THE SINGARENI COLLIERIES COMPANY LTD

(A Government Company)

SINGARENI THERMAL POWER PROJECT
STAGE-II (1x800 MW)

PART - C

GENERAL TECHNICAL REQUIREMENTS

SECTION – VI

TECHNICAL SPECIFICATION

FOR

EPC PACKAGE

BIDDING DOCUMENT NO.: CW-CM-11159-C-O-M-001

1.00.00 **INTRODUCTION**

This part covers technical requirements which will form an integral part of the Contract. The following provisions shall supplement all the detailed technical specifications and requirements brought out in Section-VI, the Technical Specification and the Technical Data Sheets.

2.00.00 **BRAND NAME**

Whenever a material or article is specified or described by the name of a particular brand, manufacturer or vendor, the specific item mentioned shall be understood to be indicative of the function and quality desired, and not restrictive; other manufacturer's products may be considered provided sufficient information is furnished to enable the Employer to determine that the products proposed are equivalent to those named.

3.00.00 **NOT USED**

4.00.00 **COMPLETENESS OF FACILITIES**

4.01.00 Bidders may note that this is a EPC Package contract. Each of the plant shall be engineered and designed in accordance with the specification requirement. All engineering and associated services are required to ensure a completely engineered plant shall be provided.

4.02.00 All equipments furnished by the Contractor shall be complete in every respect, with all mountings, fittings, fixtures and standard accessories normally provided with such equipment and/or those needed for erection, completion and safe operation of the equipment and for the safety of the operating personnel, as required by applicable codes, though they may not have been specifically detailed in the respective specifications, unless included in the list of exclusions.

All same standard components/ parts of same equipment provided, shall be interchangeable with one another.

4.03.00 For the C&I systems, the Contractor shall be required to provide regular information about future upgrades and migration paths to the Employer.

5.00.00 **CODES & STANDARDS**

5.01.00 In addition to the codes and standards specifically mentioned in the relevant technical specifications for the equipment / plant / system, all equipment parts, systems and works covered under this specification shall comply with all currently applicable statutory regulations and safety codes of the Republic of India as well as of the locality where they will be installed, including the following :

a) Indian Electricity Act

b) Indian Electricity Rules

c) Indian Explosives Act

d) Indian Factories Act and State Factories Act

e) Indian Boiler Regulations (IBR)

f) Regulations of the Central Pollution Control Board, India

g) Regulations of the Ministry of Environment & Forest (MoEF), Government of India

h) Pollution Control Regulations of Department of Environment, Government of India

i) State Pollution Control Board.

(j) Rules for Electrical installation by Tariff Advisory Committee (TAC).

(k) Building and other construction workers (Regulation of Employment and Conditions of services) Act, 1996

(l) Building and other construction workers (Regulation of Employment and Conditions of services) Central Rules, 1998

(m) Explosive Rules, 1983

(n) Petroleum Act, 1984

(o) Petroleum Rules, 1976,

(p) Gas Cylinder Rules, 1981

(q) Static and Mobile Pressure Vessels (Unified) Rules, 1981

(r) Workmen's Compensation Act, 1923

(s) Workmen's Compensation Rules, 1924

(t) OWNERS ENGINEERING Safety Rules for Construction and Erection

(u) OWNERS ENGINEERING Safety Policy

(v) CERC (Indian Electricity Grid Code) Regulations, 2023

(w) CEA (Flexible Operation of Coal Based Thermal Power Generating Units) Regulations, 2023

(x) Any other statutory codes / standards / regulations, as may be applicable.

5.02.00 Unless covered otherwise in the specifications, the latest editions (as applicable at the date fifteen(15) days prior to the date of bid submission), of the codes and standards given below shall also apply:

a) Bureau of Indian standards (BIS)

b) Japanese Industrial Standards (JIS)

c) American National Standards Institute (ANSI)

d) American Society of Testing and Materials (ASTM)

e) American Society of Mechanical Engineers (ASME)

f) American Petroleum Institute (API)

g) Standards of the Hydraulic Institute, U.S.A.

h) International Organization for Standardization (ISO)

i) Tubular Exchanger Manufacturer's Association (TEMA)

j) American Welding Society (AWS)

k) National Electrical Manufacturers Association (NEMA)

l) National Fire Protection Association (NFPA)

m) International Electro-Technical Commission (IEC)/ European Norm (EN)

n) Expansion Joint Manufacturers Association (EJMA)

o) Heat Exchange Institute (HEI)

p) IEEE standard

q) JEC standard

5.03.00	Other International/ National standards such as DIN, VDI, BS, GOST etc. shall also be accepted for only material codes and manufacturing standards, subject to the Employer's approval, for which the Bidder shall furnish, adequate information to justify that these standards are equivalent or superior to the standards mentioned above. In all such cases the Bidder shall furnish specifically the variations and deviations from the standards mentioned elsewhere in the specification together with the complete word to word translation of the standard that is normally not published in English.
5.04.00	As regards highly standardized equipments such as Steam Turbine and Generator, National /International standards such as JIS, DIN, VDI, ISO, SEL, SEW, VDE, IEC & VGB shall also be considered as far as applicable for Design, Manufacturing and Testing of the respective equipment. However, for those of the above equipment not covered by these National / International standards, established and proven standards of manufacturers shall also be considered.
5.05.00	In the event of any conflict between the codes and standards referred to in the above clauses and the requirement of this specification, the requirement of Technical Specification shall govern.
5.06.00	Two (2) English language copies of all national and international codes and/or standards used in the design of the plant and equipment shall be provided by the Contractor to the Employer within two calendar months from the date of the Notification of Award.
5.07.00	In case of any change in codes, standards & regulations between the date fifteen (15) days prior to the date of bid submission and the date when vendors proceed with fabrication the Employer shall have the option to incorporate the changed requirements or to retain the original standard. It shall be the responsibility of the Contractor to bring to the notice of the Employer such changes and advise Employer of the resulting effect.
5.08.00	A detailed list of standards apart from those mentioned in the respective detailed specifications in other parts of Section-VI to which all equipment/systems/civil works should conform as indicated in this Part C and elsewhere in the specification.
6.00.00	**EQUIPMENT FUNCTIONAL GUARANTEE**
6.01.00	The functional guarantees of the equipment under the scope of the Contract is given in Section-VI Part - A & B of Technical Specifications. These guarantees shall supplement the general functional guarantee provisions covered under Defect liabilities Section-IV, General Conditions of Contract.

6.02.00 Liquidated damages for shortfall in meeting functional guarantee(s) during the performance and guarantee tests shall be assessed and recovered from the Contractor as specified elsewhere in this specification.

7.00.00 **DESIGN OF FACILITIES/ MAINTENANCE & AVAILABILITY CONSIDERATIONS**

7.01.00 **DESIGN OF FACILITIES**

All the design procedures, systems and components proposed shall have already been adequately developed and shall have demonstrated good reliability under similar conditions elsewhere.

The Contractor shall be responsible for the selection and design of appropriate equipments to provide the best co-ordinated performance of the entire system. The basic requirements are detailed out in various clauses of the Technical Specifications. The design of various components, assemblies and subassemblies shall be done so that it facilitates easy field assembly and dismantling. All the rotating components shall be so selected that the natural frequency of the complete unit is not critical or close to the operating range of the unit.

7.02.00 **MAINTENANCE AND AVILABILITY CONSIDERATIONS**

Equipment/works offered shall be designed for high availability, low maintenance and ease of maintenance. The Bidder shall specifically state the design features incorporated to achieve high degree of reliability/ availability and ease of maintenance. The Bidder shall also furnish details of availability records in the reference plants stated in his experience list.

Bidder shall state in his offer the various maintenance intervals, spare parts and man-hour requirement during such operation. The intervals for each type of maintenance namely inspection of the furnace, inspection of the entire hot gas path, turbine & equipments, inspection of the steam path and the minor and major overhauls shall be specified in terms of fired hours, clearly defining the spare parts and man-hour requirement for each stage.

Lifting devices i.e. hoists and chain pulley jacks, etc. shall be provided by the contractor for handling of any equipment or any of its part having weight in excess of 500 Kgs during erection and maintenance activities.

Lifting devices like lifting tackles, slings, etc. to be connected to hook of the hoist / crane shall be provided by the contractor for lifting the equipment and accessories covered under the specification.

8.00.00 **DOCUMENTS, DATA AND DRAWINGS TO BE FURNISHED BY CONTRACTOR**

8.01.00 Bidders may note that this is an **EPC Package contract**. Each of the plant and equipment shall be fully integrated, engineered and designed to perform in accordance with the technical specification. All engineering and technical services required to ensure a completely engineered plant shall be provided in respect of mechanical, electrical and power systems, control & instrumentation, civil & structural works as per the scope.

Each main and auxiliary equipment/item of the plant including instruments shall be assigned a unique tag number. The assignment of tag numbers shall be in accordance with KKS system. In all drawings/documents/data sheet etc. KKS tag number of the equipment/item/instrument etc. shall be indicated.

The Contractor shall furnish engineering data /drawings in accordance with the schedule of information as specified in Technical Data Sheets and Technical Specification.

A comprehensive engineering and quality coordination procedure shall be finalized with the successful bidder covering salient features as described in this section of specifications.

8.02.00 The number of copies/prints/CD-ROMs/manuals to be furnished for various types of document is given in **Annexure-VI** to this Part-C, Section-VI of the Technical Specification.

8.03.00 The documentation that shall be provided by the Contractor is indicated in the various sections of specification. This documentation shall include but not be limited to the following:

8.03.01 A) **BASIC ENGINEERING DOCUMENTATION**

Prior to commencement of the detailed engineering work, the Contractor shall furnish a Plant Definition Manual within 12 weeks from the date of the Notification of Award. This manual shall contain the following as a minimum:

i) System description of all the mechanical, electrical, control & instrumentation & civil systems.

ii) Technology scan for each system / sub-system & equipment.

iii) Selection of appropriate technology / schemes for various systems/ subsystems including techno-economic studies between various options.

iv) Optimization studies including thermal cycle optimization.

v) Sizing criteria of all the systems, sub-systems/ equipments/ structures/ equipment foundations alongwith all calculations justifying and identifying the sizing and the design margins.

vi) Schemes and Process & Instrumentation diagrams for the various systems/ sub-system with functional write-ups.

vii) Water Balance diagram.

viii) Operation Philosophy and the control philosophy of the Main Plant and other plants.

ix) General Layout plan of the power station incorporating all facilities in Bidder's as well as those in the Employer's scope. This drawing shall also be furnished in the form of CD-ROMs to the Employer for engineering of areas not included in bidder's scope.

x) Basic layouts and cross sections of the main plant building (various floor elevations), boiler, fuel oil area, transformer yard, switchyard and other areas included in the scope of the bidder.

xi) Documentation in respect of Quality Assurance System as listed out elsewhere in this specification.

The successful bidder shall furnish within three (3) weeks from the date of Notification of Award, a list of contents of the Plant Definition Manual (PDMs) including techno-economic studies, which shall then be mutually discussed & finalised with the Employer.

B) **DETAILED ENGINEERING DOCUMENTS**

i) General layout plan of the station.

ii) Layouts, general arrangements, elevations and cross-sections drawings for all the equipment and facilities of the plant.

iii) Flow diagram, Process and Instrumentation diagrams along with write up and system description.

iv) Start-up curves for boiler and both turbines and boiler combined together as a unit for various start-ups, viz. Cold, Warm and Hot start up.

v) Piping isometric, composite layout and fabrication drawings.

vi) Piping engineering diagrams, pipe and fittings schedules, valve schedules, hanger and support schedules, insulation schedules.

vii) Technical data sheets for all bought out and manufactured items. Contractor shall use the Employer's specifications as a base for placement of orders on their sub vendors.

viii) Detailed design calculations for components, system, piping etc., wherever applicable including sizing calculations for all auxiliaries like Mills, Fans, BFPs, CEPs, Heaters/ Deaerators, Air cooled Condensers, Vacuum pumps etc.

ix) Boiler pressure part schedule and sizing calculations. Boiler performance data and boiler design dossier.

x) Transient, hydraulic and thermal stress analysis of piping and system wherever applicable & input and output data alongwith stress analysis isometrics showing nodes.

xi) Thermal cycle information (heat balance diagrams, boiler performance calculations, condenser, design ramp rates of SG and TG and heat exchanger thermal calculations etc.).

xii) Characteristic Curves/ Performance Correction Curves. Hydraulic & Mechanical design calculations for condensers & heaters.

xiii) Comprehensive list of all Terminal Points which interface with Employer's facilities, giving details of location, terminal pressure, temperature, fluid handled & end connection details, forces, moments etc.

xiv) Power supply single line diagram, block logics, control schematics, electrical schematics, etc.

xv) Protection system diagrams and relay settings.

xvi) Cables schedules and interconnection diagrams.

xvii) Cable routing plan.

xviii) Instrument schedule, measuring point list, I/O list, Interconnection & wiring diagram, functional write-ups, installation drawings for field mounted instruments, logic diagrams, control schematics, wiring and tubing diagrams of panels and enclosures etc. Drawings for open

loop and close loop controls (both hardware and software). Motor list and valve schedule including type of actuator etc.

xix) Alarm and annunciation/ Sequence of Event (SOE) list and alarms & trip set points.

xx) Sequence and protection interlock schemes.

xxi) Type test reports, insulation co-ordination study report and power system stability study report.

xxii) Control system configuration diagrams and card circuit diagrams and maintenance details.

xxiii) Detailed DDCMIS system manuals.

xxiv) Detailed flow chart for digital control system.

xv) Mimic diagram layout, Assignment for other application engg.

xxvi) Civil and Structural works drawings and documents for all structures, facilities, architectural works, foundations underground and overground works and super-structural works as included in the scope of the bidder civil calculation sheets including structural analysis and design alongwith output results.

xxvii) Underground facilities, levelling, sanitary, land scaping drawings.

xxviii) Geotechnical investigation and site survey reports (if and as applicable).

xxix) Model study reports wherever applicable.

xxx) Functional & guarantee test procedures and test reports.

xxxi) Documentation in respect of Quality Assurance System, and Documentation in respect of Commissioning, as listed out elsewhere in this specification.

The Contractor's while submitting the above documents/ drawings for approval/ reference as the case may be, shall mark on each copy of submission the reference letter alongwith the date vide which the submissions are made.

8.03.02 **INSTRUCTION MANUALS**

The Contractor shall submit to the Employer, draft Instruction Manuals for all the equipments covered under the Contract by the end of one year from the date of Letter of Award. The Instruction manuals shall contain full details required for erection, commissioning, operation and maintenance of each equipment. The manual shall be specifically compiled for this project. After finalisation and approval of the Employer the Instruction Manuals shall be submitted as indicated in **Annexure-IV.** The Contract shall not be considered to be completed for purposes of taking over until the final Instructions manuals have been supplied to the Employer. The Instruction Manuals shall comprise of the following.

A) ERECTION MANUALS

The erection manuals shall be submitted at least three (3) months prior to the commencement of erection activities of a particular equipment/system. The erection manual should contain the following as a minimum.

a) Erection strategy.

b) Sequence of erection.

c) Erection instructions.

d) Critical checks and permissible deviation/tolerances.

e) List of tools, tackles, heavy equipments like cranes, dozers, etc.

f) Bill of Materials

g) Procedure for erection and General Safety procedures to followed during erection/installation.

h) Procedure for initial checking after erection.

i) Procedure for testing and acceptance norms.

j) Procedure / Check list for pre-commissioning activities.

k) Procedure / Check list for commissioning of the system.

l) Safety precautions to be followed in electrical supply distribution during erection.

B) OPERATION & MAINTENANCE MANUALS

a) The manual shall be a two rim PVC bound stiff sided binder able to withstand constant usage or where a thicker type is required it shall have locking steel pins, the size of the manual shall not be larger than international size A3. The cover shall be printed with the Project Name, Services covered and Volume / Book number Each section of the manual shall be divided by a stiff divider of the same size as the holder. The dividers shall clearly state the section number and title. All written instructions within the manual not provided by the manufacturers shall be typewritten with a margin on the left hand side.

b) The arrangement and contents of O & M manuals shall be as follows:

1) Chapter 1 - Plant Description: To contain the following sections specific to the equipment/system supplied

(a) Description of operating principle of equipment / system with schematic drawing / layouts.

(b) Functional description of associated accessories / controls. Control interlock protection write up.

(c) Integrated operation of the equipment alongwith the intended system. (This to be given by the supplier of the Main equipment by taking into account the operating instruction given by the associated suppliers).

(d) Exploded view of the main equipment, associated accessories and auxiliaries with description. Schematic drawing of the equipment alongwith its accessories and auxiliaries.

(e) Design data against which the plant performance will be compared.

(f) Master list of equipments, Technical specification of the equipment/ system and approved data sheets.

(g) Identification system adopted for the various components, (it will be of a simple process linked tagging system).

(h) Master list of drawings (as built drawing - Drawings to be enclosed in a separate volume).

2) Chapter 2.0 - Plant Operation: To contain the following sections specific to the equipment supplied

(a) Protection logics provided for the equipment alongwith brief philosophy behind the logic, Drawings etc.

(b) Limiting values of all protection settings.

(c) Various settings of annunciation/interlocks provided.

(d) Startup and shut down procedure for equipment alongwith the associated systems in step mode.

(e) Do's and Don'ts related to operation of the equipment.

(f) Safety precautions to be taken during normal operation. Emergency instruction on total power failure condition/lubrication failure/any other conditions.

(g) Parameters to be monitored with normal value and limiting values.

(h) Equipment isolating procedures.

(i) Trouble shooting with causes and remedial measures.

(j) Routine testing procedure to ascertain healthiness of the safety devices alongwith schedule of testing.

(k) Routine Operational Checks, Recommended Logs and Records

(l) Change over schedule if more than one auxiliary for the same purpose is given.

(m) Preservation procedure on long shut down.

(n) System/plant commissioning procedure.

3) Chapter 3.0 - Plant Maintenance- To contain the following sections specific to the equipment supplied.

(a) Exploded view of each of the equipments. Drawings alongwith bill of materials including name, code no. & population.

(b) Exploded view of the spare parts and critical components with dimensional drawings (In case of Electronic cards, the circuit diagram to be given) and spare parts catalogue for each equipment.

(c) List of Special T/ P required for Overhauling /Trouble shooting including special testing equipment required for calibration etc.

(d) Stepwise dismantling and assembly procedure clearly specifying the tools to be used, checks to be made, records to be maintained etc. Clearance to be maintained etc.

(e) Preventive Maintenance schedules linked with running hours/calendar period alongwith checks to be carried out.

(f) Overhauling schedules linked with running hours/calendar period alongwith checks to be done.

(g) Long term maintenance schedules

(h) Consumables list alongwith the estimated quantity required during normal running and during maintenance like Preventive Maintenance and Overhauling.

(i) List of lubricants with their Indian equivalent, Lubrication Schedule including charts showing lubrication checking, testing and replacement procedure to be carried daily, weekly, monthly & at longer intervals to ensure trouble free operation and quantity required for complete replacement.

(j) Tolerance for fitment of various components.

(k) Details of sub vendors with their part no. in case of bought out items.

(l) List of spare parts with their Part No, total population, life expediency & their interchangeability with already supplied spares to SCCL.

(m) List of mandatory and recommended spare list along with manufacturing drawings, material specification & quality plan for fast moving consumable spares.

(n) Lead time required for ordering of spares from the equipment supplier, instructions for storage and preservation of spares.

(o) General information on the equipment such as modification carried out in the equipment from its inception, equipment population in the country / foreign country and list of utilities where similar equipments have been supplied.

8.03.03 After finalization and approval of the Employer, the O & M Manuals shall be submitted as indicated in Annexure-VI. The Contract shall not be considered to be completed for purposes of taking over until the final Instructions manuals (both erection and O & M manuals have been supplied to the Employer.

If after the commissioning and initial operation of the plant, the instruction manuals (Erection and /or O &M manuals) require modifications/additions/ changes, the same shall be incorporated and the updated final instruction manuals shall be submitted by the Contractor to the Employer for records and number of copies shall be as mentioned in Annexure-VI.

8.03.03 **PLANT HANDBOOK AND PROJECT COMPLETION REPORT**

8.03.03.01 **PLANT HANDBOOK**

The Contractor shall submit to the Employer a preliminary plant hand book preferably in A-4 size sheets which shall contain the design and performance data of various plants, equipments and systems covering the complete project including

i) Design and performance data.

ii) Process & Instrumentation diagrams.

iii) Single line diagrams.

iv) Sequence & Protection Interlock Schemes.

v) Alarm and trip values.

vi) Performance Curves.

vii) General layout plan and layout of main plant building and auxiliary buildings

viii) Important Do's & Don't's

The plant handbook shall be submitted within twelve (12) months from the date of award of contract. After the incorporation of Employer's comments, the final plant handbook complete in all respects shall be submitted three (3) months before start-up and commissioning activities.

8.03.03.02 **PROJECT COMPLETION REPORT**

The Contractor shall submit a Project Completion Report at the time of handing over the plant.

8.03.04 **DRAWINGS**

a) i) All the plant layouts shall be made in computerized 3D modelling system. The Employer reserves the right to review the 3D model at different stages during the progress of engineering. The layout drawings submitted for Employer's review shall be fully dimensioned and extracted from 3D model after interference check.

ii) All documents submitted by the Contractor for Employer's review shall be in electronic form (soft copies) along with the desired number of hard copies as per **Annexure-VI** of Part-C. The soft copies shall be uploaded by the vendors in C-folders, a Web-based system of OWNERS ENGINEERING ERP, for which a username and password will be allotted to the new vendor by OWNERS ENGINEERING.

Similarly, the vendor can download the drawings/documents, approved/ commented by OWNERS ENGINEERING, through above site.

The soft copies of identified drawings/documents shall be in pdf format, whereas the attachments/reply to the submitted document(s) can be in .doc, .xls, .pdf, .dwg or .std formats.

iii) Final copies of the approved drawings along with requisite number of hard copies shall be submitted as per **Annexure-VI** of Part-C.

iv) Contractor shall prepare the model of all the facilities located within plant boundary covering facilities in Main Plant Block area and Balance of plant (BOP) area in an integrated & intelligent 3D software solution. Main Plant Block area shall include Transformer Yard, TG building (including all facilities), Boiler area, ESP area, chimney area, FGD area and any other facility located in main plant block. BOP area shall include all facilities pertaining to AHP, CHP, LHP, GHP, DM PT plant, pipe & cable racks and any other facility located within plant boundary.

All piping layouts, equipment layouts, floor plans, ducting layout (Air/flue gas, A/C, Ventilation etc.), General Arrangement drawings and RCC layout of major buildings and structural arrangement drawings shall necessarily be extracted from the aforesaid 3D model and submitted for employer’s review to review and approve these drawings.

Contractor shall prepare 3D design review model (network ready, which shall include visual interference check, walk-through animation, video simulation for major equipment placement and removal, visual effect, photo realism etc.), which is extracted from intelligent 3D model

and shall make a presentation of the same every 3 months from LOA to enable EMPLOYER'S to review the progress of engineering or as & when required by employer.

Observations of EMPLOYER'S during the 3D model review to be incorporated within 2 weeks.

b) All documents/text information shall be in latest version of MS Office/MS Excel/PDF format as applicable.

c) All drawings submitted by the Contractor including those submitted at the time of bid shall be in sufficient detail indicating the type, size, arrangement, weight of each component for packing and shipment, the external connection, fixing arrangement required, the dimensions required for installation and interconnections with other equipments and materials, clearance and spaces required between various portions of equipment and any other information specifically requested in the drawing schedules.

d) Each drawing submitted by the Contractor (including those of sub-vendors) shall bear a title block at the right hand bottom corner with clear mention of the name of the Employer, the system designation, the specifications title, the specification number, the name of the Project, drawing number and revisions. If standard catalogue pages are submitted the applicable items shall be indicated therein. All titles, notings, markings and writings on the drawing shall be in English. All the dimensions should be in metric units.

e) The drawings submitted by the Contractor (or their subvendors) shall bear Employer's drawing number in addition to contractor's (their sub-vendor's) own drawing number. Employer's drawing numbering system shall be made available to the successful bidder to enable him to assign Employer's drawing numbers to the drawings to be submitted by him during the course of execution of the Contract.

Similarly, all the drawings/ documents submitted by the Contractor during detailed engineering stage shall be marked "FOR APPROVAL" or "FOR INFORMATION" prior to submission **in line with suggestive MDL**.

Further, space shall be identified on each drawing for Approval stamp and electronic signature.

f) The furnishing of detailed engineering data and drawings by the Contractor shall be in accordance with the time schedule for the project. The review of these documents/ data/ drawings by the Employer will cover only general conformance of the data/ drawings/ documents to the specifications and contract, interfaces with the equipments provided by others and external connections & dimensions which might affect plant layout. The review by the Employer should not be construed to be a thorough review of all dimensions, quantities and details of the equipments, materials, any devices or items

indicated or the accuracy of the information submitted. The review and/ or approval by the Employer/ Project Manager shall not relieve the Contractor of any of his responsibilities and liabilities under this contract.

g) After the approval of the drawings, further work by the Contractor shall be in strict accordance with these approved drawings and no deviation shall be permitted without the written approval of the Employer.

h) All manufacturing, fabrication and execution of work in connection with the equipment / system, prior to the approval of the drawings, shall be at the Contractor's risk. The Contractor is expected not to make any changes in the design of the equipment /system, once they are approved by the Employer. However, if some changes are necessitated in the design of the equipment/system at a later date, the Contractor may do so, but such changes shall promptly be brought to the notice of the Employer indicating the reasons for the change and get the revised drawing approved again in strict conformance to the provisions of the Technical Specification.

i) Drawings shall include all installations and detailed piping layout drawings. Layout drawings for all piping of 65 mm and larger diameter shall be submitted for review/ approval of Employer prior to erection. Small diameter pipes shall however be routed as per site conditions in consultation with site authority/ representative of Employer based on requirements of such piping indicated in approved/ finalised Flow Scheme/ Process & Instrumentation Diagrams and/or the requirements cropping up for draining & venting of larger diameter piping or otherwise after their erection as per actual physical condition for the entire scope of work of this package.

Assessing & anticipating the requirement and supply of all piping and equipment shall be done by the contractor well in advance so as not to hinder the progress of piping & equipment erection, subsequent system charging and its effective draining & venting arrangement as per site suitability.

j) As Built Drawings

After final acceptance of individual equipment / system by the Employer, the Contractor will update all original drawings and documents for the equipment / system to “as built” conditions and submit no. of copies as per **Annexure VI**.

k) Drawings must be checked by the Contractor in terms of its completeness, data adequacy and relevance with respect to Engineering schedule prior to submission to the Employer. In case drawings are found to be submitted without proper checking by the Contractor, the same shall not be reviewed

and returned to the Contractor for re-submission. The contractor shall make a visit to site to see the existing facilities and understand the layout completely and collect all necessary data/ drawings at site which are needed as an input to the engineering. The contractor shall do the complete engineering including interfacing and integration of all his equipment, systems & facilities within his scope of work as well as interface engineering & integration of systems, facilities, equipment & works under Employer's scope and submit all necessary drawings/ documents for the same.

l) The Contractor shall submit adequate prints of drawing / data / document for Employer's review and approval. The Employer shall review the drawings and return soft copy to the Contractor authorizing either to proceed with manufacture or fabrication or marked to show changes desired. When changes are required, drawings shall be re-submitted promptly, with revisions clearly marked, for final review. Any delays arising out of the failure of the Contractor to submit/rectify and resubmit in time shall not be accepted as a reason for delay in the contract schedule.

m) All engineering data submitted by the Contractor after final process including review and approval by the Project Manager/ Employer shall form part of the contract documents and the entire works covered under these specification shall be performed in strict conformity with technical specifications unless otherwise expressly requested by the Project Manager in writing.

