



**GOVERNMENT OF INDIA**  
**MINISTRY OF RAILWAYS**  
भारत सरकार - रेल मंत्रालय

## TECHNICAL SPECIFICATION FOR TRACTION GEARS & PINIONS OF WAG9, WAP7& WAP5 ELECTRIC (ABB) LOCOMOTIVES

डब्ल्यूएजी9, डब्ल्यूएपी7 और डब्ल्यूएपी5 इलेक्ट्रिक(एबीबी) लोकोमोटिव के कर्षण गियर एवं पिनियन हेतु तकनीकी विशिष्टि

**SPECIFICATION NO.MP.0.2800.19 (Rev.01) June' 2019**  
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# TECHNICAL SPECIFICATION FOR TRACTION GEARS AND PINIONS OF WAG9, WAP7 & WAP5 ELECTRIC (ABB) LOCOMOTIVES

## FOREWORD

Traction gears & pinions constitute vital part of the power transmission system of locomotives and call for sophisticated techniques in manufacture, with special material, equipment and quality control requirements.

## 1. SCOPE

This specification is applicable for traction gears and pinions used in WAG9, WAP7 & WAP5 (ABB) Electric locomotives. It covers technical requirements of manufacturing and supply from raw material to finished stage. Traction gears and pinions drawings of these locos are listed at **ANNEXURE - "B"**.

## 2. MATERIAL

**2.1** Gears and pinions shall be manufactured from forging quality steel made according to DIN 3990 Part 5 or by open hearth, electric, duplex, basic oxygen or a combination of these processes. The steel shall be fully killed and homogeneous. The steel shall also be subjected to secondary refining including vacuum degassing. Sufficient discard shall be made from each ingot to ensure freedom from pipe, harmful segregation and other defects.

### 2.2 Chemical Composition & Mechanical Properties:

The gears and pinions shall be manufactured from steel grade 17CrNiMo6 to DIN 17210 (for Case Hardening Steel).

**2.3** The inclusion rating of the steel shall not exceed A=2.5-1.5, B=2-1, C=0.5-0.5 & D=1-1 for both thin and thick series. The field of each type of inclusion shall be determined in accordance with DIN 3990 Part 5 (or IS: 4163 and shall be taken as the rating).

**2.4** Chemical composition and Mechanical properties of the steel used shall conform to the relevant material specification or as per the given Table 1 and 2.

**2.4.1 Chemical Composition:** The chemical composition of the gear / pinion steel shall be as given in Table-1

**TABLE -1**

SN	Element	17CrNiMo6 to DIN 17210	
		Minimum	Maximum
1	Carbon	0.15%	0.20%
2	Manganese	0.40%	0.60%
3	Phosphorus	0.035 Maximum	
4	Sulphur	0.035 Maximum	
5	Silicon	0.40 Maximum	
6	Nickel	1.40%	1.70%
7	Chromium	1.50%	1.80%
8	Molybdenum	0.25%	0.35%



- 4.3 No welding shall be permitted on the forged blank. The outside surfaces of the forged blanks shall not show any flaw, cracks, fold or other injurious defects. The forged blanks shall be provided with adequate allowance for machining all over and shall suitably be annealed to facilitate subsequent machining.
- 4.4 Forging supplier shall furnish test report showing the Mill source, Chemical composition, inclusion rating of material, forging ratio and grain flow pattern of gear and pinion blanks supplied to the gears manufacturer.
- 4.5 A photograph of grain flow pattern of forging blank of pinion and gear and material as per clause 2 should be submitted prior to prototype inspection by RDSO.

## 5. TESTS ON ROUGH FORGED GEARS BLANKS:

- 5.1 **Macro etch test:** The macro-etch examination shall be done in accordance with DIN 3990 Part 5 (or as per ASTM-E381 method of Macro-etched testing & inspection of steel forging). The macro-etched section shall reveal satisfactory flow line pattern right upto the centre of the forged blank. This shall be done at the works of the forging supplier by the manufacturer.
- 5.1.1 **Microscopic test:** The microscopic examination shall be done in accordance with DIN 3990 Part 5 for determining the microstructure of gear wheel blanks. The microstructure shall be normalized after heat treatment as per clause 6.1.
- 5.2 **Grain size:** The grain size of forging shall be in the range of 6 or finer-grain structure. Test shall be done in accordance with IS:4748 or ISO:643 (Latest Version) for determination of grain size.
- 5.3 **Ultrasonic Test:** All forged blanks, after rough and final machining is performed on them shall be tested ultrasonically for ensuring freedom from casting and forging defects in accordance with given in **ANNEXURE- "A"**
- 5.4 **Hardness Test:** The forging when tested in accordance with IS: 1500 shall show a hardness value of 179 – 229 HB.

