from the tender process or take action as per the separate "Guidelines on Banning of Business dealings with Suppliers/ Contractors", framed by the Principal.

#### Section 4 - Compensation for Damages

- 4.1 If the Principal has disqualified the Bidder from the tender process prior to the award according to Section 3, the Principal is entitled to demand and recover the damages equivalent Earnest Money Deposit/ Bid Security.
- 4.2 If the Principal has terminated the contract according to Section 3, or if the Principal is entitled to terminate the contract according to section 3, the Principal shall be entitled to demand and recover from the Contractor liquidated damages equivalent to 5% of the contract value or the amount equivalent to Security Deposit/ Performance Bank Guarantee, whichever is higher.

#### **Section 5 - Previous Transgression**

- 5.1 The Bidder declares that no previous transgressions occurred in the last 3 years with any other company in any country conforming to the anti-corruption approach or with any other Public Sector Enterprise in India that could justify his exclusion from the tender process.
- 5.2 If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process or the contract, if already awarded, can be terminated for such reason.

#### Section 6 - Equal treatment of all Bidders/ Contractors / Sub-contractors

6.1 The Principal will enter into agreements with identical conditions as this one with all Bidders and Contractors. In case of sub-contracting, the Principal contractor shall be responsible for the adoption of IP by his sub-contractors and shall continue to remain responsible for any default by his sub-contractors.

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6.2 The Principal will disqualify from the tender process all bidders who do not sign this pact or violate its provisions.

#### Section7 - Criminal Charges against violating Bidders/ Contractors /Subcontractors

If the Principal obtains knowledge of conduct of a Bidder, Contractor or Subcontractor, or of an employee or a representative or an associate of a Bidder, Contractor or Subcontractor which constitutes corruption, or if the Principal has substantive suspicion in this regard, the Principal will inform the Vigilance Office.

#### Section 8 - Independent External Monitor(s)

- 8.1 The Principal appoints competent and credible Independent External Monitor for this Pact. The task of the Monitor is to review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.
- 8.2 The Monitor is not subject to instructions by the representatives of the parties and performs his functions neutrally and independently. He reports to the CMD, BHEL.
- 8.3 The Bidder(s)/ Contractor(s) accepts that the Monitor has the right to access without restriction to all contract documentation of the Principal including that provided by the Bidder(s)/ Contractor(s). The Bidder(s)/ Contractor(s) will grant the monitor, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his contract documentation. The same is applicable to Sub-contractor(s). The Monitor is under contractual obligation to treat the information and documents of the Bidder(s)/ Contractor(s) / Sub-contractor(s) with confidentiality in line with Non-disclosure agreement.
- 8.4 The Principal will provide to the Monitor sufficient information about all meetings among the parties related to the contract provided such meetings could have an impact on the contractual relations between the Principal and the Contractor. The parties offer to the Monitor the option to participate in such meetings.
- 8.5 The role of IEMs is advisory, would not be legally binding and it is restricted to resolving issues raised by an intending bidder regarding any aspect of the tender which allegedly restricts competition or bias towards some bidders. At the same time, it must be understood that IEMs are not consultants to the Management. Their role is independent in nature and the advice once tendered would not be subject to review at the request of the organization.
- 8.6 For ensuring the desired transparency and objectivity in dealing with the complaints arising out of any tendering process, the matter should be examined by the full panel of IEMs jointly as far as possible, who would look into the records, conduct an investigation, and submit their joint recommendations to the Management.



- 8.7 The IEMs would examine all complaints received by them and give their recommendations/ views to CMD, BHEL, at the earliest. They may also send their report directly to the CVO and the Commission, in case of suspicion of serious irregularities requiring legal/ administrative action. IEMs will tender their advice on the complaints within 10 days as far as possible.
- 8.8 The CMD, BHEL shall decide the compensation to be paid to the Monitor and its terms and conditions.
- 8.9 IEM should examine the process integrity; they are not expected to concern themselves with fixing of responsibility of officers. Complaints alleging mala fide on the part of any officer of the organization should be looked into by the CVO of the concerned organisation.
- 8.10 If the Monitor has reported to the CMD, BHEL, a substantiated suspicion of an offence under relevant Indian Penal Code/ Prevention of Corruption Act, and the CMD, BHEL has not, within reasonable time, taken visible action to proceed against such offence or reported it to the Vigilance Office, the Monitor may also transmit this information directly to the Central Vigilance Commissioner, Government of India.
- 8.11 The number of Independent External Monitor(s) shall be decided by the CMD, BHEL.
- 8.12 The word `Monitor' would include both singular and plural.