8.03.05 **e-Learning Package:**

NA

OWNERS ENGINEERING**8.04.00 Provision for Fail Safe operation of vital Equipments**

All the Plant and equipments / Systems supplied under the contract shall be designed following “Fail Safe” concept. In case of failure of Power supply like Electric power, Hydraulic pressure, Pneumatic pressure, Vacuum etc. the system should be designed in such a way that the equipment/Valves/dampers etc. shall always move/remains (as applicable) to safest position as per system requirement to ensure safety of Man and Machinery.

8.05.00 **Engineering Co-ordination Procedure**

8.05.01 The following principal coordinators will be identified by respective organizations after award of contract:

OWNERS ENGINEERING Engineering Coordinator (OWNERS ENGINEERING EC):

Name :

Designation :

Address :

a) Postal :

b) Telegraphic / e-Mail :

c) FAX : TELEPHONE :

Contractor's/ Vendor's Engineering Coordinator (VENDOR EC):

Name :

Designation :

Address :

a) Postal :

b) Telegraphic / e-Mail :

c) FAX : TELEPHONE :

8.05.02 All engineering correspondence shall be in the name of above coordinators on behalf of the respective organizations.

8.05.03 Contractor's/Vendor's Drawing Submission and Approval Procedure:

a) All data/information furnished by Vendor in the form of drawings/ documents/catalogues or in any other form for OWNERS ENGINEERING's information/ interface and or review and approval are referred by the general term "drawings".

b) Not used

c) All drawings (including those of subvendor's) shall bear at the right hand bottom corner the 'title plate' with all relevant information duly filled in. The Contractor shall furnish this format to his sub-vendor along with his purchase order for sub-vendor's compliance.

d) Not used

e) The contractor shall make a visit to site to see the existing facilities and understand the layout completely and collect all necessary data / drawings at site which are needed as an input to the engineering. The contractor shall do the complete engineering including interfacing and integration of all his equipment, systems & facilities within his scope of work as well as interface engineering & integration of systems, facilities, equipment & works under Employer's scope and submit all necessary drawings/ documents for the same.

f) **Drawings must be checked by the Contractor in terms of its completeness, data adequacy and relevance with respect to engineering schedule prior to submission to the Employer. In case drawings are found to be submitted without proper endorsement for checking by the Contractor, the same shall not be reviewed and returned to the Contractor for re-submission.**

g) The Contractor shall submit drawing / data / document for Employer's review and approval. The drawings submitted by the Contractor/vendor shall be reviewed by OWNERS ENGINEERING and their comments shall be forwarded within three (3) weeks of receipt of drawings. Upon review of each drawing, depending on the correctness and completeness of the drawing, the same will be categorized and approval accorded in one of the following categories:

CATEGORY- I:	Approved
CATEGORY- II	Approved, subject to incorporation of comments/ modification as noted. Resubmit revised drawing incorporating the comments.
CATEGORY –III	Not approved. Resubmit revised drawings for approval after incorporating comments/ modification as noted.
CATEGORY -IV	For information and records.

h) After Rev 0 comments, the drawing will be locked in the system. Contractor will review the Rev 0 comments within 7 days & furnish the Comment Reply Sheet (CRS) to OWNERS ENGINEERING as an agenda point for TCM. TCM shall be conducted with Contractor on non-agreed comments of CRS. System will not allow Contractor to submit approval category drawings before the scheduled submission date. However, documents may be unlocked on case to case basis. Based on resolution of all comments and agreements, the document will be approved in TCM itself. The contractor will revise the document based on the resolutions and certify that all the resolutions has been taken care of. Based on this certification, the document will be opened

and submitted by contractor in the system for approval as Rev 01 within 10 days of TCM.

i) In case, the Contractor/ Vendor does not agree with any specific comment, he shall furnish the explanation for the same to OWNERS ENGINEERING for consideration. In all such cases the Contractor shall necessarily enclose explanations along with the revised drawing (taking care of balance comments) to avoid any delay and/or duplication in review work.

j) It is responsibility of the Contractor/ Vendor to get all the drawings approved in the Category I & IV (as the case may be) and complete engineering activities within the agreed schedule. Any delay arising out of submission and modification of drawings shall not alter the contract completion schedule.

k) If Contractor/ Vendor fails to resubmit the drawings as per the schedule, construction work at site will not be held up and work will be carried out on the basis of comments furnished on previous issues of the drawing.

l) These comments will be taken care by the contractor while submitting the revised drawing.

The contractor shall use a single transmittal for drawings. Submission. This shall include transmittal numbers and date, number of copies being sent, names of the agencies to whom copies being sent, drawing number and titles, remarks or special notes if any etc.

8.06.00 **ENGINEERING PROGRESS AND EXCEPTION REPORT**

8.06.01 The Contractor shall submit every month an Engineering progress and Exception Report giving the status of each engineering information including

a) A list of drawings/engineering information which remains unapproved for more than four (4) weeks after the date of first submission

b) Drawings which were not submitted as per agreed schedule.

8.06.02 The draft format for this report shall be furnished to the Employer within four (4) weeks of the award of the contract, which shall then be discussed and finalised with the Employer.

9.00.00 **TECHNICAL CO-ORDINATION MEETING**

9.01.00	The Contractor shall be called upon to organise and attend monthly Design/ Technical Co-ordination Meetings (TCMs) with the Employer/Employer's representatives and other Contractors of the Employer during the period of contract. The Contractor shall attend such meetings at his own cost at NEW HYDERBAD/PROJECT SITE or at mutually agreed venue as and when required and fully co-operate with such persons and agencies involved during the discussions.
9.02.00	The Contractor should note that Time is the essence of the contract. In order to expedite the early completion of engineering activities, the comments of the Employer shall be discussed across the table during the above Technical Co-ordination Meeting (s) wherein best efforts shall be made by both sides to ensure the approval of the drawing.
9.02.01	The Contractor shall ensure availability of the concerned experts / consultants/ personnel who are empowered to take necessary decisions during these meetings. The Contractor shall be equipped with necessary tools and facilities so that the drawings/documents can be resubmitted after incorporating necessary changes and approved during the meeting itself.
9.02.02	Should any drawing remain unapproved for more than four (4) weeks after it's first submission, this shall be brought out in the monthly Engineering Progress and Exception Report with reasons thereof.
9.03.0	Any delays arising out of failure by the Contractor to incorporate Employer's comments and resubmit the same during the TCM shall be considered as a default and in no case shall entitle the Contractor to alter the Contract completion date.
10.00.00	**DESIGN IMPROVEMENTS** The Employer or the Contractor may propose changes in the specification of the equipment or quality thereof and if the parties agree upon any such changes the specification shall be modified accordingly. If any such agreed upon change is such that it affects the price and schedule of completion, the parties shall agree in writing as to the extent of any changing the price and/or schedule of completion before the Contractor proceeds with the change. Following such agreement, the provision thereof, shall be deemed to have been amended accordingly.
11.00.00	**EQUIPMENT BASES** A cast iron or welded steel base plate shall be provided for all rotating equipment which is to be installed on a concrete base, unless otherwise specifically agreed to by the Employer. Each base plate shall support the unit and its drive assembly, shall

be of a neat design with pads for anchoring the units, shall have a raised lip all around, and shall have threaded drain connections.

12.00.00 **PROTECTIVE GUARDS**

Suitable guards shall be provided for protection of personnel on all exposed rotating and/or moving machine parts. All such guards shall be designed for easy installation and removal for maintenance purpose.

13.00.00 **LUBRICANTS, SERVO FLUIDS AND CHEMICALS**

13.01.00 All the first fill and one year's topping requirement of consumables such as greases, oils, lubricants, servo fluids / control fluids, gases (excluding H_2, CO_2 and N_2 for Generator) etc. which will be required to put the equipment covered under the scope of specifications into successful commissioning/initial operation and to establish completion of facilities shall be supplied by the contractor. Suitable standard lubricants as available in India are desired. Efforts should be made to limit the variety of lubricants to minimum.

Bidder scope shall include supply of H_2, CO_2 and N_2 as applicable for the Generator till successful commissioning of Generator.

Bidder shall supply a quantity not less than 10% of the full charge or one (1) year topping requirement mentioned above (Whichever is higher) of each variety of lubricants, servo fluids, gases etc. (as detailed above) used which is expected to be utilized during the first year of operation. This additional quantity shall be supplied in separate containers.

13.02.00 As far as possible lubricants marketed by the Indian Oil Corporation shall be used. The variety of lubricants shall be kept to a minimum possible. However, the lube oil for Main Turbine, Drive Turbine, TDBFP and MDBFP shall be kept same in view of ease of operation and maintenance.

Detailed specifications for the lubricating oil, grease, gases, servo fluids, control fluids, chemicals etc. required for the complete plant covered herein shall be furnished. On completion of erection, a complete list of bearings/ equipment giving their location and identification marks shall be furnished to the Employer along with lubrication requirements.

14.00.00 **LUBRICATION**

14.01.00	Equipment shall be lubricated by systems designed for continuous operation. Lubricant level indicators shall be furnished and marked to indicate proper levels under both standstill and operating conditions.
15.00.00	**MATERIAL OF CONSTRUCTION**
15.01.00	All materials used for the construction of the equipment shall be new and shall be in accordance with the requirements of this specification. Materials utilised for various components shall be those which have established themselves for use in such applications.
16.00.00	**RATING PLATES, NAME PLATES & LABELS**
16.01.00	Each main and auxiliary item of plant shall have permanently attached to it in a conspicuous position, a rating plate of non-corrosive material upon which shall be engraved manufacturer's name, equipment, type or serial number together with details of the ratings, service conditions under which the item of plant in question has been designed to operate, and such diagram plates as may be required by the Employer.
16.02.00	Each item of plant shall be provided with nameplate or label designating the service of the particular equipment. The inscriptions shall be approved by the Employer or as detailed in appropriate section of the technical specifications.
16.03.00	Such nameplates or labels shall be of white non-hygroscopic material with engraved black lettering or alternately, in the case of indoor circuit breakers, starters, etc. of transparent plastic material with suitably coloured lettering engraved on the back.
16.04.00	Items of plant such as valves, which are subject to handling, shall be provided with an engraved chromium plated nameplate or label with engraving filled with enamel. The name plates for valves shall be marked in accordance with MSS standard SP-25 and ANSI B 16.34 as a minimum.
16.05.00	Hanger/ support numbers shall be marked on all pipe supports, anchors, hangers, snubbers and restraint assemblies. Each constant and variable spring support shall also have stamped upon it the designed hot and cold load which it is intended to support.
16.06.00	Valves, steam traps and strainers shall be identified by Employer's tag number of a metal tap permanently attached to non-pressure parts such as the yoke by a stainless steel wire. The direction of flow shall also be marked on the body.
16.07.00	Safety and relief valves shall be provided with the following: a) Manufacturer's identification.

b) Nominal inlet and outlet sizes in mm.

c) Set pressure in Kg/cm^2 (abs).

d) Blowdown and accumulation as percentage of set pressure.

e) Certified capacity in Kg of saturated steam per hour or in case of liquid certified capacity in litres of water per minute.

16.08.00 All such plates, instruction plates, etc. shall be bilingual with Hindi inscription first, followed by English. Alternatively, two separate plates one with Hindi and the other with English inscriptions may be provided.

16.09.00 All segregated phases of conductors or bus ducts, indoor or outdoor, shall be provided with coloured phase plates to clearly identify the phase of the system.

17.00.00 **TOOLS AND TACKLES**

The Contractor shall supply with the equipment one complete set of all special tools and tackles and other instruments required and other instruments for the erection, assembly, disassembly and proper maintenance of the plant and equipment and systems (including software). These special tools will also include special material handling equipment, jigs and fixtures for maintenance and calibration / readjustment, checking and measurement aids etc. A list of such tools and tackles shall be submitted by the Bidder alongwith the offer.

The price of each tool / tackle shall be deemed to have been included in the total bid price. These tools and tackles shall be separately packed and sent to site. The Contractor shall also ensure that these tools and tackles are not used by him during erection, commissioning and initial operation. For this period the Contractor should bring his own tools and tackles. All the tools and tackles shall be of reputed make acceptable to the Employer.

18.00.00 **WELDING**

18.01.00 If the manufacturer has special requirements relating to the welding procedures for welds at the terminals of the equipments to be performed by others the requirements shall be submitted to the Employer in advance of commencement of erection work.

19.00.00 **COLOUR CODE FOR ALL EQUIPMENTS/ PIPINGS/ PIPE SERVICES**

19.01.00 All equipment/ piping/ pipe services are to be painted by the Contractor in accordance with Employer's standard colour coding scheme, which will be furnished to the Contractor during detailed engineering stage.

20.00.00 **PROTECTION AND PRESERVATIVE SHOP COATING**

20.01.00 **PROTECTION**

All coated surfaces shall be protected against abrasion, impact, discoloration and any other damages. All exposed threaded portions shall be suitably protected with either metallic or a non-metallic protection device. All ends of all valves and piping and conduit equipment connections shall be properly sealed with suitable devices to protect them from damage. All primers/paints/coatings shall take into account the hot humid, corrosive & alkaline, subsoil or over ground environment as the case may be. The requirements for painting specification shall be complied with as detailed out in Part-A & B of the Technical Specification.

20.02.00 **PRESERVATIVE SHOP COATING**

All exposed metallic surfaces subject to corrosion shall be protected by shop application of suitable coatings. All surfaces which will not be easily accessible after the shop assembly, shall be treated beforehand and protected for the life of the equipment. All surfaces shall be thoroughly cleaned of all mill scales, oxides and other coatings and prepared in the shop. The surfaces that are to be finish-painted after installation or require corrosion protection until installation, shall be shop painted as per the requirements covered in the relevant part of the Technical Specification.

Transformers and other electrical equipments, if included shall be shop finished with one or more coats of primer and two coats of high grade resistance enamel. The finished colors shall be as per manufacturer's standards, to be selected and specified by the Employer at a later date.

20.03.00 Shop primer for all steel surfaces which will be exposed to operating temperature below 95 degrees Celsius shall be selected by the Contractor after obtaining specific approval of the Employer regarding the quality of primer proposed to be applied. Special high temperature primer shall be used on surfaces exposed to temperature higher than 95 degrees Celsius and such primer shall also be subject to the approval of the Employer.

20.04.00 All other steel surfaces which are not to be painted shall be coated with suitable dust preventive compound subject to the approval of the Employer.

20.05.00 All piping shall be cleaned after shop assembly by shot blasting or other means approved by the Employer. Lube oil piping or carbon steel shall be pickled.

20.06.00 Painting for Civil structures and equipment/system covered under this package shall be done as specified under technical requirements on civil works in relevant part of this specifications.

21.00.00 **QUALITY ASSURANCE PROGRAMME**

21.01.00 To ensure that the equipment and services under the scope of contract whether manufactured or performed within the Contractor's works or at his sub-contractor's premises or at the Employer's site or at any other place of work are in accordance with the specifications, the Contractor shall adopt suitable quality assurance programme to control such activities at all points, as necessary. Such programmes shall be outlined by the Contractor and shall be finalized during detailed engineering with employer / authorized representative after discussion. The QA programme shall be generally in line with ISO-9001/IS-14001. A quality assurance programme of the contractor shall generally cover the following:

a) His organisation structure for the management and implementation of the proposed quality assurance programme

b) Quality System Manual

c) Design Control System

d) Documentation Control System

e) Qualification data for Bidder's key Personnel.

f) The procedure for purchase of materials, parts, components and selection of sub-contractor's services including vendor analysis, source inspection, incoming raw-material inspection, verification of materials purchased etc.

g) System for shop manufacturing and site erection control including process controls and fabrication and assembly controls.

h) Control of non-conforming items and system for corrective actions.

i) Inspection and test procedure both for manufacture and field activities.

j) Control of calibration and testing of measuring testing equipments.

k) System for Quality Audits.

l) System for indication and appraisal of inspection status.

m) System for authorising release of manufactured product to the Employer.

n) System for handling storage and delivery.

o) System for maintenance of records, and

p) Furnishing of quality plans for manufacturing and field activities detailing out the specific quality control procedure adopted for controlling the quality characteristics relevant to each item of equipment/component .Formats for the same is attached as annexure VIII.

22.00.00 **GENERAL REQUIREMENTS - QUALITY ASSURANCE**

22.01.00 All materials, components and equipment covered under this specification shall be procured, manufactured, erected, commissioned and tested at all the stages, as per a comprehensive Quality Assurance Programme. An indicative programme of inspection/tests to be carried out by the contractor for some of the major items is given in the respective technical specification. This is, however, not intended to form a comprehensive programme as it is the contractor's responsibility to draw up and implement such programme duly approved by the Employer. The detailed Quality Plans for manufacturing and field activities shall be drawn up by the Bidder and will be submitted to Employer for approval. Schedule of finalization of such Quality Plans shall be finalized during detailed engineering as per attached Annexure-VIII and format No QS-01-QAI-P-1/F3. Monthly progress report shall be furnished.

22.02.00 Manufacturing Quality Plan will detail out for all the components and equipment,various tests/inspection, to be carried out as per the requirements of this specification and standards mentioned therein and quality practices and procedures followed by Contractor's/ Sub-contractor's/ sub-supplier's Quality Control Organisation, the relevant reference documents and standards, acceptance norms,inspection documents raised etc., during all stages of materials procurement,manufacture, assembly and final testing/performance testing. The Quality Plan shall be submitted on electronic media through C-folders, a web based system of OWNERS ENGINEERING ERP, for review and approval.

22.03.00 Field Quality Plans will detail out for all the equipment, the quality practices and procedures etc. to be followed by the Contractor's "Site Quality Control Organisation", during various stages of site activities starting from receipt of materials/equipment at site.

22.04.00 The Bidder shall also furnish copies of the reference documents/plant standards/acceptance norms/tests and inspection procedure etc., as referred in Quality Plans along with Quality Plans. These Quality Plans and reference documents/standards etc. will be subject to Employer's approval without which manufacturer shall not proceed. These approved documents shall form a part of the contract. In these approved Quality Plans, Employer shall identify customer hold points (CHP), i.e. test/checks which shall be carried out in presence of the Employer's Project Manager or his authorised representative and beyond which the work will not proceed without consent of Employer in writing. All deviations to this specification, approved quality plans and applicable standards must be documented and referred to Employer along with technical justification for approval and

	dispositioning.
22.05.00	The contractor shall submit to the Employer Field Welding Schedule for field welding activities in the format enclosed at **Annexure-V.** The field welding schedule shall be submitted to the Employer along with all supporting documents, like welding procedures, heat treatment procedures, NDT procedures etc. at least ninety days before schedule start of erection work at site.
22.06.00	The contractor shall have suitable Field Quality Organization with adequate manpower at Employer's site, to effectively implement the Field Quality Plan (FQP) and Field Quality Management System for site activities. The contractor shall submit the details of proposed FQA setup (organizational structure and manpower) for employer's approval. The FQA setup shall be in place at least one month before the start of site activities.
22.07.00	No material shall be despatched from the manufacturer's works before the same is accepted by Employer's Project Manager/Authorised representative and duly authorised for despatch by issuance of Material Dispatch Clearance Certificate (MDCC / CHP Clearance).
22.08.00	All material used for equipment manufacture including casting and forging etc. shall be of tested quality as per relevant codes/standards. Details of results of the tests conducted to determine the mechanical properties; chemical analysis and details of heat treatment procedure recommended and actually followed shall be recorded on certificates and time temperature chart. Tests shall be carried out as per applicable material standards and/or agreed details
22.09.00	All welding and brazing shall be carried out as per procedure drawn and qualified in accordance with requirements of ASME Section IX/BS-4870 or other International equivalent standard acceptable to the Employer. All welding/brazing procedures shall be submitted to the Employer or its authorized representative prior to carrying out the welding/brazing.
22.10.00	All brazers, welders and welding operators employed on any part of the contract either in Contractor’s/his sub-contractor’s works or at site or elsewhere shall be qualified as per ASME Section-IX or BS-4871 or other equivalent International Standards acceptable to the Employer. All welding / brazing procedures qualified / used at shop, will be made available to OWNERS ENGINEERING during audit / inspection. Procedures to be qualified at site will be submitted to SCCL/OWNERS ENGINEERING.
22.11.00	Not Used.
22.12.00	For all IBR pressure parts and high pressure piping welding, the latest applicable requirements of the IBR (Indian Boiler Regulations) shall also be essentially complied with. However, other piping shall be as per relevant code. Similarly, any

	other statutory requirements for the equipment/systems shall also be complied with. On all back-gauged welds MPI/LPI shall be carried before seal welding
22.13.00	All the heat treatment results shall be recorded on time temperature charts and verified with recommended regimes.
22.14.00	No welding shall be carried out on cast iron components for repair.
22.15.00	Unless otherwise proven and specifically agreed with the Employer, welding of dissimilar materials and high alloy materials shall be carried out at shop only.
22.16.00	All non-destructive examination shall be performed in accordance with written procedures as per International Standards, The NDT operator shall be qualified as per SNT-TC-IA (of the American Society of non-destructive examination). NDT shall be recorded in a report, which includes details of methods and equipment used, result/evaluation, job data and identification of personnel employed and details of co-relation of the test report with the job. In general all plates of thickness greater than 40mm & for pressure parts plates of thickness equal to or greater than 25mm shall be ultrasonically tested otherwise as specified in respective equipment specification. All bar stock/Forging of diameter equal to or greater than 40 mm shall be Ultrasonically tested.
22.17.00	The Contractor shall list out all major items/ equipment/ components to be manufactured in house as well as procured from sub-contractors (BOI). All the sub-vendors proposed by the Main contractor for procurement of major bought out items including castings, forgings, semi-finished and finished components/equipment etc., list of which shall be drawn up by the Contractor and finalised with the Employer, shall be subject to Employer's approval on enclosed format as Annexure-III. List of OWNERS ENGINEERING approved sub vendors against similar Pkg/items is attached as Section-VI, Part-B ,Chapter E-60 Indicative sub-vendor list. The contractor’s proposal for any new sub vendor for any of the items identified in indicative sub-vendor list shall necessarily be furnished in the sub vendor questionnaire & main Contractor Evaluation report format attached as Annexure- VII with all relevant documents and main contractor's own assessment report (physical for domestic manufacturers and physical/document review as applicable for foreign manufacturers) assessed as per their quality management system for Employer/consultant review and acceptance. New sub vendor proposal will only be considered for OWNERS ENGINEERING review, provided the proposal is received sufficiently in time: 90 days prior to ordering date of a Bought-Out Items/Start of Manufacturing so as not to impede the progress of the contract.

Main contractor shall submit the documentation as mentioned below:

Major checks and quality requirements as mentioned below shall necessarily be assessed by main contractor and complied with documentary support in case the same is not the part of their Quality management system.

i. Duly Filled Main supplier Evaluation Report.
ii. Duly Filled Sub-Supplier Questionnaire.
iii. Factory Registration Certificate.
iv. Overall Organization Chart with Manpower details (Design, Manufacturing, Quality etc.)
v. Supply reference list of the Sub-Supplier indicating similar product supply order reference no., customer name, rating of product, date /year of supply, date / year of commissioning.
vi. List of Manufacturing Equipment available with sub vendor.
vii. List of Testing Equipment available with sub vendor.
viii. Manufacturing process execution plan with flow chart indicating various stages of manufacturing from raw material to finished product including outsourced process, if any.
ix. Details of Outsourced Manufacturing Processes, if any.
x. Quality control exercised during receipt, in-process & final inspection.
xi. Compliance of Statutory requirements (As applicable)

After first submission of proposal to OWNERS ENGINEERING , In absence of relevant documents/ Incompleteness of the proposal, The main contractor will be given a period of maximum 7 days to submit the compliance of the OWNERS ENGINEERING comments. In case of noncompliance it will be presumed that main contractor is not serious about pursuing the proposal & the proposal will be foreclosed.

The proposed Sub vendor will be assessed broadly on following mandatory criteria

i) Quality Management System Compliance including raw material/BOI control, traceability & control over outsources process
ii) Design Capabilities (As applicable)
iii) Manufacturing, Testing & Storage Facility
iv) Processing Capabilities
v) Supply Experience indicating similar product supply order reference no., customer name, rating of product, date /year of supply, date / year of commissioning
vi) Safety Aspect

In case of major observations or non-compliance observed during sub vendor works visit (Jointly with the main contractor) with respect to the submitted documents, proposed sub vendor will not be considered for acceptance and Main contractor will be solely responsible in such cases.

Monthly progress reports on sub-vendor detail. Submission / approval shall be furnished preferably on enclosed format at Annexure-IV. Such vendor approval shall not relieve the contractor from any obligation, duty or responsibility under the contract.

22.18.00 For components/equipment procured by the contractors for the purpose of the contract, after obtaining the written approval of the Employer, the contractor's purchase specifications and inquiries shall call for quality plans to be submitted by

	the suppliers. The quality plans called for from the sub-contractor shall set out, during the various stages of manufacture and installation, the quality practices and procedures followed by the vendor's quality control organisation, the relevant reference documents/standards used, acceptance level, inspection of documentation raised, etc. Such quality plans of the successful vendors shall be finalised with the Employer and such approved Quality Plans shall form a part of the purchase order/contract between the Contractor and sub-contractor. Within two (2) weeks of the release of the purchase orders /contracts for such bought out items /components, a copy of the same without price details but together with the detailed purchase specifications, quality plans and delivery conditions shall be furnished to the Employer on the monthly basis by the Contractor along with a report of the Purchase Order placed so far for the contract.
22.19.00	Employer reserves the right to carry out quality audit and quality surveillance of the systems and procedures of the Contractor's or their sub-contractor's quality management and control activities at manufacturing works/project site. The contractor shall provide all necessary assistance to enable the Employer carry out such audit and surveillance.
22.20.00	The contractor shall carry out an inspection and testing programme during manufacture in his work and that of his subcontractor's and at site to ensure the mechanical accuracy of components, compliance with drawings, conformance to functional and performance requirements, identity and acceptability of all materials parts and equipment. Contractor shall carry out all tests/inspection required to establish that the items/equipment conform to requirements of the specification and the relevant codes/standards specified in the specification, in addition to carrying out tests as per the approved quality plan.
22.21.00	Quality audit/surveillance/approval of the results of the tests and inspection will not, however, prejudice the right of the Employer to reject the equipment if it does not comply with the specification when erected or does not give complete satisfaction in service and the above shall in no way limit the liabilities and responsibilities of the Contractor in ensuring complete conformance of the materials/equipment supplied to relevant specification, standard, data sheets, drawings, etc.
22.22.00	For all spares and replacement items, the quality requirements as agreed for the main equipment supply shall be applicable.
22.23.00	Repair/rectification procedures to be adopted to make the job acceptable shall be subject to the approval of the Employer/ authorised representative.
22.24.00	**Environmental Stress Screening** Environmental stress screening test process / procedure for eliminating infant mortile components for DDCMIS / PLC based system & for other systems having

	substantial electronics components (as determined by employer) like Electronic transmitter, CCTV components, PA systems etc. shall be furnished for OWNERS ENGINEERING acceptance
22.25.00	The Contractor / Sub-contractor shall carry out routine test on 100% item at contractor / sub-contractor's works. The quantum of check / test for routine & acceptance test by employer shall be generally as per criteria / sampling plan defined in referred standards. Wherever standards have not been mentioned quantum of check / test for routine / acceptance test shall be as agreed during detailed engineering stage.
22.26.00	**Software Reliability / Quality Certification** Certification from OEM's authorized signatory that software offered with DDCMIS, PLC, CCTV, PA, Pyrometer, CEMS, AAQMS, EQMS, BHMS etc. declaring that the all the offered software(s) had gone through the established software quality test and offered software is not of β-version and offered software is also free from all known bugs as on date of approval of systems documents by OWNERS ENGINEERING as a part of quality documentation review and approval process during detail engineering.
23.00.00	**QUALITY ASSURANCE DOCUMENTS**
23.01.00	The Contractor shall be required to submit the QA Documentation in soft copies, as identified in respective quality plan with tick (✓)mark.
23.01.01	Each QA Documentation shall have a project specific Cover Sheet bearing name & identification number of equipment and including an index of its contents with page control on each document. The QA Documentation file shall be progressively completed by the Supplier's sub-supplier to allow regular reviews by all parties during the manufacturing. The final quality document will be compiled and issued at the final assembly place of equipment before despatch. However, soft copies will be furnished not later than two (2) weeks.
23.02.00	Typical contents of QA Documentation is as below:- (a.) Quality Plan (b.) Material mill test reports on components as specified by the specification and approved Quality Plans. (c.) Manufacturer / works test reports/results for testing required as per applicable codes and standard referred in the specification and approved Quality Plans.