## 6. PRE-MACHINING HEAT TREATMENT OF FORGED BLANKS:

- 6.1 To relieve the residual, forging stresses, the forging shall be normalised at 900°C - 1000°C. The soaking time shall be given according to ruling thickness forged blank as per DIN 17210.

## 7. HEAT TREATMENT OF GEAR/PINOIN:

### 7.1 Case Hardening:

The active tooth surface of the gear/pinion shall be suitably case carburised, hardened and tempered to obtain the specified case depth, case hardness and physical properties of core.

## 7.2 Effective Case Depth:

### 7.2.1 Definition and Measurement:

The effective case depth is defined as that at which a hardness of 500 HV 5 (50 RC) is obtained. The depth is measured perpendicularly inwards from the surface. The available case depth after finish grinding shall be 1.8 mm minimum or as mentioned in relevant drawing.

#### 7.2.1.1 Case Depth of Carburised and Hardened Gears:

For checking the case depth, one spy-piece shall be provided per container when box carburising and at least one, but preferably two (towards top and bottom of furnace) when gas carburising. The spy-piece shall be of a section, which adequately simulates that of the teeth which it represents and shall be placed near to but not on the gear teeth. The spy-piece shall be of the same material from which the gears are made.

After carburising, the spy-piece shall be hardened and tempered alongwith the gears it represents, and then broken. Hardness survey of the case shall be carried out after grinding and polishing as per DIN 3990 Part 5(or IS: 6416-1988 (Latest version) Method of measuring case depth of steel. The results shall be as specified in (Cl.7.2.1)

Alternatively, the end of the teeth remote from the traction motor side may be ground back at an angle to reveal the case for visual examination or hardness testing.

### 7.2.2 Hardness of Case-hardened Layer:

Surface hardness of the gear after grinding measured at tip, flank or root shall not be less than 650 HV 30 or 58 RC (620 HB).

### 7.2.3 Micro Examination:

At least one spy-piece per carburising batch shall be microscopically examined for establishing absence of cementite network and free cementite. Retained austenite content of 30% maximum may be permitted in the carburised zone. This is as per DIN 3990 Part 5.

## 8. FINAL MACHINING OF GEARS & PINIONS:

8.1 Bore of the gears is referred to as the fitting surface.

8.2 Fitting surfaces of the gear and pinion shall be ground finished before finish grinding the teeth.

8.3 The surface texture of the fitting surfaces of gear and pinion shall not be coarser than the values specified in the relevant drawings.

8.4 The gear teeth shall be of involute profile, cut and ground on gear generating machines. Protuberance cutter shall be used for generating the teeth for automatically producing the most

suitable stock allowance for grinding and generating simultaneously the largest possible tooth fillet of semi-circular shape with absolute uniform transition for the involute tooth flank to the tooth root after grinding. No discontinuity/ Step formation from the ground tooth flank and the machined root fillet shall be permitted.

**8.5** The tooth profile shall be given the 'tip' and 'root' relief in accordance with the specification mentioned in the relevant drawings. The longitudinal crowning shall be provided as specified in the relevant drawings.

**8.6** The dimensions, tolerances and surface finish and hardness specified in the relevant drawings shall be strictly adhered to. The following errors/ deviations shall be measured as per gear/pinion relevant drawings.

- a) Overall dimensions.
- b) Individual error of pitch.
- c) Consecutive error of pitch.
- d) Cumulative error of pitch.
- e) Profile error.
- f) Alignment error.
- g) Radial run out.

**8.7** The gear / pinion should be free from sharp edges.

**8.8** The working face of the teeth shall be free from defects such as heterogeneity in metal and forging / cutting / grinding imperfections. Any repair of these surface defects shall be prohibited.

