



Open Tender for Procurement of 3 TON ELECTRICALLY OPERATED HOIST ref:
4230384E DT 14.06.2013 DUE ON 05.07.2013 from Indigenous manufacturers only.

ITEMS DESCRIPTION AND TENDER QUANTITY

Enq. SL. No	Item Description	Tender Quantity	Tender Unit	Destination	Required Delivery date
01 to 63	Pl. refer annexure D				

***Please refer Annexure D for address of the sites mentioned above.**

BIDDERS SHALL CAREFULLY READ THE FOLLOWING ANNEXURES/NOTES AND SHALL QUOTE THEIR BEST COMPETITIVE PRICE.

- Please refer Annexure-A for Standard terms & Conditions
- Please refer Annexure-B for Commercial terms & Conditions which are to be filled in and submitted along with Techno-Commercial bid
- Please refer Annexure-C for Reverse Auction terms & conditions
- Please refer Annexure-D for for list of items to be supplied..
- Please refer Annexure – E for chartered account certification for MSE status
- Please refer Annexure – F for format for Electronic fund transfer form.

- Tender shall be submitted in two parts as referred in Annexure-A.
- Any revised offer(s) sent by vendors on their own, after the tender opening, will be treated as "unsolicited offer". Such offers will not be considered.

Important note :

Tenders should be sent in duplicate in a sealed cover. Inner cover sealed with tenderer's distinctive seal and superscribed with correct tender no., item of supply, due date of opening and validity of the offer addressed by designation to Manager / Purchase, Bharat Heavy Electricals Limited, Boiler Auxiliaries Plant, Indira Gandhi Industrial Complex, Ranipet, Vellore District-632406 (TN).

- 01) Offerers are requested to carefully read the following conditions and give their specific confirmation of acceptance in toto.
 - 2a) Time is the essence of contract.
 - 2b) In the event of delay on the contracted delivery, liquidated damages (LD) will be levied.
 - 2c) LD will be levied at the rate of 0.5 % per week or part thereof on the contract value.
 - 2d) the LD ceiling will be 15 %.
 - 2e) LD will be a mandatory condition in the purchase order.
- 3) If no specific confirmation or comments are included in the initial offer by the tenderer, in the event of placement of order, the order will be released with the standard LD condition given above.
- 4) The condition will be included in the order without any further reference to the offerer and no changes will be permissible, post order release.
- 5) In case any bidder is not accepting the above penalty for delayed Supply to maximum of 15%, the offer of that bidder shall be loaded to the extent to which it is not agreed by the bidder.
Example : If the Supplier has accepted for maximum 10% penalty clause, then balance 5% will be loaded for evaluating lowest bidder.
- 6) Offers not accepting our standard guarantee clause & risk purchase clause as mentioned in our commercial terms (Annexure B) will be rejected.
- 7) Offers insisting for advance payment or payment against proforma invoice or payment through letter of credit or payment through bank will be rejected. Standard payment term as mentioned below under the heading **E-Payment** of this page shall be followed.



Msme status - this is applicable for Indian vendors only

As per the public procurement policy notified by the central government, micro and small enterprises quoting within the price band of L1+15% shall be allowed to supply a portion of the requirement up to 20% of the tender value subject to condition that such enterprises bring down their price to L1 price where L1 price is from other than a micro and small enterprise. If L1 offer is from a micro / small enterprise, this provision will not be applicable. In case more than one micro and small enterprise is there within this span, the supply quantity (20%) shall be shared proportionate to the tender quantity. (70:30 or 50:30:20 of the eligible quantity)

Note: Special provision for micro and small enterprises owned by SC or ST: -

Sub target out of 20% (i.e. 4% out of 20%) would be earmarked for procurement from micro and small enterprises owned by the scheduled caste or scheduled tribe entrepreneurs provided that in event of failure of such micro and small enterprises to participate in the tender process or meet the tender requirements and the L1 price, the 4% sub-target for procurement ear-marked MSE owned by scheduled caste or scheduled tribe entrepreneurs shall be met from other MSE enterprises/s. The MSE concession is subject to condition that latest valid certificate for MSE and ownership is submitted along with the offer duly certified by a practicing chartered accountant that the investment in the plant from which the supply would be made is on MSE. Offer/s received without these documents will be treated as non-mse and order finalization will be done based on this premise. This provision for MSE will apply subject to the condition that the participating MSE meets the other tender requirements.

The above quantity split will be done only on project basis and not on individual sets.

To avail the above benefit, MSE status duly certified by a chartered accountant in the enclosed format shall be submitted in original. Photocopy will not be accepted.

Value added tax

Please indicate your TIN (taxpayer identification) number, commodity code for each item, dispatching place and state, while submitting your offer.

E - Payment

BHEL is making payments through e-mode. Necessary format is enclosed. Pl. fill and send the original format along with your technical offer. Photocopy is not accepted.

Payment term for MSE suppliers shall be 100% within 45 days after receipt and acceptance of materials & submission of clear documents as per purchase order at our end.

Payment term for non MSE suppliers shall be 100% within 90 days after receipt and acceptance of materials & submission of clear documents as per purchase order at our end.

Copy of MSE certificate shall be submitted along with the offer.

MSE status duly certified by a chartered accountant in the enclosed format shall be submitted in original. Photocopy will not be accepted.

QUANTITY SPLIT: Quantity split will be operated in the ration of 70:30 on project basis. However BHEL reserves the right to alter this ratio at any point of time before ordering.



ANNEXURE – A to TENDER: 4230384E DT 14.06.2013

**STANDARD TERMS & CONDITIONS
(FOR GUIDANCE TO THE SUPPLIERS)**

A. Submission of Offer:

Sealed tenders super-scribed with Tender Number, Due Date, Item Name & Supplier's Name and Validity of the Offer shall be addressed to Manager / Purchase (ESP), Bharat Heavy Electricals Limited, Boiler Auxiliaries Plant, Indira Gandhi Industrial Complex, Ranipet, Vellore District-632406, Tamilnadu, INDIA, so as to reach him on or before the due date and time specified in the tender. It shall contain two sealed covers in one envelope.

Sealed envelope super-scribed as "Cover I - Qualifying Requirements and Techno-commercial bid and Unpriced Bid", with Tender Number, Item Name, Tender Due Date & Supplier's Name, shall contain the following:

I: Techno-Commercial and Un-priced Bid

- a) *Complete technical Offer* with details, catalogues, if any as applicable.
- b) *Un-priced bid (i.e. Bid without the Price),*
- c) *Filled-in commercial conditions Annexure - B* for Procurement of 3 ton electrically hoist enclosed with the Tender Document,
- d) *Supplier registration form - Annexure – C: Suppliers shall submit the filled in supplier registration form along with the techno commercial offer. Offers not submitting supplier registration form will not be considered for price bid opening.* If any supplier is registered **AS A PERMANENT VENDOR** with BHEL Ranipet for supply of 3 ton Electrically operated hoist, then they need not submit this form.
- f) *Manufacturers only can submit their offer. Offers from traders will not be considered.*
- g) *Deviation summary* shall be submitted along with Technical Bid in two parts – giving the summary of technical deviations separately and the commercial deviations separately, if any.

Note:

- (i) The materials offered shall conform to our technical specification TECI:EOH:GEN:REV 00 DT.01.12.2012 & quality plan QP:E:810 Rev 05 dt. 06.10.2011 has to be followed.
- (ii) In case the offered materials are not conforming to the Enquiry material Specification or such that the offered materials do not match the enquiry, such offers would not be considered for evaluation and would be rejected. Where equivalent specifications and materials are offered considering such offers will be at the sole discretion of BHEL. *Wherever alternative standards / specifications are offered by Bidder, the Bidder shall provide sufficient documentary evidence to ensure equivalence to the designated standards / specifications, failing which the offer would be considered as technically not acceptable and hence shall stand rejected.*
- (iii) All taxes and duties payable as extra on the quoted price should be specifically stated in both technical bid and price bid offer. Offer shall be submitted along with CST & TIN No. / Excise Tariff No. etc, failing which the purchaser will not be liable for payment of such taxes and duties. Our TIN No. 33243560005, CST No. 239383/11.06.91, BHEL ECC No. AAACB4146PXM008.
- (iv) The un-priced bid is to be used to indicate relevant commercial implications without indicating price.
- (v) Commercial terms are to be indicated clearly in the offer.
- (vi) No changes shall be entertained once the bid is opened unless otherwise specifically agreed to in writing by BHEL.
- (vii) Money values (except applicable Taxes, Duties, freight and insurance) shall not be indicated anywhere in the un-priced bid.
- (viii) Time required for inspection (at Supplier's works), should be clearly given in terms of numbers of working days
- (ix) It is advised that all the pages and annexure to the Techno-Commercial bid should be serially numbered, including indicating the total number of pages.



Sealed envelope super-scribed as “Cover – II (Price bid), with Tender Number, Item Name, Tender Due Date & Name of the Supplier and Validity”, containing:

II. Sealed Cover super scribed “Cover II –Price Bid” shall contain the following:

Price Bid (i.e. Un-priced bid but with Price duly filled-in) in conformance with the commercial terms as per Cover- I.

Rates shall be quoted on enquiry unit only. Total Prices shall be indicated in both figures and words.

Price should be firm till completion of supply.

BHEL reserves the right to Negotiate or Refloat the Tender opened if lowest (L1) Price is not the lowest acceptable price to them inter-alia other reasons.

BHEL reserves the right to negotiate the L1 rate.

Wherever there is a discrepancy between the figures and the words, the value as indicated by words shall be taken as the “Price” by the Purchaser. Similarly if there is a discrepancy between the Unit Price and the Value on account of arithmetical error in the computation of the Value (Price x Quantity), only the Unit Price would be taken by the Purchaser for consideration. No corrections would be permitted after the submission of the bids. Error statements should be completely erased / struck out and fresh values given in the offer, which should be initialled and attested by the tender submitting authority. Offers without the above may become liable for rejection.

Note:

(i) The price break-up should be in line with technical specification / scope of the tender. (Cost of material, packing charges, forwarding charges, freight and insurance charges if any shall be shown appropriately, as applicable).

(ii) No advance payment will be made by BHEL.

(iii) In case, there is a discrepancy in the commercial terms quoted between techno-commercial bid and price bid, the term as per the techno-commercial bid (Cover I) shall hold good and the commercial term quoted in the Price Bid (Cover II) shall not be considered.

(iv) In their own interest, all Tenderers are advised to double check their prices, applicable duties and taxes. If octroi is applicable, the same shall be on vendors account only. Since destination has been given in the tender, vendor has to ascertain the applicability of octroi.

(v) The quotation should be valid at least for a period of 90 days from the tender opening date of the price bid.

(vi) Offers should be submitted in two sets, one original and one copy.

(vii) The offers should invariably contain Signature (in blue color) & Office Stamp of the Supplier. Any corrections / erasures in the offers should be initialled and stamped.

(viii) Bidders shall quote on FOR destination basis as indicated in the tender.

B. Opening of Offers:

a) Tenders shall be submitted to us on or before the due date by 14.00Hrs and offers will be opened on the due date at 1430 Hours . Tenders received after 1400 Hours of due date would not be opened. The time indicated are Indian Standard Time (IST). Tenders received after 14:00 Hrs of due date would be designated as “Late Offer” and would be returned back to the Bidder unopened.

b) Supplier shall submit two covers (Cover-I: Techno-commercial bid & Cover-II: price bid) in one envelope only. If one cover containing all the bids techno-commercial bid & price bid together, the **bid is liable for rejection.** The decision to accept such bids shall be the sole discretion of BHEL.

c) Such of those Tenderers who wish to participate in the Tender Opening may have to depute their representatives. The representatives would be allowed to participate in the Tender opening only on submission of a signed and stamped



authorization letter issued by the Supplier. Representatives without the Authorization Letter would not be allowed to participate in the Tender Opening. Representatives who turn up after the Tender opening time / start of the Tender opening would not be allowed to participate. After tender opening the details would not be given to such suppliers who choose to be absent at the time of Tender opening.

d) Details such as the Technical Specification, Delivery Terms, and Delivery Period and the Price details in the event of the sealed price bid opening, alone would be read out by the Tender Opening Officer. No other data will be read out.

e) In exceptional cases, at the discretion of BHEL, in the event of the named representative (named in the Tender Document) is unable to come due to unavoidable circumstances, then an alternative representative would be allowed, where the alternative representative should carry a revised original authorization certificate. Suppliers are advised to avoid such situations to avoid embarrassments on both sides. Mere furnishing of Visiting card or any other identification documents would not be entertained.

f) If so required, BHEL reserves the right to open the Price-Bids, '*in-camera*'. Intimation to this effect would be given to the Supplier by BHEL, before the opening of the Price-Bids.

g) Price Bid opening would be done through Reverse Auction method (English method). All tenderers would have to specifically give their acceptance for this in their bid/s (Refer annexure-C for General Terms and Conditions of Reverse Auction & Note (iv) below).