(d.) Non-destructive examination results /reports including radiography interpretation reports. Sketches/drawings used for indicating the method of traceability of the radiographs to the location on the equipment.

(e.) Heat Treatment Certificate/Record (Time- temperature Chart)

(f.) All the accepted Non-conformance Reports (Major/Minor)/deviation, including complete technical details / repair procedure).

(g.) CHP / Inspection reports duly signed by the Inspector of the Employer and Contractor for the agreed Customer Hold Points.

(h.) Certificate of Conformance (COC) wherever applicable.

(i.) MDCC

23.03.00 Similarly, the contractor shall be required to submit soft copies containing QA Documentation pertaining to field activities as per Approved Field Quality Plans and other agreed manuals/ procedures, prior to commissioning of individual system.

23.04.00 Before despatch / commissioning of any equipment, the Supplier shall make sure that the corresponding quality document or in the case of protracted phased deliveries, the applicable section of the quality document file is completed. The supplier will then notify the Inspector regarding the readiness of the quality document (or applicable section) for review.

(a.) If the result of the review carried out by the Inspector is satisfactory, the Inspector shall stamp the quality document (or applicable section) for release.

(b.) If the quality document is unsatisfactory, the Supplier shall endeavor to correct the incompleteness, thus allowing to finalize the quality document (or applicable section) by time compatible with the requirements as per contract documents. When it is done, the quality document (or applicable section) is stamped by the Inspector.

(c.) If a decision is made for despatch, whereas all outstanding actions cannot be readily cleared for the release of the quality document by that time, the supplier shall immediately, upon shipment of the equipment, send a copy of the quality document Review Status signed by the Supplier Representative to the Inspector and notify of the committed date for the completion of all outstanding actions & submission. The Inspector shall stamp the quality document for applicable section when it is effectively completed. The submission of QA documentation package shall not be later than two (2) weeks after the despatch of equipment.

23.05.00 **TRANSMISSION OF QA DOCUMENTATION**

On release of QA Documentation by Inspector, one set of quality document shall be forwarded to Corporate Quality Assurance Department and other set to respective Project Site of Employer.

For the particular case of phased deliveries, the complete quality document to the Employer shall be issued not later than two (2) weeks after the date of the last delivery of equipment.

24.00.00 **PROJECT MANAGER'S SUPERVISION**

24.01.00 To eliminate delays and avoid disputes and litigation, it is agreed between the parties to the Contract that all matters and questions shall be referred to the Project Manager and without prejudice to the provisions of 'Settlement of Disputes' clause in Section GCC, the Contractor shall proceed to comply with the Project Manager's decision.

24.02.00 The work shall be performed under the supervision of the Project Manager.

The scope of the duties of the Project Manager pursuant to the Contract, will include but not be limited to the following:

(a.) Interpretation of all the terms and conditions of these documents and specifications

(b.) Review and interpretation of all the Contractor's drawing, engineering data, etc.

(c.) Witness or his authorised representative to witness tests and trials either at the manufacturer's works or at site, or at any place where work is performed under the contract

(d.) Inspect, accept or reject any equipment, material and work under the contract

(e.) Issue certificate of acceptance and/or progressive payment and final payment certificates

(f.) Review and suggest modifications and improvement in completion schedules from time to time, and

(g.) Supervise Quality Assurance Programme implementation at all stages of the works.

25.00.00 **INSPECTION, TESTING AND INSPECTION CERTIFICATES**

25.01.00 The word 'Inspector' shall mean the Project Manager and/or his authorised representative and/or an outside inspection agency acting on behalf of the Employer to inspect and examine the materials and workmanship of the works during its manufacture or erection.

25.02.00 The Project Manager or his duly authorised representative and/or an outside inspection agency acting on behalf of the Employer shall have access at all reasonable times to inspect and examine the materials and workmanship of the works during its manufacture or erection and if part of the works is being manufactured or assembled on other premises or works, the Contractor shall obtain for the Project Manager and for his duly authorised representative permission to inspect as if the works were manufactured or assembled on the Contractor's own premises or works.

25.03.00 The Contractor shall give the Project Manager/Inspector fifteen (15) days written notice of any material being ready for testing. Such tests shall be to the Contractor's account except for the expenses of the Inspector's. The Project Manager/Inspector, unless the witnessing of the tests is virtually waived and confirmed in writing, will attend such tests within fifteen (15) days (for Domestic)/45 days (for foreign) of the date on which the equipment is noticed as being ready for test/inspection failing which the contractor may proceed with test which shall be deemed to have been made in the inspector's presence and he shall forthwith forward to the inspector duly certified copies of test reports in two (2) copies.

25.04.00 The Project Manager or Inspector shall within fifteen (15) days (for domestic)/45 days (for foreign) from the date of inspection as defined herein give notice in writing to the Contractor, or any objection to any drawings and all or any equipment and workmanship which is in his opinion not in accordance with the contract. The Contractor shall give due consideration to such objections and shall either make modifications that may be necessary to meet the said objections or shall inform in writing to the Project Manager/Inspector giving reasons therein, that no modifications are necessary to comply with the contract.

25.05.00 When the factory tests have been completed at the Contractor's or subcontractor's works, the Project Manager /Inspector shall issue a certificate to this effect fifteen (15) days after completion of tests but if the tests are not witnessed by the Project Manager /Inspectors, the certificate shall be issued within fifteen (15) days of the receipt of the Contractor's test certificate by the Project Manager /Inspector. Failure on the part of Project Manager /Inspector to issue such a certificate shall not prevent

	the Contractor from proceeding with the works. The completion of these tests or the issue of the certificates shall not bind the Employer to accept the equipment should it, on further tests after erection be found not to comply with the contract.
25.06.00	In all cases where the contract provides for tests whether at the premises or works of the Contractor or any sub-contractor, the Contractor, except where otherwise specified shall provide free of charge such items as labour, material, electricity, fuel, water, stores, apparatus and instruments as may be reasonably demanded by the Project Manager /Inspector or his authorised representatives to carry out effectively such tests on the equipment in accordance with the Contractor and shall give facilities to the Project Manager/Inspector or to his authorised representative to accomplish testing.
25.07.00	The inspection by Project Manager / Inspector and issue of Inspection Certificate thereon shall in no way limit the liabilities and responsibilities of the Contractor in respect of the agreed Quality Assurance Programme forming a part of the contract.
25.08.00	To facilitate advance planning of inspection in addition to giving inspection notice as specified at clause no. 25.03.00 - of this chapter, the Contractor shall furnish quarterly inspection programme indicating schedule dates of inspection at Customer Hold Point and final inspection stages. Updated quarterly inspection plans will be made for each three consecutive months and shall be furnished before beginning of each calendar month.
25.09.00	All inspection, measuring and test equipment used by contractor shall be calibrated periodically depending on its use and criticality of the test/measurement to be done. The Contractor shall maintain all the relevant records of periodic calibration and instrument identification, and shall produce the same for inspection by OWNERS ENGINEERING. Wherever asked specifically, the contractor shall re-calibrate the measuring/test equipment in the presence of Project Manager / Inspector.
25.10.00	**ASSOCIATED DOCUMENT FOR QUALITY ASSURANCE PROGRAMME**
25.10.01	List of items requiring quality plan and sub supplier approval. Format No.: QS-01-QAI-P-01/F3-R0 **(Annexure-III).**
25.10.02	Status of items requiring Quality Plan and sub supplier approval. Format enclosed at **Annexure-IV.**
25.10.03	Field Welding Schedule Format enclosed at **Annexure-V.**
25.10.04	Main contractor evaluation report (MCER) and Sub vendor Questionnaire enclosed at Annexure VII.
25.10.05	QA&I modalities and QA Co-ordination procedure (QACP) enclosed at Annexure-VIII.

25.11.00 **TESTING OF MAJOR DESIGN FEATURES:**

The major design features of the system shall be demonstrated by the Contractor at the Contractor's works or any other place mutually agreed within Six months from the date of Provenness approval. These are the system function tests, which have a major impact on the detailed system design & finalization of important engineering documents like configuration, functional grouping, BOM etc., but do not require a fully engineered system for conductance. Bidder shall identify these features & include detailed test procedures in the proveness proposal, which shall be finalized during discussions with the bidder. The developments and any augmentation of standard features undertaken by the Bidder to fulfill the various specification requirements, shall also be tested during these major design tests. This shall include but not be limited to the following.

a) System accuracy tests of DDCMIS for the various type of inputs identified in Part-B.

b) Loop reaction time for sample loops/ logics.

c) SOE functionality tests.

d) Server changeover.

e) Various response times, having serious implication on operation & maintenance philosophy.

f) Duty cycle of controller/ HMIPIS with simulated load, representative of the final engineered load.

g) Connectivity of Switchgear DDCMIS with Switchgear Relay Network.

The results of the above tests, after its acceptance by the Employer, shall be properly documented and submitted to Employer.

If any of the envisaged tests have been carried out by Bidder in a previous OWNERS ENGINEERING project, then the same need not be specifically conducted by the Bidder for this project, provided it is clearly established by the Bidder & accepted by the Employer that there is no difference between the system offered for this project & the previous OWNERS ENGINEERING project with respect to the test. However, even in such a case, test report of the previous project shall be submitted by the Bidder as a part of MDFT (Major Design Feature Test) test report.

25.12.00 **DEMONSTRATION OF APPLICATION ENGINEERING**

25.12.01 Contractor shall prepare and submit typical implemented scheme in their system (Control system & HMI) on sample basis. The typical cases to be covered shall include but not be limited to the following.

(i) Logics/Loops:

a) Drive logics implementation for each type of binary drive along with its display in HMI.

b) Sequence implementation along with its display in HMI.

c) Single non-cascade controller implementation.

d) Cascade loop implementation.

e) Master slave implementation with different slave combination.

f) Temperature & pressure compensation for flow signals & pressure compensation for level signals as applicable.

(ii) HMI Functions:

a) LVS Annunciation.

b) Graphics.

c) HSR

d) Logs/Reports.

e) Calculations (Basic & Performance Calculations).

25.12.02 The above typical cases shall be finalized with the Employer through Technical Co-ordination meetings.

After review and finalization of the typical cases, the implementation of each logic & control loop shall be carried out by the Contractor. After implementation of these logics & loops, the Contractor shall test each logic /loop and record the observations and demonstrate to Employer at Employer premises during engineering finalization. Any modifications as a result of the demonstration shall be done and documented as part of the test report along with the final scheme. Similarly, HMI functions shall also be demonstrated by the Contractor at Employer premises & the results shall be documented as part of test report.

25.12.03 During the integrated testing at the Contractor's works, only sample checks shall be done by the Employer for the items covered in above application engineering demonstration.

26.00.00 **PRE-COMMISSIONING AND COMMISSIONING FACILITIES**

26.01.00

(a) As soon as the facilities or part thereof has been completed operationally and structurally and before start-up, each item of the equipment and systems forming part of facilities shall be thoroughly cleaned and then inspected jointly by the Employer and the Contractor for correctness of and completeness of facility or part thereof and acceptability for initial pre-commissioning tests, commissioning and start-up at Site. The list of pre-commissioning tests to be performed shall be as mutually agreed and included in the Contractor's quality assurance programme as well as those included in Part-D, Section-VI and elsewhere in the Technical Specifications.

(b) The Contractor's pre-commissioning/ commissioning/start-up engineers, specially identified as far as possible, shall be responsible for carrying out all the pre-commissioning tests at Site. On completion of inspection, checking and after the pre-commissioning tests are satisfactorily over, the commissioning of the complete facilities shall be commenced during which period the complete facilities, equipments shall be operated integral with sub-systems and supporting equipment as a complete plant.

(c) All piping system shall be flushed, steam blown, air blown as required and cleanliness demonstrated using acceptable industry standards. Procedures to accomplish this work shall be submitted for approval to the Employer six months prior to the respective implementations. The Employer will approve final verification of cleanliness.

(d) The time consumed in the inspection and checking of the units shall be considered as a part of the erection and installation period.

(e) The check outs during the pre-commissioning period should be programmed to follow the construction completion schedule. Each equipment/system, as it is completed in construction and turned over to Employer's commissioning (start-up) Engineer(s), should be checked out and cleaned. The checking and inspection of individual systems should then follow a prescribed schedule to be agreed by Employer.

(f) The Contractor during initial operation and performance testing shall conduct vibration testing to determine the 'base line' of performance of all plant rotating equipment. These tests shall be conducted when the equipment is running at the base load, peak load as well as lowest sustained operating condition as far as practicable.

26.01.00 Contractor shall furnish the commissioning organization chart for review & acceptance of employer at least eighteen months prior to the schedule date of synchronization of 1st unit. The chart should contain:

(1.) Biodata including experience of the Commissioning Engineers.
(2.) Role and responsibilities of the Commissioning Organisation members.
(3.) Expected duration of posting of the above Commissioning Engineers at site.

26.02.00 **Initial Operation**

(a) On completion of all pre-commissioning activities/ tests and as a part of commissioning the complete facilities shall be put on 'Initial Operation' during which period all necessary adjustments shall be made while operating over the full load range enabling the facilities to be made ready for the Guarantee Tests.

(b) The 'Initial Operation' of the complete facility as an integral unit shall be conducted for 720 continuous hours. During the period of initial operation of 720 hours, the contractor shall conduct the trial run as per clause 26.05.00 and other requirements as per clause 26.06.00 to demonstrate the compliance to the requirements as stipulated in the CERC (Indian Electricity Grid Code) Regulations, 2023.

The Initial Operation shall be considered successful, provided that each item/ part of the facility can operate continuously at the specified operating characteristics, for the period of Initial Operation with all operating parameters within the specified limits and at or near the predicted performance of the equipment/ facility.

The Contractor shall intimate the Employer about the commencement of initial operation and shall furnish adequate notice to the Employer in this respect.

(c) Any loss of generation due to constraints attributable to the Employer shall be construed as Deemed Generation.

(d) An Initial Operation report comprising of observations and recordings of various parameters to be measured in respect of the above Initial Operation shall be prepared by the Contractor. This report, besides recording the details of the various observations during initial operation shall also include the dates of start and finish of the Initial Operation and shall be signed by the representatives of both the parties. The report shall have sheets, recording all the details of interruptions occurred, adjustments made and any minor repairs done during the Initial Operation. Based on the observations, necessary modifications/repairs to the plant shall be carried out by the Contractor to the full satisfaction of the Employer to enable the latter to accord permission to carry out the Guarantee tests on the facilities. However, minor defects which do not endanger the safe operation of the equipment, shall not be considered as reasons for with- holding the aforesaid permission.

26.03.00 **Guarantee Tests**

a) The final test as to prove the Functional Guarantees shall be conducted at Site by the Contractor in presence of the Employer. To conduct such tests, the contractor's Commissioning, start-up Engineer shall make the unit ready (including tuning and all other enabling activities as required for PG tests) before start of initial operation. Such test shall be conducted along with the Initial Operations.

b) These tests shall be binding on both the parties of the Contract to determine compliance of the equipment with the functional guarantee.

c) For performance/ demonstration tests instrumentations, of accuracy class shall be as per specified test codes. The numbers and location of the instruments shall be as per the specified test codes. In addition the values of parameters shall be logged from the information system provided under Employer's Distributed Digital Control Monitoring and Information system. Test will be conducted at specified load points.

d) Any special equipment, tools and tackles required for the successful completion of the Guarantee Tests shall be provided by the Contractor, free of cost.

e) The Guarantee tests and specific tests to be conducted on equipments have been brought out in detail elsewhere in the specifications.

26.04.00 Before start of commissioning of critical equipment, Commissioning Clearance Certificate (CCC) to be submitted by Main contractor. List of the critical equipments and CCC format will be provided along with QA Coordination procedure.

26.05.00 Trial Run:

Trial run shall be conducted during the initial operation of the unit(s). Definition and provisions related to “trial run” shall be governed by CERC (Indian Electricity Grid Code) Regulations, 2023.

26.06.00 a. Contractor shall demonstrate the following as per the requirements of CERC (Indian Electricity Grid Code) Regulations, 2023 during initial operation:

i) Operation at a load of fifty-five (55) percent of MCR as per the CEA Technical Standards for Construction for a sustained period of four (4) hours.

ii) Ramp-up from fifty-five (55) percent of MCR to MCR at a ramp rate of at least one (1) percent of MCR per minute, in one step or two steps (with stabilization period of 30 minutes between two steps), and sustained operation at MCR for one (1) hour.

iii) Demonstrate overload capability with the valve wide open as per the CEA Technical Standards for Construction and sustained operation at that level for atleast five (5) minutes.

iv) Ramp-down from MCR to fifty-five (55) percent of MCR at a ramp rate of at least one (1) percent of MCR per minute, in one or two steps (with stabilization period of 30 minutes between two steps).

v) Primary response through injecting a frequency test signal with a step change of ± 0.1 Hz at 55%, 60%, 75% and 100% load. Provision of injecting external frequency test signal in control system for primary frequency response testing shall be in the contractor's scope.

vi) Reactive power capability as per the generator capability curve as provided by OEM considering over-excitation and under-excitation limiter settings and prevailing grid condition. These are the minimum test to be carried out as per the Indian Electricity Grid Code Regulations, 2023. Any other relevant clauses related to system performance or tests specified elsewhere in the specifications shall also be applicable.

b. The contractor shall demonstrate the continuous operation capability of the Unit(s) at MCR as per regulations 22 of CERC (Indian Electricity Grid Code) Regulations, 2023.

26.07.00 'Date of Commercial Operation' or 'COD' shall have the same meaning as specified under regulation 27 of Central Electricity Regulatory Commission (Indian Electricity Grid Code) Regulations 2023, as amended from time to time.

27.00.00 **TAKING OVER**

Upon successful completion of Initial Operations and all the tests conducted to the Employer's satisfaction, the Employer shall issue to the Contractor a Taking over Certificate as a proof of the final acceptance of the equipment. Such certificate shall not unreasonably be withheld nor will the Employer delay the issuance thereof, on account of minor omissions or defects which do not affect the commercial operation and/or cause any serious risk to the equipment. Such certificate shall not relieve the Contractor of any of his obligations which otherwise survive, by the terms and conditions of the Contract after issuance of such certificate.

28.00.00 **TRAINING OF EMPLOYER'S PERSONNEL**

28.01.00 The scope of service under training of Employer's engineers shall include a training module covering the areas of Operation & Maintenance.

Such training should cover the following areas as a minimum in order to enable these personnel to individually take the responsibility of operating and maintaining the power station in a manner acceptable to the Employer:

(a) Training for Steam Generator & ESP Equipment, TG & Auxiliaries and related equipments.

(b) Training for Electric Systems including VFD and Electric power supply system.

(c) Training for other SG/TG related C&I systems/equipments including training on Flame Monitoring System, Furnace and Flame Viewing System , Turbine Supervisory System (TSS) including vibration analyzer, vibration monitoring system axial shift, eccentricity measurements etc. for Main Turbine, BFP Turbine etc. Burner management study, control loop study, misc. system for SG C&I, EHTC, Turbine stress control system, Turbine protection system, ATRS, instrumentation etc.

c1: Training on Engineering, Model building, pre-testing, Post -test fine tuning of Advance process control systems with faculty having experience of atleast 5 years in Model Process Control.

(d) Training for special packages specified elsewhere in Technical Specification, Section-VI.

(e) Training for various C&I systems/equipment supplied includes the following:

i) DDCMIS - Human Machine Interface – Hardware & Operating System

ii) DDCMIS-Human Machine Interface System Engineering & Application Software.

iii) DDCMIS – Control System Hardware and Control system Application Software.

iv) DDCMIS – Operator Training : Use of the system at Works + at site.

v) DDCMIS – Specialized Network security.

(f) Training for power cycle piping/critical piping.

(g) Training for UPS systems Annunciation system, SWAS, PA system, flue gas analyzers, CCTV and 24 VDC system.

(h) Training on following aspects of fieldbus (i) Hardware & Software features (ii) System design, diagnostic and testing (iii) maintenance, troubleshooting and fault analysis.

(i) Training on Non-Intrusive hardwired Electric Actuator and Fieldbus based Electric Actuator along with detail training on Foundation Fieldbus/ Profibus interface used in actuator

(k) Training for numerical relays & networking systems supplied under MV & LT switchgear system.

(l) Training courses on offered PLC system in the following areas:

(a.) Operator training

(b.) Hardware Maintenance training

(c.) Software training

(d.) Any other specialized training as required for system operation and maintenance.

(m) Training for Ash Handling System & Coal and Biomass Handling Plant Equipment and Auxiliaries

Area	Topics	Mandays
Ash Handling Plant	Product design - Basic design features - Theory & principle of operation - Latest technological trends in Ash handling plant and design Plant Visit - Operational feedback - O&M history/problems related to Ash handling plant Visit to Manufacturer's Work - Manufacturing process of Ash handling equipments - Testing facilities Operation & Maintenance of Plant - Trouble shooting and fault analysis - Familiarization of special maintenance techniques - Special tool and tackles familiarization	300
Coal & Biomass Handling Plant	Product design - Basic design features - Theory & principle of operation - Latest technological trends in Coal handling plant and design Plant Visit - Operational feedback - O&M history/problems related to Coal handling plant Visit to Manufacturer's Work - Manufacturing process of Coal handling equipments - Testing facilities Operation & Maintenance of Plant - Trouble shooting and fault analysis - Familiarization of special maintenance techniques - Special tool and tackles familiarization	150

n) Training for UF Membranes, RO membranes, Zero Liquid Discharge (ZLD) Chlorine & dosing system, Condensate Polishing Plant (CPU) and CW Treatment System.

Area	Topics	MANDAYS
UF Membranes	**Product design** -Basic design features -Theory & principle of operation -Latest technological trends in Ultrafiltration membranes and design -CIP & CEB of UF system **Plant Visit** -Operational feedback -O&M history/problems related to UF membranes **Visit to Manufacturer's Work** -Manufacturing process of UF membranes and equipment -Testing facilities **Operation & Maintenance of Plant** -Trouble shooting and fault analysis -Familiarization of special maintenance techniques -Special tool and tackles familiarization	**7**

Area	Topics	MANDAYS
RO membranes	**Product design** -Basic design features -Theory & principle of operation -Latest technological trends in RO membranes and design -Failure analysis, types of failures, causes & its evaluation, remedies -CIP of RO system **Plant Visit** -Operational feedback	**7**

	-O&M history/problems related to RO membranes **Visit to Manufacturer's Work** -Manufacturing process of RO membranes and equipment -Testing facilities **Operation & Maintenance of Plant** -Trouble shooting and fault analysis -Familiarization of special maintenance techniques -Special tool and tackles familiarization	
Zero Liquid Discharge (ZLD)	**System Design** - Plant water optimization and Scheme to achieve the ZLD - Basic design features - Latest technological trends for ZLD in Thermal Power Plant **Plant Visit** - Operational feedback - O&M history/problems related to plant	**5**
Chlorine dosing system	**System/Product Design** - Basic design features - Theory & principle of operation - Latest technological trends in Chlorinedosing system and design aspects & Selection criteria. **Plant Visit** - Operational feedback - O&M history/ problems related to ClO2 plant **Performance Test of generator** - Generator capacity performance testing. **Operation & Maintenance of Plant** -Trouble shooting and fault analysis -Familiarization of special maintenance techniques	**5**

	-Special tool and tackles familiarization	
Condensate Polishing Plant (CPU)	**System/Product Design** - Basic design features including Pre-filters - Theory & principle of operation - Latest technological trends in CPU & Pre-filters and design aspects & Selection criteria. **Plant Visit** - Operational feedback - O&M history / problems related to CPU plant **Visit to Manufacturer's Work** -Manufacturing process of pre-filters and major equipment -Testing facilities **Operation & Maintenance of Plant** -Trouble shooting and fault analysis -Familiarization of special maintenance techniques -Special tool and tackles familiarization	**3**
CW Treatment System	**System/Product Design** - Basic design features - Theory & principle of operation - Latest technological trends and design aspects & Selection criteria. **Operation & Maintenance of Plant** - Operational feedback - O&M history / problems related to plant - Trouble shooting and fault analysis Familiarization of special maintenance techniques - Special tool and tackles familiarization	**3**
Note: One week shall constitute of five (5) man days.		

(o) Training for Electrical System

Area	Topics	MANDAYS

Generator	Product design -Design aspects of associated auxiliary systems - Familiarisation with cooling medium and arrangements, winding and core support systems Plant Visit -Operational feedback -O&M history/problems related to Insulation system Visit to Manufacturer's Work -Manufacturing process of core, winding bars, Assembly -Testing facilities Operation & Maintenance (Site) -Trouble shooting and fault analysis - Storage and Familiarization of special maintenance techniques -Special tool and tackles familiarization	60 (15+15+30)

Excitation systems including AVR	System Design - Design features of various sub systems, Exciter PMG - Excitation transformers, Controllers and different limiters - PSS and associated system studies Plant Visit - Operational feedback - O&M history/problems related to Excitation systems - Familiarization with various equipment functioning at reference plant Visit to Manufacturer's Work -Manufacturing process for various equipment of excitation systems -Testing facilities Operation & Maintenance (At site) -Trouble shooting and fault analysis -Familiarization of special maintenance techniques -Special tool and tackles familiarization Performance Test of generator - Generator capacity performance testing.	60 (15+15+30)
MV VFD (If applicable)	System/Product Design - Basic design features - Theory & principle of operation Plant Visit - Operational feedback - O&M history/ problems related to VFD - Familiarization with various equipment functioning at reference plant Operation & Maintenance (At Site) -Trouble shooting and fault analysis - Familiarization of special maintenance techniques -Special tool and tackles familiarization	90(15+15+60)
MV and LT switchgear	System/Product Design - Basic design features. - Relay configurations and hands on practices of logics and settings preparation	150 (45+15+90).

