

(A Govt. of India Undertaking)

Power Plant Piping Unit, Thirumayam – 622 507, Tamil Nadu

# **Tender Notice**

#### **NOTICE INVITING e-TENDER**

Dear Sir/ Ma'am,

Ref: Tender No. PPPU: WC: 24: 008 dt. 08.06.2024.

Subject: Two-part e-Tender inviting techno-commercial and price bids for "Outsourcing of Women Security Guard Services (2 Nos of Lady Guards) at PPPU-BHEL, Thirumayam for a Period of Twelve Months"

Kindly submit your competitive offer for the above subject work as per the tender terms and conditions given in the tender document through GEM portal <a href="https://gem.gov.in/">https://gem.gov.in/</a> only.

Scope of work	Outsourcing of Women Security Guard Services (2 Nos of Lady Guards) at PPPU- BHEL, Thirumayam for a Period of Twelve Months (Scope of work and technical terms & conditions as per Techno- Commercial Part-I bid.)
Location of work	BHEL PPPU Thirumayam -622507 (Tamilnadu)
Duration of Contract	12 Months from the date of commencement of work as indicated in LOI.
Last date/ time for receipt of tender	Date and Time as indicated in GeM Portal
Commencement of work	On the date of commencement mentioned in the LOI
Date / Time & place for opening of Technical Bid	Date and Time as indicated in GeM Portal via On line through GeM by Contracts, PPPU, Thirumayam (In case of any change in opening date, if any, will be intimated later.)
Date/Time of Price Bid Opening	The date/ time of price bid opening will be intimated separately.
EMD AMOUNT	Not applicable
Working Area Contact details	Security Admin Power Plant Piping Unit, BHEL -Thirumayam – 622507, Contact No. 04333-279107 Email ID: secadminpppu@bhel.in Vendors may visit the work area prior to submission of offer to understand the details of scope of work.



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#### **INSTRUCTIONS**

This two-part e-tender consists of the following:

#### Part - I: Techno-Commercial Bid

- 1. PQC criteria for the contract
- 2. Scope of work and Work specific terms & conditions
- 3. General terms & conditions of the contract
- 4. All Annexures.

#### Part - II: Price Bid

#### **Special Instructions to the bidders:**

- **1.** Any deviation to this tender terms & conditions, and schedules of this tender will lead to total rejection of the offer submitted.
- 2. Tenderers who have been suspended, debarred or black listed by BHEL, Tiruchirappalli -620014 or any other unit of BHEL/ any other PSU or GOI/ any State Govt. will not be allowed to participate in the tender, and bidder should declare in the tender that they are not suspended, debarred or blacklisted by any of the agencies stated above. Even during the course of evaluation/finalization of tender if it is found that a bidder is so suspended, debarred or black listed, BHEL shall not consider them for further participation in the tender.
- 3. Should a tenderer find discrepancies or omissions in the tender documents, or should there be any doubt as to their meaning, he should at once address the authority inviting the tender, for clarification well before the due date, so as to submit his tender in time. No extension of time shall be given for submission of the tender on any account.
- **4.** Rates should be quoted as per the Work / Rate schedule (Price bid/Part –II). Rates quoted in any other form will not be accepted, and will be rejected.
- **5.** The tender documents must be signed physically / digitally by Director / Partner of the Firm or by the person holding the Power of Attorney on behalf of the Firm concerned. In the latter case, a copy of Power of Attorney, duly attested by a Notary Public must accompany the tender.
- **6.** If a tenderer deliberately gives wrong information in his tender or creates conditions favourable for the acceptance of his tender, the BHEL will reject such tender at any stage.
- **7.** Words imparting singular number shall be deemed to include plural number and vice-versa where the context so requires.
- **8.** Should a Tenderer's or a Contractor's or in the case of a firm or company of contractors/any of its shareholder's or shareholder's relative is employed in BHEL, the authority inviting the tenders shall be informed in writing of this fact at the time of submission of the tender, failing which the tender may be disqualified, or if such fact subsequently comes to light, the contract may be cancelled.
- **9.** The tender schedule, and the tender shall be deemed to form an integral part of the contract to be entered into for this work.
- **10.** Tender can be cancelled at any stage due to unavoidable circumstances.
- **11.** Kindly ensure that the total size of the scanned documents to be uploaded remains minimum. If required, documents may be scanned at lower resolutions say at 150 dpi. However, it shall be sole responsibility of bidder that the uploaded documents remain legible.