**8.9** The end faces of the teeth shall also not show defects similar to Clause 8.8 particularly near the root circle.

## **9. SHOT PEENING:**

Shot peening should be done on fillet radius and root of gears before grinding, to impart compressive stress. All tooth surfaces should be protected against peening or should be as per relevant drawings requirement. Use S330 hard shot to obtain 200% minimum coverage in root area. Peening intensity should be between 0.007-0.010C (0.178mm- 0.254mm), or shall conform to the relevant drawings. The method of test & measurement for shot peening shall be carried out as per IS: 7001-1989 (latest version).

## **10. TESTS ON SAMPLE & FINISHED GEARS / PINIONS**

### **10.1 TEST SAMPLE**

The test sample shall be made in the form of a bar from a bloom of relevant cast selected at random, shall be first forged down to 1/4 of the original section and then a test bar of diameter as per relevant material specification shall be turned from the forging.

This test bar shall then be heat treated (blank carburising, hardening and tempering) alongwith the gears / pinions of the same cast as indicated in Clause 10.1.1 and then the test piece machined. It shall carry the following markings for identifications:

- (a) Steel used
- (b) The Cast number / Heat no.

**NOTE:** (i) Blank carburising means the thermal treatment associated with carburising as applied to a test piece without using any carburising medium.

(ii) Tests on bar stock: - one bar stock shall be cut in the presence of the inspecting authority and macro test shall be carried out to determined if sufficient working as defined in this specification has been done as the ingot to obtain the bar stock.

(iii) Test sample shall be repeated at an interval of **every five years** and also whenever the failures of gear and pinion take place.

### 10.1.1 PHYSICAL TESTING ON MATERIAL:

The following tests shall be conducted at the Works of the gear manufacturer or in an approved test house at the cost of manufacturer on the test samples selected as per Clause 10.1. At least, one sample per cast shall be subjected to the following tests:

- i) Ultimate tensile strength
- ii) Yield stress.
- iii) Elongation percentage.
- iv) Reduction in area.

### 10.1.2 Chemical Composition & Physical properties:

One test sample per cast shall be chosen for chemical composition. The analysis of steel shall be carried out either by method specified in IS: 228 "Method of chemical analysis of steel and it's relevant parts of any other established instrumental method (Spectrometer)". The chemical composition and physical test results shall confirm to the relevant material specification.

The chemical composition and physical test result shall conform to the relevant material specification. If any one of the test sample fail to meet the requirement as mentioned in relevant material specification, the entire lot shall be rejected. In the events of rejection of entire lot, the lot offered shall be made unusable in presence of purchasing / inspecting authority. However, approving / purchasing authority reserves the right to repeat the tests at their discretion at certain time interval.



**10.2.1 Type Tests:** These will be conducted by RDSO on prototype gears/ pinions whenever a new source is to be approved to acceptance tests mentioned at Clause 10.2.2 and other tests laid down in relevant specifications. The lot offered for prototype tests should not have less than 6 gears. One gear / pinion forged blank of the same lot shall be kept and cut and both macro, micro tests shall be done as per Clause 5.1 & 5.1.1. The prototype testing of gears & pinions may be repeated five (5) years after prototype approval at the time of Quality Audit by RDSO or earlier, if several failure cases are observed.

- If any one of the gears fails to meet the requirement as mentioned in relevant material specification and dimension of drawing, the entire lot shall be rejected.

- Overall dimensions check.
- Hardness check.
- Crack detection test.
- Tooth error/ deviations as per gear/ pinion relevant drgs.

During routine inspection, inspection authorities shall check 30% of gears & pinions from the lot offered.





**14. PROTECTION AND PACKING:**

The gears/pinions shall be suitably protected against oxidation and corrosion by three coats of ready mixed paint, brushing Bituminous black to IS: 158 (Specification for ready mixed paint, brushing, bituminous black, lead free, acid, alkali, water and heat resisting for general purpose) or as mentioned in Purchase Order / item's drawings or other approved anti-rust compound capable of being removed easily by white spirit or kerosene oil, allowing sufficient drying time between each coat. After the last coat has dried, the gear shall be covered with waterproof paper. The gears / pinions shall then suitably be placed to prevent any damage during transport and handling.