	- Preparation of CID/ICD/SCD files through relay software tools and Goose configurations. - Interfacing/communication of relay with software. - Secondary injection testing of protection functions. - Familiarisation of IMCC and Interface with DCS Plant Visit - Operational feedback - O&M history / problems Visit to Manufacturer's Work -Manufacturing process of equipment -Testing facilities Operation & Maintenance (At site) -Trouble shooting and fault analysis -Familiarization of Switchgear, IMCC and interface with DCS, relays and interfacing software. -Special tool and tackles familiarization	
MDBFP, CW and BMCP Motors	System/Product Design - Basic design features of stator core and rotor core, winding insulation and cooling arrangements - Theory & principle of operation - Study of forces and Vibration. - Diagnostic and testing Plant Visit - Operational feedback - O&M history / problems Visit to Manufacturer's Work -Manufacturing process of equipment -Testing facilities Operation & Maintenance (At site) - O&M practices Familiarization of special maintenance techniques	45 (15+15+15)

	- Special tool and tackles familiarization	
Relays and Substation Automation System	System/Product Design - Basic design features. - Relay configurations and hands on practices of logics and settings preparation - Preparation of CID/ICD/SCD files through relay software tools and Goose configurations. - Interfacing/communication of relay with software. - Secondary injection/ Sampled value testing of protection functions. - Familiarisation of SAS and Cyber security Features. Plant Visit - Operational feedback - O&M history / problems Operation & Maintenance (At site) -Trouble shooting and fault analysis -Familiarization of relay configuration, settings and interfacing software. -Familiarization of SAS Hardware, software and Application software. - Secondary injection/ Sampled value testing of protection functions. - Familiarisation of cyber security features	75 (30+15+30)
AIS and bay equipment's	Operation & Maintenance (At site) -Erection, Storage and handling of bay equipment -Familiarization of special maintenance techniques -Special tool and tackles familiarization	30 (0+15+15)
Note: One week shall constitute of five (5) man days.		

The exact details, extent and schedule for training shall be as finalized during detailed engineering and shall be subject to Employer's approval.

28.03.00 The scope of services under training shall also necessarily include training of Employer's Engineering personnel covering entire scope for the package. This shall cover all disciplines viz, Mechanical, Electrical, C&I , QA etc. and shall include all the

	related areas like Design familiarization, training on product design features and product design software of major equipment and systems, engineering, manufacturing, erection, commissioning, training on operating features of equipment, quality assurance and testing, plant visits and visits to manufacturer's works, exposure to various kinds of problems which may be encountered in fabrication, manufacturing erection, welding etc.
28.04.00	Contractor shall also arrange for training of Employer's personnel in respect of fire detection and protection systems and other Balance of Plant equipments.
28.05.00	Contractor shall provide training on application of PAUT (Phased array ultrasonic testing) and TOFD (Time of flight diffraction) techniques for two weeks (at least 80 Hours). The training shall be arranged at least six months prior to the start of erection works of SG & TG works.
28.06.00	Exact details, extent of training and the training schedule shall be finalized based on the Bidder's proposal within two (2) months from placement of award.
28.07.00	In all the above cases, the lodging and boarding of the Employer's personnel shall be at the cost of Bidder. The Bidder shall make all necessary arrangements towards the same.
28.08.00	Take off prices (product wise) should be indicated by the Bidder in the Bid Proposal Sheets. Employer reserves the right to include or exclude these item(s) during placement of Award.

Note:

1. For training purposes, one (1) man month implies 30 working days (excluding all intervening holidays) per person.
2. The total man months in each area shall be divided into suitable number of modules which shall be discussed and finalized during post award stage.
3. Duration of each module shall not be less than 10 (ten) working days out of which 20 % shall be for plant/manufacturers' works visits and 80% shall be classroom training.
4. A) Location of classroom training for engineering shall be at Design/Engineering office.

   B) Classroom training for erection/O&M shall be at location of Manufacturers' works.

28.09.00 **TRAINING REQUIRED IN MAN MONTH**

Area	Engineering (Man months)	Erection (Man months)	O&M (Man months)
Steam Turbine Generator and its Auxiliaries	5.5	8.0	21
Steam Generator and its Auxiliaries	5.5	8.0	20.5
Station C&I (Control and Instrumentation)	3.5	5.5	10
Ash Handling Plant	2.0	3.0	5.0
Coal Handling Plant	1.0	1.5	2.5
UF Membranes, RO Membranes, ZLD, Chlorinedosing system, Condensate Polishing Plant (CPU), CW Treatment System	0.2	0.3	0.5
Electrical systems consisting of generators, Excitation systems, VFD, Motors, MV/LV switchgears, relays, SAS and Switchyard	4.5	3.5	9
Total	**22.2**	**29.8**	**68.5**

29.00.00 **SAFETY ASPECTS DURING CONSTRUCTION AND ERECTION**

In addition to the requirements given in Erection Conditions of Contract (ECC) the following shall also cover:

i) Working platforms should be fenced and shall have means of access.

ii) Ladders in accordance with Employer's safety rules for construction and erection shall be used. Rungs shall not be welded on columns. All the stairs shall be provided with handrails immediately after its erection.

30.00.00 **NOISE LEVEL**

The equivalent 'A' weighted sound pressure level measured at a height of 1.5 m above floor level in elevation and at a distance of one (1) meter horizontally from the nearest surface of any equipment/machine, furnished and installed under these specifications, expressed in decibels to a reference of 0.0002 microbar, shall not exceed 85 dBA except for

i) Safety valves and associated vent pipes for which it shall not exceed 105 dBA-115 dBA.

ii) Regulating drain valves in which case it shall be limited to 90 dBA-115 dBA.

iii) Mill noise which will be limited to 85-90 dBA.

iv) TG unit in which case it shall not exceed 90 dBA.

v) For HP-LP bypass valves and other intermittently operating control valves, the noise level shall be within the limit of 90 dBA.

vi) For BFP Motor Noise level shall be within the limit of 90 dBA.

31.00.00 **PACKAGING, TRANSPORTATION AND STORAGE**

All the equipments shall be suitably protected, coated, covered or boxed and crated to prevent damage or deterioration during transit, handling and storage at Site till the time of erection. While packing all the materials, the limitation from the point of view of the sizes of railway wagons available in India should be taken account of. The Contractor shall be responsible for any loss or damage during transportation, handling and storage at site due to improper packing and presevation. The Contractor shall ascertain the availability of Railway wagon sizes from the Indian Railways or any other agency concerned in India well before effecting despatch of equipment. Before despatch it shall be ensured that complete processing and manufacturing of the components is carried out at shop, only restricted by transport limitation, in order to ensure that site works like grinding, welding, cutting & preassembly to bare minimum. The Employer's Inspector shall have right to insist for completion of works in shops before despatch of materials for transportation.

In addition to above, the contractor shall take all necessary measures for storage of all electronic equipment / systems at site in a dust free Air conditioned space ensuring proper temperature & humidity.

32.00.00 **ELECTRICAL EQUIPMENTS/ENCLOSURES**

32.01.00 All electrical equipments and devices, including insulation, heating and ventilation devices shall be designed for ambient temperature and a maximum relative humidity as specified elsewhere in the specifications.

33.00.00 **INSTRUMENTATION AND CONTROL**

All instrumentation and control systems/ equipment/ devices/ components, furnished under this contract shall be in accordance with the requirements stated herein, unless otherwise specified in the detailed specifications.

33.01.00 All instrument scales and charts shall be calibrated and printed in metric units and shall have linear graduation. The ranges shall be selected to have the normal reading at 75% of full scale.

All scales and charts shall be calibrated and printed in Metric Units as follows:

1 Temperature	-	Degree centigrade (deg C)
2. Pressure	-	Kilograms per square centimetre (Kg/cm^2). Pressure instrument shall have the unit suffixed with 'a' to indicate absolute pressure. If nothing is there, that will mean that the indicated pressure is gauge pressure.
3. Draught	-	Millimetres of water column (mm wc).
4. Vacuum	-	Millimeters of mercury gauge (mm Hg) or water column (mm Wcl).
5. Flow (Gas)	-	Tonnes/ hour
6. Flow (Steam)	-	Tonnes/ hour
7. Flow (Liquid)	-	Tonnes / hour
8. Flow base	-	760 mm Hg. 15 deg.C
9. Density	-	Grams per cubic centimetre.

33.02.00 All instruments and control devices provided on panels shall be of miniaturized design, suitable for modular flush mounting on panels with front draw out facility and flexible plan-in connection at rear.

34.00.00 **ELECTRICAL NOISE CONTROL**

The equipment furnished by the Contractor shall incorporate necessary techniques to eliminate measurement and control problems caused by electrical noise. Areas in Contractor's equipment which are vulnerable to electrical noise shall be hardened to eliminate possible problems. Any additional equipment, services required for effectively eliminating the noise problems shall be included in the proposal. The equipment shall be protected against ESD as per IEC-61000-2. Radio Frequency interference (RFI) and Electro Magnetic Interference (EMI) protection against hardware damage and control system mal-operations/errors shall be provided for all systems as per EN-50082-2 (1995).

35.00.00 **SURGE PROTECTION FOR SOLID STATE EQUIPMENT**

All solid state systems /equipment shall be able to withstand the electrical noise and surge as encountered in actual service conditions and inherent in a power plant and shall meet the requirements of surge protection as defined in ANSI C37.90.1-1989 on its suitable equivalent class of IEC 254-4. Details of the features incorporated and relevant tests carried out. The test certificates. etc. shall be submitted by the Bidder.

36.00.00 **INSTRUMENT AIR SYSTEM**

The instrument air supply system as supplied by the Bidder for various pneumatic control & instrumentation devices like pneumatic actuators, power cylinders, E/P converters, piping / tubing etc.

Each pneumatic instrument shall have an individual air shut - off valve. The pressure regulating valve shall be equipped with an internal filter, a 50 mm pressure gauge and a built-in filter housing blow down valve.

37.00.00 **TAPPING POINTS FOR MEASUREMENTS**

Tapping points shall include probes, wherever applicable, for analytical measurements and sampling.

For direct temperature measurement of all working media, one stub with internal threading of approved pattern shall be provided along with suitable plug and washer. The Contractor will be intimated about thread standard to be adopted.

The following shall be provided on equipment by the Bidder. The standard which is to be adopted, will be intimated to the Contractor.

i) Temperature test pockets with stub and thermowell

ii) Pressure test pockets

38.00.00 **SYSTEM DOCUMENTATION**

The Bidder shall provide drawings, system overview & description, hardware/ software details, technical literature, functional & hardware schemes, bill of material, parts list, interconnection diagrams, data sheets, erection/ installation/ commissioning procedures, instruction/ operating manuals, etc. for each of the C& I system / sub-systems/ equipment supplied under this package. The documentation shall include complete details of the C&I systems/ sub-systems/ equipment to enable review by Employer during detailed engineering stage and to provide information to plant personnel for operation & Maintenance (including quick diagnostics & trouble shooting) of these C&I systems/ sub-systems/ equipment at site. The minimum documentation requirements for C&I systems shall be as stipulated under C&I "Techncial Data Sheets" Part of specifications. In addition to this, system documentation for DDCMIS shall include as a minimum to that specified elsewhere in the Technical Specification.

The exact format, submission schedule and contents of various documents shall be as finalised during detailed engineering stage.

38.01.00 Bill of material (instrument list) for all C&I equipment/ devices shall be furnished by the bidder in standard formats as approved by the Employer.

39.00.00 **MAINTENANCE MANUALS OF ELECTRONIC MODULES**

The Contractor shall have to furnish two (2) sets of all maintenance manual of each and every electronic card/module as employed on the various systems and equipment including peripherals etc., offered by him. The Contractor will also have to furnish the data regarding the expected failure rate of various modules and other system components. Further, the contractor shall furnish a set of operating manuals which should include block diagrams, make, model/type, details wiring and external connection drawings etc. as required to do the testing and maintenance of the electronic modules.

Backup & Restoration Procedures of DDCMIS, Station LAN & Advance Process Control shall be provided.

40.00.00 **MAKE IN INDIA REQUIREMENTS**

a) The bidder shall follow Indian laws, regulations and standards. There shall not be any restriction in terms of compliance to codes & standards of foreign origin only. The compliance to equivalent/better Indian as well as other codes & standards, wherever available, shall also be acceptable.

b) The technologies/ products offered shall be environmentally friendly, consuming less energy, and safe, energy efficient, durable and long lasting under the prescribed operational conditions.

c)	The bidder/its sub vendor/supplier shall ensure supply of spares, materials and technological support for the entire life of the project.
d)	The bidder shall list out the products and components producing Toxic E-waste and other waste as specified. It shall have an Extended Producers Responsibility (EPR) so that after the completion of the lifecycle, the materials are safely recycled/ disposed of by the contractor and for this, the bidder has to establish recycling/disposal unit as specified. Bidder shall also comply with Plastic Waste Management Rules, 2016, as amended from time to time, and facilitate EPR (Extended Producer Responsibility) registration of Employer before import of plastic packaging product or products with plastic packaging or carry bags or multi-layered packaging or plastic sheets or like.
e)	The equipment/ material sourced from foreign companies will be tested in accredited labs in India before acceptance wherever such facilities are available. The testing shall be carried out in accordance with MOP extant order/guidelines.
f)	The bidder shall have to furnish a certificate regarding cyber security/safety of the equipment/process to be supplied/services to be rendered as safe to connect.
g)	All applicable safety requirements shall be met. Regular safety audit shall be carried out by the manufacturer/ supplier.
h)	Wherever required, the foreign supplier shall establish fully functional service centers in India and shall keep spares/material locally for future needs of Employer.
i)	To protect the security, integrity and reliability of equipment in this package, it is essential to remove vulnerabilities arising out of the possibility of cyber-attack through malware/ Trojans etc. embedded in imported equipments. This requirement shall apply to any item imported for end use or to be used as a component, or as a part in manufacturing, assembling of any equipment or to be used in this package. Contractor shall comply all the requirements of Order No 25-11/6/2018-PG, dated 02/07/2020 (attached as **Appendix-I**), issued by Ministry of Power, Government of India and its subsequent amendments/revisions. Contractor shall furnish declaration of compliance of MOP order dated 02/07/2020 requirements with dispatch of equipment/ item. Further, Contractor shall furnish back up testing certificates, whenever Employer asks the same.
j)	All equipment/materials/parts/items required in this package which are domestically manufactured with sufficient domestic capacity as identified in Annexure-I of MOP order dated 16/11/2021 including its subsequent revisions (copy attached as **Appendix-II**) shall necessarily be sourced from the class-I local suppliers only as per the extant provisions of the Public Procurement (Preference to Make in India) Orders issued by DPIIT and MoP. Any violation w.r.t Make in India and minimum local content (MLC) requirements as specified shall be sole responsibility of the Bidder.

Appendix-I

No.25-11/6/2018-PG
Government of India
Ministry of Power
Shram Shakti Bhawan, Rafi Marg, New Delhi – 110001
Tele Fax: 011-23730264
*****

Dated 02/07/2020

ORDER

Power Supply System is a sensitive and critical infrastructure that supports not only our **national defence**, **vital emergency services** including health, disaster response, **critical national infrastructure** including classified data & communication services, defence installations and manufacturing establishments, logistics services but also the **entire economy** and the **day-to-day life** of the citizens of the country. Any danger or threat to Power Supply System can have catastrophic effects and has the potential to cripple the entire country. Therefore, the Power Sector is **a strategic and critical sector.**

The vulnerabilities in the Power Supply System & Network mainly arise out of the possibilities of cyber attacks through malware / Trojans etc. embedded in imported equipment. Hence, **to protect the security, integrity and reliability of the strategically important and critical Power Supply System & Network** in the country, the following directions are hereby issued :-

(1) All equipment, components, and parts imported for use in the Power Supply System and Network shall be tested in the country to check for any kind of embedded malware/trojans/cyber threat and for adherence to Indian Standards.

(2) All such testings shall be done in certified laboratories that will be designated by the Ministry of Power (MoP).

(3) Any import of equipment/components/parts from "prior reference" countries as specified or by persons owned by, controlled by, or subject to the jurisdiction or the directions of these "prior reference" countries will require prior permission of the Government of India

(4) Where the equipment/components/parts are imported from "prior reference" countries, with special permission, the protocol for testing in certified and designated laboratories shall be approved by the Ministry of Power (MoP).

This order shall apply to any item imported for end use or to be used as a component, or as a part in manufacturing, assembling of any equipment or to be used in power supply system or any activity directly or indirectly related to power supply system.

This issues with the approval of Hon'ble Minister of State for Power and New & Renewable Energy (Independent Charge).

(Goutam Ghosh)
Director
Tel: 011-23716674

To:
1. All Ministries/Departments of Government of India (As per list)
2. Secretary (Coordination),Cabinet Secretariat
3. Vice Chairman, NITI Aayog
4. Comptroller and Auditor General of India
5. Chairperson, CEA
6. CMDs of CPSEs/Chairman of DVC & BBMB/MD, EESL/DG,NPTI/DG,CPRI/DG,BEE/
7. All ASs/JSs/EA, MoP

Copy:
1. PS to Hon'ble PM, Prime Minister's Office
2. PS to Hon'ble MOS(IC) for Power and NRE
3. Sr. PPS to Secretary(Power)

Appendix-II

No. A-1/2021-FSC-Part(5)
Government of India
Ministry of Power
Shram Shakti Bhawan, New Delhi
Dated: 16th November, 2021

ORDER

Subject: Public Procurement (Preference to Make in India) to provide for Purchase Preference (linked with local content) in respect of Power Sector.

Reference: Department for Promotion of Industry and Internal Trade (DPIIT) Notification No. P-45021/2/2017-PP (BE-II) dated 16.09.2020.

The Government of India, Department for Promotion of Industry and Internal Trade (DPIIT) issued Public Procurement (Preference to Make in India), Order 2017, for encouraging 'Make in India' and promoting manufacturing and production of goods and services in India with a view to enhancing income and employment. Subsequently, DPIIT vide order No. P-45021/2/2017-PP (BE-II) dated 4th June, 2020 and further vide order dated 16th September, 2020 have issued the revised Public Procurement (Preference to Make in India) Order 2017.

2. In light of the Public Procurement (Preference to Make in India) Order 2017, this Ministry had notified purchase preference (linked with local content) for Hydro and Transmission sectors vide Order No. 11/05/2018-Coord dated 20.12.2018, for Thermal sector vide Order dated 28.12.2018 and for Distribution sector vide Order dated 17.03.2020. Further, a combined order dated 04.04.2020 was also issued in supersession of all previous orders to indicate equipment/material/components for which there was sufficient local capacity and competition and also to indicate conditions for including suitably in the tenders to be issued by the procurers. In furtherance of Para 19 of the DPIIT Notification No. P-45021/2/2017-PP(BE-II) dated 04.06.2020, Ministry of Power (MoP) issued a revised comprehensive Order dated 28.07.2020 (Annexure-I amended by order dated 17.09.2020).

3. DPIIT Notification No. P-45021/2/2017-PP(BE-II) dated 16.09.2020 has further revised its order dated 04.06.2020. Therefore, in supersession of all the aforementioned orders including order No.10/1/2019-St.Th. (Part-II) dated 20.03.2020 issued by this Ministry, the following has been decided:

i. For the purpose of this order, the definitions of various terms used in the order, and provisions relating to (i) Eligibility of 'Class-I local supplier'/'Class-II local supplier'/'Non-local suppliers' for different types of procurement, (ii) purchase preference (iii) exemption to small purchases and (iv) margin of purchase preference shall be the same as in DPIIT order dated 16.09.2020, referred to above and extracts of the same is given at **Appendix**.

ii. In procurement of all goods and services or works in respect of which there is sufficient local capacity and local competition as in **Annexure-I,** only "Class–I local supplier" shall be eligible to bid irrespective of purchase value. "Class-I local supplier" is a supplier or service provider whose goods, services or works offered for procurement meets the Minimum Local Content (MLC) as prescribed in Annexure-I of this order. "Class-II local supplier" means a

supplier, as defined by DPIIT in its Order No. P-45021/2/2017-PP (BE-II) dated 16-09-2020.

iii. In the procurement of all goods and services or works other than those listed in Annexure-I, only "Class-I local supplier" and "Class-II local supplier" as defined in the order of this Ministry herewith shall be eligible to bid in procurement undertaken by procuring entities, except when Global Tender Enquiry has been issued. In Global tender enquiries, "Non-local suppliers" shall also be eligible to bid along with "Class-I local suppliers" and "Class-II local suppliers". In procurement of all goods, services or works not covered by sub-para 3(ii) above, and with estimated value of purchases less than Rs. 200 crores, in accordance with Rule 161(iv) of GFR, 2017, Global Tender Enquiry(GTE) shall not be issued except with the approval of the competent authority as designated by Department of Expenditure.

iv. For the purpose of this order, 'Works' means all works as per Rule 130 of GFR- 2017, and will also include *'turnkey works'*, Engineering, Procurement and Construction (EPC) contracts and service contracts including System Integrator (SI) contracts.

4. The list of items, in respect of which, local capacity with sufficient competition exists as per **Annexure-I,** will be reviewed at regular intervals with a view to increase number of items in this list and also to increase the MLC for each item, wherever it is less than 100%.

5. Purchase preference shall be given to local suppliers in accordance with **para 3A** of DPIIT Order dated 16.09.2020, and extracts of the same are given at **Appendix**.

6. Further, it has been decided to constitute a committee for independent verification of self-declarations and auditor's / accountant's certificates on random basis and in the case of complaints. The composition of the committee is given below:

Member (Planning), Central Electricity Authority (CEA)	Chairperson
Chief Engineer (PSETD), CEA	Member
Chief Engineer (HETD), CEA	Member
Chief Engineer (TETD), CEA	Member
Chief Engineer (DP&R), CEA	Member
As may be co-opted by CEA	External Expert
Chief Engineer (R&D), CEA	Convener

7. Further, it has also been decided to constitute a committee to examine the grievances in consultation with stakeholders and recommend appropriate actions to the Competent Authority in MoP. The composition of the Committee is given below:

Chairperson, CEA	Chairperson
Member (Hydro), CEA	Member

Member (Power System), CEA	Member
Member (Thermal), CEA	Convener

8. The complaint fee of Rs. 2 Lakhs or 1% of the value of the local item being procured (subject to maximum of Rs. 5 Lakhs), whichever is higher, shall be paid in the form of Demand Draft, drawn in favour of **PAO, CEA, New Delhi.** In case the complaint is found to be incorrect, the complaint fee shall be forfeited. In case, the complaint is upheld and found to be substantially correct, the deposited fee of the complainant would be refunded without any interest.

9. All other conditions, not stipulated in this order, shall be as laid down in the DPIIT's order No. P-45021/2/2017-PP (BE-II) dated 16.09.2020.

10. This order shall be applicable in respect of the procurement made by all attached or subordinate offices or autonomous bodies under the Government of India including Government Companies as defined in the Companies Act, and /or the States and Local Bodies making procurement under all Central Schemes/ Central Sector Schemes where the Scheme is fully or partially funded by the Government of India. The aforesaid orders shall also be applicable in respect of projects wherein funding of goods, services or works is by Power Finance Corporation (PFC) /Rural Electrification Corporation (REC) and any Financial Institution in which Government of India/ State Government share exists. This order shall be applicable to Tariff Based Competitive Bidding (TBCB) projects also. Procuring entities as defined in the DPIIT's Order dated 16.09.2020 are advised to revise their tender documents to fully comply with the said DPIIT's Order and the subsequent Orders that would be issued in this regard by DPIIT/ this Ministry from time to time.

11. All tenders for procurement by Central Government Agencies or the States and Local Bodies, as the case may be, have to be certified for compliance of the Public Procurement (Preference to Make in India) 'PPP-MII' Order by the concerned procurement officer of the Government Organization before uploading the same on the portal.

12. Exemption from meeting the stipulated local content is allowed as per clause 13 and 13A of PPP-MII Order dated 16.09.2020, if the manufacturer declares that the item is manufactured in India under a License from a foreign Manufacturer who holds Intellectual Property Rights (IPRs) and there is Transfer of Technology (ToT) with phasing to increase Minimum Local Content. For such items, if any CPSE under the administration of Ministry of Power requests exemption for any item, it shall be considered by Ministry of Power, on case to case basis.

13. In order to further encourage Make in India initiatives and promote manufacturing and production of goods and services in India, general guidelines as enclosed at **Annexure-II** may be adopted in an appropriate manner according to the circumstances by the procuring entities in their tendering process.

14. The procurers may specify the higher values of MLC than those specified in this Order in respect of goods, services or works covered in their tenders and award the weightage to the product of higher MLC for which they have to specify the criteria beforehand in their tender. The values given in Annexure-I are the minimum prescribed values for becoming a class-I local supplier for the products indicated therein.

15. This issues with the approval of Hon'ble Minister for Power and New & Renewable Energy.

(S. Majumdar)
Under Secretary to the Government of India
Tele No. 011- 23356938

To:

1. Secretary to Government of India (All Ministries/ Departments of Government of India) (As per list)
2. Secretary (Coordination), Cabinet Secretariat
3. CEO, NITI Aayog
4. Chief Secretaries of all States/ UTs
5. Comptroller and Auditor General of India
6. Secretary, DPIIT, Chairman of Standing Committee for implementation of Public Procurement Order, 2017
7. Director General, Bureau of Indian Standards (BIS)
8. Joint Secretary, DPIIT, Member-Convener of Standing Committee for implementation of Public Procurement Order, 2017
9. Chairperson, CEA
10. CMDs of CPSEs, CMD NLC, Chairman of DVC/ BBMB/ EESL, DGs of BEE/ CPRI/ NPTI
11. All Additional Secretaries/ JSs/ EA/ CE, Ministry of Power

Copy to:

Director (Technical), NIC with a request to publish the Order on the website of Ministry of Power

APPENDIX

Extracts of important provisions contained in DPIIT Order No. P-45021/2/2017-PP (BE-II) dated 16-09-2020

1. **Definitions** *(Para 2 of DPIIT order)*:
   'Local content' means the amount of value added in India which shall, unless otherwise prescribed by the Nodal Ministry, be the total value of the item procured (excluding net domestic indirect taxes) minus the value of imported content in the item (including all customs duties) as a proportion of the total value, in percent.

   'Class-I local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, meets the minimum local content as prescribed for 'Class-I local supplier' under this Order.

   'Class-II local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, meets the minimum local content as prescribed for 'Class-II local supplier' but less than that prescribed for "Class-I Local supplier" under this Order.

   'Non-Local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, has local content less than that prescribed for 'Class-II local supplier' under this Order.

   'L1' means the lowest tender or lowest bid or the lowest quotation received in a tender, bidding process or other procurement solicitation as adjudged in the evaluation process as per the tender or other procurement solicitation.

   'Margin of purchase preference' means the maximum extent to which the price quoted by a 'Class-I local supplier' may be above the L1 for the purpose of purchase preference.

   'Nodal Ministry' means the Ministry or Department identified pursuant to this order in respect of a particular item of goods or services or works.

   'Procuring entity' means a Ministry or department or attached or subordinate office of, or autonomous body controlled by, the Government of India and includes Government companies as defined in the Companies Act.

   'Works' means all works as per Rule 130 of GFR- 2017, and will also include *'turnkey works'*.

2. **Eligibility of 'Class-I local supplier'/ 'Class-II local supplier'/ 'Non-local suppliers' for different types of procurement** *(Para 3 of DPIIT order)*
   (a) In procurement of all goods, services or works in respect of which the Nodal Ministry / Department has communicated that there is sufficient local capacity and local competition, only 'Class-I local supplier', as defined under the Order, shall be eligible to bid irrespective of purchase value.

   (b) Only 'Class-I local supplier' and 'Class-II local supplier', as defined under the Order, shall be eligible to bid in procurements undertaken by procuring entities, except when Global tender enquiry has been issued. In global tender enquiries, 'Non-local suppliers' shall also be eligible to bid along with 'Class-I local suppliers' and 'Class-II local suppliers'. In procurement of all goods, services or works, not covered by 3(a)above, and with estimated value of purchases less than Rs 200 crores, in accordance with Rule 161(iv) of GFR, 2017 Global tender enquiry shall not

be issued except with the approval of competent authority as designated by Department of Expenditure.

(c) For the purpose of this Order, works includes Engineering, Procurement and Construction (EPC) contracts and services include System Integrator (SI) contracts.