चम महामवंग्रक - चम समिवा / Dr. Ganard Manager : 3ab Contracts बी.एस.ई.एस. - ची.एस.एस.आर. / BHEL - PSSR बी.एस.ई.एस. एकीकृत कार्याक्य परिसर, पठनीकरने, सेलें - 600 16 BHEL Integrated Chine Complex, Palitkaranai, Chennai - 60010

#### **Section 9 - Pact Duration**

- 9.1 This Pact shall be operative from the date IP is signed by both the parties till the final completion of contract for successful bidder and for all other bidders 6 months after the contract has been awarded. Issues like warranty / guarantee etc. should be outside the purview of IEMs.
- 9.2 If any claim is made/ lodged during currency of IP, the same shall be binding and continue to be valid despite the lapse of this pact as specified above, unless it is discharged/ determined by the CMD, BHEL.

#### Section 10 - Other Provisions

- 10.1 This agreement is subject to Indian Laws and jurisdiction shall be registered office of the Principal, i.e. New Delhi.
- 10.2 Changes and supplements as well as termination notices need to be made in writing. Side agreements have not been made.
- 10.3 If the Contractor is a partnership or a consortium, this agreement must be signed by all partners or consortium members.

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- 10.4 Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties will strive to come to an agreement to their original intentions.
- 10.5 Only those bidders / contractors who have entered into this agreement with the Principal would be competent to participate in the bidding. In other words, entering into this agreement would be a preliminary qualification.

11/	
For & On behalf of the Principal	For & On behalf of the Bidder/ Contractor
(Office:Seglig राज S. SUBBURA) उप महाप्रबंधक - उप संविदा / Dy. General Manager - Sub Contracts बी.एच.ई.एल पी.एस.एस.आर. / BHEL - PSSR	(Office Seal)
बी.एच ई.एल. एकीकृत कार्यालय परिसर, पल्लीकरनै, चेन्नै - 600 100 BHELI rielgaten Office Complex, Pallikaranai, Chennai - 600100	Place
Date10 07-2023	Date
Hamain 10/07/23	
Witness:	Witness:
(Name & Address) Mohammood Huss	(Name & Address)—————

## Annexure – 8

## **UNDERTAKING**

(To be typed and submitted in the Letter Head of the Company/Firm of Bidder)

To, (Write Name & Address of Officer of BHEL inviting the Tender)
Dear Sir/Madam,
Sub: DECLARATION REGARDING INSOLVENCY/ LIQUIDATION/ BANKRUPTCY PROCEEDINGS
Ref: NIT/Tender Specification No:
I/We,
declare that, I/We am/are not under insolvency resolution process or liquidation or Bankruptcy Code Proceedings (IBC) as on date, by NCLT or any adjudicating authority/ authorities, which will render us ineligible for participation in this tender.
Sign. of the AUTHORISED SIGNATORY (With Name, Designation and Company seal)
Place: Date:

		DE (	N ADATION		ANNEXURE – 9
		DEC	CLARATION	<u> </u>	Date:
To:					Date.
Addres	SS:	BHEL,			
email:	Dataila of val				
	Details of rei Sir/ Madam,	ated firms and the	ir area or a	cuvities	
	•	tails of firms owned	l hy our fam	ilv mem	bers that are doing business/
		em with BHEL,	-	-	
1	Material Cate	egory/ Work Descrip	otion		
	Name of Firn	-			
	Address of F				
	Nature of Bu				
	Name of Fan	nily Member			
	Relationship				
2		egory/ Work Descrip	otion		
	Name of Firm				
	Address of F				
	Nature of Bu				
	Name of Fan	nily iviember			
	Relationship				
Tho to	rm "Family" ch	all maan.			
	rm "Family" sh	all fileaff.			
a. pare	band/wife				
		cluding adopted chi	ildren) and t	heir sno	AZIII
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	•			•	agree for penal action from
	•				found to be false.
					Regards,
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		Cum	From:		M/s
			plier Code:		
		A	ddress:		

#### <u>ANNEXURE – 9A</u>

#### **DECLARATION**

	(To be typed and submitted in the Letter Head of the Company/Firm of Bidder)
To,	
/\//ri	te Name & Address of Officer of RHFL inviting the Tender)