Note:

(i) Bids including all enclosures and supporting documents like catalogues, pamphlets, etc., shall be provided in ENGLISH language only.

(ii) At its option, BHEL may consider extending the due date/s for the tender openings. Sufficient notice would be given by BHEL for such extensions.

(iii) Specifications are the basic essence of the "Item" and all deviations shall be summarized and provided in a "Deviation Statement", by listing the deviation point by point against each point, and

(iv) At its option BHEL may choose to resort to opening of Price-Bids in conventional sealed bid opening mode instead of conducting the Reverse Auction (RA). If BHEL decides to open the price bid in conventional sealed bid opening mode. However in the event of inability to conduct RA due to reasons such as limited no. of offers, BHEL would then proceed with the opening of the sealed bid. However tenderers would be given an opportunity to give impact price if any on account of cancellation of RA. Both the original price bid and the impact price bid would be opened together & both would be considered together to compute the cost to BHEL. Sufficient notice would be given by BHEL in this event.

(v) The decision of the type of price bid opening would be notified to all successful bidders before the Price Bid opening .

(vi) BHEL reserves the right to increase or decrease the tendered quantity and would order on respective L1 (on set basis) vendor at the lowest acceptable price to BHEL.

C. Evaluation of Offers:

a) The price bids of the technically acceptable offers alone, contained in Cover II shall be opened in case of conventional sealed price bid opening mode.

b) All bidders shall submit their offers by filling-in the format (**Annexure-E**) of the BHEL tender documents. Offers received in any other format are liable to be rejected. Bidders are asked to submit in BHEL's format for the purpose of standardisation & completeness - to help in offer evaluation.

c) Offer with any pre-conditions (like conditional discounts) for price will not be considered. For evaluation, such conditions would be removed and only the base offer would be considered for evaluation and comparison.

d) **Offer will be evaluated on final landed cost to BHEL at the destination basis.**



- f) In the event of any change in scope / quantity arising out of the discussions, offerers would be given a chance to submit their revised offer. The revised offer shall contain only the price addition / deletion for such change in the scope / quantities, on the original price quoted. The original price quoted shall not be changed by bidders on account of the technical discussions.
- g) BHEL reserves the right to reject without assigning any reasons / load any offer with factors other than already specified for such offers having deviations to BHEL Specifications, Standard Terms & Conditions at its discretion. The decision of BHEL in this regard shall be final.
- h) BHEL reserves the right to reject an offer due to unsatisfactory past performance during tender finalisation / execution of a contract at any of BHEL projects / units or if unsatisfactory performance report is received from the party/s referenced by the supplier at any time during tender finalisation.
- i) BHEL reserves the right to operate Purchase / Price preference to Government of India Undertakings, which shall be given as per the guide lines of Government of India given from time to time and / or relax the Terms and Conditions of the tender.
- j) BHEL reserves the right to conduct negotiations on the “Price” and “Other Commercial Terms and Conditions” with the lowest ranked offerer at any time after the bid opening but before the release of the Purchase Order and
- k) If so required by BHEL, Supplier may have to share their cost data / costing sheet with BHEL.
- l) In case if the supplier has been put on ‘Hold’ or ‘Banned’ by other units of BHEL, then the offer received from such supplier would not be considered in this tender. Also, during the tenure of execution of the contract, if the supplier is ‘Banned’ for business dealings by any other BHEL units, then the order with the pending quantity would be cancelled by BHEL, Ranipet.

D. Execution of the Order:

- a) BHEL will have the option to pre-inspect the materials at Supplier's works by BHEL's own inspector or by third party agency appointed by BHEL or BHEL's end customer/s but this does not absolve the Supplier from giving the specifications as agreed upon.
- b) Inspection call for carrying out the inspection shall be given 10 days before the scheduled contract delivery date. BHEL requires clear 7 days notice to arrange for inspection. The Inspection date/s given by the Supplier shall be on firm basis.
- c) Deviations, if any pointed out by the visiting Inspection team of BHEL shall be rectified by the vendor and the items as per specification shall be dispatched on or before the contract delivery date.
- d) The final inspection for acceptance will, however, be carried out at the final destination.
- e) The contract delivery date is the date of ex-works dispatch of the item for Indian suppliers.
- f) Travel & other local stay cost for the Inspectors sent by BHEL will be to BHEL account, but other Inspection Charges, if any shall be to the account of the Seller only.
- g) Indian suppliers shall dispatch on free delivery (door-delivery) at destination basis. Unloading the materials at the final destination would be to the account of BHEL only.
- h) In the event of any short supply, it shall be the responsibility of the supplier to deliver such short supplied/ missing items on Free-of-Cost basis at destination.
- i) Materials shall be dispatched only after getting the dispatch clearance from BHEL (Dispatch clearance would either be faxed / e-mailed as a scanned document / couriered.) and
- j) The standard acceptable mode of payment for the materials is “Direct in 45 days after receipt and acceptance of materials at our end for Indigenous Suppliers”. Payment to suppliers would be through Electronic Fund Transfer (EFT). In the event of an order, this EFT form has to be filled in and submitted by the bidder.



- k) Wherever applicable, necessary Tax Deduction at Source (TDS) would be issued.
- l) The Guarantee period shall start from the “Date of receipt and acceptance of the materials at destinations given in the enquiry”.
- m) Any incidence of tax like Income tax, Service Tax and any other similar tax / duties / levies imposed by the Government of India, or the State Government, where the BHEL Unit is located, deductible at Source, during the tenure of the Order shall be deducted by BHEL and necessary certification of the deduction (Tax deduction at Source) would be given.
- n) The Guarantee period shall start from the “Date of receipt and acceptance of the materials at destinations given in the enquiry”.
- o) In the event of an order, the supplier’s Invoice shall contain the details of Rate per MT, Number of coils, Lorry way bill number & date.

E] Termination of Inquiry / Orders:

- a) BHEL reserves the right to cancel the inquiry before opening of the tender, without assigning any reason.
- b) BHEL reserves the right to cancel the tender and refloat a fresh tender, at any time after opening of the tender, in case it finds the response to its tender as not meeting its requirement. This shall be at the sole discretion of BHEL.
- c) BHEL reserves the right to cancel the order for delay in supply beyond penalty period without any monetary or legal obligations and at the risk and cost of the Supplier
- d) BHEL will levy penalty as Liquidated Damages (LD), for delay in delivery. The damages shall be at the rate of ½% per week or part thereof subject to a maximum of 15%. Delivery for the purpose of L.D, will be reckoned as the date of clearance of the Material for dispatch by BHEL.

F. Documents to be sent directly to the Purchaser prior to shipment (Indian Suppliers):

- a) Manufacturer's Original Internal Inspection report / Test certificate in triplicate.
- b) Manufacturer's Original Guarantee certificate as per Purchase Order. The material shall be guaranteed for a period of 12 months from the date of acceptance of the materials at final destination or 18 months from the date of dispatch whichever is earlier. The acceptance would be evidenced by material receipt at final destination.
- c) Inspection / Test Certificate issued by BHEL / Inspection agency specified in the Purchase Order. In the event that Inspection prior to dispatch is not carried out by the Engineers of BHEL, the Inspection certificate of the third party so authorized by BHEL and
- d) Any other documentation as specified in the Purchase Order.

G. Conditions for transportation:

- a) All shipping documents shall show the Purchase Order Number & Date, project name.
- b) Suppliers shall dispatch the materials, freight prepaid, on door-delivery basis (FOR Destination – Destination: Respective Sites) preferably through any one of the approved carriers of BHEL only

H. Delivery period

The contractual delivery period will be reckoned from the date of LOI, which shall be binding on the contract. Suppliers shall quote their best delivery period. Delivery is the essence of all contracts for BHEL.

I. Liquidated Damages Clause:

BHEL will levy penalty as Liquidated Damages (LD), for delay in delivery. The penalty shall be at the rate of ½% per week or part thereof subject to a maximum of 15%. Delivery for purpose of L.D, will be reckoned as the date of the ex-works dispatch of the materials by the Supplier. Applicable LD will be deducted from suppliers bills for the delayed portion. The LD would apply on the undelivered portion only. In case of reasons attributable to BHEL for the delay in delivery (for e.g. delay in arranging the pre-inspection) then the delivery time would be reset to the extent of the time delay attributable to BHEL, with waiver of the LD. Delivery being the essence of BHEL’s contract requirements, In the event that a Supplier does not accept the LD condition above, BHEL reserves the right to reject the offer.

In case any bidder is not accepting the above penalty for delayed Supply to maximum of 15%, the offer of that bidder shall be loaded to the extent to which it is not agreed by the bidder.



Example : If the Supplier has accepted for maximum 10% penalty clause, then balance 5% will be loaded for evaluating lowest bidder.

No correspondence would be entertained by BHEL in this regard, on this subject. BHEL specifically draws the need of this mandatory requirement to the notice of all Bidders.

J. Force Majeure:

If at any time during the currency of this contract, the performance in whole or in part, by either party of any obligations under this contract shall be prevented or delayed by reason, of any war, hostilities, acts of the public enemy, civil commotion, sabotage, fires, explosions, epidemics, quarantine, restrictions or acts of GOD (hereinafter referred to as events), then provided notice of happening of any such events is given by either party to other within ten days from the date of occurrence thereof, neither party shall reason of such events be entitled to terminate this contract nor shall either party have any such non performance and delay is resumed as soon as practicable after such events has come to an end or ceased to exist. If the performance in whole or part of any obligation under this contract is prevented or delayed by reason or any such event claims for extension of time shall be granted for period considered reasonable by the purchaser subject to prompt notification by the seller to the purchaser of the particulars of the events and supply to the purchaser if required of any supporting evidence. Any waiver of time in respect of partial installment shall not be deemed to be a waiver of time in respect of remaining deliveries.

K. Cancellation of Order:

In the event of non-performance of the contract by the Supplier, BHEL reserves the right to cancel the order with issue of a written notice. BHEL would provide a curing period of 30 days, for the Supplier to rectify the situation. If the Supplier fails to rectify the reason/s that led to the issue of cancellation notice by BHEL, then the cancellation order would be issued automatically by BHEL, without further recourse to the Seller. BHEL will not pay any cancellation charges or any other charges / damages to the Supplier, arising out such cancellation. In the event of the non-performance of the supply contract, by the Supplier, the rights of BHEL include, in addition to canceling the order, to take alternate purchase action at the cost and risk of the supplier. The additional expenditure to be incurred by BHEL in such alternate purchase would be to the account of the supplier. (Risk Purchase).

L. Others:

- a) In case of any contradiction in the terms and conditions given here and elsewhere in the other documents of the tender, it shall be the responsibility of the tenderer to get it clarified from BHEL. The officer authorized to provide such clarifications is the Manager / Purchase-ESP, e-mail ID: gayathri@bhelrpt.co.in and gravi@bhelrpt.co.in
- b) Alterations to the conditions of the Tender can be done only by the authorized officer, at any time before the date and time of tender opening. Such changes, if any, would be communicated in writing and / or hosted in the web-page.
- c) If any Supplier attempts to bribe, or pay commission, gift or any advantage or bring in undue influence either by himself or on his behalf any one including a stranger to the tender, in addition to instituting legal proceedings as per the extant laws prevailing, will disqualify the supplier from this tender and all future tenders of BHEL. Decision of the Purchaser would be final in this matter.
- d) The laws governing this transaction shall be the laws in India.
- e) In the event of an order, Supplier shall agree to settlement of disputes or differences, if any, by way of arbitration, in accordance with the "Rule of Arbitration" of the Indian Council of Arbitration.
- f) Any amendment / changes in the Tender / Tender Terms & Conditions would be notified through the web only. No newspaper advertisement would be given. Tenderers are requested to keep track on the web for changes to the tender if any.

The language in the tender documents downloaded by the Bidders shall at no point of time be changed, altered or modified in any manner by the Tenderer. If such changes are made by any tenderer, it shall be considered as tampering with BHEL's terms and the offer shall be summarily rejected, whenever it is noticed by BHEL. Such Bidders would be



disqualified from the Bidding Process and their offers would be forfeited / Bank Guarantees invoked. They would also not be allowed to participate in future tenders of BHEL.