3. **Purchase Preference** *(Para 3A of DPIIT order)*

(a) Subject to the provisions of this Order and to any specific instructions issued by the Nodal Ministry or in pursuance of this Order, purchase preference shall be given to 'Class-I local supplier' in procurements undertaken by procuring entities in the manner specified here under.

(b) In the procurements of goods or works, which are covered by para 3(b) of DPIIT Order No. P-45021/2/2017-PP(BE-II) dated 16-09-2021 and which are divisible in nature, the " Class-I local supplier' shall get purchase preference over 'Class-II local supplier' as well as 'Non-local supplier', as per following procedure:

i. Among all qualified bids, the lowest bid will be termed as L1 If L1 is 'Class-I local supplier', the contract for full quantity will be awarded to L1.

ii. If L1 bid is not a 'Class-I local supplier', 50% of the order quantity shall be awarded to L1. Thereafter, the lowest bidder among the 'Class-I local supplier' will be invited to match the L1 price for the remaining 50% quantity subject to the Class-I local supplier's quoted price falling within the margin of purchase preference, and contract for that quantity shall be awarded to such 'Class-I local supplier' subject to matching the L1 price. In case such lowest eligible 'Class-I local supplier' fails to match the L1 price or accepts less than the offered quantity, the next higher 'Class-I local supplier' within the margin of purchase preference shall be invited to match the L1 price for remaining quantity and so on, and contract shall be awarded accordingly. In case some quantity is still left uncovered on Class-I local suppliers, then such balance quantity may also be ordered on the L1 bidder.

(c) In the procurements of goods or works, which are covered by para 3(b) of DPIIT Order No. P-45021/2/2017-PP(BE-II) dated 16-09-2021 and which are not divisible in nature, and in procurement of services where the bid is evaluated on price alone, the 'Class-I local supplier' shall get purchase preference over 'Class-II local supplier' as well as 'Non-local supplier', as per following procedure:

iii. Among all qualified bids, the lowest bid will be termed as L1. If L1 is 'Class-I local supplier', the contract will be awarded to L1,

iv. If L1 is not 'Class-I local supplier', the lowest bidder among the 'Class-I local supplier', will be invited to match the L1 price subject to Class-I local supplier's quoted price falling within the margin of purchase preference, and the contract shall be awarded to such 'Class-I local supplier' subject to matching the L1 price.

v. In case such lowest eligible 'Class-I local supplier' fails to match the L1 price, the 'Class-I local supplier' with the next higher bid within the margin of purchase preference shall be invited to match the L1 price and so on and contract shall be awarded accordingly. In case none of the 'Class-I local supplier' within the margin of purchase preference matches the L1 price, the contract may be awarded to the L1 bidder.

(d) "Class-II local supplier" will not get purchase preference in any procurement, undertaken by procuring entities.

4. **Applicability in tenders where contract is to be awarded to multiple bidders** *(Para 3B of DPIIT order)*-
In tenders where contract is to be awarded to multiple bidders subject to matching of L1 rates or otherwise, the 'Class-I local supplier' shall get purchase preference over 'Class-II local supplier' as well as 'Non-local supplier', as per following procedure:
a) In case there is sufficient local capacity and competition for the items to be procured, as notified by the Nodal Ministry, only 'Class-I local supplier' shall be eligible to bid. As such, the multiple supplier who would be awarded the contract, should be all and only 'Class-I local suppliers'.

   b) In other cases, 'Class-II local suppliers' and 'Non-Local suppliers' may also participate in the bidding process along with 'Class-I local supplier' as per provisions of this order.

   c) If 'Class-I local supplier' qualify for award of contract for at least 50% of the tendered quantity in any tender, the contract may be awarded to all the qualified bidders as per award criteria stipulated in the bid documents. However, in case 'Class-I local supplier' do not qualify for award of the contract for at least 50% of the tendered quantity, purchase preference should be given to the 'Class-I local supplier' over 'Class-II local supplier'/'Non-local suppliers' provided that their quoted rate falls within 20% margin of purchase preference of the highest quoted bidder considered for award of contract so as to ensure that the 'Class-I local suppliers' taken in totality or considered for award of contract for at least 50% of the tendered quantity.

   d) First purchase preference has to be given to the lowest quoting 'Class-I local supplier', whose quoted rates fall within 20% margin of purchase preference subject to its meeting the prescribed criteria for award of contract as also the constraints of maximum quantity that can be sourced from any single supplier. If the lowest quoting 'Class-I local supplier', does not qualify for purchase preference because of aforesaid constraints or does not accept the offered quantity, an opportunity may be given to next higher 'Class-I local supplier' falling within 20% margin of purchase preference, and so on.

   e) To avoid any ambiguity during bid evaluation process, the procuring entities may stipulate its own tender specific criteria for award of contract amongst different bidders including the procedure for purchase preference to 'Class-I local supplier' within the broad policy guidelines stipulate in sub-paras above.

5. **Exemption of small purchases** *(Para 4 in DPIIT order)*: Procurements where the estimated value to be procured is less than Rs. 5 lakhs shall be exempt from this Order. However, it shall be ensured by procuring entities that procurement is not split for the purpose of avoiding the provisions of this Order.

6. **Minimum Local Content** *(Para 5 in DPIIT order)*: The 'local content' requirement to categorize a supplier as 'Class-I local supplier' is minimum 50%. For 'Class-II local supplier', the local content requirement is minimum 20%. Nodal Ministry/Department may prescribe only a higher percentage of minimum local content requirement to categorize a supplier as 'Class-I local supplier'/'Class-II local supplier'. For the item for which Nodal Ministry/Department has not prescribed higher minimum local content notification under the order, it shall be 50% and 20% for 'Class-I local supplier'/'Class-II local supplier' respectively.

7. Vide DPIIT OM No. P-45021/102/2019-BE-IIPart(1) (E-50310) dated 4.03.2021 services such as transportation, insurance, installation, commissioning, training and after sales service support like AMC/CMC etc. shall not be considered as local value addition. Bidders offering imported products will fall under the category of Non- local suppliers. They can't claim themselves as Class-I local suppliers/Class-II local suppliers by claiming the services such as transportation, insurance, installation, commissioning, training and after sales service support like AMC/CMC etc. as local value addition.

8. **Margin of Purchase Preference** *(Para 6 of DPIIT order)*: The margin of purchase preference shall be 20%.

9. **Specifications in Tenders and other procurement solicitations** *(Para 10 of DPIIT order)*:
   a. Every procuring entity shall ensure that the eligibility conditions in respect of previous experience fixed in any tender or solicitation do not require proof of supply in other countries or proof of exports.
   b. Procuring entities shall endeavour to see that eligibility conditions, including on matters like turnover, production capability and financial strength do not result in unreasonable exclusion of 'Class-I local supplier'/ 'Class-II local supplier' who would otherwise be eligible, beyond what is essential for ensuring quality or creditworthiness of the supplier.
   c. Procuring entities shall, within 2 months of the issue of this Order review all existing eligibility norms and conditions with reference to sub-paragraphs 'a' and 'b' above.
   d. **Reciprocity Clause:**
      i. When a Nodal Ministry/Department identifies that Indian suppliers of an item are not allowed to participate and/ or compete in procurement by any foreign government, due to restrictive tender conditions which have direct or indirect effect of baring Indian companies such as registration in the procuring country, execution of projects of specific value in the procuring country etc. it shall provide such details to all its procuring entities including CMDs/CEOs of PSEs/PSUs, State Governments and other procurement agencies under their administrative control and GeM for appropriate reciprocal action.
      ii. Entities of countries which have been identified by the nodal Ministry/Department as not allowing Indian companies to participate in their Government procurement for any item related to that nodal Ministry shall not be allowed to participate in Government procurement in India for all the items related to that nodal Ministry/Department, except for the list of items published by the Ministry/Department permitting their participation.
      iii. The stipulation in (ii) above shall be part of all tenders invited by the Central Government procuring entities stated in (i) above. All purchase on GeM shall also necessarily have the above provisions for items identified by nodal Ministry/Department.
      iv. State Governments should be encouraged to incorporate similar provisions in their respective tenders.
      v. The term 'entity' of a country shall have the same meaning as under the FDI Policy of DPIIT as amended from time to time.
   e. Specifying foreign certification/ unreasonable technical specifications/ brands/ models in the bid document is restrictive and discriminatory practice against local

suppliers. If foreign certification is required to be stipulated because of non-availability of Indian Standards and/ or for any other reason, the same shall be done only after written approval of Secretary of Department concerned or any other authority having been designated such power by the Secretary of the Department concerned.

f. "All administrative Ministries/Departments whose procurement exceeds Rs. 1000 Crore per annum shall notify/ update their procurement projections every year, including those of PSEs/PSUs, for the next 5 years on their respective website."

Annexure-I

Sl. No.	Electrical Equipment for Generation, Transmission and Distribution sectors with sufficent local capacity and competition	Class-I Local Supplier (Minimum Local Content (%)
	(A) Common items for Transmission, Distribution and Generation Sector	
1	Power Transformers (up to 765 kV, including Generator transformers)	60
2	Instrument Transformer (up to 765 kV)	60
3	Transformer Oil Dry Out System (TODOS)	60
4	Reactors up to 765 kV	60
5	Oil Impregnated Bushing (up to 400 kV)	60
6	Resin Insultated Paper (RIP) bushings (up to 145 kV)	50
7	Circuit Breakers (up to 765 kV AC - Alternating Current)	60
8	Disconnectors/Isolators (up to 765 kV AC)	60
9	Wave trap (up to 765 kV AC)	60
10	Oil Filled Distribution Transformers up to & Including 33 kV [Cold Rolled Grain Oriented (CRGO)/Amorphous, Aluminium/Copper wound]	60
11	Dry Type Distribution Transformer upto and including 33 kV (CRGO/Amorphous, Aluminium/Copper wound)	60
12	Conventional Conductor	60
13	Accessories for Conventional conductors	60
14	High Temperature/High Temperature Low Sag (HTLS) conductors (such as Composite core, GAP, ACSS, INVAR, AL59) and Accessories	60
15	Optical ground wire (OPGW) – all designs	60
16	Fiber OpticTerminal Equipment (FOTE) for OPGW	50
17	OPGW related Hardware and Accessories	60
18	Remote Terminal Unit (RTU)	50
19	Power Cables and accessories up to 33 kV	60
20	Control cables including accessories	60
21	XLPE Cables up to 220 kV	60
22	Substation Structures	60
23	Transmission Line Towers	60
24	Porcelain (Disc/Long Rod) Insulators	60
25	Bus Post Insulators (Porcelain)	60
26	Porcelain Disc Insulators with Room Temperature Vulcanisation (RTV) coating	50
27	Porcelain Longrod Insulators withRoom Temperature Vulcanisation (RTV) coating	50
28	Hardware Fittings for Porcelain Insulators	60
29	Composite/Polymeric Long Rod Insulators	60
30	Hardware Fittings for Polymer Insulators	60
31	Bird Flight Diverter (BFD)	60
32	Power Line Carrier Communication (PLCC) System (up to 800 kV)	60
33	Gas Insulated Switchgear (up to 400 kV AC)	60
34	Gas Insulated Switchgear (above 400 kV AC)	50
35	Surge/Lightning Arrester (up to 765 kV AC)	60
36	Power Capacitors	60
37	Packaged Sub-station (6.6 kV to 33 kV)	60
38	Ring Main Unit (RMU) (up to 33 kV)	60
39	Medium Voltage (MV) GIS Panels (up to 33 kV)	60
40	Automation and Control System/Supervisory Control and data Acquisition (SCADA) System in Power System	50
41	Control and Relay Panel (including Digital/Numerical Relays)	50
42	Electrical Motors 0.37 kW to 1 MW	60
43	Energy Meters excluding smart meters	50
44	Control & power cables and Accessories (up to 1.1 kV)	60
45	Diesel Generating (DG) set	60

Sl. No.	Electrical Equipment for Generation, Transmission and Distribution sectors with sufficent local capacity and competition	Class-I Local Supplier (Minimum Local Content (%)
46	DC system (DC Battery & Battery Charger)	60
47	AC & DC Distribution Board	60
48	Indoor Air Insulated Switchgear (AIS) upto 33 kV	60
49	Poles (PCC, PSCC, Rolled Steel Joist, Rail Pole, Spun, Steel Tubular)	60
50	Material for Grounding/earthing system	60
51	Illumination system	60
52	Overhead Fault Sensing Indicator (FSI)	50
53	Power Quality Meters	50
54	Auxilliary Relays	50
55	Load Break Switch	50
	(B) Hydro Sector	
56	Hydro Turbine & Associated equipment	
	a) Francis Turbine	60
	b) Kaplan Turbine	60
	c) Pelton Turbine	50
57	Main Inlet Valve & Associated Equipment	60
58	Penstock Protection Valve and Associated Equipment	60
59	Governing system & Accessories	60
60	Generator for Hydro Project & Associated Equipment	60
61	Static Excitation System	60
62	Workshop Equipment	60
63	Cooling Water System	60
64	Compressed Air System	60
65	Drainage/Dewatering System	60
66	Fire Protection System	60
67	Heating, Ventilation & Air Conditioning System (HVAC)	60
68	Oil Handling System	60
69	Mechanical Balance of Plant (BOP) Items	60
	(C) Thermal Sector	
	Boiler Auxiliaries	
70	Air Pre-Heater	60
71	Steam Coil Air Pre Heater (SCAPH)	60
72	Steam soot blowers [wall blowers & Long Retractable Soot Blower (LRSB)]	60
73	Auxiliary Steam Pressure Reducing & Desuperheating (PRDS)	60
74	Fuel oil system	60
75	Seal air Fan	60
76	Ducts and dampers	60
77	Duct expansion joints	60
78	Blowdown tanks	60
79	Coal burners and oil burners	60
80	Coal mills	60
81	Gear Box of Coal Mill	50
82	Coal feeders	60
83	Primary Air Fans	60
84	Forced Draft Fans	60
85	Induced Draft Fans	60
86	Forced Draft (FD)/Induced Draft (ID)/ Primary Air (PA) Fan Servo Motor Assembly	50
87	Tubes (Carbon Steel)	50
88	Steam pipes (Carbon Steel)	50
89	Steam drum	50
90	Separator	50
91	Selective Catalytic Reduction (SCR)	50

Sl. No.	Electrical Equipment for Generation, Transmission and Distribution sectors with sufficent local capacity and competition	Class-I Local Supplier (Minimum Local Content (%)
	Electro-Static Precipitators (ESPs)	
92	Casing	60
93	Electrodes	60
94	Rapping System	60
95	Hopper Heaters	60
96	Transformer Rectifiers	60
97	Insulators	60
	Turbine & Auxiliaries	
98	Turbine (High Pressure/Intermediate Pressure/Low Pressure)	50
99	Condensate Extraction Pumps	60
100	Condenser On line Tube Cleaning System (COLTC)	60
101	Debris filters	60
102	Deaerator	60
103	Drain Cooler and Flash Tank	60
104	ECW Pump	50
105	Plate Heat Exchanger	50
106	Self- cleaning filters	50
107	Condensate Polishing Units (CPUs)	60
108	Chemical Dosing System	60
109	Oil Filter	60
110	Gland Steam Condenser	60
111	Oil Purifying Centrifuge	50
112	Water Cooled Condenser	50
113	Boiler Feed Pumps (BFPs)	50
	Generator and Auxillieries	
114	Generator (including Seal Oil System, Hydrogen Cooling System, Stator water cooling system)	60
	Electrical Works	
115	Control and metering equipment	60
	Control & Instrumentation System (C&I System)	
116	Thermocouples	50
117	Measuring instruments [Resistance Temperature Detectors (RTDs)], Local gauges	50
118	Actuators (Pneumatic and conventional electric)	50
119	Interplant Communication/ Public Address (PA) system except IP based	50
	Coal Handling Plant	
120	Conveyors	60
121	Wagon Tippler	60
122	Side Arm Charger	60
123	Paddle feeder	60
124	Crushers & Screens	60
125	Dust suppression (dry fog & plain water) system	60
126	Air Compressors	50
127	Magnetic separators & metal detectors	50
128	Coal Sampling System	50
129	Stacker cum reclaimer	50
130	Belt weighing & monitoring system.	60
131	Wheel & axle assembly (without bearings) for Bottom Opening Bottom Release (BOBR) Wagons	60
	Ash Handling System	
132	Clinker grinder	60
133	Water jet ejectors	60
134	Scrapper chain conveyor	60
135	Dry fly ash vacuum extraction system	60
136	Pressure pneumatic conveying system	60

Sl. No.	Electrical Equipment for Generation, Transmission and Distribution sectors with sufficent local capacity and competition	Class-I Local Supplier (Minimum Local Content (%)
137	Ash water & ash slurry pumps	60
138	Compressors, air dryers & air receivers	50
139	Ash water recovery system	60
	Raw Water Intake & Supply System	
140	Travelling water screens	60
141	Raw water supply pumps	60
142	Valves, RE joints etc.	60
	Water Treatment System and Effluent Treatment System	
143	Clarification plant	60
144	Filtration plant	60
145	Ultra filtration plant	50
146	Reverse Osmosis (RO) plant and its membrane	55
147	De-Mineralised water plant (DM Plant)	60
148	Chlorination plant	60
149	Chemical dosing system	60
150	Effluent Treatment Plant	60
	Circulationg Water (CW) & Auxiliary Circulating Water (ACW) System	
151	CW & ACW Pumps	60
152	Butter Fly (BF) valves, Non-return Valves (NRVs) etc.	60
153	Rubber Expansion (RE) joints	60
154	Air release valves	60
	Cooling Towers (NDCT/ IDCT)-Natural-Draft and Induced Draft Cooling Tower	
155	Water Distribution System	60
156	Spray nozzles	60
157	Packing	60
158	Drift eliminators	60
159	Cooling Tower (CT) Fans (for Induced Draft Cooling Towers IDCT)	60
160	Gear boxes, shafts & motors (for IDCT)	60
	Air Conditioning & Ventilation System	
161	Split & window air conditioners	60
162	Chilling/ condensing unit [upto 500 ton of refrigeration(TR)]	55
163	Air Handling Unit (AHU) and Fresh air unit	60
164	Cooling Towers	60
165	Air Washing Units (AWUs), axial fans, roof extractors	60
166	Ducts, louvers & dampers	60
	Flue Gas Desulphurization (FGD)	
167	Spray Nozzles,	50
168	Spray header	50
169	Oxidation Blowers	50
170	Limestone wet Ball Mill	50
171	Slurry Handling Pumps for FGD system	50
172	Booster Fans for FGD system	50
173	Carbon Steel Ducts and Dampers for FGD	60
174	Storage Tanks and Silos	60
175	Process Water Pump for FGD system	50
	(D) Other Common Items	
	Fire protection and detection system	
176	Motor driven fire water pumps	60
177	Diesel engine driven fire water pumps	60
178	Hydrant system for the power plant.	60
179	High velocity water spray system	60
180	Medium velocity water spray system	60
181	Foam protection system	60
182	Inert gas flooding system	60

Sl. No.	Electrical Equipment for Generation, Transmission and Distribution sectors with sufficent local capacity and competition	Class-I Local Supplier (Minimum Local Content (%)
183	Fire tenders	60
184	Portable fire-extinguishers	60
185	Cranes, EOT cranes, gantry crane & chain pulley blocks etc.	60
186	Elevator	60

(E) Minimum Local Content percentages in Engineering, Procurement & Construction (EPC) / Turnkey project

In case the contract is awarded through the EPC route, the contractor should comply with the requirement of MLC for individual items as listed in Annexure-I and should purchase these items only from Class-I Local supplier. In addition, MLC for complete EPC project may also be prescribed as below:

	(1) Package Based Works	Minimum Local Content (%)
1	Boiler	60
2	TG System (Water Cooled Condenser)	60
3	Ash Handling Plant	60
4	Coal Handling Plant	60
5	Electro-static Precipitator (ESP)	60
6	Circulating Water (CW) System	60
7	Cooling Tower	60
8	Water Treatment System	60
9	Air Conditioning System (below 500TR)	60
10	Flue Gas Desusphurisation (FGD) System	60
11	Station Control & Instrumentation (C&I)	50
12	Hydro Power Projects (Electro-Mechanical Works)	60
	Gas based generation	
	Overall Gas Turbine Package (on finished Product basis)	
13	< 44 MW	60
14	44 –145 MW	50
	Overall Combined Cycle Gas Turbine (CCGT) Package (on finished Product basis)	
15	< 44 MW	60
16	44 – 145 MW	60
17	> 150 MW	60
	(2) Project as a whole	
1	Works and service contracts in Power Sector	60
2	Transmission Line with Conventional conductors (ACSR, AAAC, AL-59 etc.)	60
3	Transmission Line with High temperature Low Sag (HTLS) conductors	60
4	HVAC Substation Air Insulated (AIS)	60
5	HVAC Substation Gas Insulated (GIS)	60
6	HVDC Substation	60
7	Distribution Sector	60

Annexure-II

General guidelines to be adopted selectively in an appropriate manner by the procuring entities in their tender documents.

1. The bidder shall have to be an entity registered in India in accordance with law.
2. The bids shall be in the language as prescribed by the tenderer/procurer.
3. The bids shall be in Indian Rupees (INR) (in respect of local content only).
4. Indian subsidiaries of foreign bidders shall have to meet the qualifying criteria in terms of capability, competency, financial position, past performance etc.
5. The bidder shall follow Indian laws, regulations and standards.
6. To be eligible for participation in the bid, foreign bidders shall compulsorily set up their manufacturing units on a long term basis in India as may be specified by the tenderer/ procurer.
7. Similar or better technology than the technology offered in respect of material, equipment and process involved shall be transferred to India. Along with the transfer of technology, adequate training in the respective field shall also be provided.
8. Country of origin of the equipment/material shall be provided in the bid.
9. For supply of equipment / material from the country of origin other than India, the bidder shall submit performance certificate in support of satisfactory operation in India or a country other than the country of origin having climatic and operational conditions including ambient temperature similar to that of India for more than _____ years (to be specified by the procurer).
10. The technologies/ products offered shall be environmental friendly, consuming less energy, safe, energy efficient, durable and long lasting under the prescribed operational conditions.
11. The supplier shall ensure supply of spares, materials and technological support for the entire life of the project.
12. The manufacturers/ supplier shall list out the products and components producing Toxic E-waste and other waste as may be specified. It shall have an Extended Producers Responsibility (EPR) so that after the completion of the lifecycle, the materials are safely recycled / disposed of by the Manufacturer/ supplier and for this, the Manufacturer/supplier along with procurer has to establish recycling / disposal unit **or** as may be specified.
13. Minimum Local Content requirement for goods, services or works shall be in accordance with the conditions laid down in respective Order(s) of the sectors on Public Procurement (Preference to Make in India) to provide for purchase preference (linked with local content).

14. The equipment/ material sourced from foreign companies may be tested in accredited labs in India before acceptance wherever such facilities are available.
15. The Tender fee and the Bank Guarantee (BG) shall be in Indian Rupees only.
16. The bidder shall have to furnish a certificate regarding cyber security/safety of the equipment/process to be supplied/services to be rendered as safe to connect.
17. Applicable safety requirements shall be met. Regular safety audit shall be carried out by the manufacturer/ supplier.
18. Statutory laws/regulations including the labour and environmental laws shall be strictly complied with during supply, storage, erection, commissioning and operation process. A regular compliance report shall be submitted to the procurer/appropriate Authorities.
19. Formation of new joint venture in India shall be permitted only with the Indian companies.
20. Tendering by the agent shall not be accepted.
21. In case local testing is not considered necessary by the procurer, theoriginal test report in the language prescribed by the procurer may be accepted. The translated test report shall not be accepted unless it is notarised.
22. Certification/compliance as per the Indian Standards/ International Standards/ Indian Regulations/ specified Standards shall be mandatory, where ever applicable.
23. Quality assurance of the product shall be carried out by the procurer or an independent third party agency appointed by the procurer. Manufacturing Quality Plan as approved by the procurer shall be followed by the manufacturer/supplier.
24. Wherever required by the procurer, foreign supplier shall establish fully functional service centers in India and shall keep spares/material locally for future needs of utilities.
25. Arbitration proceedings shall be instituted in India only and all disputes shall be settled as per applicable Indian Laws.

LIST OF CODES AND STANDARDS

Indian Standards	Title	International and Internationally recognised standards
IS:277	Galvanised steel sheets (plain or corrugated)	
IS:655	Specification for metal air duct	
IS:800	Code of practice for use of structural steel in general building construction	BS 449:1969 BS 5950 ASA A57, 1-1952
IS:807	Code of practice for design, manufacture, erection and testing (Structural portion) of cranes and hoists 6588 (Issued by Standards Association of Australia). DIN 120:1936 (Sheet 1) DIN 120:1936 (Sheet 2) 327 part-I, 1951 BS 466 part-II, 1960 BS 644:1960 BS 1757:1951 BS 2573:part-I:1960	Draft Revision of A.S. NO. CS.2 SAA Crane and Hoist code Doc:No. BU/4 Rev
IS:875	Code of practice for design loads (other than earthquake) for buildings and structures Leading standards (issued by Canadian Standard) DIN-1055-1955 (Issued by ASA)	National Building code of Canada (1953)-Part-IV Design section 4.1

IS:1239 Part-I	Mild steel tubes	(ISO/R 65-1957) (ISO/R-64-1958) (ISO/R-65-1958) (BS 1387 : 1957)
IS:1239 Part-II	Mild steel tubulars and other wrought steel pipe fittings	BS 1387 : 1967 BS 1387 :1967 BS 1740 :1965
IS:2825	Code for unfired vessels	
IS:1520	Horizontal centrifugal pumps for clear cold and fresh water	
IS:1600	Code for practice for performance of constant speed IC Engines for general purpose	
IS:1601	Specification for perform-ance of constant speed IC Engines for general Purpose	
IS:1893	Criteria for earthquake resistant design of structures	
IS1978-1971	Line Pipe April 1969.	API Standards 5L
IS:2254-1970	Dimensions of vertical shaft motor for pumps	IEC Pub 72-1 part I NEMA Pub MG 1 1954
IS:2266	Steel wire ropes for general engineering purposes	BS :302 : 1968
IS:2312	Propellant type Ventilation fans	
IS:2365	Steel wire suspension	BS : 1957

		ropes for lifts and hoists	
	IS:3346	Method for the determination of thermal conductivity of thermal insulation materials (two slab guarded hot plate method)	DIN 52612 (Deutscher Normenausschuss) ASTM C 163-1964 (American Society of Testing and materials) ASTM C 167-1974 ASTM C 177-1963
	IS:3354	Outline dimensions for electric lifts.	
	IS:3401	Silica gel	
	IS:3588	Specification for electrical axial flow fans	
	IS:3589	Electrically welded steel pipes for water, gas and sewage (200mm to 2000 mm Nominal Diametre)	
	IS:3677	Unbonded rock and slag wool for thermal insulation	
	IS:3815	Point hook with shank for general engineering purposes	BS 482 - 1968 Doc.:67/3 1284 (Revision of BS 2903) (Issued BS)
	IS:3895	Specification for monocrystallines semiconductor rectifier cells and stacks	
	IS:3963	Roof extractor unit	
	IS:3975	Mild steel wires, strips and tapes for armouring cables	
	IS:4503	Shell and tube type heat	

	Exchanger	
IS:4540	Specification for monory-stallines rectifire assembly equipment	
IS:4671	Expanded polystyrene for thermal insulation purpose	
IS:4736	Hot dip zinc coating on steel tubes	
IS:4894	Centrifugal fans	
IS:5456	Code of practice for testing of positive displacement type air compressors and exhauster (For Test Tolerance Only)	
IS:5749	Forged ramshorn hooks	Entwurf DIN 15402 Blett 1 Entwurf DIN 15402 BS 3017-1958
IS:6392	Steel pipe flanges	BS 4504 : 1969
IS:6524 Part-I	Code of practice for design of tower cranes Static and rail mounted	BS 2799 : 1956
IS:7098	Cross linked Polyethylene insulated PVC sheathed cables	Standard No. 1 to IPCEA (USA) Pub. No. 5-66-524
IS:7373	Specification for wrought aluminium and aluminium sheet and strips	
IS:7938	Air receivers for compressed air installation	
ISO:1217	Displacement compressor-Acceplance test	

ASHRAE-33	Methods of testing for rating of forced circulation air cooling and air heating coils.
ASHRAE-52-76	Air cleaning device used in general ventilation for removing particle matter.
ASHRAE-22-72	Method of testing for rating of water cooled refrigerant condensers.
ASHRAE 23-67	Methods of testing for rating of positive displacement refrigerant compressors.
ARI-450-6	Standard for water cooled refrigerant condensers.
ARI-550	Standard for centrifugal water chilling packages.
ARI-410	Standard for forced circulation air cooling and air heating coils
ARI-430/435 BS:848 (Part-1,2)	Central station AHU/Application of Central Station AHU Fans
BS:400	Low carbon steel cylinders for the storage & transport of permanent gases.
BS:401	Low carbon steel cylinders for the storage & transport of liquified gases.
CTI Code ACT-105	Acceptance test code for Water Cooling Tower.
ANSI-31.5	Refrigerant piping
ASME-PTC- 23-1958	Atmospheric Water Cooling Equipment
AMCA A-21C	Test Code for air moving devices
API:618	Reciprocating Compressor for general refinary services.