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- **12.** Bidder is advised not to wait till the last minutes or last few seconds w.r.t tender closing time to submit their offer to avoid complications related with internet connectivity / network problem/ power failure etc.
- 13. Bidder is advised take due care while quoting the technical and price bids forms in the e-procurement system. Bidder, who tampers with tendering procedure affecting ordering process or misusing the technical information of the tender document or withdrawing their offer after price bid opening, will be penalized as per BHEL guidelines on suspension of business dealings with suppliers/ contractors. Abridged version of the guideline is available in www.bhel.com.
- **14.** It is advised that all the documents to be submitted are kept scanned or converted to PDF format in a separate folder on your computer before starting online submission.
- **15.** The bidder has to upload the scanned copy of all the mentioned original documents (in colour) during online bid-submission.
- **16.** In case you are not in a position to submit the offer, please send letter suitably specifying the reasons thereof.
- **17.** The evaluation currency for this tender shall be INR.

Thanking you, For **Bharat Heavy Electricals Limited** 

Jayaram C B
DGM (Contracts/ MS / DTG)
Power Plant Piping Unit
BHEL -Thirumayam – 622507
Contact No. 04333-279551, 9442230731
cbjayaram@bhel.in



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# PART – I TECHNO-COMMERCIAL BID

#### PRE QUALIFICATION CRITERIA FOR THE CONTRACT

SI. NO	CATEGORY	DETAILS
1.	a. Proprietorship: PAN card on owner name b. For partnership firms: PAN card and PARTNERSHIP DEED c. For others: PAN card in the name of company / firm / business and CERTIFICATE OF INCORPORATION / MOA (Memorandum of Association and AOA (Articles of association).	Copy to be uploaded in GeM portal
2.	Goods & Service Tax (GST) registration / Application Reference Number. [(copy of registration details indicating GSTIN/ARN to be submitted along with offer. (If any specific exemption is available, a declaration with due supporting documents need to be furnished for considering the offer).]	Copy to be uploaded in GeM portal
3.	Vendor shall have valid license from Tamilnadu government authorities for carrying out the security services in Tamil Nadu, under the registration of private security agency (Regulation) Act 2005 (PSARA). (Copy of registration certificate to be enclosed)	Proof to be uploaded in GeM
4.	Minimum one year of experience of execution of works as per scope of work or works of similar nature related to security services by deploying men or lady security guards in any Central / State govt. / PSU / Private company/ any organisation executed after 31st March, 2014.  Proof of Work Order / Purchase Order/ Experience certificate should be attached. Proof of experience from any organization other than BHEL Trichy / Thirumayam to be supported by TDS (Tax Deducted at Source) certificate issued by the organizations OR Form 26 AS or bank statement for transaction of payment	Proof to be uploaded in GeM
5.	Duly signed certificate of compliance in bidder's letter head shall be attached.(Annexure III)	Duly signed and stamped to be uploaded in GeM portal
6.	Duly signed Local content Declaration in bidder's letter head shall be attached (Annexure II)	Duly signed and stamped to be uploaded

#### Note:

- I. At any stage, BHEL may ask for original documents and contractor has to submit the same.
- II. All the documents should be valid & to be renewed and kept valid throughout the contract period, if contract is awarded.
- III. Apart from furnishing the above details, copies of relevant documents/ certificates must be uploaded with the Technical Bid.
- IV. If at any stage, the document(s) submitted by contractor is/are found incorrect/ false/ manipulated, the necessary action will be taken by BHEL against contractor as per the "Guidelines for suspension of Business dealings".

Place Date



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# I. SCOPE OF WORK:

- 1. To provide lady watch and ward services at Two locations (One at a time) inside Power Plant Piping Unit, Bharat Heavy Electricals Limited, Thirumayam-622507 Factory area. Watch and ward services should be provided for employees coming in 1st Shift (06.00 Hrs to 14:00 Hrs) and 2<sup>nd</sup> Shift (10.00 Hrs to 18.00 Hrs) on all working days of the week or as per requirements. The location of service to be provided in each day will be decided by the Security Officer/ Security Official in-charge of Power Plant Piping Unit Bharat Heavy Electricals Limited, Thirumayam. Security service is to be provided by the contractor for the period of 12 Months (excluding Sundays and Holidays i.e 303 days) and 2 lady guard per day from 06.00 hrs. to 18.00 hrs as per requirement of Security Officer / Security Official in charge.
- 2. Lady watch and ward includes, checking/frisking of the lady employees, lady apprentices, lady contract labourers and all lady visitors entering company premises and to take measures for security of BHEL, Thirumayam premises including all equipment, appliances, furniture, machinery fixtures, fittings, office records etc. In case of loss of any items due to theft / pilferage, the contractor will have to take necessary action and comply with all formalities required under Law and lodge FIR with Police to enable BHEL process the insurance claims and to recover the lost properties.