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**ANNEXURE – B to TENDER: 4230384E DT 14.06.2013**

**Essential Commercial Terms & Conditions – Indigenous Bidders (To be filled in full and to be submitted along with Techno Commercial Bid)**

| S.No | TERMS & CONDITIONS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Bidders confirmation/Details                                                                                   |
|------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|
| 1)   | <b>Price (shall be firm till supply completion)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                |
| 2)   | Delivery terms: FOR / Destination - freight & Insurance (breakup shall be given Separately).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                |
| 3)   | <b>ED in %</b> (Excise Invoice to be given for Cenvat benefit).<br>[Excise Duty is exempted for despatches made to NTPC Vindhyachal and NTPC Solapur projects. Necessary ED exemption documents will be provided by BHEL].<br>However for NTPC Vindhyachal project, CENVAT credit cannot be availed for input raw materials being a brown field project (Expansion of a mega project) Pl. take of pricing accordingly.                                                                                                                                                                     |                                                                                                                |
| 4)   | <b>ST in %</b> (CST against form 'C' / VAT)<br>For Inter state:<br>For Intra state:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                |
| 5)   | <b>Octroi / Entry tax charges</b> if any to be included in bidders' scope.<br>(Since enquiry destination has been given, vendor has to ascertain the applicability of octroi)                                                                                                                                                                                                                                                                                                                                                                                                              | Suppliers Account only                                                                                         |
| 6)   | <b>Packing &amp; forwarding:</b> included                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                |
| 7)   | <b>Delivery Place:</b> As per Annexure D                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                |
| 8)   | Specific compliance to our Technical specification IS737                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                |
| 9)   | <b>Delivery period of product from the date of LOI:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                |
|      | <b>Initial Lead time</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                |
|      | <b>Quantity that can be delivered in a month (In MT)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                |
| 10)  | <b>L.D. Clause:</b> Standard L.D Clause will be @ 0.5% per week or part there of subject to a Maximum of 15% for the undelivered PO portion.                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                |
| 11)  | <b>Our standard payment term :</b> 100% payment in 45 days against Receipt and acceptance of materials at our end.                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                |
| 12)  | <b>Offer Validity:</b> The Validity shall be 90 days from the date of Price Bid opening.<br>In case, price bids are opened through Reverse Auction, the offered price in Reverse Auction shall be valid for 90 days from the date of Reverse Auction.                                                                                                                                                                                                                                                                                                                                      |                                                                                                                |
| 13)  | INSPECTION BEFORE DESPATCH                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Will be done at Vendor's Works before Dispatch by BHEL / BHEL's customer / BHEL's authorized Inspection agency |
| 14)  | TEST CERTIFICATE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Required                                                                                                       |
| 15)  | <b>BHEL Standard Guarantee Clause:</b> Your confirmation to our standard Guarantee clause that the materials are to be guaranteed for satisfactory performance for a period of twelve months from the date of commissioning / putting into use (or) eighteen months from the dispatch which ever is earlier and if any defect is noticed during the above period, the same shall be rectified/replaced free of cost on Ranipet / Destination basis within a reasonable time. To this effect a Guarantee certificate should be sent along with the dispatch documents in the event an order |                                                                                                                |



| S.No | TERMS & CONDITIONS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Bidders confirmation/Details                                                                                                  |
|------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|
| 16)  | <b>Risk Purchase Clause:</b> The purchaser at his option will be entitled to terminate the contract and to purchase elsewhere at the risk and cost of the seller either the whole of the goods or any part which the seller has failed to deliver or dispatch within the time stipulated as aforesaid or if the same were not available, the best and the nearest available substitute thereof. The seller shall be liable for all losses and extra expenditure which the purchaser may sustain by reason of such risk purchases in addition to penalty at the rates of LD clause agreed. |                                                                                                                               |
| 17)  | Issue of Material Dispatch clearance                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Based on Test certificates, Test reports along with BHEL/BHEL AUTHORISED AGENCY inspection reports, in the event of ordering. |
| 18)  | Quantity tolerance – As per Enquiry                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                               |
| 19)  | Reverse Auction (R/A) / Bidding through Internet: <b>Supplier confirmation required.</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                               |
| 20)  | Supplier status: Micro / Small / Medium – Valid certificate to be attached with offer as a proof.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                               |

THE ABOVE FORMAT SHALL BE COMPLETELY FILLED AND DEVIATION IF ANY SHALL BE CLEARLY SPELT OUT. NO COLUMN SHOULD BE LEFT BLANK WITHOUT FILLING UP. IF THERE IS ANY CONTRADICTION BETWEEN THE OFFER AND THIS CONFIRMATION ANNEXURE, THIS ANNEXURE WILL TAKE PRECEDENCE AND IT WILL ONLY BE CONSIDERED.

VENDOR'S SIGN & SEAL



## ANNEXURE – C

### GENERAL TERMS AND CONDITIONS OF REVERSE AUCTION

Against this enquiry for the subject item / system with detailed scope of supply as per enquiry specifications, BHEL may resort to “REVERSE AUCTION PROCEDURE”, i.e ON LINE BIDDING ON INTERNET.

1. For the proposed reverse auction, technically and commercially acceptable bidders only shall be eligible to participate.
2. BHEL will engage the services of a service provider who will provide all necessary training and assistance before commencement of on line bidding on internet.
3. In case of reverse auction, BHEL will inform the vendor in writing, the details of Service provider to enable them to contact & get trained. Also will be informed later regarding reverse action terms & condition.
4. Business rules like event date, time, Start price, Bid decrement, extensions etc., also will be communicated through service provider for compliance.
5. Vendors have to fax the compliance form in the prescribed format (provided by Service provider) before start of Reverse auction. Without this, the vendor will not be eligible to participate in the event.
6. BHEL will provide the calculation sheet (e.g EXCEL sheet) which will help to arrive at “Total cost to BHEL like Packing & forwarding charges, Taxes and Duties, Freight charges, Insurance, Service Tax for Service and loading Factors with others Commercial terms & conditions for each of the vendor to enable them to fill-in the price and keep it ready for keying in during the Auction.
7. Reverse Auction will be conducted on scheduled date & time.
8. At the end of Reverse Auction event, the lowest bidder value will be known on the network.
9. The lowest bidder has to Fax the duly signed Filled-in prescribed format as provided on case-to-case basis to BHEL through Service Provider within 24 hours of auctions without fail.
10. Any variation between the on-line bid value and the signed document will be considered as sabotaging the tender process and will invite disqualification of vendor to conduct business with BHEL as per prevailing procedure.
11. Cancellation of RA: Even where BHEL has specified Reverse Auction as the mode of competitive bidding in the tender enquiry, BHEL reserves the right to cancel the RA and go in for competitive sealed price bidding.

In that event, BHEL would intimate the acceptable offerers of the same in writing. The decision of BHEL to replace RA with competitive sealed bidding would be final and would be binding on all the technically qualified bidders. Such decision would be communicated to the technically successful bidders and BHEL is under no obligation to assign any reason for changing the mode of bidding from RA to sealed cover competitive bidding.

It shall be presumed that all bidders participating in this tender have read this condition and have accepted this, in submitting their offer.



| SET NO. | SL. NO. | MATERIAL CODE | DESCRIPTION                                                                                                                                                                                                                                                                                                        | QUANTITY |                | DELIVERY                 | DESTINATION                                                                                    |
|---------|---------|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------------|--------------------------|------------------------------------------------------------------------------------------------|
| 01      | 01      | R74302250001  | 3 TONNE CAPACITY ELECTRICALLY OPERATED HOIST AS PER SPECIFICATION TECI: EOH: GEN: REV 00 INCLUDING BRAKES, DRUM WITH ROPES ELEC.CONTROL PANEL, PENDANT PB WITH CONTROL CABLE WITH LINK CHAIN. HEIGHT OF LIFT 35 MR, LENGTH OF CROSS TRAVEL 70 MR, MAIN BEAM SIZE: ISMB 450.<br>(A/C: TALCHER – R & M – R4C8, R4C9) | ST       | 1.00<br>1.00   | 24/12/2013<br>24/12/2014 | MANAGER / STORES,<br>BHEL,<br>BOILER AUXILIARIES<br>PLANT,<br>RANIPET,<br>TAMIL NADU – 632 406 |
|         | 02      | R74302250002  | 63A SWITCH FUSE UNIT HAVING 3NOS 63 A HRC FUSE WITH ENCLOSURE SUITABLE FOR OUTDOOR INSTALLATION                                                                                                                                                                                                                    | NO       | 1.00<br>1.00   | 24/12/2013<br>24/12/2014 |                                                                                                |
|         | 03      | R74302250003  | TRAILING FLEXIBLE COPPER CABLE FOR POWER SUPPLY                                                                                                                                                                                                                                                                    | M<br>R   | 90.00<br>90.00 | 24/12/2013<br>24/12/2014 |                                                                                                |
|         | 04      | R74302250004  | GALVANISED LINK CHAIN                                                                                                                                                                                                                                                                                              | M<br>R   | 77.00<br>77.00 | 24/12/2013<br>24/12/2014 |                                                                                                |
|         | 05      | R74302250005  | CABLE TROLLEY ASSEMBLY                                                                                                                                                                                                                                                                                             | ST       | 30.00<br>30.00 | 24/12/2013<br>24/12/2014 |                                                                                                |
|         | 06      | R74302250006  | AUXILIARY GIRDER ASSEMBLY FOR TRAILING CABLE FOR ACROSS TRAVEL LENGTH OF 70 MR                                                                                                                                                                                                                                     | M<br>R   | 70.00<br>70.00 | 24/12/2013<br>24/12/2014 |                                                                                                |
|         | 07      | R74302250007  | RAIN HOOD FOR HOIST MOTOR,CROSS TRAVEL MOTOR AND CONTROL BOX                                                                                                                                                                                                                                                       | ST       | 1.00<br>1.00   | 24/12/2013<br>24/12/2014 |                                                                                                |
|         | 08      | R74302250008  | GREASE GUN WITH GREASE                                                                                                                                                                                                                                                                                             | NO       | 1.00<br>1.00   | 24/12/2013<br>24/12/2014 |                                                                                                |
|         | 09      | R74302250009  | 10 % SPARE LUBRICANT FOR HOIST.                                                                                                                                                                                                                                                                                    | ST       | 1.00<br>1.00   | 24/12/2013<br>24/12/2014 |                                                                                                |

**Annexure - D - List of items to be supplied.**



Boiler Auxiliaries Plant, Ranipet - 632 406, **INDIA.**

Purchase department  
**Bharat Heavy Electricals Limited,**  
 (A Government of India Undertaking)

| SET NO. | SL. NO. | MATERIAL CODE | DESCRIPTION                                                                                                                                                                                                                                                                                                        | QUANTITY |                | DELIVERY                 | DESTINATION                                                                                    |
|---------|---------|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------------|--------------------------|------------------------------------------------------------------------------------------------|
| 02      | 10      | R74302520001  | 3 TONNE CAPACITY ELECTRICALLY OPERATED HOIST AS PER SPECIFICATION TECI: EOH: GEN: REV 00 INCLUDING BRAKES, DRUM WITH ROPES ELEC.CONTROL PANEL, PENDANT PB WITH CONTROL CABLE WITH LINK CHAIN. HEIGHT OF LIFT 35 MR, LENGTH OF CROSS TRAVEL 70 MR, MAIN BEAM SIZE: ISMB 450.<br>(A/C: TALCHER – R & M – R4C8, R4C9) | NO       | 1.00<br>1.00   | 24/11/2013<br>24/05/2014 | MANAGER / STORES,<br>BHEL,<br>BOILER AUXILIARIES<br>PLANT,<br>RANIPET,<br>TAMIL NADU – 632 406 |
|         | 11      | R74302520002  | 63A SWITCH FUSE UNIT HAVING 3NOS 63 A HRC FUSE WITH ENCLOSURE SUITABLE FOR OUTDOOR INSTALLATION                                                                                                                                                                                                                    | NO       | 1.00<br>1.00   | 24/11/2013<br>24/05/2014 |                                                                                                |
|         | 12      | R74302520003  | TRAILING FLEXIBLE COPPER CABLE FOR POWER SUPPLY                                                                                                                                                                                                                                                                    | M<br>R   | 90.00<br>90.00 | 24/11/2013<br>24/05/2014 |                                                                                                |
|         | 13      | R74302520004  | GALVANISED LINK CHAIN                                                                                                                                                                                                                                                                                              | M<br>R   | 77.00<br>77.00 | 24/11/2013<br>24/05/2014 |                                                                                                |
|         | 14      | R74302520005  | CABLE TROLLEY ASSEMBLY                                                                                                                                                                                                                                                                                             | ST       | 30.00<br>30.00 | 24/11/2013<br>24/05/2014 |                                                                                                |
|         | 15      | R74302520006  | AUXILIARY GIRDER ASSEMBLY FOR TRAILING CABLE FOR ACROSS TRAVEL LENGTH OF 70 MR                                                                                                                                                                                                                                     | M<br>R   | 70.00<br>70.00 | 24/11/2013<br>24/05/2014 |                                                                                                |
|         | 16      | R74302520007  | RAIN HOOD FOR HOIST MOTOR,CROSS TRAVEL MOTOR AND CONTROL BOX                                                                                                                                                                                                                                                       | ST       | 1.00<br>1.00   | 24/11/2013<br>24/05/2014 |                                                                                                |
|         | 17      | R74302520008  | GREASE GUN WITH GREASE                                                                                                                                                                                                                                                                                             | NO       | 1.00<br>1.00   | 24/11/2013<br>24/05/2014 |                                                                                                |
|         | 18      | R74302520009  | 10 % SPARE LUBRICANT FOR HOIST.                                                                                                                                                                                                                                                                                    | ST       | 1.00<br>1.00   | 24/11/2013<br>24/05/2014 |                                                                                                |