HYDRAULIC INSTITUTE STANDARDS.

HYDRANT SYSTEM MANUALS OF TAC.

TAC MANUALS OF SPRAY SYSTEM

NFPA USA/ NSC UK/ UL USA/ FM USA STANDARDS.

INDIAN EXPLOSIVES ACT.

INDIAN FACTORIES ACT.

STANDARD OF TUBULAR EXCHANGER MANUFACTURER'S ASSOCIATION.

CODE AND STANDARD FOR CIVIL WORKS

Some of the applicable Standards, Codes and references are as follows:

Excavation & Filling

IS: 2720 (Part-II, IV TO VIII, XIV, XXI, XXIII, XXIV, XXVII TO XXIX, XL) Methods of test for soils-determination for water content etc.

IS: 4701	Code of practice for earth work on canals.
IS: 9758	Guidelines for Dewatering during construction.
IS: 10379	Code of practice for field control of moisture and compaction of soils for embankment and sub-grade.

Properties, Storage and Handling of Common Building Materials

IS: 269	Specification for ordinary Portland cement, 33 grade.
IS: 383	Specification for coarse and fine aggregates from natural sources for concrete.
IS: 432	Specification for mild steel and (Parts 1&2) medium tensile steel bars and hard-drawn steel wires for concrete reinforcement.
IS: 455	Specification for Portland slag cement.
IS: 702	Specification for Industrial bitumen.
IS: 712	Specification for building limes.
IS: 808	Rolled steel Beam channel and angle sections.
IS: 1077	Specification for common burnt clay building bricks.
IS: 1161	Specification of steel tubes for structural purposes.
IS: 1363	Hexagon head Bolts, Screws and nuts of production grade C.

IS: 1364	Hexagon head Bolts, Screws and Nuts of Production grade A & B.
IS: 1367	Technical supply conditions for Threaded fasteners.
IS: 1489	Specification for Portland-pozzolana cement:
(Part-I)	Fly ash based.
(Part-II)	Calcined clay based.
IS: 1542	Specification for sand for plaster.
IS: 1566	Specification for hard-drawn steel wire fabric for concrete reinforcement.
IS: 1786	Specification for high strength deformed bars for concrete reinforcement.
IS: 2062	Specification for steel for general structural purposes.
IS: 2116	Specification for sand for masonry mortars.
IS: 2386 (Parts-I to VIII)	Testing of aggregates for concrete.
IS: 3150	Hexagonal wire netting for general purpose.
IS: 3495 (Parts-I to IV)	Methods of tests of burnt clay building bricks.
IS: 3812	Specification for fly ash, for use as pozzolana and admixture.
IS: 4031	Methods of physical tests for hydraulic cement.
IS: 4032	Methods of chemical analysis of hydraulic cement.
IS: 4082	Recommendations on stacking and storage of construction materials at site.
IS: 8112	Specification for 43 grade ordinary portland cement.
IS: 8500	Medium and high strength structural steel.
IS: 12269	53 grade ordinary portland cement.

IS: 12894	Specification for Fly ash lime bricks.

Cast-In-Situ Concrete and Allied Works

IS: 280	Specification for mild steel wire for general engineering purposes.
IS: 456	Code of practice for plain and reinforced concrete.
IS: 457	Code of practice for general construction of plain & reinforced concrete for dams & other massive structures.
IS: 516	Method of test for strength of concrete.
IS: 650	Specification for standard sand for testing of cement.
IS: 1199	Methods of sampling and analysis of concrete.
IS: 1791	General requirements for batch type concrete mixers.
IS: 1838 (Part-I)	Specification for preformed fillers for expansion joints in concrete pavements and structures (non-extruding and resilient type).
IS: 2204	Code of practice for construction of reinforced concrete shell roof.
IS: 2210	Criteria for the design of reinforced concrete shell structures and folded plates.
IS: 2438	Specification for roller pan mixer.
IS: 2502	Code of practice for bending and fixing of bars for concrete reinforcement.
IS: 2505	General requirements for concrete vibrators, immersion type.
IS: 2506	General requirements for concrete vibrators, screed board type.
IS: 2514	Specification for concrete vibrating tables.
IS: 2645	Specification for Integral cement water proofing compounds.
IS: 2722	Specification for portable swing weigh batches for concrete. (single and double bucket type)
IS: 2750	Specification for Steel scaffolding.

IS: 2751	Code of practice for welding of mild steel plain and deformed bars for reinforced concrete construction.
IS: 3025	Methods of sampling and test waste water.
IS: 3366	Specification for Pan vibrators.
IS: 3370 (Part I to IV)	Code of practice for concrete structures for the storage of liquids.
IS: 3414	Code of practice for design and installation of joints in buildings.
IS: 3550	Methods of test for routine control for water used in industry.
IS: 3558 concrete.	Code of practice for use of immersion vibrators for consolidating
IS: 4014 (Parts I & II)	Code of practice for steel tubular scaffolding.
IS: 4326 of buildings.	Code of practice for earthquake resistant design and construction
IS: 4461	Code of practice for joints in surface hydro-electric power stations.
IS: 4656	Specification for form vibrators for concrete.
IS: 4925	Specification for batching and mixing plant.
IS: 4990	Specification for plywood for concrete shuttering work.
IS: 4995 (Parts I & II)	Criteria for design of reinforced concrete bins for the storage of granular and powdery materials.
IS: 5256	Code or practice for sealing joints in concrete lining on canals.
IS: 5525	Recommendations for detailing of reinforcement in reinforced concrete work.
IS: 5624	Specification for foundation bolts.
IS: 6461	Glossary of terms relating to cement concrete.

IS: 6494	Code of practice for water proofing of underground water reservoirs and swimming pools.
IS: 6509	Code of practice for installation of joints in concrete pavements.
IS: 7861	Code of practice for extreme weather concreting. (Parts I & II)
IS: 9012	Recommended practice for shot concreting.
IS: 9103	Specification for admixtures for concrete.
IS: 9417	Recommendations for welding cold worked steel bars for reinforced concrete construction.
IS: 10262	Recommended guidelines for concrete mix design.
IS: 11384	Code of practice for composite construction in structural steel and concrete.
IS: 11504	Criteria for structural design of reinforced concrete natural draught cooling towers.
IS: 12118	Specification for two-parts poly sulphide.
IS: 12200	Code of practice for provision of water stops at transverse contraction joints in masonry and concrete dams.
IS: 13311	Method of non-destructive testing of concrete.
Part-1	Ultrasonic pulse velocity.
Part-2	Rebound hammer.
SP:23	Handbook of concrete mixes
SP: 24	Explanatory Handbook on IS: 456-1978
SP: 34	Handbook on concrete reinforcement and detailing.

Precast Concrete Works

SP: 7(PartVI/	National Building Code- Structural design of prefabrication and Sec.7) systems building.

IS: 10297	Code of practice for design and construction of floors and roofs using precast reinforced/prestressed concrete ribbed or cored slab units.
IS: 10505	Code of practice for construction of floors and roofs using pre-cast reinforced concrete units.

Masonary and Allied Works

IS: 1905	Code of Practice for Structural Safety of Buildings-Masonry walls.
IS: 2212	Code of Practice for Brickwork.
IS: 2250	Code of Practice for Preparation and use of Masonry Mortar.
SP: 20	Explanatory handbook on masonry code.

Sheeting Works

IS:277	Galvanised steel sheets (plain or corrugated).
IS: 459	Unreinforced corrugated and semi-corrugated asbestos cement sheets.
IS: 513	Cold-rolled carbon steel sheets.
IS: 730	Specification for fixing accessories for corrugated sheet roofing.
IS: 1626	Specification for Asbestos cement building pipes and pipe fittings, gutters and gutter fittings and roofing fittings.
IS: 2527	Code of practice for fixing rain water gutters and down pipe for roof drainage.
IS: 3007	Code of practice for laying of asbestos cement sheets.
IS: 5913	Methods of test for asbestos cement products.
IS: 7178	Technical supply conditions for tapping screw.
IS: 8183	Bonded mineral wool.
IS: 8869	Washers for corrugated sheet roofing.

IS: 12093	Code of practice for laying and fixing of sloped roof covering using plain and corrugated galvanised steel sheets.
IS: 12866	Plastic translucent sheets made from thermosetting polyester resin (glass fibre reinforced).
IS: 14246	Specification for continuously pre-painted galvanised steel sheets and coils.

Fabrication and Erection of Structural Steel Work

IS: 2016	Specification for plain washers.
IS: 814	Specification for covered Electrodes for Metal Arc Welding for weld steel.
IS: 1852	Specification for Rolling and Cutting Tolerances for Hot rolled steel products.
IS: 3502	Specifications for chequered plate.
IS: 6911	Specification for stainless steel plate, sheet and strip.
IS: 3757	Specification for high strength structural bolts
IS: 6623	Specification for high strength structural nuts.
IS: 6649	High Tensile friction grip washers.
IS: 800	Code of practice for use of structural steel in general building construction.
IS: 816	Code of practice for use of Metal Arc Welding for General Construction.
IS: 4000	Code of practice for assembly of structural joints using high tensile friction grip fasteners.
IS: 9595	Code of procedure of Manual Metal Arc Welding of Mild Steel.
IS: 817	Code of practice for Training and Testing of Metal Arc Welders.

IS: 1811	Qualifying tests for Metal Arc Welders (engaged in welding structures other than pipes).
IS: 9178	Criteria for Design of steel bins for storage of Bulk Materials.
IS: 9006	Recommended Practice for Welding of Clad Steel.
IS: 7215	Tolerances for fabrication steel structures.
IS: 12843	Tolerance for erection of structural steel.
IS: 4353	Recommendations for submerged arc welding of mild steel and low alloy steels.
SP: 6 (Part 1 to 7)	ISI Handbook for structural Engineers.
IS: 1608	Method of Tensile Testing of Steel products other than sheets, strip, wire and tube.
IS: 1599	Method of Bend Tests for Steel products other than sheet, strip, wire and tube
IS : 228	Methods of chemical Analysis of pig iron, cast iron and plain carbon and low alloy steel.
IS : 2595	Code of Practice for Radio graphic testing.
IS : 1182	Recommended practice for Radiographic Examination of fusion welded butt joints in steel plates.
IS : 3664	Code of practice for Ultra sonic Testing by pulse echo method.
IS : 3613	Acceptance tests for wire flux combination for submerged Arc Welding.
IS : 3658	Code of practice for Liquid penetrant Flaw Detection.
IS : 5334	Code of practice for Magnetic Particle Flaw Detection of Welds.

Plastering and Allied Works

IS : 1635	Code of practice for field slaking of Building lime and preparation of putty.
IS : 1661	Application of cement and cement lime plaster finishes.
IS : 2333	Plaster-of-paris.
IS : 2402	Code of practice for external rendered finishes.
IS : 2547	Gypsum building plaster.
IS : 3150	Hexagonal wire netting for general purpose.

Acid and Alkali Resistant Lining

IS : 158	Ready mixed paint, brushing, bituminous, black, lead free, acid, alkali & heat resisting.
IS : 412	Specification for expanded metal steel sheets for general purpose.
IS : 4441	Code of practice for use of silicate type chemical resistant mortars.
IS : 4443	Code of practice for use of resin type chemical resistant mortars.
IS : 4456	Method of test for chemical resistant tiles. (Part I & II)
IS : 4457	Specification for ceramic unglazed vitreous acid resistant tiles.
IS : 4832	Specification for chemical resistant mortars.
	Part I Silicate type
	Part II Resin type
	Part III Sulphur type
IS : 4860	Specification for acid resistant bricks.
IS : 9510	Specification for bitumasitc, Acid resisting grade.

Water Supply, Drainage and Sanitation

IS : 458	Specification for concrete pipes.
IS : 554	Dimensions for pipe threads, where pressure tight joints are made on thread.
IS : 651	Specification for salt glazed stoneware pipes.
IS : 774	Flushing cisterns for water closets and urinals.
IS : 775	Cast iron brackets and supports for wash basins and sinks.
IS : 778	Copper alloy gate, globe and check valves for water works purposes.
IS : 781	Cast copper alloy screw down bib taps and stop valves for water services.
IS : 782	Caulking lead.
IS : 783	Code of practice for laying of concrete pipes.
IS : 1172	Basic requirements for water supply, drainage and sanitation.
IS : 1230	Cast iron rain water pipes and fittings.
IS : 1239	Mild steel tubes, tubulars and other wrought steel fittings.
IS : 1536	Centrifugally cast (Spun) iron pressure pipes for water, gas and sewage.
IS : 1537	Vertically cast iron pressure pipes for water, gas and sewage.
IS : 1538	Cast iron fittings for pressure pipe for water, gas and sewage.
IS : 1703	Ball valves (horizontal plunger type) including float for water supply purposes.
IS : 1726	Cast iron manhole covers and frames.
IS : 1729	Sand cast iron spigot and socket, soil, water and ventilating pipes, fittings and accessories.
IS : 1742	Code of practice for building drainage.

IS : 1795	Pillar taps for water supply purposes.
IS : 1879	Malleable cast iron pipe fittings.
IS : 2064	Code of practice for selection, installation and maintenance of sanitary appliances.
IS : 2065	Code of practice for water supply in building.
IS : 2326	Automatic flushing cisterns for urinals.
IS : 2470 (Part-I & II)	Code of practice for installation of septic tanks.
IS : 2501	Copper tubes for general engineering purposes.
IS : 2548	Plastic seat and cover for water-closets.
IS : 2556 (Part 1 to 15)	Vitreous sanitary appliances (vitreous china).
IS : 2963	Non-ferrous waste fittings for wash basins and sinks.
IS : 3114	Code of practice for laying of cast iron pipes.
IS : 3311	Waste plug and its accessories for sinks and wash basins.
IS : 3438	Silvered glass mirrors for general purposes.
IS : 3486	Cast iron spigot and socket drain pipes.
IS : 3589	Electrically welded steel pipes for water, gas and sewage (200mm to 2000mm nominal diameter).
IS : 3989	Centrifugally cast (Spun) iron spigot and socket soil, waste and ventilating pipes, fittings and accessories.
IS : 4111 (Part I to IV)	Code of practice for ancillary structure in sewerage system.
IS : 4127	Code of practice for laying of glazed stone-ware pipes.
IS : 4764	Tolerance limits for sewage effluents discharged into inland-surface waters.

IS : 4827	Electro plated coating of nickel and chromium on copper and copper alloys.
IS : 5329	Code of practice for sanitary pipe work above ground for buildings.
IS : 5382	Rubber sealing rings for gas mains, water mains and sewers.
IS : 5822	Code of practice for laying of welded steel pipes for water supply.
IS : 5961	Cast iron grating for drainage purpose.
IS : 7740	Code of practice for road gullies.
IS : 8931	Cast copper alloy fancy bib taps and stop valves for water services.
IS : 8934	Cast copper alloy fancy pillar taps for water services.
IS : 9762	Polyethylene floats for ball valves.
IS : 10446	Glossary of terms for water supply and sanitation.
IS : 10592	Industrial emergency showers, eye and face fountains and combination units.
IS : 12592	Specification for precast concrete manhole covers and frames.
IS : 12701	Rotational moulded polyethylene water storage tanks.
SP: 35	Handbook on water supply and drainage.
-	Manual on Sewerage and sewage treatment (Published by CPH & EEO) As updated.

Doors, Windows and Allied Works

IS : 204	Tower Bolts
Part-I	Ferrous metals.
Part-II	Nonferrous metals.
IS : 208	Door Handles.

IS : 281	Mild steel sliding door bolts for use with padlocks.
IS : 362	Parliament Hinges.
IS : 420	Specification for putty, for use on metal frames.
IS : 1003 Part-I door	Specification for timber panelled and glazed shutters- (Part-I) shutters.
IS : 1038	Steel doors, windows and ventilators.
IS : 1081	Code of practice for fixing and glazing of metal (steel and aluminium) doors, windows and ventilators.
IS : 1341	Steel butt hinges.
IS : 1361	Steel windows for industrial buildings.
IS : 1823	Floor door stoppers.
IS : 1868	Anodic coatings on Aluminium and its alloys.
IS : 2202 (Part-II)	Specification for wooden flush door shutters (solid core type); particle board face panels and hard board face panels
IS:2209	Mortice locks (vertical type).
IS:2553	Safety glass
IS:2835	Flat transparent sheet glass.
IS:3548	Code of practice for glazing in buildings.
IS:3564	Door closers (Hydraulically regulated).
IS : 3614	Fire check doors; plate, metal covered and rolling type.
IS:4351	Steel door frames.
IS:5187	Flush bolts.
IS:5437	Wired and figured glass
IS:6248	Metal rolling shutters and rolling grills.

IS:6315	Floor springs (hydraulically regulated) for heavy doors.
IS:7196	Hold fasts.
IS:7452	Hot rolled steel sections for doors, windows and ventilators.
IS:10019	Mild steel stays and fasteners.
IS:10451	Steel sliding shutters (top hung type).
IS:10521	Collapsible gates.

Roof Water Proofing and Allied Works

IS:1203	Methods of testing tar and bitumen.
IS:1322	Specification for bitumen felts for water proofing and damp proofing.
IS:1346	Code of practice for water proofing of roofs with bitumen felts.
IS:1580	Specification for bituminous compound for water proofing and caulking purposes.
IS:3067	Code of practice for general design details and preparatory work for damp proofing and water proofing of buildings.
IS:3384	Specification for bitumen primer for use in water proofing and damp proofing.

Floor Finishes and Allied Works

IS:1237	Specification for cement concrete flooring tiles.
IS:1443	Code of practice for laying and finishing of cement concrete flooring tiles.
IS:2114	Code of practice for laying in-situ terrazzo floor finish.
IS:2571	Code of practice for laying in-situ cement concrete flooring.
IS:3462	Specification for unbacked flexible PVC flooring.
IS:4971	Recommendations for selection of industrial floor finishes.

IS:5318	Code of practice for laying of flexible PVC sheet and tile flooring.
IS:8042	Specification for white portland cement.
IS:13801	Specification for chequered cement concrete flooring tiles.

Painting and Allied Works

IS:162	Specification for fire resisting silicate type, brushing, for use on wood, colour as required.
IS:1477	Code of practice for painting of ferrous metals in buildings.
Part-I	Pretreatment.
Part-II	Painting.
IS:1650	Specification for colours for building and decorative finishes.
IS:2074	Specification for red oxide-zinc chrome, priming, ready mixed paint air drying.
IS:2338	Code of practice for finishing of wood and wood based materials.
Part-I	Operations and workmanship
Part-II	Schedules
IS:2395	Code of practice for painting concrete, masonry and plaster surfaces.
Part-I	Operations and workmanship.
Part-II	Schedule.
IS:2524	Code of practice for painting of nonferrous metals in buildings.
Part-I	Pretreatment.
Part-II	Painting.
IS:2932	Specification of synthetic enamel paint, exterior, under-coating and finishing.
IS:2933	Specification enamel paint, under coating and finishing.
IS:4759	Code of practice for hot dip zinc coating on structural steel and other allied products.

IS:5410	Specification for cement paint
IS:5411 (Part-I)	Specification for plastic emulsion paint-for exterior use
IS:6278	Code of practices for white washing and colour washing.
IS:10403	Glossary of terms relating to building finishes.

Piling and Foundation

IS:1080	Code of practice for design and construction of simple spread foundations.
IS:1904	Code of practice for design and construction of foundations in Soils; General Requirements.
IS:2911	Code of practice for designs and construction of Pile foundations (Relevant Parts).
IS:2950 (Part-I)	Code of practice for designs and construction of Raft foundation.
IS:2974 (Part-I TO V)	Code of practice for design and construction of machine foundations.
IS:6403	Code of practice for determination of Allowable Bearing pressure on Shallow foundation.
IS:8009	Code of practice for calculation of settlement of foundation subjected to symmetrical vertical loads.
Part-I	Shallow foundations.
Part-II	Deep foundations.
IS:12070	Code of practice for design and construction of shallow foundations on rocks.
DIN:4024	Flexible supporting structures for machines with rotating machines.
VDI:2056	Criteria for assessing mechanical vibrations of machines.
VDI:2060	Criteria for assessing rotating imbalances in machines.

Stop Log and Trash Rack

IS:4622	Recommendations for fixed - wheel gates structural design.
IS:5620	Recommendations for structural design criteria for low head slide gates.
IS:11388	Recommendations for design of trash rack for intakes.

IS:11855	General requirements for rubber seals for hydraulic gates.
Roads	
IRC:5	Standard specifications and Code of practice for road bridges, section-I general Features of Design.
IRC:14	Recommended practice of 2cm thick bitumen and tar carpets.
IRC:16	Specification for priming of base course with bituminous primers.
IRC:19	Standard specifications and code of practice for water bound macadam.
IRC:21	Standard specifications and Code of practice for road bridges, section-III - Cement concrete (plain and reinforced).
IRC:34	Recommendations for road construction in waterlogged areas.
IRC:36	Recommended practice for the construction of earth embankments for road works.
IRC:37	Guidelines for the Design of flexible pavements.
IRC:56	Recommended practice for treatment of embankment slopes for erosion control.
IRC:73	Geometric design standards for rural (non-urban) highways.
IRC:86	Geometric Design standards for urban roads in plains.
IRC:SP:13	Guidelines for the design of small bridges & culverts.
IRC - Public-	Ministry of Surface Transport (Roads Wing), Specifications
ation	for road and bridge works.
IS:73	Specification for paving bitumen
Loadings	
IS:875	Code of practice for design loads other than earthquake) for
(Pt. I to V)	buildings and structures.
IS:1893	Criteria for earthquake resistant design of structures.
IS:4091	Code of Practice for design and construction of foundation for transmission line towers & poles.
IRC:6	Standard specifications & code of practice for road bridges, Section-II Loads and stresses.
M.O.T.	Deptt. of railways Bridge Rules.

Safety

IS:3696 (Part I & II)	Safety code for scaffolds and ladders.
IS:3764	Safety code for excavation work.
IS:4081	Safety code for blasting and related drilling operations.
IS:4130	Safety code for demolition of buildings.
IS:5121	Safety code for piling and other deep foundations.
IS:5916	Safety code for construction involving use of hot bituminous materials.
IS:7205	Safety code for erection on structural steelwork.
IS:7293	Safety code for working with construction machinery.
IS:7969	Safety code for handling and storage of building materials
IS:11769	Guidelines for safe use of products containing asbestos.

- Indian Explosives Act. 1940 as updated.

Architectural design of buildings

SP:7	National Building Code of India
SP:41	Handbook on functional requirements of buildings (other than industrial buildings)

Miscellaneous

IS:802 (Relevant parts)	Code of practice for use of structural steel in overhead transmission line towers.
IS:803	Code of practice for design, fabrication and erection of vertical mild steel cylindrically welded in storage tanks.
IS:10430	Creteria for design of lined canals and liner for selection of type of lining.
IS:11592	Code of practice for selection and design of belt conveyors.
IS:12867	PVC handrails covers.
CIRIA Publication	Design and construction of buried thin-wall pipes.

REFERENCE CODES AND STANDARDS FOR CONTROL AND INSTRUMENTATION

The design, manufacture, inspection, testing & installation of all equipment and system covered under this specification shall conform to the latest editions of codes and standards mentioned below and all other applicable VDE, IEEE, ANSI, ASME, NEC, NEMA, ISA AND Indian Standards and their equivalents.

Temperature Measurements

1. Instrument and apparatus for temperature measurement - ASME PTC 19.3 (1974).
2. Temperature measurement - Thermocouples ANSI MC 96.1 - 1982.
3. Temperature measurement by electrical Resistance thermometers - IS:2806.
4. Thermometer - element - Platinum resistance - IS:2848.

Pressure Measurements

1. a) Instruments and apparatus for pressure measurement - ASME PTC 19.2 (1964).

   b) Electonic transmitters BS:6447.
2. Bourdon tube pressure and vacuum gauges - IS:3624 - 1966.
3. Process operated switch devices (Pr. Switch) BS-6134.

Flow Measurements

Instruments and apparatus for flow measurements - ASME PTC 19.5 (1972) Interim supplement, Part-II.

Measurement of fluid flow in closed conduits - BS-1042.

Electronic Measuring Instrument & Control Hardware/ Software

1. Automatic null balancing electrical measuring instruments - ANSI C 39.4 (Rev. 1973): IS:9319.
2. Safety requirements for electrical and electronic measuring and controlling instrument - ANSI C 39.5 - 1974.
3. Compatability of analog signals for electronic industrial process instruments - ISA - S 50.1 (1982) ANSI MC 12.1 - 1975.
4. Dynamic response testing of process control instrumentation ISA - S 26 (1968).

5. Surge Withstand Capability (SWC) tests - ANSI C 37.90 a/IEEE-472 or suitable class of IEC-255-4 equivalent to ANSI C37.90a/IEEE-472.

6. Printed circuit boards - IPC TM - 650, IEC 326 C.

7. General requirement and tests for printed wiring boards - IS 7405 (Part-I) 1973.

8. Edge socket connectors - IEC 130-11.

9. Requirements and methods of testing of wire wrap terminations DIN 41611 Part-2.

10. Dimensions of attachment plugs & receptacles - ANSI C 73 - 1973 (Supplement ANSI C 73 a - 1980).

11. Direct acting electrical indicating instrument - IS:1248 - 1968 (R).

12. Standard Digital Interface for Programmable Instrumentation - IEEE-488.2 - 1990.

13. Information Processing Systems - Local Area Networks - Part 2 : Logical Link Control - IEEE-802.2 - 1989.

14. Standard for Local Area Networks : Carrier Sense Multiple Access with Collision Detection - IEEE-802.3 - 1985.

15. Supplements A, B, C and E to Carrier Sense Multiple Access with Collision Detection - IEEE-802.3 - 1988.

16. Standard for Local Area Networks : Token - Passing Bus Access Method - IEEE-802.4 - 1985.

17. Standard for Local Area Networks : Token - Ring Access Method and Physical Layer Specification - IEEE-802.5 - 1985.

18. IEEE Guide to Software Requirements Specifications - IEEE-830 - 1984.

19. Hardware Testing of Digital Process Computers - ISA RP55.1 - 1983.

20. Electromagnetic Susceptibility of Process Control Instrumentation - SAMA PMC 33.1 - 1978.

21. Interface Between the Data Terminal Equipment and Data Circuit - Terminating Equipment Employing Serial Binary Data Interchange - EIA-232-D-1987.