**15. APPLICABLE DOCUMENTS:**

The specification refers to the following standards

Sl. No.	Reference Document	Description
1	DIN 17210	Case hardening steel
2	IS: 4163	Steel- determination of content of Non-metallic inclusion- micrographic method using standard diagrams
3	DIN 3990 Part-5	Calculation of load capacity of cylindrical gears, endurance limit & material qualities.
4	ASTM-E381	Method for Macro-etched testing & inspection of steel forging
5	IS: 4748	determination of the apparent grain size
6	ISO: 643	Steel Micrographic determination of the apparent grain size
7	IS: 1500	Method for brinell hardness test for metallic material
8	IS: 6416-1988	Method for measuring case depth of steel
9	IS: 7001- 1989	Shot peening of steel parts
10	IS: 228	Method for chemical analysis of steel
11	IS: 7743	Recommended practice for magnetic particle testing & inspection of steel forgings
12	IS: 3703	Recommended practice for magnetic particle flaw detection
13	IS: 3658	Code for practice liquid penetrant flaw detection
14	IS: 158	Specification for Ready mixed paint , brushing , bituminous, black, lead free, acid, alkali & heat resting.
15	IS: 12666	Method for performance assessment of ultrasonic flaw detection equipment.

**ANNEXURE – “A”****STANDARD FOR ULTRASONIC TESTING AND ACCEPTANCE  
OF TRACTION GEARS AND PINIONS**

1. Gears/pinions forgings shall be tested ultrasonically for soundness from one of the two side (flat) faces. In case of gears, the scanning could be restricted to the rim portion. The scanning shall be done with a 2/2.5 MHz, 25 mm dia probe. A suitable flaw detector or UT equipment may be used. However equipments characteristic shall meet the requirement of IS: 12666 (Latest version).
2. The range shall be so adjusted that the back echo from the opposite face for a particular size of gear/pinion under test shall occur at the extreme end of the screen and it's amplitude shall be approximately 75% of the full screen height. With this setting, the scanning shall be done by moving the probe circumferentially. In case the radial thickness of the rim of the gear and that of the pinion is considerable, the scanning may be done following multiple concentric paths separated by one probe position.
3. **Criteria for Acceptance / Rejection:**
  - 3.1 Any flaw indication, the amplitude of which is greater than 25% of that of back echo obtained from an adjacent location, shall be rejected.
  - 3.2 Flaw indications, the amplitude of which are less than 25% of back echo obtained from adjacent location may be accepted provided the back echo at that location is not less than 80% of the original and the total number of such flaw indications obtained on that gear/pinion are less than 5 and each flaw is separated from the other by more than 25mm distance. When there is a continuous flaw indication, the spread of the flaw shall not cover more than one probe position in any direction. Where such indications are obtained, scanning should be done in all radial directions at that location to verify the spread of flaw.
  - 3.3 Where there is a flaw indication in the first quarter of the usable length of the trace, the same shall be confirmed by a scan from the opposite face.
  - 3.4 Where the back echo is reduced to less than 80% of the original, the gear shall be rejected.

**ANNEXURE – “B”****DRAWINGS LIST OF TRACTION GEARS / PINIONS USED IN  
WAG9, WAP7 & WAP5 LOCOS**

SR. NO.	DESCRIPTION	NO. OF TEETH	DRAWING NO.	CLASS OF LOCOS
1	BULL GEAR	77	SKDP-3435	WAG9
2	SHAFT PINION	15	SKDP-3436	
3	SHAFT PINION	21	SKDP-3847	
4	BULL GEAR	107	SKDP-3848	
5	SHAFT PINION	20	SKDP-3473	WAP7
6	BULL GEAR	72	SKDP-3474	
7	PINION	17	SKDP-4148	WAP5
8	BULL GEAR	67	SKDP-4149	
9	INTERMEDIATE GEAR	35	SKDP- 4150	

**NOTE:** The drawings with latest alterations available with RDSO shall only be referred to.

## TME DIVISION, BHOPAL

PAGE 1 OF 8

**[SPECIFICATION SHALL BE READ ALONG WITH RDSO SPEC. NO.  
MP.0.2800.19 (LATEST REVISION)]**

This specification governs the quality, testing & test samples requirements for gear & pinion blank forgings [This specification shall be read along with RDSO specification no. MP.0.2800.19 (latest revision)].




The documents to be submitted by the suppliers along with their offer against each enquiry are mentioned in attached annexure-I.

The material TC for chemical composition, mechanical & metallurgical properties shall have reference of specification DIN17210 Gr. 17CrNiMo6 as shown in annexure-II sl. no. 12.