Dear Sir/Madam,
Sub: One Bid per Bidder w.r.t. Clause No. 34A of NIT – reg.
Ref: NIT/Tender Specification No:
I/ We, M/s (Name of the bidder) certify the following with
reference to the subject Tender.
1) I/ We have not submitted multiple bids, in any of the two formats given below:
a) individual or proprietorship format and/or

- b) a partnership or association of persons format and/or
- c) a company format wherein,
- A company shall for this purpose include any artificial person whether constituted under the Indian laws or of any other country.
- A person shall be deemed to have bid in a partnership format or in association of persons format if he is a partner of the firm which has submitted the bid or is a member of any association of persons which has submitted a bid.
- A person shall be deemed to have bid in a company format if the person holds:
  - i. more than 10% (ten percent) of the voting share capital of the company which has submitted a bid, or
  - ii. is a Director and / or Key Managerial Personnel of the company which has submitted a bid, or
  - iii. holds more than 10% (ten percent) of voting share capital in and/or is a director and/or Key Managerial Personnel of a holding company of that company which has submitted the bid.
- 2) None of our Sister/ Associated/ Allied concerns (i.e., a company, society, partnership firm or proprietorship firm having one or more common persons as Director/ Partner/ Member/ Owner) have submitted any other bid for this Tender.

I/We understand that all the bids of a bidder who has submitted multiple bids, in terms of Clause No. 34A of NIT, shall be rejected.

•	of the AUTHORISED SIGNATORY ne, Designation and Company seal)
(vviiii ivaii	o, Designation and Company Sealy

Place: Date:

#### **Annexure 10**

# DECLARATION REGARDING MINIMUM LOCAL CONTENT IN LINE WITH REVISED PUBLIC PROCUREMENT (PREFERENCE TO MAKE IN INDIA), ORDER 2017 DATED 04<sup>TH</sup> JUNE, 2020) AND SUBSEQUENT ORDER(S)

ani	Head of the Entity/Firm providing certificate as plicable)
To, (Write Name & Address of Officer of BHEL	
Dear Sir,	
<b>O</b>	ent in line with Public Procurement (Preference ated 04th June, 2020 and subsequent Orders)
Ref: 1) NIT/Tender Specification No: 2) All other pertinent issues till date	
<b>NAME HERE)</b> has a local content of _ requirement for 'Class-I local supplier' I' Procurement (Preference to Make in India) by DPIIT and subsequent order(s).	rvices offered by <u>(SPECIFY ORGANIZATION</u> % and this meets the local content (Class II local supplier' ** as defined in Public , Order 2017-Revision dated 04.06.2020 issued ocal value addition is made are as follows:
1 3	2 4
Thanking you, Yours faithfully,	(Signature, Date & Seal of Authorized Signatory of the Bidder)

\*\* - Strike out whichever is not applicable.

#### Note:

- 1. Bidders to note that above format Duly filled & signed by authorized signatory, shall be submitted along with the techno-commercial offer.
- 2. In case the bidder's quoted value is in excess of Rs. 10 crores, the authorized signatory for this declaration shall necessarily be the statutory auditor or cost auditor of the company (in the case of companies) or a practising cost accountant or practicing chartered accountant (in respect of suppliers other than companies).
- 3. In the event of false declaration, actions as per the above order and as per BHEL Guidelines shall be initiated against the bidder.

#### **Annexure 11**

## DECLARATION REGARDING COMPLIANCE TO RESTRICTIONS UNDER RULE 144 (xi) OF GFR 2017

(To be typed and submitted in the Letter Head of the Entity/Firm providing certificate as applicable)
To, (Write Name & Address of Officer of BHEL inviting the Tender)
Dear Sir,
Sub: Declaration regarding compliance to Restrictions under Rule 144 (xi) of GFR 2017
Ref: 1) NIT/Tender Specification No:
I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India and on sub-contracting to contractors from such countries. I certify that (specify the name of the organization here), is not from such a country / has been registered with the Competent Authority (attach valid registration by the Competent Authority, i.e., the Registration Committee constituted by the Dept. for Promotion of Industry and Internal Trade (DPIIT)); and will not sub-contract any work to a contractor from such countries unless such contractor is registered with the Competent Authority. (attach relevant valid registration, if applicable)
I hereby certify that we fulfil all requirements in this regard and is eligible to be considered.
Thanking you, Yours faithfully,

(Signature, Date & Seal of Authorized Signatory of the Bidder)

#### Note:

1. Bidders to note that if the above certification given by a bidder, whose bid is accepted, is found to be false, then this would be a ground for immediate termination and further action in accordance with law and as per BHEL guidelines