22. Electromagnetic Compatibility for Industrial Process Measurement and Control Equipment, Part 3 : Radiated Electromagnetic Field Requirements - IEC 801-3-1984.

Instrument Switches and Contact

1. Contact rating - AC services NEMA ICS 2 - 1978 (with revision through May 1983), Part - 2-125, A6000.
2. Contact rating - DC services NEMA ICS 2-1978 Part-2 125, N600.

Enclosures

1. Type of Enclosures - NEMA ICS Part - 6 - 1978 (with Rev. 1 4/80) through 110.22 (Type 4 to 13).
2. Racks, panels and associated equipment - EIA : RS - 310 C- 1983 (ANSI C 83.9 - 1972).
3. Protection class for Enclosures, cabinets, control panels & desks - IS:2147 - 1962.

Apparatus, enclosures and installation practices in hazardous area

1. Classification of hazardous area - NFPA 70 - 1984, Article 500.
2. Electrical Instruments in hazardous dust location - ISA - 512.11, 1973.
3. Instrinsically safe apparatus - NFPA 493 1978.
4. Purged and pressurised enclosure for electrical equipment in hazardous location - NFPA 496-1982.
5. Enclosures for Industrial Controls and Systems - NEMA IS 1.1 - 1977.

Sampling System

1. Stainless steel material of tubing and valves for sampling system - ASTMA 296-82, Grade 7 P 316.
2. Submerged helical coil heat exchangers for sample coolers ASTM D11 92-1977.
3. Water and steam in power cycle - ASME PTC 19.11.
4. Standard methods of sampling system - ASTM D 1066-99.

Annunciators

1. Specifications and guides for the use of general purpose annunciators - ISA S 19.1, 1979.
2. Surge withstand capability tests - ANSI C 37.90a - 1989/IEEE-472 or suitable class of IEC 255-4 equivalent to ANSI C37.90a 1989/IEEE-472

3. Damp heat cycling test - IS:2106

4. Specification for Electromagnetic Susceptibility - SAMA DMC 33, 1/78

Protections

1. Relays and relay system associated with electric power apparatus. ANSI C 37.90, 1 - 1989.

2. General requirements & tests for switching devices for control and auxiliary circuits including contactor relays - IS:6875 (Part-I) - 1973.

3. Turbine water damage prevention - ASME TDP-1-1980.

4. Boiler safety interlocks - NFPA Section 85 B - 1984, 85 C - 1991.

UPS System

1. Practices and requirements for semi-conductor power rectifiers - ANSI C 34.2, 1973.

2. Relays and relays system associated with electrical power apparatus - ANSI C 3.90 - 1983.

3. Surge withstand capability test - ANSI C 37.90 1 -1989.

4. Performance testing of UPS - IEC 146.

5. Stationary cells & Batteries Lead Acid type (with tubular positive plates) specification IS-1651-1991.

6. Recommended practice for sizing large lead storage batteries for generating stations & sub-stations - IEEE-485-1985.

7. Printed Circuit Board - IPC TM 650, IEC 326C.

8. General Requirements & tests for printed wiring boards, IS:7405 (Part-I) 1973.

Control Valves

1. Control valve sizing - Compressible & Incompressible fluids - ISA S 75.01-1985.

2. Face to face dimensions of control valves - ANSI B 16.00 - 1973.

3. ISA Hand Book of Control Valves - (ISBN : B: 1047-087664-234-2).

4. Codes for pressure piping - ANSI B 31.1

5. Control Valve leak class - ISA RP 39.6

Process Connection & Piping

1. Codes for pressure piping "power piping" - ANSI B 31.1.
2. Seamless carbon steel pipe ASTM - A - 106.
3. Forged & Rolled Alloy steel pipe flanges, forged fittings and valves and parts - ASTM - A - 182.
4. Material for socket welded fittings - ASTM - A - 105.
5. Seamless ferritic alloy steep pipe - ASTM - A - 335.
6. Pipe fittings of wrought carbon steel and alloy steel - ASTM - A - 234.
7. Composition bronze of ounce metal castings - ASTM - B - 62.
8. Seamless Copper tube, bright annealed - ASTM - B - 168.
9. Seamless copper tube - ASTM - B - 75.
10. Dimension of fittings - ANSI - B - 16.11.
11. Valves flanged and butt welding ends - ANSI - B - 16.34.

Instrument Tubing

1. Seamless carbon steel pipe - ASTM - A 106.
2. Material of socketweld fittings - ASTM - A105.
3. Dimensions of fittings - ANSI - B - 16.11.
4. Code for pressure piping, welding, hydrostatic testing - ANSI B 31.1.

Cables

1. Thermocouples extension wires/cables - ANSI MC 96.1 - 1992.
2. Requirements for copper conductor-Wiring cables for telecommunications & information processing system - VDE:0815.
3. Colour coding of single or multi-pair cables - ICEA - S - 61-402 (third edition) NEMA WCS - 1979 with revisions thorugh 2/83.
4. Insulation & Sheathing compounds for cables : VDE 0207 (Part-4, 5 & 6).
5. Guide design and installation of cable systems in power generating stations (insulation, jacket materials) - IEEE Std. 422-1977.
6. Rules for Testing insulated cables and flexible cables : VVDE - 0472

7. Requirements of vertical flame propagation test - IEEE 383 - 1974 (R 1980)

8. Standard specification for tinned soft or annealed copper wire for electrical purpose - ASTM B-33-81.

9. Oxygen index and temperature index test - ASTM D - 2863.

10. Smoke density measurement test - ASTMD - 2843.

11. Acid gas generation test - IEC - 754 - 1.

12. Swedish Chimney test - SEN - 4241475 (F3).

13. Teflon (FEP) insulation & sheath test - ASTMD - 2116.

14. Thermocouple compensating cables - Testing requirements & sampling plan IS:8784.

15. PVC insulated electric cables for working voltage upto and including 1100 V - IS:1554 (Part-I).

Cable Trays, Conduits

1. Guide for design and installation of cable systems in power generating staiton (Cable trays, support systems, conduits) - IEEE Std. 422, 1977, NEMA VE-1 1979, NFPA 70-1984.

2. -do- Test Standards. NEMA VE-1-1979.

3. Zinc coating "hot dip" on assembled products for galvanising of carbon steel cable trays - ASTMA - 386-78.

Public Address System

1. Specifications for lod speakers - IS:7741 (Part-I, II and III)

2. Code of safety requirement for electric mains operated audio amplifiers - IS:1301

3. Specification for Public Address Amplifiers - IS:10426.

4. Code of practice for outdoor installation of PA system - IS:1982.

5. Code of practice for installation for indoor amplifying and sound distribution system - IS:1881.

6. Basic environmental testing procedures for electronic and electrical items - IS:9000.

7. Characteristics and methods of measurements for sound system equipment - IS:9302

8. Code of practice of electrical wiring installations (System voltage not exceeding 650 volts) - IS:732
9. Rigid steel conduits for electric wiring - IS:9537 (Part-I and II)
10. Fittings for rigid steel conduits for electrical wiring - IS:2667
11. Degree of protection provided by enclosure for low voltage switchgear and control gear - IS:2147.

Vibration Monitoring System

1. API 670 - 1994
2. BS : 4675 Part-2

ANNEXURE-III

एनटीपीसी NTPC	Project : Stage :: Package : Supplier : Contractor No. :	LIST OF ITEMS REQUIRING QUALITY PLAN AND SUB-SUPPLIER APPROVAL SUB-SYSTEM :	DOC. NO.: REV. NO.: DATE : PAGE : OF

S. N.	Item	QP/ Insp. Cat.	QP No.	QP Sub. Schedule	QP approval schedule	Proposed sub-supplier	Place	Sub-suppliers approval status / category	Sub-supplier Details submission schedule	Remarks

LEGENDS

SYSTEM SUPPLIER/SUB-SUPPLIER APPROVAL STATUS CATEGORY (SHALL BE FILLED BY NTPC)

A – **For these items proposed vendor is acceptable to NTPC. To be indicated with letter "A" in the list alongwith the condition of approval, if any.**

DR – **For these items "Detailed required" for NTPC review. To be identified with letter "DR" in the list.**

NOTED – **For these items vendors are approved by Main Supplier and accepted by NTPC without specific vendor approval from NTPC. To be identified with "NOTED.'**

QP/INSPN CATEGORY:

CAT-I **: For these items the Quality Plans are approved by NTPC and the final acceptance will be on physical inspection witness by NTPC.**

CAT-II **: For these items the Quality Plans approved by NTPC. However no physical inspection shall be done by NTPC. The final acceptance by NTPC shall be on the basis review of documents as per approved QP.**

CAT-III **: For these items Main Supplier approves the Quality Plans. The final acceptance by NTPC shall be on the basis certificate of conformance by the main supplier.**

UNITS/WORKS **: Place of manufacturing Place of Main Supplier of multi units/works.**

ANNEXURE-IV

एनटीपीसी NTPC	Project : Package : Contractor : Contractor No. :	Stage ::	STATUS OF ITEM REQUIRING QP& SUB-SUPPLIER APPROVAL

DOC. NO.:
REV. NO.:
DATE :
PAGE : OF

S. N.	Item / Service	QP/ Insp. Cat.	QP Sub. Schedule Approval schedule	Date of sub-mission	Date of commt Appl.	Status Code C/II/I	Proposed Sub-suppliers	Place of manufacturing works	Approval Status	Sub-supplier detail submission schedule	Remarks

FORMAT 1/1 Engg. Div. / QA&I

ANNEXURE-V

एनटीपीसी NTPC	Project : Stage : Contractor : Contractor No. : System :	FIELD WELDING SCHEDULE (To be raised by the contractor) Welding Code: ……	DOC. NO.: REV. NO.: DATE : PAGE : OF

Sl. No.	DRG No. for Weld Location and Identification mark	Description of parts to welded	Matl. Spec.	Dimensions		Process of welding	Type of Weld	Electrode filler spec.	WPS. No.	Min. pre-heat	Heat treatment		NDT method/ Quantum	REF		Remarks
											Temp.	Holding time		Spec. No.	ACC Norm Ref.	

NOTES:

SIGNATURE

FORMAT	1/1	**Engg. Div. / QA&I**

Annexure-VII

CORPORATE QUALITY ASSURANCE/ कॉरपोरेट गुणवत्ता आश्वासन

MAIN CONTRACTOR'S PROPOSAL CUM EVALUATION REPORT

मुख्य संविदाकार प्रस्ताव सह मूल्यांकन रिपोर्ट

Ref No: संदर्भ सं.:			***Date:*** तिथिः		
i.	***Main Contractor*** मुख्य संविदाकार				
ii.	***Project*** परियोजना				
iii.	***Package Name*** पैकेज का नाम		***Package No*** पैकेज सं.		
iv.	***Proposed Item/Scope of Sub-contracting*** उप-संविदा(अनुबंध) का प्रस्तावित मद/ दायरा				
v.	***Item covered under*** *निम्नलिखित के अंतर्गत शामिल मद*	***Schedule-1*** */अनुसूची- 1*		***As per contract clause No-*** *अनुबंध के अनुसार खंड सं.--* -	
		Schedule-2 *अनुसूची- -2*			
vi.	***If item is Schedule-1 and proposed sub-vendor is indigenous, Main Contractor to explain how the contractual provisions will be fulfilled*** /यदि मद अनुसूची -1 है और प्रस्तावित उप-विक्रेता स्वदेशी है, तो मुख्य संविदाकार को स्पष्ट करना होगा कि संविदा/अनुबंध के प्रावधान कैसे पूरे किए जाएंगे				
vii.	***Name and Address of the proposed Sub-vendor's works*** /प्रस्तावित सब-वेंडर का नाम तथा पता				
viii.	***PO placement date/ Start of manufacturing (if self-manufactured) as per L2 network*** **पीओ नियोजन की तिथि / एल-** 2 **नेटवर्क के अनुसार विनिर्माण (यदि स्व-निर्मित है) की शुरुआत**				
ix.	***Item Description (Type/Size/Rating/Scope of Sub-Contracting)*** मद का विवरण (प्रकार / आकार / रेटिंग / उप-अनुबंध का दायरा)	***Total quantity of proposed item envisaged in this package (Nos/ Running Meters/ Kgs/ Tons etc)*** इस पैकेज में परिकल्पित प्रस्तावित मद की कुल मात्रा (संख्या / क्रियाशील मीटर /	***Quantity proposed to be procured from proposed sub-vendor (Nos/ Running Meters /Kgs /Tons etc)*** प्रस्तावित उप-विक्रेता (संख्या / क्रियाशील मीटर / किलोग्राम / टन आदि) से खरीदी जाने वाली मात्रा	***Timeline for quantity requirements as per project schedule & whether the proposed Sub-vendor equipped with adequate capacity to supply proposed order quantity in time*** / परियोजना समय सूची के अनुसार मात्रा आवश्यकताओं के लिए समय-सीमा और क्या प्रस्तावित उप-विक्रेता समय पर प्रस्तावित मांग की मात्रा की आपूर्ति करने में पूरी तरह से सक्षम है	
x.	***Supply experience of the proposed sub-vendor (including supplies to Main Contractor, if any) for similar item/scope of sub-contracting, for last 3 years (Note:- Only relevant experience details w.r.t. proposed item/scope of subcontracting to be brought out here)*** पिछले 3 वर्षों के लिए उप-अनुबंध के समान मद / दायरे के लिए प्रस्तावित सब-वेंडर (मुख्य संविदाकार				

हेतु आपूर्ति, यदि कोई हो, सहित) का आपूर्ति अनुभव (नोट: - उप-अनुबंध के प्रस्तावित मद / दायरे के संबंध में केवल प्रासंगिक अनुभव के विवरण का उल्लेख हो

Project/Package परियोजना/पैकेज	***Customer Name*** ग्राहक का नाम	***Supplied Item (Type/Rating/Model /Capacity/Size etc)*** आपूर्त्तित मद (प्रकार/रेटिंग /मॉडल /क्षमता/आकार आदि)	***PO ref no/date*** पीओ संदर्भ सं. /तिथि	***Supplied Quantity*** आपूर्ति की मात्रा	***Date of Supply*** आपूर्त्ति की तिथि

We confirm that as per our physical assessment, the proposed sub-vendor has requisite capabilities & supply experience and is suitable for supplying the proposed item/scope of sub-contracting/हम अपने आकलन के अनुसार इस बात की पुष्टि करते हैं कि, प्रस्तावित उप-विक्रेता के पास अपेक्षित क्षमता और आपूर्ति करने का अनुभव है और उप-अनुबंध के दायरे /प्रस्तावित मद की आपूर्ति के लिए उपयुक्त है।

Name: नाम:		***Desig:*** पद:		***Contact No:*** दूरभाष सं.:		***Sign:*** हस्ताक्षर:		***Date:*** तिथि:	

Company's Seal/Stamp:- कंपनी का मुहर:-

CORPORATE QUALITY ASSURANCE/ कॉरपोरेट गुणवत्ता आश्वासन

SUB-VENDOR QUESTIONNAIRE/ सब-वेंडर प्रश्नावली

i.	***Item/Scope of Sub-contracting*** **उप-संविदा(अनुबंध) का मद/ दायरा**			
ii.	***Address of the registered office*** पंजीकृत कार्यालय का पता		***Details of Contact Person*** संपर्क व्यक्ति का विवरण ***(Name, Designation, Mobile, Email)*** (नाम, पदनाम, मोबाइल, ईमेल)	
iii.	***Name and Address of the proposed Sub-vendor's works where item is being manufactured*** प्रस्तावित उप-विक्रेता के कार्यों का नाम और पता, जहां मद का निर्माण किया जा रहा है		***Details of Contact Person:*** संपर्क व्यक्ति का विवरण ***(Name, Designation, Mobile, Email)*** (नाम, पदनाम, मोबाइल, ईमेल)	
iv.	***Annual Production Capacity for proposed item/scope of sub-contracting*** **उप-संविदा(अनुबंध)** के प्रस्तावित मद / दायरे के लिए वार्षिक उत्पादन क्षमता			
v.	***Annual production for last 3 years for proposed item/scope of sub-contracting*** **उप-संविदा(अनुबंध)** के प्रस्तावित मद / दायरे के लिए पिछले 3 वर्षों का वार्षिक उत्पादन			
vi.	***Details of proposed works*** **प्रस्तावित कार्यों का विवरण**			
1.	***Year of establishment of present works*** वर्तमान फैक्टरी की स्थापना का वर्ष			
2.	***Year of commencement of manufacturing at above works*** उपरोक्त फैक्टरी में निर्माण कार्य शरू होने का वर्ष			
3.	***Details of change in Works address in past (if any*** पूर्व में फैक्टरी स्थल में परिवर्तन का विवरण (यदि कोई हो))			
4.	***Total Area*** कल क्षेत्र			
	Covered Area शामिल क्षेत्र			
5.	***Factory Registration Certificate*** फैक्टरी पंजीकरण प्रमाण पत्र		***Details attached at Annexure – F2.1*** विवरण अनलग्नक- एफ 2.1 पर संलग्न है	
6.	***Design/ Research & development set-up*** डिजाइन / अनुसंधान और विकास सेटअप ***(No. of manpower, their qualification, machines & tools employed etc.)*** (श्रमिकों की संख्या, उनकी योग्यता, मशीन और उपलब्ध उपकरण आदि)		***Applicable / Not applicable if manufacturing is as per Main Contractor/purchaser design)*** ***Details attached at Annexure – F2.2*** ***(if applicable)*** लागू / लागू नहीं, अगर विनिर्माण मुख्य संविदाकार / खरीददार के डिजाइन के अनुसार है) विवरण अनुलग्नक –एफ 2.2 पर संलग्न है । (यदि लागू हो)	
7.	***Overall organization Chart with Manpower Details***		***Details attached at Annexure – F2.3*** विवरण अनलग्नक – F2.3 में संलग्न है ।	

	(Design/Manufacturing/Quality etc) मैनपावर विवरण के साथ समग्र संगठन का चार्ट(डिजाइन / विनिर्माण / गुणवत्ता आदि)	
8.	***After sales service set up in India, in case of foreign sub-vendor(Location, Contact Person, Contact details etc.)*** भारत में बिक्री सेवा की स्थापना के बाद, विदेशी उप-विक्रेता के मामले में(स्थल , संपर्क व्यक्ति, संपर्क विवरण आदि)	***Applicable / Not applicable*** लागू / लागू नहीं ***Details attached at Annexure – F2.4*** विवरण अनलग्नक -2.4 पर संलग्न है ।
9.	***Manufacturing process execution plan with flow chart indicating various stages of manufacturing from raw material to finished product including outsourced process, if any*** फ्लोचार्ट सहित विनिर्माण प्रक्रिया निष्पादन योजना , जिसमें आउटसोर्स प्रक्रिया, यदि कोई हो, सहित कच्चे माल से तैयार उत्पाद तक विनिर्माण के विभिन्न चरणों को दर्शाया गया हो,	***Details attached at Annexure – F2.5*** विवरण अनुलग्नक - F2.5में संलग्न है ।
10.	***Sources of Raw Material/Major Bought Out Item*** कच्चे माल के स्रोत / खरीदे हए मख्य मद	***Details attached at Annexure – F2.6*** विवरण अनलग्नक - F2.6में संलग्न है।
11.	***Quality Control exercised during receipt of raw material/BOI, in-process , Final Testing, packing*** कच्चे माल / खरीदे हुए मद, प्रक्रियाबद्ध, अंतिम परीक्षण, पैकिंग करते समय गुणवत्ता नियंत्रण	***Details attached at Annexure – F2.7*** विवरण अनुलग्नक - F2.7 पर संलग्न है
12.	***Manufacturing facilities (List of machines, special process facilities, material handling etc.)*** विनिर्माण सुविधा(मशीनों की सूची , विशेष प्रक्रिया सुविधाएं, सामग्री रख-रखाव आदि)	***Details attached at Annexure – F2.8*** विवरण अनुलग्नक - F2.8में संलग्न है ।
13.	***Testing facilities (List of testing equipment)*** परीक्षण सुविधाएं(परीक्षण उपकरण की सूची)	***Details attached at Annexure – F2.9*** विवरण अनलग्नक - F2.9 में संलग्न है ।
14.	***If manufacturing process involves fabrication then-*** यदि निर्माण प्रक्रिया में फेब्रिकेशन की गई है तो-	***Applicable / Not applicable*** लागू / लागू नहीं ***Details attached at Annexure – F2.10*** विवरण अनुलग्नक - F2.10में संलग्न है। ***(if applicable)*** लागू / लागू नहीं
	List of qualified Welders पात्र वेल्डर की सची	
	List of qualified NDT personnel with area of specialization विशेषज्ञता के क्षेत्र सहित पात्र एनडीटी कार्मिकों की सूची	
15.	***List of out-sourced manufacturing processes with Sub-Vendors' names & addresses*** सब-वेंडर द्वारा बाह्य स्रोतों (उनके नाम और पते सहित)से करवाएं गए निर्माण प्रक्रियाओं की सूची	***Applicable / Not applicable*** लागू / लागू नहीं ***Details attached at Annexure. –F2.11*** विवरण अनुलग्नक - F2.10में संलग्न है। ***(if applicable)*** (यदि लागू हो)
16.	***Supply reference list including recent supplies*** नवीनतम आपूर्ति सहित आपूर्ति संदर्भ सूची	***Details attached at Annexure – F2.12*** विवरण अनुलग्नक - F2.12 में संलग्न है । ***(as per format given below)*** (नीचे दिए गए प्रारूप के अनुसार)

एनटीपीसी NTPC	**CORPORATE QUALITY ASSURANCE/ कॉरपोरेट गुणवत्ता आश्वासन** **SUB-VENDOR QUESTIONNAIRE/ सब-वेंडर प्रश्नावली**

Project/ package परियोजना /पैकेज	*Customer Name* ग्राहक का नाम	*Supplied Item (Type/Rating/Model /Capacity/Size etc)* आपूर्ति की गई वस्तु (प्रकार / रेटिंग / मॉडल / क्षमता / आकार आदि)	*PO ref no/date* पीओ संदर्भ सं. / तिथि	*Supplied Quantity* आपूर्ति की मात्रा	*Date of Supply* आपूर्ति की तारीख

17.	***Product satisfactory performance feedback letter/certificates/End User Feedback*** उत्पाद के संतोषजनक प्रदर्शन संबंधी फीडबैक पत्र / प्रमाण पत्र / अंतिम उपयोगकर्ता फीडबैक	***Attached at annexure - F2.13*** अनुलग्नक F2. 3पर संलग्न है
18.	***Summary of Type Test Report (Type Test Details, Report No, Agency, Date of testing) for the proposed product (similar or higher rating)*** प्रस्तावित उत्पाद (एक समान या उच्च रेटिंग वाले) के लिए टाइप टेस्ट रिपोर्ट (टाइप टेस्ट विवरण, रिपोर्ट संख्या, एजेंसी, जांच की तारीख) का सारांश नोट: - रिपोर्ट प्रस्तुत करने की आवश्यकता नहीं है ***Note:- Reports need not to be submitted***	***Applicable / Not applicable*** लागू / लागू नहीं ***Details attached at Annexure – F2.14*** विवरण अनुलग्नक - F2.1 4में संलग्न है ***(if applicable)*** (यदि लागू हो)
19.	***Statutory / mandatory certification for the proposed product*** प्रस्तावित उत्पाद के लिए वैधानिक / अनिवार्य प्रमाणीकरण	***Applicable / Not applicable*** लागू / लागू नहीं ***Details attached at Annexure – F2.15 (if applicable)*** (यदि लागू हो)
20.	***Copy of ISO 9001 certificate*** आईएसओ 9001 प्रमाण पत्र की प्रति ***(if available***(यदि उपलब्ध हो)	***Attached at Annexure – F2.16*** अनुलग्नक में संलग्न - F2.1 6 है
21.	***Product technical catalogues for proposed item (if available)*** प्रस्तावित मद के लिए उत्पाद तकनीकी कैटलॉग (यदि उपलब्ध हो)	***Details attached at Annexure – F2.17*** विवरण अनुलग्नक - F2.1 7 में संलग्न है

Name: ***नाम:***		***Desig:*** ***पद:***		***Sign:*** ***हस्ताक्षर:***		***Date:*** ***तिथि:***	

Company's Seal/Stamp:- कंपनी की मुहर / मोहर: -

QA&I Modalities

1.0 Sub-Contractors/ Sub-Vendors/ Sub-Suppliers:

1.1 Any sub-vendor (in addition to Indicative Vendor List E-60 part of bid document) suggested by bidder except the sub-vendor from land border sharing countries shall be treated under DR (Details Required) category, if required. But the number of sub vendors in DR category shall be decided on mutually agreed basis during post award discussions. For the approval of any new sub-vendor, please refer clause no.22.17.00. For the proposal of sub-vendors from land border sharing countries, Bidder shall ensure the compliance of GOI circulars and shall submit such sub-vendor proposal to owner QA&I Consultant for review & acceptance. (Please refer GTR clause no 40.00.00). In addition to above, for certain System/ Items covered in Technical Specifications, where Sub-QR (Qualifying requirements) are specified, bidder shall confirm that firm purchase order to the Sub Vendors selected/ shortlisted by them for these items/ systems, will only be placed after acceptance by owner/owner Consultant of the concerned Sub Vendors meeting the specified qualifying requirements.

1.2 For the proposals where status of proposal is in "DR" category (details required), as owner QA&I Consultant does not have any past experience with them) in the above mentioned list, Bidder shall furnish the complete details of such proposals, in owner QA&I Consultant Formats , in time bound manner, so as not to impede the progress of the Project/ Works. For details please refer clause no 22.17.00.

1.3. Bidder shall furnish the required details, as detailed out in 1.2 above, of the proposed Manufacturer/ Sub-Vendor, along with their own detailed recommendations, in the owner QA&I Consultant -formats. proposals/ details shall be received only up to 3 months prior to ordering date of the concerned item (L-2 Network/ BOI Schedule), for owner QA&I Consultant review and assessment. Bidder may accordingly plan the submissions.

1.4 Bidder to confirm that the list of Items/ BOI includes all major Items/ BOIs required in their scope of work/ supply. If any Item/ BOI is left out or gets included during detailed engineering, Bidder shall propose the Manufacturers/Sub-Vendors, prior to initiating the procurement action. In such cases also, proposals, with details given in 1.2 above, shall be forwarded in time bound manner, within time limits given in 1.1 & 1.3 above.

1.5 It is understood that in terms of provisions of Cl. 19.1 of GCC (General Conditions of Contract), in case bidder opts for additional Sub - Vendor proposals, over & above the indicative sub vendor list herein (part of bid document), may be given, within sufficient time, so as not to impede the progress of the work. Accordingly, all such proposals along with required details (as given in 1.2 above), shall be received only up to 3 months prior to ordering date of the concerned item/ Scheduled start of the Manufacture of Self Manufactured Item, for owner QA&I Consultant review and assessment.

1.6 It is agreed that wherever "Main Contractor approved Sources" have been mentioned in the Indicative Vendor List (part of bid document), Bidder shall submit to owner QA&I Consultant, the copies of unpriced Purchase Order, on the specific Manufacturer, from whom supply is intended to be made, to enable owner QA&I Consultant to plan for Surveillance Audit of the manufacturer, if desired, prior to issue of Dispatch Clearance of the concerned item.