The ladle analysis of the steel (for material grade 17CrNiMo6 to DIN17210) as per clause 2.4.1 of RDSO specification MP.0.2800.19 (latest revision) and permissible variation in the composition of the forgings (as per DIN17210) are clubbed together and are re-produced below:-


Element	Composition		Permissible deviation in melt analysis
	% Minimum	% Maximum	
Carbon	0.15	0.20	±0.02
Silicon	-	0.40	±0.03
Manganese	0.40	0.60	±0.04
Nickel	1.40	1.70	±0.05
Chromium	1.50	1.80	±0.05
Molybdenum	0.25	0.35	±0.04
Sulphur	-	0.035	±0.005
Phosphorus	-	0.035	±0.005


**Note:** If several product analyses are to be carried out, the deviations shown by an element within one cast shall be either only above the upper limit or below the lower limit of the range specified for the cast analysis.

Revision: 00 Date: 09.12.2024			APPROVED:  (M. VERMA)		
Distribution:	TME, TGM	QFD, TXM	Prepared:  (M. Parothia)	Checked:  (R. Chaudhry)	Date: 09.12.2024
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	<b>PRODUCT STANDARD</b>	<b>TM 24650</b>
		<b>Rev. No. 00</b>
		<b>PAGE 2 OF 8</b>
<b>TME DIVISION, BHOPAL</b>		
<b>3.2 MECHANICAL TESTING:</b>		
<b>3.2.1 HEAT TREATMENT CYCLE FOR TEST SAMPLES BEFORE MECHANICAL TESTING:</b>		
18mm dia. bar machined to 16mm bar for tensile testing and 18mm square for impact test. After machining the following heat treatment shall be followed:-		
Samples after heating to carburizing temperature and single quench heat treatment, as detailed below shall show the mechanical properties given in clause 3.2.2 of this specification. The heat treatment cycle to be adopted on test bar is as per the requirements mentioned in DIN-17210.		
<b>Heating to carburizing temperature :</b> 880-980° C (For sample sizes up to 25mm, 1-1½ hrs) in the absence of carburizing atmosphere		
<b>Single Quench Temperature</b>	: 830-870° C (For sample sizes up to 25mm, 1-1½hrs)	
<b>Quenching Agent</b>	: Oil (IOCL make Servo Quench-11) (At normal room temperature)	
<b>Tempering temperature</b>	: 150 - 200° C (For sample sizes up to 25mm, 1-1½ hrs)	
<b>Notes: 1)</b> In the test samples submitted by the supplier for testing at BHEL as per clause 4.1 (in the condition as mentioned in clause 4.1 note-1), the heat treatment as per clause 3.2.1 will be done in BHEL.		
<b>2)</b> The heat treatment cycle followed by supplier during internal testing of test sample shall be furnished along with consignment.		
<b>3.2.2 MECHANICAL PROPERTIES:</b>		
Test pieces after heat treatment as detailed in clause 3.2.1 of this specification, shall show the mechanical properties (Tensile & Izod) as mentioned below:-		
<b>3.2.2.1 Tensile:</b>		
When tested to IS: 1608, test pieces shall show the following values:		
i) Tensile strength	: 1080 - 1320 Mpa	
ii) Yield Stress	: 785 Mpa (Minimum)	
iii) Elongation on 5.65 √So gauge length	: 8% (Minimum)	
iv) Reduction in area	: 35% (Minimum)	
<b>3.2.2.2 Izod Impact:</b>		
When tested to IS: 1598, test pieces shall show the following property:		
Izod impact value	: 27 Joules (Minimum)	

TM 24650	<b>PRODUCT STANDARD</b>  TME DIVISION, BHOPAL	
Rev. No. 00		
PAGE 3 OF 8		

**3.3 METALLURGICAL TESTING:**

**3.3.1 Microstructure test details:**

The microstructure shall be uniform without any carbon segregation. Banded structure upto 50 microns width is permissible. The microscopic examination shall be done in accordance with DIN 3990 Part 5.

**3.3.2 Macroetch Test (On actual rough machined forged blank) details:**

The Macroetch examination shall be done as per DIN 3990 Part 5 or ASTM E381 (Method of Macroetch Testing and Inspection of steel Forgings). The macroetched sections (cross-section of the gear/pinion blank forgings from ID.to OD as per respective drawing) shall reveal satisfactory flow line pattern right up to the centre of the forged blank.