| SET NO. | SL. NO. | MATERIAL CODE | DESCRIPTION                                                                                                                                                                                                                                                                                                             | QUANTITY |                            | DELIVERY                               | DESTINATION                                                                                                                            |
|---------|---------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------------------------|----------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| 03      | 19      | R78223850001  | 3 TONNE CAPACITY ELECTRICALLY OPERATED HOIST AS PER SPECIFICATION TECI: EOH: GEN: REV 00 INCLUDING BRAKES, DRUM WITH ROPES ELEC.CONTROL PANEL, PENDANT PB WITH CONTROL CABLE WITH LINK CHAIN. HEIGHT OF LIFT 35 MR, LENGTH OF CROSS TRAVEL 70 MR, MAIN BEAM SIZE: ISMB 450.<br>(A/C: NTPC KORBA – R & M – R4B6 TO R4B8) | NO       | 3.00<br>3.00<br>3.00       | 24/06/2014<br>24/05/2014<br>24/04/2014 | AGM(BOILER MAINTENANCE),<br>NTPC LIMITED<br>KORBA SUPER THERMAL POWER STATION<br>JAMNIPALI<br>DISTRICT: KORBA<br>CHATTISGARH- - 495450 |
|         | 20      | R78223850002  | 63A SWITCH FUSE UNIT HAVING 3NOS 63 A HRC FUSE WITH ENCLOSURE SUITABLE FOR OUTDOOR INSTALLATION                                                                                                                                                                                                                         | NO       | 3.00<br>3.00<br>3.00       | 24/06/2014<br>24/05/2014<br>24/04/2014 |                                                                                                                                        |
|         | 21      | R78223850003  | TRAILING FLEXIBLE COPPER CABLE FOR POWER SUPPLY                                                                                                                                                                                                                                                                         | M<br>R   | 270.00<br>270.00<br>270.00 | 24/06/2014<br>24/05/2014<br>24/04/2014 |                                                                                                                                        |
|         | 22      | R78223850004  | GALVANISED LINK CHAIN                                                                                                                                                                                                                                                                                                   | M<br>R   | 231.00<br>231.00<br>231.00 | 24/06/2014<br>24/05/2014<br>24/04/2014 |                                                                                                                                        |
|         | 23      | R78223850005  | CABLE TROLLEY ASSEMBLY                                                                                                                                                                                                                                                                                                  | ST       | 90.00<br>90.00<br>90.00    | 24/06/2014<br>24/05/2014<br>24/04/2014 |                                                                                                                                        |
|         | 24      | R78223850006  | AUXILIARY GIRDER ASSEMBLY FOR TRAILING CABLE FOR ACROSS TRAVEL LENGTH OF 70 MR                                                                                                                                                                                                                                          | M<br>R   | 210.00<br>210.00<br>210.00 | 24/06/2014<br>24/05/2014<br>24/04/2014 |                                                                                                                                        |
|         | 25      | R78223850007  | RAIN HOOD FOR HOIST MOTOR,CROSS TRAVEL MOTOR AND CONTROL BOX                                                                                                                                                                                                                                                            | ST       | 3.00<br>3.00<br>3.00       | 24/06/2014<br>24/05/2014<br>24/04/2014 |                                                                                                                                        |
|         | 26      | R78223850008  | GREASE GUN WITH GREASE                                                                                                                                                                                                                                                                                                  | NO       | 3.00<br>3.00               | 24/06/2014<br>24/05/2014               |                                                                                                                                        |



Boiler Auxiliaries Plant, Ranipet - 632 406, **INDIA.**

Purchase department  
**Bharat Heavy Electricals Limited,**  
(A Government of India Undertaking)

|  |    |              |                                 |    |      |            |  |
|--|----|--------------|---------------------------------|----|------|------------|--|
|  |    |              |                                 |    | 3.00 | 24/04/2014 |  |
|  | 27 | R78223850009 | 10 % SPARE LUBRICANT FOR HOIST. | ST | 3.00 | 24/06/2014 |  |
|  |    |              |                                 |    | 3.00 | 24/05/2014 |  |
|  |    |              |                                 |    | 3.00 | 24/04/2014 |  |



| SET NO. | SL. NO. | MATERIAL CODE | DESCRIPTION                                                                                                                                                                                                                                                                                                       | QUANTITY |        | DELIVERY   | DESTINATION                                                                                                                |
|---------|---------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------|------------|----------------------------------------------------------------------------------------------------------------------------|
| 04      | 28      | R79225220001  | 3 TONNE CAPACITY ELECTRICALLY OPERATED HOIST AS PER SPECIFICATION TECI: EOH: GEN: REV 00 INCLUDING BRAKES, DRUM WITH ROPES ELEC.CONTROL PANEL, AND PENDANT PB WITH CONTROL CABLE WITH LINK CHAIN. HEIGHT OF LIFT 35 MR, LENGTH OF CROSS TRAVEL 70 MR, MAIN BEAM SIZE: ISMB 450.<br>(A/C: NTPC VINDHYACHAL – R549) | ST       | 4.00   | 24/12/2013 | DGM / MECHANICAL ERECTION<br>NTPC LTD, VINDHYACHAL STAGE V<br>VINDHYANAGAR,<br>DISTRICT-SINGRAULI<br>MADHYA PRADESH-486885 |
|         | 29      | R79225220002  | 63A SWITCH FUSE UNIT HAVING 3NOS 63 A HRC FUSE WITH ENCLOSURE SUITABLE FOR OUTDOOR INSTALLATION                                                                                                                                                                                                                   | NO       | 4.00   | 24/12/2013 |                                                                                                                            |
|         | 30      | R79225220003  | TRAILING FLEXIBLE COPPER CABLE FOR POWER SUPPLY                                                                                                                                                                                                                                                                   | M<br>R   | 360.00 | 24/12/2013 |                                                                                                                            |
|         | 31      | R79225220004  | GALVANISED LINK CHAIN                                                                                                                                                                                                                                                                                             | M<br>R   | 308.00 | 24/12/2013 |                                                                                                                            |
|         | 32      | R79225220005  | CABLE TROLLEY ASSEMBLY                                                                                                                                                                                                                                                                                            | ST       | 120.00 | 24/12/2013 |                                                                                                                            |
|         | 33      | R79225220006  | AUXILIARY GIRDER ASSEMBLY FOR TRAILING CABLE FOR ACROSS TRAVEL LENGTH OF 70 MR                                                                                                                                                                                                                                    | M<br>R   | 280.00 | 24/12/2013 |                                                                                                                            |
|         | 34      | R79225220007  | RAIN HOOD FOR HOIST MOTOR,CROSS TRAVEL MOTOR AND CONTROL BOX                                                                                                                                                                                                                                                      | ST       | 4.00   | 24/12/2013 |                                                                                                                            |
|         | 35      | R79225220008  | GREASE GUN WITH GREASE                                                                                                                                                                                                                                                                                            | NO       | 4.00   | 24/12/2013 |                                                                                                                            |
|         | 36      | R79225220009  | 10 % SPARE LUBRICANT FOR HOIST.                                                                                                                                                                                                                                                                                   | ST       | 4.00   | 24/12/2013 |                                                                                                                            |



Boiler Auxiliaries Plant, Ranipet - 632 406, INDIA.

Purchase department  
**Bharat Heavy Electricals Limited,**  
 (A Government of India Undertaking)

| SET NO. | SL. NO. | MATERIAL CODE | DESCRIPTION                                                                                                                                                                                                                                                                                                            | QUANTITY |                  | DELIVERY                 | DESTINATION                                                                                                                                   |
|---------|---------|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|
| 05      | 37      | R79225720001  | 3 TONNE CAPACITY ELECTRICALLY OPERATED HOIST AS PER SPECIFICATION<br>TECI: EOH: GEN: REV 00 INCLUDING BRAKES, DRUM WITH ROPES ELEC.CONTROL PANEL, AND PENDANT PB WITH CONTROL CABLE WITH LINK CHAIN. HEIGHT OF LIFT 35 MR, LENGTH OF CROSS TRAVEL 70 MR, MAIN BEAM SIZE: ISMB 450.<br>(A/C: NTPC SOLAPUR – R650, R651) | ST       | 6.00<br>6.00     | 24/12/2013<br>24/06/2014 | GENERAL MANAGER,<br>NTPC LTD.,SOLAPUR STPP,<br>AT-FATETEWADI, POST-HOTGI STATION,<br>TAL: SOUTH SOLAPUR, DIST: SOLAPUR,<br>MAHARASHTRA-413215 |
|         | 38      | R79225720002  | 63A SWITCH FUSE UNIT HAVING 3NOS 63 A HRC FUSE WITH ENCLOSURE SUITABLE FOR OUTDOOR INSTALLATION                                                                                                                                                                                                                        | NO       | 6.00<br>6.00     | 24/12/2013<br>24/06/2014 |                                                                                                                                               |
|         | 39      | R79225720003  | TRAILING FLEXIBLE COPPER CABLE FOR POWER SUPPLY                                                                                                                                                                                                                                                                        | M<br>R   | 540.00<br>540.00 | 24/12/2013<br>24/06/2014 |                                                                                                                                               |
|         | 40      | R79225720004  | GALVANISED LINK CHAIN                                                                                                                                                                                                                                                                                                  | M<br>R   | 462.00<br>462.00 | 24/12/2013<br>24/06/2014 |                                                                                                                                               |
|         | 41      | R79225720005  | CABLE TROLLEY ASSEMBLY                                                                                                                                                                                                                                                                                                 | ST       | 180.00<br>180.00 | 24/12/2013<br>24/06/2014 |                                                                                                                                               |
|         | 42      | R79225720006  | AUXILIARY GIRDER ASSEMBLY FOR TRAILING CABLE FOR ACROSS TRAVEL LENGTH OF 70 MR                                                                                                                                                                                                                                         | M<br>R   | 420.00<br>420.00 | 24/12/2013<br>24/06/2014 |                                                                                                                                               |
|         | 43      | R79225720007  | RAIN HOOD FOR HOIST MOTOR,CROSS TRAVEL MOTOR AND CONTROL BOX                                                                                                                                                                                                                                                           | ST       | 6.00<br>6.00     | 24/12/2013<br>24/06/2014 |                                                                                                                                               |
|         | 44      | R79225720008  | GREASE GUN WITH GREASE                                                                                                                                                                                                                                                                                                 | NO       | 6.00<br>6.00     | 24/12/2013<br>24/06/2014 |                                                                                                                                               |
|         | 45      | R79225720009  | 10 % SPARE LUBRICANT FOR HOIST.                                                                                                                                                                                                                                                                                        | ST       | 6.00<br>6.00     | 24/12/2013<br>24/06/2014 |                                                                                                                                               |