1.7 Bidder has to furnish System Supplier proposals for various Sub-Systems which are termed as Level-I Vendors. Further, Manufacturer/ Sub-vendor proposals for major items/ components under these systems, are not yet furnished, as the same would Page 2 of 7 depend on Level-I vendor shortlisted by bidder for such systems. It is agreed that sub vendor proposals for such items/ components (Level-II vendors) shall be made by bidder to owner QA&I Consultant with complete sub vendor details, in such a manner that the proposals can be finalised after award of contract by bidder on Level-I Vendor. It is

understood that schedule of such Sub-vendor proposals shall be in accordance with the Project schedule (L-2 Network/ BOI Schedule) taking into consideration the time required for processing sub vendor approvals, by owner QA&I Consultant, enumerated above.

1.8 In the Indicative Sub Vendor List (part of the bid document), against each Item/ Sub Vendor, the Category of Inspection is also indicated. Owner/owner QA&I Consultant reserves the right to conduct Surveillance Inspection/ Audit of the material, which are identified in Cat-II/ Cat-III, to verify the effectiveness of Quality System of bidder and conformance of the offered lot, to the applicable Standards/ requirements.

2.0 Welding: Bidder to ensure that they will submit to owner QA&I Consultant, their approved List of Make/ Brand of Electrodes/ Welding Consumables, to be used during welding at Site.

3.0 Bidder to ensure that for Schedule-I/ Schedule-II supplies, orders shall be placed suitably on approved Sub-Vendors' manufacturing location (Foreign/ Indigenous), keeping the Contractual requirements in view.

4.0 Bidder to ensure the requirements of QA Documentation as per GTR clause no.23.00.00 for its completeness and only thereafter submit to owner/owner QA&I Consultant.

5.0 Bidder shall furnish duly filled, below mention QA coordination procedure (QACP) during post award.

1. **SCOPE OF WORKS**:

a) **QUALITY ASSURANCE:** Review of main contractor's (and their proposed major sub-contractor's) detailed quality plan (MQP and FQP) including customer hold points for inspection. Review of manufacturer's test /inspection report and test certificates as per approved QP.

b) **INSPECTION SERVICES:** Witness of stage and final shop inspection /verification of documentation/ performance testing of major equipment as per approved QP and issuance of CHP and MDCC.

c) **VENDOR/SUB-VENDOR APPROVAL**: Review and approval of major sub contractors proposed by the Contractor through Owner shall be done by Owner QA&I-Consultant and the recommendations shall be forwarded to Owner**.** Finalization of inspection category of items being manufactured and supplied by Main Contractor and sub-vendors shall be done by Owner QA&I-Consultant.

2. SCOPE OF PROCEDURE:

a) The scope of this procedure is to explain and elaborate the scope of work of quality assurance & inspection, during the execution of service among Owner QA&I-Consultant (QA & Regional Inspection Offices), and their Main Contractor.

b) Items not covered in QP are CAT-III items. Such items & items identified as Cat-III in vendor list, shall be treated as non-inspection items and Certificate of Conformance (COC) shall be submitted to Owner QA&I-Consultant (QA & Regional Inspection Offices) for review.

3. **DOCUMENTATION TO BE PROVIDED BY OWNER / MAIN CONTRACTOR:** In order to ensure proper reference for approval of QP/QA documents, **Owner /** Main Contractor shall provide following documents to Owner QA&I-Consultant coordinator:

a) Letter of intent / award & complete set of contract documents and its amendments.

b) Master list of items requiring QP and Type test approval: shall be prepared by main contractor and approved by Owner QA&I-Consultant with intimation to **Owner**. Approved Drawings, Data-sheet, Specifications, etc. shall be provided to Owner QA&I-Consultant by main contractor/ **Owner** for inspection purpose.

4. SUBMISSION OF QUALITY PLAN FOR REVIEW, COMMENTS AND APPROVAL:

a) Transmittal (In soft) shall indicate the following:

   i. Name of the item/equipment & QP/Document Number as per master list.
   ii. Remarks / Special notes along with reference documents and norms.
   iii. QPs shall be submitted in the prescribed formats of Owner QA&I-Consultant.

b) All correspondence and submission of Quality Plan, Field Quality Plan and other documents shall be submitted in soft form pdf format through Dreams 2.0 indicating the identical Name & Number of QPs as mentioned in 'Master List of Documents' (MDL). Coordinator of Main Contractor shall arrange submission of Master list of QP documents (In Soft – Dreams 2.0) for various equipment, plant & systems to the Owner Consultant coordinator and/or **Owner.**

c) On review/ comments / approval of QP, Owner Consultant coordinator shall forward the same in PDF form (soft) only, to Main Contractor's coordinator in two weeks.

d) On review, each QP/document shall be categorized in one of the followings:
i. Category-I :- Approved

ii. Category-II: - Approved subject to incorporation of comments and to be resubmitted after incorporation of comments.

iii. Category-III: - Not approved. Please refer the comments in QP/document.

e) Considering the criticality of the project requirement, all out efforts shall be made by Main Contractor to re-submit the QPs/documents as early as possible but not later than 2 (two) weeks from the date of receipt of commented QP/documents from Owner QA&I-Consultant.

f) For MQPs and FQPs approved in Cat-II, the work can be proceeded subject to taking care of comments furnished on documents. However, these comments will be taken care of by Main Contractor while submitting the revised QP/documents for final approval in Cat-I along with their explanation, if any (highlighting all the changes).

g) Final inspection & clearance shall only be issued on approved drawings, Data sheets & QP (in Category-I).

5. COORDINATORS FOR COMMUNICATION: *(shall be tied up in Post Award)

a) **Owner** Coordinating Officer, Main Contractor's Coordinator, & Owner QA&I-Consultant–Coordinator shall be the focal points for ensuring smooth execution and monitoring of the contract.

b) **OWNER** COORDINATOR:

	Main Coordinator	**Alternate Coordinator**
Name		
Designation		
Address		
Contact No		
Email		

c) MAIN CONTRACTOR OVER ALL COORDINATOR:

	Main Coordinator	**Alternate Coordinator**
Name		
Designation		
Address		
Contact No		
Email		

d) OWNER QA&I-CONSULTANT COORDINATOR:

	Main Coordinator	**Alternate Coordinator**
Name		
Designation		
Address		
Contact No		

Email		

*(details of the table are to be filled during post award by the respective Coordinators)

6. **QA&I PROGRESS REPORTING:** Main Contractor's coordinator shall furnish on or before 12th of every calendar month progress report, highlighting QA&I activities in the reporting (previous) month, which shall contain the summary of QP/ documents submission and approval status for QP/ documents/sub vendor under approval to **Owner** / Owner QA&I-Consultant Coordinator for information. Major QA&I hold-ups shall be highlighted in the progress report.

7. CUSTOMER CO-ORDINATION MEETING:

a) To resolve and sort out various QA&I matters and outstanding issues structured contract co-ordination meeting (CCM) shall be held periodically between/among Main Contractor/Owner QA&I-Consultant/ **Owner** .

b) Main Contractor may arrange for the participation of his sub-vendors also, if required for the meeting to resolve their respective issues.

c) The venue for the meeting will be the office of **Owner** /Owner QA&I-Consultant /Main Contractor as decided on case-to-case basis. However, in case of a conflict in venue, **Owner)**/Owner QA&I-Consultant decision shall be final and binding.

d) Agenda for the CCM will be prepared by **Owner**/Owner QA&I-Consultant / Main Contractor and will be circulated at least seven (7) days in advance to all concerned parties. Minutes of Meeting (MOM) will be drafted by the agency at whose office the meeting is held and the same will be finalized and signed by all parties before close of the meeting.

8. CORRESPONDENCE:

a) All correspondences related to this project shall be among coordinators of **Owner,** Owner QA&I-Consultant & Main Contractor as indicated in point no: 5.0. Communications sent to Owner QA&I-Consultant coordinator shall also be sent to respective Task Force of Owner QA&I-Consultant.

b) Owner QA&I-Consultant **Regional Inspection Offices:** The list of Inspection Offices along with names and contact / communication details of the Heads of RIOs and the area of their jurisdiction is placed at https://qains.ntpc.co.in/inspection/.

9. DEVIATION / NON-CONFORMITY DISPOSITIONING:

a) If deviations are observed during inspection, same shall be recorded by Owner QA&I-Consultant inspector in inspection report. Main Contractor will submit their technical justification to Task Force of Owner QA&I-Consultant and Owner QA&I-Consultant shall provide technical recommendation to **Owner** for further technical resolution.

b) **Classification of deviations**: It would be required to classify a particular deviation as Major or Minor, which shall be done by Owner QA&I-Consultant applying following criteria:

i) MAJOR Non-conformities: Non-conformity is a "Major" non-conformity which prima-facie is likely to have bearing on the Performance, Reliability, Safety, Interchangeability, Maintainability, Working life of the material, equipment or service.

ii) MINOR Non-conformities: A non-conformity not categorized as 'Major' is considered as "Minor", i.e. deviation is with respect to the applicable drawings/applicable standards.

c) Dispositioning of Deviation / Non-Conformity:

i) MAJOR:

Major deviation dispositioning shall be done by the Owner QA&I-Consultant CQA/ Owner

If a deviation is characterized as "Major", the Main Contractor to submit a justification as to why the same can be accepted with due corrective and preventive action plan. Such justification shall be submitted to the Owner/ Owner QA&I-Consultant through Task Force enabling it to comment on the Main Contractor's justification/proposal for acceptance/rework/rejection.

ii) MINOR:

Dispositioning of MINOR deviations shall be done by Owner QA&I-Consultant RIO

Complete details of Main Contractor's justification for dispositioning of the deviation shall be sent by Main Contractor to Owner QA&I-Consultant -RIO for proceeding further. Owner QA&I-Consultant RIO would review the Main Contractor justification for dispositioning and either proceed further with acceptance decision or return the dispositioning to Main Contractor for reclassifying it as Major for dispositioning by Owner QA&I-Consultant - CQA/ Owner.

Format for "Non- Conformity Report for Manufacturing & Inspection Stages" is attached at Annexure-B.

10. **Type Tests (wherever applicable as per specification or approved QPs / Drawings):** Main Contractor shall ensure that type tests (wherever applicable) are duly approved/accepted by Owner/Owner's Engg Consultant before offering such item for inspection as per QP. Evidence of Type Test approval in such cases shall be furnished by Main Contractor, while raising inspection call.

11. RESPONSIBILITY FOR ISSUING MDCC:

Owner QA&I-Consultant Inspector shall issue MDCC in case of Cat-I and Cat-II items based on inspection. In case of Cat-III items OWNER QA&I-CONSULTANT shall issue MDCC directly based on COC of Main Contractor. MDCC shall be issued after checking of vendor approval status, BBU approval, and Type Test (if applicable). **Owner /** Main Contractor shall provide BBU approved by Owner to Owner QA&I-Consultant for issuing MDCC.

12. **INSPECTION PROCEDURE:** Inspection shall be carried out as detailed:

For Cat-I & II items: where physical inspection (Cat-I) and documents review (Cat-II) envisaged in approved QP by Owner QA&I-Consultant.

a) **INDIGENOUS SUPPLIES:**

After receiving calls in Owner QA&I-Consultant`s Inspection portal Windsor-X, respective RIO shall mark the call. for taking up inspection In case of Cat-I items, respective Regional Inspection Office (RIO) shall inform planning of inspection date to main contractor before taking up inspection.

- For Cat-II items, Main Contractor shall submit report after review of all documents as per approved QP to concerned RIO.
- Based on above, respective Owner QA&I-Consultant -RIO shall issue CHP/MDCC for Cat-I & Cat-II items.

b) **FOREIGN SUPPLIES:**

- In case of Cat-I items: Based on Main contractor proposal as per schedule indicated in clause no-13.d, accordingly owner QA&I-Consultant shall confirm the planning for the proposed inspection date to main contractor.
- For Cat-II items: Main Contractor shall submit report after review of all documents as per approved QP to Owner QA&I-Consultant-CQA.
- Based on above respective Owner Consultant -CQA shall issue CHP/MDCC for Cat-I & Cat-II items.

NOTE: Material inspected by RIO-A at the works of sub-contractor in their respective jurisdiction and dispatched to the works of the other sub-contractor for assembly or otherwise in the jurisdiction of RIO-B before final despatch to project site, shall be accorded despatch clearance on a CHP clearance report by RIO-A and the CHP of the completed item / equipment will be issued by RIO-B as per the approved BBU.

c) In case, only review of Vendor's inspection report / test certificates by Owner Consultant has been envisaged as per approved QP (inspection Category Cat-II), such reports shall be duly reviewed by Owner Consultant RIO, in whose jurisdiction manufacturer is located.

d) In case of items where QP has not been envisaged at all (inspection category Cat-III), such material shall be cleared and MDCC shall be issued by Owner QA&I-Consultant - RIO/CQA (for foreign supplies) on the basis of Certificate of Conformity.

e) In case of further processing of raw material / induction of material at the manufacturing works, the CHP clearance shall be issued by ~~issued by~~ respective RIO in the jurisdiction where the value addition is being done. In case of item directly dispatchable to site , CHP/MDCC shall be issued by Owner QA&I-Consultant -CQA.

13. **DOCUMENTATIONS / INPUTS BY MAIN CONTRACTOR:** Main Contractor shall ensure availability of duly approved documents / inputs (e.g. Drawings / Data-Sheets, / Type Test Procedures / Type Test Approvals, Quality Plan, Routine Test Procedures, Reference documents Codes, Standards, Specifications and Acceptance norms, etc.) at the place and time of inspection for reference of Inspection Engineers. Master list of Drawings, Datasheet, etc. shall also be made available.

a) **THREE MONTHLY ROLLING INSPECTION PLAN: To** facilitate advance planning of inspection of supplies, in addition to giving inspection notice at identified *CHP stages as per approved QP, Main Contractor Coordinator shall furnish three monthly rolling inspection program every month, indicating schedule dates of inspection at identified CHP stages. Such a program shall be updated each month. Such program shall be confirmed by specific inspection calls in accordance with Clause 12.

b) ***Definition of C.H.P.:** CHP "Customer Hold Point" ('W') is a stage identified by customer in Quality Plan, which is to be offered to customer or its authorized representative by the Vendors Supplier / Sub-supplier Contractor for witnessing, verification or review, beyond which work will not proceed without written consent of the Inspecting Authority. The report prepared by the Inspector is called "CHP Report".
Above three-monthly rolling inspections plan for Shop manufactured & BOIs shall be furnished directly to the respective Owner QA&I-Consultant -RIOs, and **Owner .**

c) **INSPECTION AT PACKAGE CONTRACTOR'S SUB-SUPPLIER:** Main Contractor's coordinator shall ensure that unpriced purchase order for the identified BOI where in Owner QA&I-Consultant/ **Owner** Inspection is required, as per the approved Quality Plan, the unpriced Purchase Order shall be suitably tied-up with their suppliers so that the suppliers offer the identified equipment for Owner QA&I-Consultant / **Owner** inspection for identified tests / checks. Purchase Order, with detailed Purchase Specification, Delivery conditions QP & reference codes and standards shall be made available at the place of inspection.

d) **Inspection Calls:** Main Contractor shall give inspection call to the respective Owner QA&I-Consultant -RIO in Windsor-X system and **Owner** Coordinators through email. For foreign inspection calls Main Contractor shall give inspection call in advance to Owner QA&I-Consultant-CQA (in Windsor-X system) and **Owner** Coordinators through e-mail as per following schedule:

i. Supplier of Indian origin : 15 working days
ii. Supplier of Foreign origin : Call will be raised in two stages
iii. Preliminary Inspection call : 45 days (through e-mail)
iv. Final Inspection call : 15 days (In Windsor-X System)

Inspection call format is placed at website https://qains.ntpc.co.in/inspection/.

e) **Inspection Call Entry on Owner QA&I-Consultant Inspection Website on Internet:** Main Contractor can enter the call to the respective RIO on internet on Owner QA&I-Consultant inspection website named as https://qains.ntpc.co.in/inspection/ through a user ID & password under the menu "Main Supplier". User ID and password has already been known to various Main Contractor units. Main Contractor will be allotted user ID and password.

f) **Owner** representative will witness (if desire) any or all inspections to be carried out by Owner QA&I-Consultant jointly. In case of non-availability of **Owner** representative, Owner QA&I-Consultant shall proceed for inspection as per schedule. In case of foreign inspection, Owner QA&I-Consultant shall proceed for inspection after confirmation from **Owner.**

g) **Co-ordination for Inspection Call:**

- Main Contractor shall raise inspection call mentioning all reference documents to the respective Owner QA&I-Consultant -RIO in Windsor-X system and **Owner** Coordinators through mail. For foreign inspection calls Main Contractor shall give inspection call to Owner QA&I-Consultant -CQA and **Owner** (in Windsor-X system) Coordinators and through email as well.

The list of various Owner QA&I-Consultant RIOs and their address along with their area of jurisdiction is placed at https://qains.ntpc.co.in/inspection/. The call shall include copy of relevant approved QP and Data Sheet, internal test / inspection report, as applicable etc.

- Main contractor representative / their authorized TPA (duly accepted to Owner QA&I-Consultant / **Owner** shall involve in inspection activity as per agreed documents.

- Further, Main Contractor shall be present during stage inspections along with Owner QA&I-Consultant / **Owner** representative and shall closely co-ordinate with Owner QA&I-Consultant-RIO/CQA for inspection of "In House" as well as "Bought Out Items". Wherever Main Contractor is to carry out the inspection prior to Owner QA&I-Consultant inspection, Main Contractor shall carry out the inspection and submit the inspection report along with their inspection call to Owner QA&I-Consultant and **Owner.**

- For the tests witnessed by Owner QA&I-Consultant / **Owner**, or when the factory tests at identified CHP stages, have been satisfactorily completed including computation of test results, wherever applicable, Owner QA&I-Consultant inspector shall sign jointly with Main Contractor / sub-vendor / authorized representative (as applicable per approved QP) on the CHP Clearance / Interim Inspection report.

- In case of deviations, Owner QA&I-Consultant's inspector shall convey the same in writing on the inspection report itself for clarification by sub-vendor / Main Contractor. The final disposition of the inspection deviation report may rest with **Owner,** subject to the criticality of the material, based on recommendation (technical) and probable effect of deviation by Owner QA&I-Consultant.

14. DELIVERABLES:

Documentation by Owner QA&I-Consultant's **RIO:** Owner QA&I-Consultant's Inspection report/CHPs can be viewed and downloaded from Owner QA&I-consultant inspection web site and hard copy will not be provided.

15. **Issue of Final CHP/MDCC/Inspection Report by** Owner QA&I-consultant's**:** The concerned Regional Inspection Office under whose jurisdiction the manufacturer is located, shall issue the Final CHP/MDCC after successful completion of testing / shop assembly including stage Inspection /Type tests, as required by the approved documents (approved Quality Plan, drawing / data sheet, as applicable), etc. at manufacturer's/ their sub-vendor's works, to Main Contractor.

METHODOLOGY FOR SAMPLING FOR TESTING OF REPAIRED WELD JOINTS :

Whenever the quantum of check in any NDT is other than 100%, the following guidelines for sampling/resampling procedure for NDT to be followed :

1) The group of welds for sampling shall be based on welding done by a welder in specified continuous time (say work done in a shift or in a day). For further analysis, acceptance or rejection, this group shall be treated as an entity.

2) From the above weld group, the selection of weld joint/weld spot shall be done by NTPC as per the quantum of check specified.

3) For acceptance of the weld group, all samples selected in this group should meet the acceptance norms. In case of any sample(s) beyond acceptance norms, the following actions shall be taken:

3.1 : Rectification of defective welds and re-testing of the repair.

3.2 Re-sampling by NTPC from the same group of welds, with quantum of NDT being double the originally specified quantum (with minimum 2 welds for every defective weld). In case of RT on T-joints, if the defect is found on L-seam done at manufacturing works of pipe produced as per IS 3589, pipe defects shall be rectified, and no re-sampling is envisaged.

4) In case of any weld from the re-sample as per 3.2 above found beyond acceptable norms, the following action shall be taken:

4.1) NDT of all welds of the group which were not tested in first and second samples.

4.2) Repair and re-testing of all defective welds.

4.3) Necessary action on process control and on welder for preventing recurrence.

5) For the purpose of sampling, the weld group shall be defined as number of welds in case of smaller diameter of tubes/pipes (or small welds on structures) while for very large diameter pipes e.g., CW piping or for vessels/long welds, the length of weld may be taken as basic unit. Sampling shall also be accordingly in terms of number of weld joints or length of weld.

6) From the time of readiness of weld group, suitable time limits shall be prescribed for first sampling testing, re-sampling, repairing, re-testing etc. (normally not more than 1 day's backlog should be piled up at every step).

Illustration: Radiography of welds: Welding completed on Day-1 should be tested by Day-2 and repair and re-sampling, of the group should be done by Day-3 and further testing/repair should be done by Day-4.

7) Sampling and re-sampling procedure shall be applicable for all NDT viz RT,UT,DPT,MP.

Note: In case of RT of tube welds with double wall image (elliptical view), number of exposures shall be as per relevant code/ plant standard and will not be less than 2 exposures for each weld.

NON- CONFORMITY REPORT FOR MANUFACTURING & INSPECTION STAGES

FOR NTPC USE ONLY

NC NO.(REFER NOTE 7):

DATE:

(This page to be filled in by Main Contractor)

CONTRACT NO :……

PACKAGE UNIT NO :……

MAIN CONTRACTOR :……

SUB-CONTRACTOR :……

PLACE OF MANUFACTURE:……

CATEGORY OF NON-CONFORMITY

(AS PER NOTE-2) **A** ☐ **B** ☐

DETAILS

ITEM DESCRIPTION:_____ DENTIFICATION NO._____

RANGE/SIZE/TYPE:_____ QUALITY PLAN:_____ CHP **NO:** & CLAUSE NO.

STAGE OF NON-CONFORMITY: ☐

DESIGN (I) / RAW MATERIAL (II) / ASSEMBLY (III)/ IN PROCESS (IV)-(SPECIFY)_____

STORAGE (V) / HANDLING (VI) / TESTING (VII) / ANY OTHER (VIII)-(SPECIFY)

NON-CONFORMITY-DESCRIPTION WITH CAUSE (Attach Relevant Drgs/Details)

PROPOSED DISPOSITION WITH JUSTIFICATION - (FOR CORRECTION)
(Attach details including design calculation, recommendations of qualified designer, if required)

DISPOSITIONING CODE ☐

(AS PER NOTE-6)

STEPS TO PREVENT RECURRANCE-(FOR CORRECTIVE ACTION)

NAME & DESIGN SIG. OF MAIN CONTRACTOR DATE_____ (SEAL)

ENCL:

NOTES

1. Please read these notes carefully before filling up and attach separate sheet wherever required.

2. Category 'A' non-conformity is a major non-conformity which directly or indirectly adversely affects the performance, reliability, safety, interchangeability, erection, commissioning or working life of the items, equipment or system. All other non-conformities shall be treated as category 'B'.

3. Acceptance of dispositioned non-conformity is without prejudice to NTPC rights under the contract to claim commercial compensation and does not absolve main contractor from his contractual obligations.

4. Obtaining approval of statutory authority, if any, w.r.t. above non-conformity is the responsibility of main contractor.

5. Dispositioning of this non-conformity is for this specific case only and not to be regarded as a precedence.

6. The non-conformance shall be proposed main contractor (Give code at appropriate boxes) and is subjected to review & acceptance by NTPC.
   (01) NC-Rejected (02) NC-Conditionally accepted (specify condition) (03) NC-accepted as it is (04) NC-Accepted with repair

7. NC number - this NC no. shall be allotted by regional inspection office in such a way to have project, package, RIO code, followed by running serial no. of that contract.

Responsibilities of main contractor

1. Ascertain exact nature of non-conformity in consultation with qualified designer (if required) and supporting drawing/details with which non-conformity exists.
2. Identify the cause of non-conformity.
3. Decide on code of Dispositioning as per Note-6 above.
4. Ensure and certify that the product quality, performance, reliability and working life is not affected for minor non-conformities and quantify the extent to which it is affected in the case of category 'A' non-conformities.
5. Implement agreed corrective action in a time-bound program.

Responsibilities of RIO

1. Identify the product appropriately.
2. Finalize the cause of non-conformity and propose corrective action.
3. Interlink with the corresponding CHP.

MFGR.'s LOGO	MANUFACTURER'S NAME AND ADDRESS	MANUFACTURING QUALITY PLAN		PROJECT :
		ITEM :	QP NO.:	PACKAGE :
		SUB-SYSTEM:	REV.NO.:	CONTRACT NO. :
			DATE:	MAIN-SUPPLIER:
			PAGE: …. OF….	

SL. NO	COMPONENT & OPERATIONS	CHARACTERISTICS	CLASS	TYPE OF CHECK	QUANTUM OF CHECK		REFERENCE DOCUMENT	ACCEPTANCE NORMS	FORMAT OF RECORD		AGENCY			REMARKS
					M	C / N					M	C	N	
1.	2.	3.	4.	5.	6.		7.	8.	9.	D*	**	10.		11.

		LEGEND: * RECORDS, INDENTIFIED WITH "TICK" (√) SHALL BE ESSENTIALLY INCLUDED BY SUPPLIER IN QA DOCUMENTATION. ** M: MANUFACTURER/SUB-SUPPLIER C: MAIN SUPPLIER, N: NTPC P: PERFORM W: WITNESS AND V: VERIFICATION. AS APPROPRIATE, CHP: NTPC SHALL IDENTIFY IN COLUM "N" AS ' W"	एनटीपीसी NTPC	DOC. NO.:		REV…… CAT…..
MANUFACTURER/ SUB-SUPPLIER	MAIN-SUPPLIER		FOR NTPC USE			
SIGNATURE				REVIEWED BY	APPROVED BY	APPROVAL SEAL

FORMAT NO.: QS-01-QAI-P-09/F1-R1 1/1 ENGG. DIV./QA&I

SUPPLIER'S LOGO	SUPPLIER'S NAME AND ADDRESS	FIELD QUALITY PLAN		PROJECT :
		ITEM :	QP NO.:	PACKAGE :
			REV. NO.:	CONTRACT NO. :
		SUB-SYSTEM:	DATE:	MAIN-SUPPLIER:
			PAGE: .... OF....	

SL. NO	ACTIVITY AND OPERATION	CHARACTERISTICS / INSTRUMENTS	CLASS OF CHECK #	TYPE OF CHECK	QUANTUM OF CHECK	REFERENCE DOCUMENT	ACCEPTANCE NORMS	FORMAT OF RECORD		REMARKS
1.	2.	3.	4.	5.	6.	7.	8.	9.	D*	10.

				DOC. NO.:		REV.....
MANUFACTURER/ SUB-SUPPLIER	MAIN-SUPPLIER	**LEGEND:** * RECORDS, INDENTIFIED WITH "TICK" (√) SHALL BE ESSENTIALLY INCLUDED BY SUPPLIER IN QA DOCUMENTATION. **LEGEND TO BE USED: CLASS # : A = CRITICAL, B=MAJOR, C=MINOR; 'A'** SHALL BE WITNESSED BY NTPC FQA, **'B'** SHALL BE WITNESSED BY NTPC ERECTION / CONSTRUCTION DEPTT. AND **'C'** SHALL BE WITNESSED BY MAIN SUPPLIER (A & B CHECK SHALL BE NTPC CHP STAGE)	FOR NTPC USE			
SIGNATURE				REVIEWED BY	APPROVED BY	APPROVAL SEAL

FORMAT NO.: QS-01-QAI-P-09/F2-R1 1/1 ENGG. DIV./QA&I