The shape of the forging should be as per rough machined drawing of gear/pinion blank forgings keeping only machining allowance of maximum 15 mm on each tool point so that the grain flow is retained in final machined gear/pinion blank forgings.

The supplier should furnish dimensional sketch of the gear/pinion blank forging section showing grain flow pattern in compliance of above requirements along with offer against each enquiry and shall achieve the same in actual gear/pinion blank forgings. The required grain flow pattern shall be revealed during macro-etch test.

**4.0 SUBMISSION OF TEST SAMPLES:**

**4.1 TEST SAMPLES WITH CONSIGNMENT (FOR ROUTINE TESTING):**

i) 4 nos. separately forged longitudinal test samples (18±1 mm dia and 610 mm long) per heat & heat treatment batch (for each batch size of max. 50 nos. gear blanks/200 nos. pinion blanks or part thereof), shall be provided for testing at BHEL along with the forgings. The supplied test samples to be machined from duly forged test bar, having similar reduction ratio, heat and heat treatment as the forging it represents.

ii) In case forgings of same heat & heat treatment batch are supplied in different consignment, the test samples shall be supplied along with 1<sup>st</sup> consignment.

**Notes:** 1) The supplier should ensure that the test samples supplied shall be of same heat as the gear/pinion blank forgings they represent and heat treated along with the forgings as per clause 6.1 of RDSO specification MP.0.2800.19 (latest revision). The supplied test samples shall be duly stamped with supplier's name, heat no., heat treatment batch no. & PO no.

2) Refer clause no. 4.2 for the requirement of test sample with consignment for macro-etch test.

**4.2 TEST SAMPLE WITH CONSIGNMENT (FOR MACRO-ETCH TEST):**

Provision of gear/pinion blank forging (1 no. in addition to the ordered quantity) to BHEL by new or regular vendor for macroetch test along with its cost shall be in the scope of the supplier.


**NOTE:** 1) For New Vendors:

The new supplier may carry out its internal macro-etch test on actual rough machined gear/pinion blank forging to ensure the grain flow pattern as per the requirement of the specification. One extra gear/pinion blank forging for macroetch test shall be supplied to BHEL along with first consignment for random selection & testing at BHEL.

**2) For Regular Vendors:**

i) In case of supply of any gear/pinion blank as per different drawing (which involves manufacturing of different die) by the regular supplier for the first time, one extra gear/pinion blank forging for macroetch test shall be supplied to BHEL along with first consignment.



TM 24650	<b>PRODUCT STANDARD</b>  <b>TME DIVISION, BHOPAL</b>	
Rev. No. 00		
PAGE 4 OF 8		

ii) Macroetch test on actual gear/pinion blank forgings shall be repeated at an interval of every three years of last macroetch test of supplier's supply of that particular gear/pinion blank forging or earlier at BHEL discretion whenever the failure of gears and pinions of particular forging supplier takes place. For above, one extra gear/pinion blank forging for macroetch test shall be supplied to BHEL along with first consignment for random selection & testing at BHEL.

**5.0 TEST CERTIFICATE:**

**5.1 Raw Material used for Forging:**

The test certificate from the steel mill for the raw material procured by the supplier, detailing the melt method/process route, heat no., chemical composition, reduction ratio (in case of bloom) & certificate for "VOD" secondary refining as called in clause 2.1 of RDSO specification MP.0.2800.19 (latest revision) shall be supplied.

**5.2 Forgings:**

The material TC for chemical composition, mechanical & metallurgical properties shall have reference of specification DIN17210 Gr. 17CrNiMo6 as shown in annexure-II sl. no. 12.

Three copies including original copy of a test certificates (on testing agency/supplier letter head with seal, signature & date) shall be supplied, unless otherwise stated in the order, in the Test Certificate proforma annexed to this specification (Annexure -II).

In addition, the supplier shall ensure to enclose one copy of the test certificate along with their dispatch documents to facilitate quick clearance of the material.

The following details shall be furnished in the test certificate & dimensional inspection report:-

- Dimensional inspection report.
- Details of heat treatment.
- Chemical composition.
- Results of mechanical tests. Details of heat treatment cycle as per clause 3.2.1 adopted on test bar for mechanical tests to be submitted in addition to Annexure-II.
- Results of metallurgical tests.
- Results of ultrasonic examination.
- Results of additional tests called for in the drawing/order.