| SET NO. | SL. NO. | MATERIAL CODE | DESCRIPTION                                                                                                                                                                                                                                     | QUANTITY |                            | DELIVERY                               | DESTINATION                                                                                                                               |
|---------|---------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|
| 06      | 46      | R79228140001  | 3 TONNE CAPACITY ELECTRICALLY OPERATED HOIST AND IT'S ACCESSORIES AS PER TECI: EOH: GEN: REV 00 AS PER ENCLOSED ANNEXURE. HEIGHT OF LIFT 35 MR, LENGTH OF CROSS TRAVEL 70 MR, MAIN BEAM SIZE: ISMB 450.(A/C: NTPC KORBA – R & M – R4B9 TO R4C1) | ST       | 4.00<br>4.00<br>4.00       | 24/03/2014<br>24/02/2014<br>24/01/2014 | AGM(BOILER MAINTENANCE),<br>NTPC LIMITED<br>KORBA SUPER THERMAL<br>POWER STATION<br>JAMNIPALI<br>DISTRICT: KORBA<br>CHATTISGARH- - 495450 |
|         | 47      | R79228140002  | 63A SWITCH FUSE UNIT HAVING 3NOS 63 A HRC FUSE WITH ENCLOSURE SUITABLE FOR OUTDOOR INSTALLATION                                                                                                                                                 | NO       | 4.00<br>4.00<br>4.00       | 24/03/2014<br>24/02/2014<br>24/01/2014 |                                                                                                                                           |
|         | 48      | R79228140003  | TRAILING FLEXIBLE COPPER CABLE FOR POWER SUPPLY                                                                                                                                                                                                 | M<br>R   | 360.00<br>360.00<br>360.00 | 24/03/2014<br>24/02/2014<br>24/01/2014 |                                                                                                                                           |
|         | 49      | R79228140004  | GALVANISED LINK CHAIN                                                                                                                                                                                                                           | M<br>R   | 308.00<br>308.00<br>380.00 | 24/03/2014<br>24/02/2014<br>24/01/2014 |                                                                                                                                           |
|         | 50      | R79228140005  | CABLE TROLLEY ASSEMBLY                                                                                                                                                                                                                          | ST       | 120.00<br>120.00<br>120.00 | 24/03/2014<br>24/02/2014<br>24/01/2014 |                                                                                                                                           |
|         | 51      | R79228140006  | AUXILIARY GIRDER ASSEMBLY FOR TRAILING CABLE FOR ACROSS TRAVEL LENGTH OF 70 MR                                                                                                                                                                  | M<br>R   | 280.00<br>280.00<br>280.00 | 24/03/2014<br>24/02/2014<br>24/01/2014 |                                                                                                                                           |
|         | 52      | R79228140007  | RAIN HOOD FOR HOIST MOTOR,CROSS TRAVEL MOTOR AND CONTROL BOX                                                                                                                                                                                    | ST       | 4.00<br>4.00<br>4.00       | 24/03/2014<br>24/02/2014<br>24/01/2014 |                                                                                                                                           |
|         | 53      | R79228140008  | GREASE GUN WITH GREASE                                                                                                                                                                                                                          | NO       | 4.00<br>4.00<br>4.00       | 24/03/2014<br>24/02/2014<br>24/01/2014 |                                                                                                                                           |
|         | 54      | R79228140009  | 10 % SPARE LUBRICANT FOR HOIST.                                                                                                                                                                                                                 | ST       | 4.00<br>4.00<br>4.00       | 24/03/2014<br>24/02/2014<br>24/01/2014 |                                                                                                                                           |



| SET NO. | SL. NO.      | MATERIAL CODE          | DESCRIPTION                                                                                                                                                                                                                                       | QUANTITY |            | DELIVERY   | DESTINATION                                                                                  |
|---------|--------------|------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|------------|------------|----------------------------------------------------------------------------------------------|
| 07      | 55           | R78324360001           | 3 TONNE CAPACITY ELECTRICALLY OPERATED HOIST AND IT'S ACCESSORIES AS PER TECI: EOH: GEN: REV 00 AS PER ENCLOSED ANNEXURE. HEIGHT OF LIFT 35 MR, LENGTH OF CROSS TRAVEL 70 MR, MAIN BEAM SIZE: ISMB 450.<br>(A/C: VINDHYACHAL R & M- R4D2 TO R4D7) | ST       | 1.00       | 24/12/2015 | MANAGER / STORES,<br>BHEL – BOILER<br>AUXILIARIES PLANT,<br>RANIPET,<br>TAMIL NADU – 632 406 |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 1.00       | 24/01/2015 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 1.00       | 24/02/2016 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 1.00       | 24/12/2014 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 1.00       | 24/10/2013 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 1.00       | 24/05/2014 |                                                                                              |
|         | 56           | R78324360002           | 63A SWITCH FUSE UNIT HAVING 3NOS 63 A HRC FUSE WITH ENCLOSURE SUITABLE FOR OUTDOOR INSTALLATION                                                                                                                                                   | NO       | 1.00       | 24/12/2015 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 1.00       | 24/01/2015 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 1.00       | 24/02/2016 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 1.00       | 24/12/2014 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 1.00       | 24/10/2013 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 1.00       | 24/05/2014 |                                                                                              |
|         | 57           | R78324360003           | TRAILING FLEXIBLE COPPER CABLE FOR POWER SUPPLY                                                                                                                                                                                                   | M<br>R   | 90.00      | 24/12/2015 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 90.00      | 24/01/2015 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 90.00      | 24/02/2016 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 90.00      | 24/12/2014 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 90.00      | 24/10/2013 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 90.00      | 24/05/2014 |                                                                                              |
|         | 58           | R78324360004           | GALVANISED LINK CHAIN                                                                                                                                                                                                                             | M<br>R   | 77.00      | 24/12/2015 |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   |          | 77.00      | 24/01/2015 |                                                                                              |
| 77.00   |              |                        |                                                                                                                                                                                                                                                   |          | 24/02/2016 |            |                                                                                              |
| 77.00   |              |                        |                                                                                                                                                                                                                                                   |          | 24/12/2014 |            |                                                                                              |
| 77.00   |              |                        |                                                                                                                                                                                                                                                   |          | 24/10/2013 |            |                                                                                              |
| 77.00   |              |                        |                                                                                                                                                                                                                                                   |          | 24/05/2014 |            |                                                                                              |
| 59      | R78324360005 | CABLE TROLLEY ASSEMBLY | ST                                                                                                                                                                                                                                                | 30.00    | 24/12/2015 |            |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   | 30.00    | 24/01/2015 |            |                                                                                              |
|         |              |                        |                                                                                                                                                                                                                                                   | 30.00    | 24/02/2016 |            |                                                                                              |



|    |    |              |                                                                                 |        |       |            |  |
|----|----|--------------|---------------------------------------------------------------------------------|--------|-------|------------|--|
|    |    |              |                                                                                 |        | 30.00 | 24/12/2014 |  |
|    |    |              |                                                                                 |        | 30.00 | 24/10/2013 |  |
|    |    |              |                                                                                 |        | 30.00 | 24/05/2014 |  |
|    | 60 | R78324360006 | AUXILIARY GIRDER ASSEMBLY FOR TRAILLING CABLE FOR ACROSS TRAVEL LENGTH OF 70 MR | M<br>R | 70.00 | 24/12/2015 |  |
|    |    |              |                                                                                 |        | 70.00 | 24/01/2015 |  |
|    |    |              |                                                                                 |        | 70.00 | 24/02/2016 |  |
|    |    |              |                                                                                 |        | 70.00 | 24/12/2014 |  |
|    |    |              |                                                                                 |        | 70.00 | 24/10/2013 |  |
|    |    |              |                                                                                 |        | 70.00 | 24/05/2014 |  |
| 07 | 61 | R78324360007 | RAIN HOOD FOR HOIST MOTOR,CROSS TRAVEL MOTOR AND CONTROL BOX                    | ST     | 1.00  | 24/12/2015 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/01/2015 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/02/2016 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/12/2014 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/10/2013 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/05/2014 |  |
|    | 62 | R78324360008 | GREASE GUN WITH GREASE                                                          | NO     | 1.00  | 24/12/2015 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/01/2015 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/02/2016 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/12/2014 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/10/2013 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/05/2014 |  |
|    | 63 | R78324360009 | 10 % SPARE LUBRICANT FOR HOIST.                                                 | ST     | 1.00  | 24/12/2015 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/01/2015 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/02/2016 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/12/2014 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/10/2013 |  |
|    |    |              |                                                                                 |        | 1.00  | 24/05/2014 |  |

Set- 01,02,07 for BHEL Ranipet delivery, it will considered as a lot for ranking. Set 03 & 06 will be considered as a lot for Korba site delivery. For other projects, ranking will be done project wise.



**ANNEXURE - E**

**IN THE LETTER HEAD OF THE C.A. FIRM**

**TO WHOM SO EVER IT MAY CONCERN**

This is to certify that M/s .....having its registered office at .....is registered under MSMED Act 2006 and categorized under .....unit vide their Registration Number.....(copy enclosed)

Further certified that the investment of company in Plant & Machinery as on 31<sup>st</sup> March ..... is Rs.....Lacs i.e. below Rs.25 Lacs / 5 Crores as permissible for Micro / Small unit respectively under MSMED ACT 2006. Hence the company is under category of Micro/Small(strike off whichever is not applicable) unit for the financial year .....

It is further certified that cumulative investment in Plant & Machinery during ..... ( 01/04/..... - till date ) is below the permissible limit of Rs.25 lacs / 5 Crores for Micro / Small unit respectively and Company is under the category of .....unit as on date i.e. 31/03/.....

The Directors of the company have been instructed to intimate their clients in case of change of investments in Plant & Machinery, if any, in future with proper supporting documents.

This Certificate is **VALID FOR ONE YEAR ONLY** from the date of issue subject to the above referred instruction with reference to **"Change of Investments"**

for .....  
Chartered Accountants

Name:  
Membership Number:  
Full address  
Place:  
Date:



**ANNEXURE - F**

**ACCEPTANCE FOR ELECTRONIC FUND TRANSFER / RTGS TRANSFER**

|    |                                                          |                                |
|----|----------------------------------------------------------|--------------------------------|
| 01 | <b>NAME &amp; ADDRESS OF THE SUPPLIER / VENDOR</b>       |                                |
| 02 | <b>VENDOR CODE</b> (as in Purchase Order)                |                                |
| 03 | <b>Details of Bank Account:</b>                          |                                |
| A) | <b>NAME &amp; ADDRESS OF THE BANK</b><br>(WITH PIN CODE) |                                |
| B) | <b>BANK TELEPHONE NUMBER</b> (WITH STD CODE)             |                                |
| C) | <b>BANK BRANCH CODE</b>                                  |                                |
| D) | <b>MICR CODE</b>                                         |                                |
| E) | <b>ACCOUNT NUMBER</b>                                    |                                |
| F) | <b>TYPE OF ACCOUNT</b>                                   | CURRENT A/C / OD / CASH CREDIT |
| G) | <b>VENDOR NAME AS PER BANK RECORDS</b>                   |                                |
| H) | <b>BANK BRANCH RTGS IFSC CODE</b>                        |                                |
| I) | <b>BANK BRANCH NEFT IFSC CODE</b>                        |                                |
| J) | <b>YOUR EMAIL ID</b> (give two ids)                      |                                |
| K) | <b>NAME OF AUTHORISED SIGNATORY</b>                      |                                |

**CERTIFICATE**

I / We hereby agree to receive the payments due from BHARAT HEAVY ELECTRICALS LIMITED, RANIPET by the National Electronic Funds Transfer and/or RTGS Transfer mode by credit to my / our above mentioned Bank Account. I / We also agree that payments made to the above mentioned Account is a valid discharge of the liability of Bharat Heavy Electricals Limited, Ranipet. I / We also agree to bear the applicable Bank Charges for the above mode of transfer.



AUTHORISED SIGNATORY OF VENDOR WITH SEAL

**Banker's Certification**

We confirm that we are enabled for receiving RTGS and NEFT credits and we further confirm that the account number

of \_\_\_\_\_

(name of account holder), the signature of the authorized signatory and the MICR and IFSC codes of our Branch mentioned above are correct.