#### (i) MANPOWER DEPLOYMENT:

- 1. The contractor shall ensure that the Lady Security Guard provided to the company is with good moral character never having been convicted of a criminal offence by a court of law, physically fit without any permanent physical or mental disability and are preferably under the age of 40 years. Minimum height of the guards should be 157 cms. Preference will be given to NCC 'A', 'B' & 'C' Certificate holders and persons having experience in industrial security.
- 2. BHEL may call for proof of fitness, including Police verification of deployed guard and if necessary, may order to the contractor for medical check-up of the guard by an authorized Company Doctor at the contractor's expense and withdraw her from duty if found unfit.
- 3. The Contractor shall provide the following data of his deployed guard to company(BHEL). Name, Father's/Husband's Name, date of birth, educational qualification, two identifying body marks, full residential address and passport size photographs, marital status and detailed bio-data.
- 4. The contractor shall not substitute a Lady Security Guard with another without the approval of BHEL.
- 5. The contractor shall provide lady security guard with an identity card with photograph.
- 6. The contractor shall ensure that the Lady Security Guard provided by him to the company is not member of any trade union of the employees of the 'company' and they shall not interfere with the affairs of the company.



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- 7. Lady Security Guard provided by the contractor to the company shall not be company employees, but of the contractor for all purposes of the contract.
- 8. No separate payment will be made by the company towards relievers for the personnel posted under this contract, since the charges payable includes National Holidays / other holidays.
- 9. During the contract period of one year, in case of necessity, the company may ask the contractor to increase the staff with 30days' notice, if so the contract value will be changed proportionately.

#### (ii) DUTIES OF THE LADY SECURITY GUARD:

Duties of the Lady Security guard shall include the following:

- To Frisk Lady Employees, Lady Apprentices, Lady Contract labourers during their entry and exit into / from
  the factory premises and deal with company visitors, customer officials and employees courteously and
  politely and to maintain integrity, orderliness and discipline and be in proper prescribed neat and tidy
  uniform while on duty. Carry out all duties and tasks allotted by the competent officer / supervisor sincerely
  and to be the best of her ability.
- 2. To guard / patrol /check during day time and ensure that no theft, pilferage, trespass, accident, nuisance, disturbance of peace and orderliness etc. takes place in the area of her duty. At entry points, regulate & check the flow of men, women, materials, transport vehicles etc. and ensure that the entry / exit is as per valid documents and records.
- 3. To apprehend immediately, produce before the supervisors or competent BHEL officer / supervisor any trespasser or person found in the area unauthorized and under suspicious circumstances.
- 4. To watch habitual offenders and mischief makers and inform to competent BHEL officer, if anything unusual is noticed.
- 5. To seize goods not found in order or suspected to be stolen.
- 6. To see that fire equipment installed in the area under her watch is in working condition and if any defect or shortcomings found, it should be brought to the notice of her superiors.
- 7. To bring to the notice of her supervisor or the competent BHEL officer if any untoward occurrence on the premises, preferably in writing.

#### (iii) DUTIES OF THE CONTRACTOR:

- 1. The contractor shall arrange to post lady security guard at two locations but one at a time, for frisking of lady employees, lady apprentices and lady labourers making their entry and exit from the company.
- 2. In order to ensure that the lady security guard are able to discharge her duties, the contractor shall provide them with all necessary facilities in the form of batons, lathies, uniforms, shoes and other uniform items etc. at his own cost.