**6.0 PACKING & MARKING:**

Forgings shall be suitably packed to prevent damage during transit.

Each forged blank shall be stamped with: MP.0.2800.19/ Melt / Heat No.

Each package shall bear the following information:

DIN 17210 - Steel forgings for case hardening.

BHEL Order No.

Supplier's Name

Consignment / Identification No.

Weight

TM 24650

Rev. No. 00

PAGE 5 OF 8

## PRODUCT STANDARD

**TME DIVISION, BHOPAL**



## 7.0 REJECTION & REPLACEMENT:

In the event of any forging proving defective in the course of preparation, machining, heat treatment, testing, such forging shall be rejected, notwithstanding any previous certification of satisfactory testing and / or inspection.

The supplier shall replace the rejected forgings at his own cost.

## 8.0 SUBMISSION OF DOCUMENTS WITH CONSIGNMENT:

The documents to be submitted by the suppliers with each consignment are mentioned in attached annexure-III.

**9.0 REFERRED STANDARDS (Latest publications Including Amendments):**

- 1) IS: 1608                  2) IS:1598                  3) ASTM E 381

## 10.0 REFERENCES:

- 1) DIN – 17210, Gr. 17CrNi Mo6.
- 2) RDSO specification MP.0.2800.19 (Latest revision).

TM 24650	PRODUCT STANDARD	<div>बी एच ई एल BHEL</div>
Rev. No. 00		
PAGE 6 OF 8		
TME DIVISION, BHOPAL		

Annexure-I

Checklist for Supplier for Submission of Documents with offer

The documents to be submitted by the suppliers along with their offer against each enquiry are as mentioned below. Duly filled checklist as per annexure-I is to be submitted by the suppliers along with their offer.

Sl. No.	Documents to be submitted	Submitted (Yes/No)
1.	Confirmation of the compliance of RDSO specification MP.0.2800.19 (latest revision) & this specification. In case of any deviation, the same should be clearly mentioned (shown in bold under the heading "Deviation from the specification") in the offer.	
2.	Details of manufacture including method of forging & forging ratios with stage wise dimensional sketches.	
3.	Forging supplier's stage wise inspection plans.	
4.	Dimensional sketch of gear/pinion blank forging section showing grain flow pattern (in compliance of clause 3.3.2 of this specification).	
5.	Confirmation for supply of one additional gear/pinion blank for macroetch test (as per the requirement of clause 4.2 of this specification).	
6.	Details of PO no. & date in which one additional gear/pinion blank has been supplied by the supplier for macro-etch test at BHEL.	
7.	Supplier to furnish capacity of heat treatment furnace w.r.t quantity of enquired gear/pinion blank forgings that can be heat treated in single batch.	