PLACE:

\_\_\_\_\_  
(Manager / Officer's  
signature Under Bank stamp)

DATE:

**ANNEXURE G**  
**Guidelines To Indian Suppliers For Filling-Up**  
**Supplier Registration Form**

1. Registration Form may be obtained from Source Development Cell of respective BHEL Unit or downloaded from BHEL website [www.bhel.com](http://www.bhel.com).
2. Any clarification with respect to procedure for registration may be obtained from the Source Development Cell of respective BHEL unit.
3. The Supplier Registration Form has four parts:
  - Part A        Organizational Information
  - Part B        Quality System
  - Part C        Technical Competence
  - Part D        Score Sheet
4. All sheets of above forms are to be filled up and signed by the authorized signatory.
5. Please attach separate sheet if the space provided is insufficient.
6. For any other information also, separate sheet may be attached.
7. Any information / clarification required by BHEL during evaluation must be given expeditiously.
8. Please ensure that all required enclosures are attached with the filled up Supplier Registration Form and list of enclosures is given as required.
9. The scoring of marks by Suppliers for self assessment must be done as required against each information in all the above parts .Incomplete forms and forms without self assessment are likely to be rejected. If any question is not applicable or relevant to your organisation , please mention accordingly. Questions left unanswered will get zero marks..
10. Scoring for questions on Quality System (Part B) is to done on 0-3 scale as follows:
  - 0        For non-compliance
  - 1        System exists in rudimentary stage
  - 2        System exists with minimal discrepancies
  - 3        System is in mature stage (i.e meets all requirements)

The question with asterisk ( \* ) mark is mandatory where supplier must achieve a minimum score of 2 marks.
11. Part-B of the form on Quality System need not be filled by ISO-9001-2000 accredited suppliers. Instead, the Table of Contents of Quality Manual may be submitted with the Registration Form.

12 Scoring for question on Technical competence is to be done on 0-3 scale as follows:

- 0 No capability
- 1 Requires continuous technical support of BHEL during execution of job.
- 2 Occasional technical support from BHEL
- 3 Can handle job without any technical support from BHEL.

The question with asterisk (\*) mark is mandatory where supplier must achieve a minimum score of 2 marks.

13 Assessment Criteria shall be as follows:

Organisational Soundness : OS 1 : 75 % and above

OS 2 : 60 % to less than 75 %

OS 3 : Less than 60 %

Quality } : Q 1 - Overall system rating above 90 % , rated 2 or better in all mandatory  
System } requirements and a rating of 70 % or better in each section

Q 2 - Overall system rating 80 to 90 % , rated 2 or better in all mandatory requirements and a rating of 60 % or better in each section

Q 3 - Overall system rating above 70 % and less than 80 % , rated 2 or better on all mandatory requirements and a rating of 55 % or better in each section

Technical Competence : TC 1 - Above 90 % and rated 2 or better in all mandatory reqts.

TC 2 - 80 to less than 90 % and rated 2 or better in all mandatory requirements

TC 3 - Above 70 % and less than 80 % and rated 2 or better in all mandatory requirements

14 The set of formats to be filled by different category of suppliers is as follows:

| S,No | Supplier Category                           | Formats                                                                                                                                                                |
|------|---------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1.   | Indian Suppliers ( manufacturers )          | <ul style="list-style-type: none"> <li>- Organisational Information</li> <li>- Quality System</li> <li>- Technical Competence</li> <li>- Score sheet</li> </ul>        |
| 2.   | Agents / stockists / distributors / dealers | <ul style="list-style-type: none"> <li>- Organisational Information</li> <li>- Letter of authorisation from Principal / Manufacturer</li> <li>- Score sheet</li> </ul> |

15 Please fill up the enclosed check- list also before sending the Supplier Registration Forms to BHEL to ensure compliance of guidelines.

**CHECK-LIST FOR INDIAN SUPPLIERS REGISTRATION FORM**

| S. No | Check-Point                                                                                                                             | Yes/No |
|-------|-----------------------------------------------------------------------------------------------------------------------------------------|--------|
| 1.    | Information against all points under “Organizational Information “ (Part-A) has been given.                                             |        |
| 2.    | All enclosures and supporting documents have been enclosed.                                                                             |        |
| 3.    | Summary list of enclosures has been furnished as per sl 9.9 of Organisational Information form.                                         |        |
| 4.    | Are you an ISO: 9000 -2000 accredited supplier ?                                                                                        |        |
| 5.    | If yes, have you enclosed "Table of Contents” of your Quality Manual and copy of ISO 9000 -2000 accreditation certificate ?             |        |
| 6.    | If no , have you filled up Quality System formats given in Part B ?                                                                     |        |
| 7.    | Technical requirements, specifications, drawings, standards have been obtained from BHEL before filling up Technical Competence, Part C |        |
| 8.    | All the pages of the form & enclosures have been signed by Authorised Signatory and <b>Name seal affixed ?</b>                          |        |
| 9.    | Scoring has been done in Part A , B and C .                                                                                             |        |
| 10.   | Total scoring has been done in score sheet Part D.                                                                                      |        |

Please attach this check list with the filled up Supplier Registration Forms.

Date :

Signature & seal  
(Authorised Signatory)

**BHARAT HEAVY ELECTRICALS LIMITED  
SUPPLIER REGISTRATION FORM**

**PART- A**

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All columns should be properly filled in the space provided for . Wherever it is not applicable , please write “not applicable”. Incomplete or incorrect forms may not be considered. **Please attach separate sheet, if space available is inadequate .**

**SUPPLIERS HAVE TO ALLOT MARKS IN THE RELEVANT COLUMNS .**

| <b>ORGANISATIONAL INFORMATION</b>                                                |             |              |                               |       |             |         |
|----------------------------------------------------------------------------------|-------------|--------------|-------------------------------|-------|-------------|---------|
| <b>NAME OF THE APPLICANT SUPPLIER SEEKING REGISTRATION :-</b>                    |             |              |                               |       |             |         |
| <b>1.0 PRODUCTS / SYSTEMS / SERVICES FOR WHICH REGISTRATION IS APPLIED FOR :</b> |             |              |                               | Marks | BY SUPPLIER | BY BHEL |
| SL. NO.                                                                          | DESCRIPTION | SIZE & RANGE | MFG. STD/<br>IS / DIN /BS etc |       |             |         |
|                                                                                  |             |              |                               | 1     |             |         |
| <b>TOTAL MARKS</b>                                                               |             |              |                               | 1     |             |         |

SIGNATURE WITH SEAL  
DATE

BHEL REVIEWING OFFICER

**BHARAT HEAVY ELECTRICALS LIMITED  
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| <b>2.0 <u>GENERAL INFORMATION</u> :</b> | Marks | By<br>Supplier | By<br>BHEL |
|-----------------------------------------|-------|----------------|------------|
|-----------------------------------------|-------|----------------|------------|

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                       |  |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--|--|
| <p>2.1 NAME OF THE APPLICANT SUPPLIER<br/>SEEKING REGISTRATION :</p> <p>HEAD OFFICE ADDRESS :</p> <p>TELEPHONE :</p> <p>FAX :</p> <p>E-MAIL :</p> <p>WEB SITE :</p> <p>2.2 NAME OF THE WORKS /DIVISON:</p> <p>ADDRESS :</p> <p>TELEPHONE :</p> <p>FAX :</p> <p>E-MAIL :</p> <p>2.3 DETAILS OF BRANCH OFFICE : ( IF ANY )</p> <p>ADDRESS :</p> <p>TELEPHONE :</p> <p>FAX :</p> <p>E-MAIL :</p> <p>2.4 NAME OF CHIEF EXECUTIVE / PROPRIETOR /<br/>PARTNER &amp; ADDRESS :</p> <p>2.5 OFFICIAL TO BE CONTACTED FOR CLARIFICATION :</p> <p>NAME</p> <p>DESIGNATION</p> <p>ADDRESS</p> <p>TELEPHONE NO.                      FAX NO.</p> <p>E - Mail</p> | <p>1</p> <p>1</p> <p>1</p> <p>1</p> <p>1</p> <p>1</p> |  |  |
| <p style="text-align: center;">TOTAL MARKS</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <p style="text-align: center;">5</p>                  |  |  |
| <p>SIGNATURE WITH SEAL <span style="float: right;">BHEL REVIEWING OFFICER</span></p> <p>DATE :</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                       |  |  |

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| <b>ORGANISATIONAL INFORMATION FOR THE PREVIOUS FOUR YEARS</b>                                                                                                                      |                                                                                             |                                                       |        |        | <b>MARKS<br/>By<br/>Supplier</b> | <b>MARKS<br/>By<br/>BHEL</b> |                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|-------------------------------------------------------|--------|--------|----------------------------------|------------------------------|---------------------|
| 4.0                                                                                                                                                                                | <b>FINANCIAL INFORMATION</b>                                                                | Year 1                                                | Year 2 | Year 3 | Year 4                           | \$ ( See<br>below )          | \$ ( See<br>below ) |
|                                                                                                                                                                                    |                                                                                             | (Years in ascending order, Money value in Rs. Lakhs ) |        |        |                                  |                              |                     |
| 4.1                                                                                                                                                                                | NET WORTH (Share Capital + Reserves)                                                        |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | Growth over previous year (%)                                                               | -----                                                 |        |        |                                  |                              |                     |
| 4.2                                                                                                                                                                                | LONG TERM DEBT / LOAN                                                                       |                                                       |        |        |                                  |                              |                     |
| 4.3                                                                                                                                                                                | DEBT EQUITY RATIO<br>Long term Debt (4.2)<br>Net worth (4.1)                                |                                                       |        |        |                                  |                              |                     |
| 4.4                                                                                                                                                                                | INVESTMENT IN:<br>Land & Building                                                           |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | Plant & Machinery                                                                           |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | Other Fixed Assets                                                                          |                                                       |        |        |                                  |                              |                     |
| 4.5                                                                                                                                                                                | NET CURRENT ASSETS                                                                          |                                                       |        |        |                                  |                              |                     |
| 1                                                                                                                                                                                  | a) Cash on hand                                                                             |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | b) Account receivable                                                                       |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | c) Inventories                                                                              |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | <b>Total</b>                                                                                |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | CURRENT LIABILITY                                                                           |                                                       |        |        |                                  |                              |                     |
| 2                                                                                                                                                                                  | a) Sundry creditors                                                                         |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | b) Interest accrued but not due                                                             |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | c) Credit balance in sundry debtors                                                         |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | d) Other liabilities                                                                        |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | <b>Total</b>                                                                                |                                                       |        |        |                                  |                              |                     |
| 3                                                                                                                                                                                  | CURRENT RATIO<br>Current assets {4.5 (1)}<br>Current liability {4.5 (2)}                    |                                                       |        |        |                                  |                              |                     |
| 4.6                                                                                                                                                                                | SALES                                                                                       |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | Growth over previous year (%)                                                               | -----                                                 |        |        |                                  |                              |                     |
| 4.7                                                                                                                                                                                | PROFIT BEFORE TAX                                                                           |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | Growth over previous year (%)                                                               | -----                                                 |        |        |                                  |                              |                     |
| 4.8                                                                                                                                                                                | PROFIT AFTER TAX                                                                            |                                                       |        |        |                                  |                              |                     |
|                                                                                                                                                                                    | Growth over previous year (%)                                                               | -----                                                 |        |        |                                  |                              |                     |
| 4.9                                                                                                                                                                                | Whether the supplier has been referred to BIFR / NCLT . ( If YES , <b>enclose details</b> ) |                                                       |        |        | YES / NO                         |                              |                     |
| 4.10                                                                                                                                                                               | Whether the supplier is a potential sick company.( If YES , <b>enclose details</b> )        |                                                       |        |        | YES / NO                         |                              |                     |
| <b>Copies of annual accounts (Balance Sheet) for the last four years along with audit report are to be submitted. The above details shall be highlighted in the Balance Sheet.</b> |                                                                                             |                                                       |        |        | Total marks ( out of 10 )        |                              |                     |