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- 3. Contractor shall supervise the performance of the lady security guards adequately and ensure that performance and turnout are such that the purpose of the contract is fully achieved.
- 4. The contractor shall visit the contractual area premises as and when required by the company official in order to ensure the tasks assigned efficiently, diligently and to the satisfaction of the company.
- 5. The contractor shall be responsible for the orderly and disciplined behaviour of his security guard towards all, including company employees. The contractor will take suitable action against his security guard responsible for misconduct and so named by the competent company official.
- 6. The contractor shall ensure that any dispute between him and his employees ie. Lady Security is settled outside the premises and She shall not utilize company's premises, property etc. for this purpose.
- 7. The contractor shall preserve all records maintained for the company and hand them over to the concerned official from time to time and positively at the end of the contract period. The contractor shall pay the wages to the security personnel as per the minimum wages fixed by Govt. of Tamil Nadu. The contractor shall issue salary slip to each employee every month indicating each component of payments and recoveries. A copy of the payment statement should be submitted to BHEL Finance and Security Department even if payment is made through ECS.
- 8. The contractor should ensure the payment of minimum wages fixed by Govt. of Tamil Nadu from time to time, to their security staff and comply the other statutory requirements such as depositing statutory contributions to the concerned authorities regularly.
- 9. The photocopies of the bank challan for payment of PF/ESI contribution paid as applicable in respect of security personnel covered under this contract along with an extract of persons employed at the respective sites pertaining to the previous month shall be submitted by the contractor to the Competent Authority, HRM/Welfare and Finance Revenue sections along with monthly bill.
- 10. The contractor shall make available all the records required to be maintained under various statutes for verification by BHEL representatives as and when required.
- 11. BHEL may ask for replacement of guard, if she do not fully meet our security requirement. Alternatively, the contractor may withdraw his personnel for administrative reasons but they should be suitably replaced and be acceptable to BHEL. If the lady security guard is found indiscipline, she will be repatriated to the contractor immediately.
- 12. The contractor will give certificate that the lady security guard posted is the person of the contractor and not drafted from other security agencies on deputation or attachment. Suitable certificate in this regard should be sent before deployment of lady security guard.



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- 13. The contractor will obtain a licence before deploying the lady security guard as required under the Contract Labour (Regulation & Abolition) Act 1970/Central and State Rules framed thereof and furnish a photo copy of the said licence to BHEL.
- 14. The contractor will issue employment cards in the prescribed form as required under Contract Labour (Regulation & Abolition) Act Rules immediately on deployment of lady security guard.
- 15. The contractor will also comply with all the provisions as applicable under Contract Labour (Regulation & Abolition) Act 1970 / Central Regulation /Rules 1971 and State Act /Rules framed there under. The contractor shall ensure that lady security guard should attend duties in prescribed uniform only.

### \*BILL OF QUANTITY

SL NO	DESCRIPTION OF WORK	UOM	QUANTITY
1	Outsourcing of Women Security Guard Services (2 Nos of Lady Guards) at PPPU- BHEL, Thirumayam for a Period of Twelve Months	LUMPSUM	1

<sup>\*</sup>Bidder shall quote lumpsum rate for 2 numbers of Lady Guards (Exclusive of GST) for 12 months in GeM Portal after going through the scope of work in tender document.

<sup>\*</sup> Bills shall be raised after completion of each month (inclusive of GST).



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#### 1. **COMMERCIAL TERMS & CONDITIONS:**

#### **01.** TERMS FOR RATE BASIS:

- a. The rates quoted should **be Inclusive of GST** and all other levied by Central and State Government Authorities. Such levies, if any, should be borne only by the Contractor.
- b. The applicable rate of GST in % should be mentioned in the Technical bid forms in GeM.
- c. GST Payment will be reimbursed as per statutory provisions, subject to production of proof of payment / remittances only.
- d. The rates quoted in the tender shall remain **valid** initially for a period of at least **120 days** from the date of opening of Tender. After successful award of contract, the rates shall be firm throughout the contract period.
- e. Contractor has to ensure that all statutory payment as per Minimum wages act, 1948/ Payment of Wages act etc. is taken care of while quoting. No claim in respect to payment to workman will be entertained at a later stage. It will be responsibility of contractor to comply with statutory payments to workmen in this regard.
- f. Before tendering, the tenderers are strongly advised to inspect the site of work and its environments and be well acquainted with the actual working and other relevant conditions, position of materials and labour under scope of work covered.
- g. BHEL reserves the right to
  - i. Cancel the tender at any stage.
  - **ii.** Negotiate or re-float the tender opened if L1 price is not the lowest acceptable price to BHEL interalia other reasons.
- h. All payments from BHEL in view of the execution of the contract are liable for IT (TDS), GST (TDS), PF & Group Insurance and all other statutory provisions as applicable. Hence, bidders shall confirm that they have suitable mechanism for effective compliance to the same.