# PRODUCT STANDARD

TME DIVISION, BHOPAL

TM 24650

Rev. No. 00

PAGE 7 OF 8

## ANNEXURE-II

### RECOMMENDED TEST CERTIFICATE FORMAT FOR FORGINGS

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SUPPLIER'S NAME & ADDRESS TEST CERTIFICATE FOR FORGINGS														
1. Customer:					9. Reduction Ratio } Ingot to Bloom Bloom to Blank									
2. TC No. & Date:					10. Heat / Melt No.									
3. PO No.:					11. Heat treatment batch No.:									
4. Process of Melting Ingot:					12. Spec. No.: DIN17210 Gr. 17CrNiMo6									
5. Deoxidisation Process:					13. Test Bar Size & Nos.									
6. Forging Method (Open/closed die):					14. Supplier of the ingot/ billet/ Bloom & TC reference									
7. BHEL's Reference for Approval of Bloom														
8. Discard: Top % ; Bottom %														
15. FORGINGS COVERED BY TEST CERTIFICATE:														
Heat no.			Heat treatment batch no.			Quantity			Sl. No. of gear/pinion blanks					
16. GAS CONTENT (PPM):														
17. CHEMICAL COMPOSITION (PERCENT)														
Gas	H <sub>2</sub>	O <sub>2</sub>	N <sub>2</sub>	C	Si	Mn	S	P	Cr	Mo	Ni	Other trace elements like Al, Cu		Radioactive Contamination
As Per Specn.	Min.													
	Max.													
Actual Values														
18. HEAT TREATMENT (To be accompanied by Recorder Chart)														
Condition		Heating Rate, °C/hr.		Temp., °C		Soaking Time, Hrs.		Cooling Rate, °C/hr.		Cooling Medium				
19. MECHANICAL PROPERTIES														
		T.S. N/mm <sup>2</sup>	Y.S. 0.5/0.2% Proof N/mm <sup>2</sup>	% Elongation 5.65 √So GL	% R.A. Min.	Hardne ss BHN (Min.3 Values)	Impact Value Joules	Bend Test						
								Angle of bend	Dia. of mandrel	Result				
As Per Specn.	Min.													
	Max.													
Actual Values														
20. SURFACE FINISH (when called for in the order/drg.)														
21. DIMENSIONAL INSPECTION														
22. NON-DESTRUCTIVE TESTS														
Nature of Test		Acceptance level		Instrument used		Range		Result		Any other detail				
Ultrasonic														
Radiographic														
Dye Penetrant/ Magnetic Particle														
23. METALLOGRAPHIC EXAMINATION (To be conducted if called for and photo micrographs to be attached along with a report)														
Location of Sample		Etchant used		Magnification		Constituent observed		Relative %						
Microstructure details		Grain size		Banding value in microstructure		Macroetch		Inclusion Rating						
24. OTHER TESTS IF ANY (MICROSCOPIC, SULPHUR PRINTS, ETC)														
25. IDENTIFICATION OF FORGINGS AS PER PURCHASE SPEC.														
We hereby certify that the items mentioned above have been tested and inspected in our presence and are found to be in accordance with drawings, specifications and purchase order.														
SIGNATURE, NAME & SEAL OF THE INSPECTING OFFICER DATE:										SIGNATURE, NAME & SEAL OF THE CHIEF OF QUALITY CONTROL/ CHIEF METALLURGIST OF THE SUPPLIER DATE:				
INSTRUCTIONS														
a) Details of all heat treatment processes carried out should be furnished sequentially in 17.														
b) Test certificates are to be furnished as per Purchase order and specification, in A4 size preferably in transparent paper.														
c) All the entries including signature should be in black colour ink.														
d) If testing is done by outside agencies, the original TCs shall be furnished.														
e) The actual TC may run into more than one A4 size paper, if needed, to facilitate filling up of details.														

TM 24650	<b>PRODUCT STANDARD</b>  <b>TME DIVISION, BHOPAL</b>	
Rev. No. 00		
PAGE 8 OF 8		

**Annexure-III**

**Checklist for Supplier for Submission of Documents with each consignment**

The documents to be submitted by the suppliers with each consignment are as mentioned below. Duly filled checklist as per annexure-III is to be submitted by the suppliers along with each consignment.

Sl. No.	Documents to be submitted	Submitted (Yes/No)
1.	Three copies of test certificates including original copy as per the requirements mentioned in clause 5.0 of this specification. Test certificates in original shall be on testing agency/supplier letter head with seal, signature & date. The material TC for chemical composition, mechanical & metallurgical properties shall have reference of specification DIN17210 Gr. 17CrNiMo6 as shown in annexure-II sl. no. 12.	
2.	Heat treatment chart (showing heat treatment cycle adopted for forgings) from graphical recorder of furnace with heat no. & batch number. The chart shall be duly signed with supplier's seal, signature & date.	
3.	Details of heat treatment cycle as per clause 3.2.1 adopted on test bar for mechanical tests.	
4.	Coloured photograph showing microstructure & grain size of the forgings.	
5.	Dimensions, reduction ratio calculation sheet and photographs of the intermediate & final stages of the actual forgings.	
6.	Copy of original invoice of procurement of raw material for forgings or supporting documents, giving information about ingot size & establishing the quantity of steel procured is in correlation with no. of blank forgings ordered to the supplier.	
7.	The test certificate from the steel mill for the raw material procured by the forging supplier, indicating process route as per the requirements mentioned in clause 2.1 of RDSO specification MP.0.2800.19 (latest revision).	
8.	In case new/regular vendor has carried out internal macroetch test, the coloured photograph of the macroetched section shall also accompany the consignment for reference.	
9.	Ultrasonic test report (in original) duly signed & stamped by Level-II inspector.	