**SIGNATURE WITH SEAL & DATE**

BHEL REVIEWING OFFICER

**\$ : ONE MARK EACH TO BE GIVEN FOR COMPLETE REPLY AGAINST SL. Nos. 4.1 to 4.10 (Total 10 marks )**

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| <b>ORGANISATIONAL INFORMATION</b>                                                                             |       |                        |            |
|---------------------------------------------------------------------------------------------------------------|-------|------------------------|------------|
| <b>5.0 REGISTRATION PARTICULARS :</b>                                                                         | Marks | By<br>Supplier         | By<br>BHEL |
| 5.1 INCOME TAX<br>PERMANENT ACCOUNT NO. :<br>( COPY OF CERTIFICATE )                                          | 1     |                        |            |
| 5.2 CENTRAL SALES TAX<br>REGISTRATION NUMBER :<br>( COPY OF CERTIFICATE )                                     | 1     |                        |            |
| 5.3 STATE SALES TAX<br>REGISTRATION NUMBER :<br>( COPY OF CERTIFICATE )                                       | 1     |                        |            |
| 5.4 EXCISE DUTY<br>REGISTRATION NUMBER :<br>( COPY OF CERTIFICATE )                                           | 1     |                        |            |
| 5.5 SERVICE TAX<br>REGISTRATION NUMBER<br>( COPY OF CERTIFICATE )                                             | 1     |                        |            |
| 5.6 EXCISE CONTROL CODE NUMBER:<br>( COPY OF CERTIFICATE )                                                    | 1     |                        |            |
| 5.7 NATIONAL SMALL SCALE<br>INDUSTRIES REGISTRATION NO. :<br>(VALIDITY UPTO .....)<br>( COPY OF CERTIFICATE ) | 1     |                        |            |
| 5.8 SMALL SCALE INDUSTRIES<br>REGISTRATION NO. :<br>(VALIDITY UPTO .....)<br>( COPY OF CERTIFICATE )          | 1     |                        |            |
| 5.9 ANCILLARY STATUS RECOGNISED BY .....                                                                      |       |                        |            |
| <b>TOTAL MARKS</b>                                                                                            | 9     |                        |            |
| SIGNATURE WITH SEAL                                                                                           |       | BHEL REVIEWING OFFICER |            |

DATE



DATE :

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|------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------------|-------------|------------------------|----------------|------------|
| <b>7.0 LIST OF MANUFACTURING FACILITIES :<br/>(INCLUDING MATERIAL HANDLING FACILITY)</b> |                                                                                                         |                                    |                                 |             | Marks                  | BY<br>SUPPLIER | BY<br>BHEL |
| SL.<br>NO.                                                                               | DESCRIPTION &<br>SPECIFICATION OF<br>MACHINE & ITS<br>MAKE<br>YEAR OF MAKE &<br>YEAR OF<br>INSTALLATION | ACCURACY &<br>FINISH<br>ATTAINABLE | NO. OF<br>MACHINES<br>INSTALLED | REMA<br>RKs |                        |                |            |
|                                                                                          | In-house facilities<br>-----                                                                            |                                    |                                 |             | 1                      |                |            |
| <b>TOTAL MARKS</b>                                                                       |                                                                                                         |                                    |                                 |             | 1                      |                |            |
| SIGNATURE WITH SEAL<br>DATE :                                                            |                                                                                                         |                                    |                                 |             | BHEL REVIEWING OFFICER |                |            |



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| <b>ORGANISATIONAL INFORMATION</b>                                                            |                                             |                                           |                     |                                |               |       |                |            |
|----------------------------------------------------------------------------------------------|---------------------------------------------|-------------------------------------------|---------------------|--------------------------------|---------------|-------|----------------|------------|
| <b>8.0 LIST OF MEASURING FACILITIES, TESTING –<br/>EQUIPMENT AND INSPECTION FACILITIES :</b> |                                             |                                           |                     |                                |               | Marks | By<br>SUPPLIER | BY<br>BHEL |
| SL.<br>NO<br>.                                                                               | DISCRIPTION<br>OF<br>EQUIPMENT              | SIZE,<br>RANGE,<br>CAPACITY &<br>ACCURACY | MODE<br>AND<br>MAKE | LAST DATE<br>OF<br>CALIBRATION | QUAN-<br>TITY |       |                |            |
|                                                                                              | In-house facilities<br>-----                |                                           |                     |                                |               | 1     |                |            |
|                                                                                              | Out-sourced<br>facilities , if any<br>----- |                                           |                     |                                |               |       |                |            |
| <b>TOTAL MARKS</b>                                                                           |                                             |                                           |                     |                                |               | 1     |                |            |
| SIGNATURE WITH SEAL                                                                          |                                             |                                           |                     | BHEL REVIEWING OFFICER         |               |       |                |            |
| DATE :                                                                                       |                                             |                                           |                     |                                |               |       |                |            |

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| <b>ORGANISATIONAL INFORMATION</b>                                                                                                    |       |             |         |
|--------------------------------------------------------------------------------------------------------------------------------------|-------|-------------|---------|
| <b>9.0 OTHER PARTICULARS :</b>                                                                                                       | Marks | BY SUPPLIER | BY BHEL |
| 9.1 IF THE COMPANY IS ALREADY IN BUSINESS WITH ANY OF BHEL UNITS, GIVE :                                                             | 1     |             |         |
| 9.1.1 BHEL UNIT'S NAME :                                                                                                             |       |             |         |
| 9.1.2 REGISTRATION NO. :                                                                                                             |       |             |         |
| 9.1.3 ITEMS FOR WHICH REGISTERED & THEIR SPECIFICATION ( ATTACH PROOF OF SUCCESSFUL EXECUTION OF AT LEAST 3 PURCHASE ORDERS ) :      |       |             |         |
| 9.2 IS THE COMPANY APPROVED BY ASME / NTPC / NPC / EIL / DGS&D / Railways /IBR/ LLOYDS, etc? YES / NO (ENCLOSE DOCUMENTARY EVIDENCE) | 1     |             |         |
| 9.3 IS THE COMPANY AN ISO 9000 APPROVED? : YES / NO (ENCLOSE CERTIFICATE) :                                                          | 1     |             |         |
| 9.4 IS THE COMPANY AN ISO 14000 APPROVED? : YES / NO (ENCLOSE CERTIFICATE) :                                                         | 1     |             |         |
| 9.5 IS THE COMPANY OHSAS - 18000 APPROVED? YES / NO (ENCLOSE CERTIFICATE)                                                            | 1     |             |         |
| 9.6 TECHNICAL COLLABORATOR : (FOREIGN OR INDIGENOUS) ( ENCLOSE DOCUMENTARY EVIDENCE)                                                 | 1     |             |         |
| 9.7 NAME & ADDRESS OF CONCERN(S) HAVING SUBSTANTIAL INTEREST IN : (NAME OF THE OTHER COMPANY, COMMON DIRECTOR, PARTNER, OWNER ETC.)  |       |             |         |
| <b>TOTAL MARKS</b>                                                                                                                   | 7     |             |         |

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**BHARAT HEAVY ELECTRICALS LIMITED**  
SUPPLIER REGISTRATION FORM

**ORGANISATION INFORMATION**

|                                                                                                                                                                                                                                                      | Marks | BY SUPPLIER            | BY BHEL |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|------------------------|---------|
| 9.8 DIRECTORS / PARTNERS, IF RELATED TO ANY BHEL EMPLOYEE.<br><br>NAME :<br>STAFF NO. :<br>DESIGNATION :<br>DEPARTMENT :<br>RELATIONSHIP :                                                                                                           | 1     |                        |         |
| 9.9 IF ANY EX-BHEL PERSONNEL IS EMPLOYED BY THE COMPANY, MENTION HIS / HER DETAILS OF LAST POSTING.<br><br>NAME :<br>STAFF NO. :<br>DESIGNATION :<br>DEPARTMENT :<br>DATE OF LEAVING SERVICE :                                                       | 1     |                        |         |
| 9.10 COMPANY'S WEEKLY : WORKS OFFICE HOLIDAYS                                                                                                                                                                                                        | 1     |                        |         |
| 9.11 EXPERIENCE LIST :<br><br>(ATTACH LIST OF PRESENT CUSTOMERS WITH NAME & ADDRESS FOR OFFERED / SIMILAR TYPE & SIZE OF ITEM / EQUIPMENT FOR WHICH REGISTRATION HAS BEEN SOUGHT AND WITH WHOM YOU HAVE CONTINUOUS BUSINESS SINCE LAST THREE YEARS ) | 1     |                        |         |
| 9.12 LIST OF ENCLOSURES :<br><br>ATTACH LIST OF ENCLISURES                                                                                                                                                                                           | 1     |                        |         |
| 10 I/ WE GIVE THE UNDERTAKING THAT BHEL DRAWINGS & SPECIFICATIONs SHALL NOT BE USED IN ANY WAY DETRIMENTAL TO THE INTEREST OF BHEL AND / OR FOR SUPPLY OF ANY MATERIAL, PRODUCT OR SERVICES DIRECTLY OR INDIRECTLY TO ANY OTHER CUSTOMER.            | --    | --                     | --      |
| TOTAL MARKS                                                                                                                                                                                                                                          | 5     |                        |         |
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# BHARAT HEAVY ELECTRICALS LIMITED SUPPLIER REGISTRATION FORM

**Part B :**

## QUALITY SYSTEM

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| <b>I. INCOMING MATERIAL CONTROL</b>                                                                                                           | PROCEDURE<br>0-3 |         | SYSTEM IN EFFECT<br>0-3 |         | RECORDS<br>0-3 |         |
|-----------------------------------------------------------------------------------------------------------------------------------------------|------------------|---------|-------------------------|---------|----------------|---------|
|                                                                                                                                               | By Supplier      | By BHEL | By Supplier             | By BHEL | By Supplier    | By BHEL |
| 1. A formalized supplier rating, evaluation, certification programme has been established wherein quality performance is one of the criteria. |                  |         |                         |         |                |         |
| * 2. Incoming shipment is verified prior to storage or use.                                                                                   |                  |         |                         |         |                |         |
| <b>TOTAL MARKS</b>                                                                                                                            |                  |         |                         |         |                |         |

| <b>II. PROCESS CONTROL</b>                                                                                                                    | PROCEDURE<br>0-3 |         | SYSTEM IN EFFECT<br>0-3 |         | RECORDS<br>0-3 |         |
|-----------------------------------------------------------------------------------------------------------------------------------------------|------------------|---------|-------------------------|---------|----------------|---------|
|                                                                                                                                               | By Supplier      | By BHEL | By Supplier             | By BHEL | By Supplier    | By BHEL |
| * 1. Work instructions are documented, updated & followed by workers.                                                                         |                  |         |                         |         |                |         |
| * 2. Required tools, jigs, fixtures are identified and used.                                                                                  |                  |         |                         |         |                |         |
| 3. Procedure for qualification , if applicable , and revalidation of qualification of workers exist such as :<br>❖ Welders<br>❖ NDT Personnel |                  |         |                         |         |                |         |
| 4. Preventive maintenance activities are performed on critical machines & records kept.                                                       |                  |         |                         |         |                |         |
| 5. Material identification and acceptance status is maintained throughout the manufacturing process & during storage.                         |                  |         |                         |         |                |         |
| * 6. Availability of updated drawing/specification at workplace                                                                               |                  |         |                         |         |                |         |
| 7. Preservation, painting & packing procedure exists                                                                                          |                  |         |                         |         |                |         |
| <b>TOTAL MARKS</b>                                                                                                                            |                  |         |                         |         |                |         |

Scoring for questions on Quality System (Part B) is to done on 0-3 scale as follows:-

0 ( For non-compliance ) , 1 ( System exists in rudimentary stage ) , 2 ( System exists with minimal discrepancies ) , 3 ( System is in mature stage i.e meets all requirements )

The question with asterisk (\*) mark is mandatory where supplier must achieve a minimum score of 2 marks.

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**QUALITY SYSTEM**

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| <b>III. CONTROL OF NON-CONFORMANCE</b>                                                | <b>PROCEDURE<br/>0-3</b> |               | <b>SYSTEM IN EFFECT<br/>0-3</b> |               | <b>RECORDS<br/>0-3</b> |               |
|---------------------------------------------------------------------------------------|--------------------------|---------------|---------------------------------|---------------|------------------------|---------------|
|                                                                                       | Marks by Supplier        | Marks by BHEL | Marks by Supplier               | Marks by BHEL | Marks by Supplier      | Marks by BHEL |
| * 1. Record of Rework / Rectification is kept.                                        |                          |               |                                 |               |                        |               |
| 2. System of review and analysis of repeated failures and their prevention in future. |                          |               |                                 |               |                        |               |
| <b>TOTAL MARKS</b>                                                                    |                          |               |                                 |               |                        |               |

| <b>IV. CONTROL OF MEASURING AND MONITORING DEVICES</b>                         | <b>PROCEDURE<br/>0-3</b> |               | <b>SYSTEM IN EFFECT<br/>0-3</b> |               | <b>RECORDS<br/>0-3</b> |               |
|--------------------------------------------------------------------------------|--------------------------|---------------|---------------------------------|---------------|------------------------|---------------|
|                                                                                | Marks by Supplier        | Marks by BHEL | Marks by Supplier               | Marks by BHEL | Marks by Supplier      | Marks by BHEL |
| 1. System of calibration of gauges, fixtures & instruments exists              |                          |               |                                 |               |                        |               |
| * 2. Master Gauges / Standards are traceable to recognized national standards. |                          |               |                                 |               |                        |               |
| <b>TOTAL MARKS</b>                                                             |                          |               |                                 |               |                        |               |

| <b>V. CONFORMANCE TO SAFETY REQUIREMENTS :</b>                                                                  | <b>PROCEDURE<br/>0-3</b> |                      | <b>SYSTEM IN EFFECT<br/>0-3</b> |                      | <b>RECORDS<br/>0-3</b>   |                      |
|-----------------------------------------------------------------------------------------------------------------|--------------------------|----------------------|---------------------------------|----------------------|--------------------------|----------------------|
|                                                                                                                 | <b>MARKS BY SUPPLIER</b> | <b>MARKS BY BHEL</b> | <b>MARKS BY SUPPLIER</b>        | <b>MARKS BY BHEL</b> | <b>MARKS BY SUPPLIER</b> | <b>MARKS BY BHEL</b> |
| *1. Provision of adequate safety of Customer's inspection staff during inspection by customer's representative. |                          |                      |                                 |                      |                          |                      |
| <b>TOTAL MARKS</b>                                                                                              |                          |                      |                                 |                      |                          |                      |

|                                 |                        |
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| <b>V . CUSTOMER - SUPPLIER<br/>COMMUNICATION</b>                                                                                                                                                                                                                  | PROCEDURE<br>0-3     |                  | SYSTEM IN<br>EFFECT<br>0-3 |                  | RECORDS<br>0-3       |                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|------------------|----------------------------|------------------|----------------------|------------------|
|                                                                                                                                                                                                                                                                   | MARKS BY<br>SUPPLIER | MARKS BY<br>BHEL | MARKS BY<br>SUPPLIER       | MARKS BY<br>BHEL | MARKS BY<br>SUPPLIER | MARKS BY<br>BHEL |
| *1. Tender documents are reviewed with reference to customer requirement both technically & from delivery point of view. In case of deviation, in technical specifications and delivery conditions, the deviations are identified and clearly spelt out in offer. |                      |                  |                            |                  |                      |                  |
| 2. System of submission of documents like:<br>(A) Invoice.<br>(B) Packing list.<br>(C) Test Certificate.<br>(D) Inspection Data.                                                                                                                                  |                      |                  |                            |                  |                      |                  |
| 3. Organisation of after sales service and response time for attending complaints.                                                                                                                                                                                |                      |                  |                            |                  |                      |                  |
| <b>TOTAL MARKS</b>                                                                                                                                                                                                                                                |                      |                  |                            |                  |                      |                  |

|                                 |                        |
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**BHARAT HEAVY ELECTRICALS LIMITED  
SUPPLIER REGISTRATION FORM**

**Part C :**

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**TECHNICAL COMPETENCE**

| <b>TECHNICAL COMPETENCE</b><br>( where <b>design specification</b> is given by BHEL )             | <b>MARKS</b><br><b>0-3</b> |               |
|---------------------------------------------------------------------------------------------------|----------------------------|---------------|
|                                                                                                   | MARKS BY SUPPLIER          | MARKS BY BHEL |
| *1. Supplier understands the product specification.                                               |                            |               |
| 2. Supplier understands the inspection requirements.                                              |                            |               |
| *3. Supplier has process capability to achieve the product specification/dimensional requirement. |                            |               |
| 4. Supplier has experienced manpower to carry out the job.                                        |                            |               |
| <b>TOTAL MARKS OUT OF POSSIBLE ( 12 ) = ----- %</b>                                               |                            |               |

**OR**

| <b>TECHNICAL COMPETENCE</b><br>( where <b>performance specification</b> is given by BHEL ) | <b>PROCEDURE</b><br><b>0-3</b> |               | <b>SYSTEM IN EFFECT</b><br><b>0-3</b> |               | <b>RECORDS</b><br><b>0-3</b> |               |
|--------------------------------------------------------------------------------------------|--------------------------------|---------------|---------------------------------------|---------------|------------------------------|---------------|
|                                                                                            | MARKS BY SUPPLIER              | MARKS BY BHEL | MARKS BY SUPPLIER                     | MARKS BY BHEL | MARKS BY SUPPLIER            | MARKS BY BHEL |
| 1. Design capability                                                                       |                                |               |                                       |               |                              |               |
| 2. Adequacy of quality assurance plan                                                      |                                |               |                                       |               |                              |               |
| *3. Process capability for components                                                      |                                |               |                                       |               |                              |               |
| *4. Adequate of testing                                                                    |                                |               |                                       |               |                              |               |
| <b>TOTAL MARKS OUT OF POSSIBLE ( 36 ) = ----- %</b>                                        |                                |               |                                       |               |                              |               |

Here :

Score of 0 indicates “ No capability”

Score of 1 indicates “Requires continuous technical support of BHEL during Execution of job”

Score of 2 indicates “Occasional technical support from BHEL”

Score of 3 indicates “ Can handle BHEL’s job without any technical Assistance.”

The question with asterisk (\*) mark is mandatory where supplier must achieve a minimum score of 2 marks.

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**Part D :**

**SCORE SHEET**

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**1. ORGANISATIONAL SOUNDNESS :**

Each question should be answered. No question is to be left unanswered. If any of the questions is not relevant to your organization , please mention so against that question ( but do not leave the question unanswered ). Each question answered carries (1) mark . Questions left unanswered will get (0) score.

| S<br>L<br><br>N<br>O | PARAMETER                                                                                                                          | CRITERIA                      | Range          | M<br>A<br>R<br>K<br>S | Marks<br>by<br>Supplier | Marks<br>by<br>BHEL |
|----------------------|------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|----------------|-----------------------|-------------------------|---------------------|
| 1.                   | Net Worth<br>Refer Sl. No. 4.1 of Supplier<br>Registration Form Page 4/17 ,<br>Part A . Average of three years<br>to be worked out | Growth over the previous year | More than 10 % | 4                     |                         |                     |
|                      |                                                                                                                                    | Growth over the previous year | 5 – 10 %       | 3                     |                         |                     |
|                      |                                                                                                                                    | Growth over the previous year | Less than 5 %  | 1                     |                         |                     |
| 2.                   | Sales for current year.<br>Sl. No. 4.6 of Page 4/17, Part A                                                                        | Growth over the previous year | More than 10 % | 4                     |                         |                     |
|                      |                                                                                                                                    | Growth over the previous year | 5- 10 %        | 3                     |                         |                     |
|                      |                                                                                                                                    | Growth over the previous year | > 0 < 5 %      | 1                     |                         |                     |
|                      |                                                                                                                                    | Growth over the previous year | 0% & Below     | 0                     |                         |                     |
| 3.                   | Debt Equity Ratio<br>i.e. Long Term Debt / Share<br>Capital ( Sl. No. 4.3 of Page<br>4/17, Part A )                                | 1 : 1                         |                | 4                     |                         |                     |
|                      |                                                                                                                                    | 1.1 up to 1.5 : 1             |                | 3                     |                         |                     |
|                      |                                                                                                                                    | 1.6 & above : 1               |                | 1                     |                         |                     |
| 4.                   | Current Ratio i.e. Current<br>Assets / Current Liability ( Sl.<br>No. 4.5 of Page 4/17, Part A )                                   | 2 : 1                         |                | 4                     |                         |                     |
|                      |                                                                                                                                    | Less than 2 upto 1.1 : 1      |                | 3                     |                         |                     |
|                      |                                                                                                                                    | 1 : 1                         |                | 1                     |                         |                     |
| 5.                   | Profit Before Tax<br>Sl. No. 4.7 of Page 4/17 ,<br>Part A                                                                          | Growth over the previous year | More than 10 % | 4                     |                         |                     |
|                      |                                                                                                                                    | Growth over the previous year | 5 – 10 %       | 3                     |                         |                     |
|                      |                                                                                                                                    | Growth over the previous year | Less than 5 %  | 1                     |                         |                     |
| 6.                   | Profit After Tax<br>Sl. No. 4.8 of Page 4/17 ,<br>Part A                                                                           | Growth over the previous year | More than 10 % | 4                     |                         |                     |
|                      |                                                                                                                                    | Growth over the previous year | 5 to 10 %      | 3                     |                         |                     |
|                      |                                                                                                                                    | Growth over the previous year | Less then 5%   | 1                     |                         |                     |

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**Part D :**

**SCORE SHEET**

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| SL. NO. | PARAMETER                                                                                           | Marks  | Marks by Supplier | Marks by BHEL |
|---------|-----------------------------------------------------------------------------------------------------|--------|-------------------|---------------|
| 7.      | Sl. No. 4.9 of Page 4/17 , Part A – if referred to BIFR / NCLT                                      | (-) 24 |                   |               |
| 8.      | Sl. No. 4.10 of Page 4/17 , Part A – if potentially SICK                                            | (-) 12 |                   |               |
| 9.      | For supplier having standby arrangement of power.<br>(Sl. No. 6.2 of Page 6/17 , Part A)            | 3      |                   |               |
| 10.     | Supplier having continuous business for more than 3 years.<br>(Sl. No. 9.8 of Page 10 /17 , Part A) |        |                   |               |
|         | Beyond 3 customers                                                                                  | 4      |                   |               |
|         | Upto 3 customers                                                                                    | 3      |                   |               |
|         | No customer                                                                                         | 0      |                   |               |
| 11.     | Manufacturing facilities :<br>(Sl. No. 7.0 of Page 7/17 , Part A)                                   |        |                   |               |
|         | Supplier having adequate facilities                                                                 | 3      |                   |               |
|         | Supplier having substantial facility                                                                | 2      |                   |               |
|         | Supplier having inadequate facilities                                                               | 0      |                   |               |
| 12.     | Measuring and Testing Facilities :<br>(Sl. No. 8.0 , Page 8/17 , Part A)                            |        |                   |               |
|         | Adequate measurement facilities                                                                     | 3      |                   |               |
|         | Substantial measuring facilities                                                                    | 2      |                   |               |
|         | Inadequate facilities                                                                               | 0      |                   |               |
| 13.     | If the answer to Question No. 9.2.1 of Page 9/17, Part A is Yes i.e. ISO company                    | 3      |                   |               |
|         | If the answer to Question No. 9.2.1 of Page 9/17, Part A is No i.e. non-ISO company                 | 0      |                   |               |

Total Marks ( Sl. 01 to 13 above ) = -----/ 40 , Total Marks ( Page 01 to 10 ) = -----/ 46

**Total Marks ( Organisational Soundness ) = -----/ 86 = ----- %**

**Category of Supplier : OS 1 ( 75 % & more ) , OS 2 ( 60 % to less than 75 % ) , OS 3 ( less than 60 % )**  
( Tick category )

|                                   |                        |
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**Part D :**

**SCORE SHEET**

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**2. QUALITY SYSTEM (SCORING CRITERIA) :**

| <u>SURVEY SUMMARY :</u>                      | <u>MARKS SCORED / OUT OF POSSIBLE</u> | <u>SCORE ( % )</u> |
|----------------------------------------------|---------------------------------------|--------------------|
| I. Incoming Material Control                 | ----- / 18                            | -----%             |
| II. Process Control                          | ----- / 63                            | -----%             |
| III. Control of Non-Conformance              | ----- / 18                            | -----%             |
| IV. Control of measuring & monitoring device | ----- / 18                            | -----%             |
| V. Conformance to Safety Requirements        | ----- / 09                            | -----%             |
| VI. Customer-Supplier Communication          | -----/ 27                             | -----%             |
|                                              | TOTAL = -----/ 153                    | -----%             |

- Category of Supplier :** Q 1 - Overall system rating above 90 % , rated 2 or better on all mandatory requirements and a rating of 70 % or better in each section  
( **Tick category** )
- Q 2 - Overall system rating 80 to 90 % , rated 2 or better in all mandatory requirements and a rating of 60 % or better in each section
- Q 3 - Overall system rating above 70 % and less than 80 % , rated 2 or better on all mandatory requirements and a rating of 55 % or better in each section

**2. TECHNICAL COMPETENCE : Tick category as per score on page 14 .**

**Category of supplier :** TC 1 - Above 90 % and rated 2 or better in all mandatory reqts.

TC 2 - 80 to less than 90 % and rated 2 or better in all mandatory requirements

TC 3 - Above 70 % and less than 80 % and rated 2 or better in all mandatory requirements

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