#### 02. PROVISION FOR MSE (MICRO & SMALL ENTERPRISES) SUPPLIERS:

MSE suppliers can avail the intended benefits only if they submit along with the offer, self-attested copies of <u>UDYAM</u> Registration Certificate.

#### 2.1 Definitions of MSEs owned by Women is under:

- i. In case of proprietorship firm, proprietor must be woman.
- ii. In case of partnership firm, the women partners must be holding at least 51% shares in the unit.
- iii. In case of private limited companies, at least 51% share must be held by women promoters.

#### 2.2 Definitions of MSEs owned by SC/ST is under:

- i. In case of proprietorship firm, proprietor must be SC/ST.
- ii. In case of partnership firm, the SC/ST partners must be holding at least 51% shares in the unit.
- iii. In case of private limited companies, at least 51% share must be held by SC/ST promoters.
- iv. Authorized Offices to Issue SC/ST certificate. The caste/Tribe/Community certificate issued by the following authorities in the prescribed form for SCs/STs can be considered.



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- v. District Magistrate / Additional District Magistrate / Collector / Deputy commissioner / Additional Deputy commissioner / Deputy collector/ 1st class stipendiary magistrate/ Sub divisional Magistrate/ Taluka Magistrate/ Executive magistrate/ Extra Assistant commissioner.
- vi. Chief Presidency magistrate/ Additional chief presidency magistrate/ Presidency magistrate.
- vii. Revenue Officer not below the rank of tahsildar.
- viii. Sub-Divisional officer of the area where the individual and/ or his family normally resides.
- ix. To avail the benefits of MSE under SC/ST category, the related documents as stated above should be submitted along with tender documents.
- 2.3 If MSEs quoted price is within price band L-1 + 15%, when L1 is non- MSE, the MSEs will be allowed to supply at least 25% of tendered quantity at L-1 rate subject to acceptance by MSEs to L-1 rate. (Minimum of 3% reservation for women owned MSEs and 6.25% for MSEs owned by SC/ST within the above mentioned 25% reservation). In case the contract cannot be split, the full/ complete supply of total tendered value shall be awarded to MSE at L-1 rate subject to acceptance by MSEs to L-1 rate. The preference to the MSEs will be given in order of their ranking L1, L2, L3, etc.
- **2.4** Start-up companies will be provided benefits and relaxation as per the latest government norms. For availing start-up benefits, relevant certificates issued by Department of Industrial Policy and Promotion shall be submitted along with the tender.

#### **03. TAXES AND DUTIES:**

- 1. GST portion of invoice will be released only upon:
  - 1.1 Vendor declaring such invoice in his GSTR-1 and
  - 1.2 Receipt of goods and tax invoice by BHEL and
  - 1.3 Confirmation of payment of GST thereon by vendor on GSTN portal
- 2. In case GST credit is delayed or denied to BHEL due to non /delayed receipt of goods/services and /or tax invoice or expiry of timeline prescribed in GST law for availing such ITC or any other reasons not attributable to BHEL, GST amount will be recovered from vendor along with interest levied or leviable on BHEL from payment due to vendors (bills, SD etc.) from BHEL.
- 3. In case vendor delays declaring such invoice in his return and GST credit availed by BHEL is denied or reversed subsequently as per GST law, GST amount paid by BHEL towards such ITC reversal as per GST law will be recovered from vendor /contractor along with interest levied/leviable on BHEL from payment due to vendors such as running bills or Security deposit etc. from BHEL.

#### Note:

- 1) <u>Three copies of GST rule compliant tax INVOICE with original signature to be submitted every month on completion of work.</u>
- 2) GST will be charged on the EMD/SD amount forfeited (in-case) from the bidder at the applicable rates. GST tax Invoice will be issued to the vendor on receipt/recovery of GST amount from the vendor.
- 3) Any change in applicable rates of Tax or any other statutory levies (Direct / Indirect) or any new introduction of any levy by means of statute and its corresponding liability for the deliveries beyond the agreed delivery date for reasons not attributable to BHEL will be to vendors account. BHEL will not reimburse the same and any subsequent claim in this respect will be summarily rejected.



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#### 04. CONTRACT PERIOD: -

- 1. The Contract Execution period will be **12 Months (Excluding Sundays and Holiday)** from the date of commencement of work as stated in LOI.
- 2. If required, BHEL reserves the right to extend this subject contract for a further period under same terms and conditions subject to mutual acceptance of both parties.
- 3. The contract may be terminated by BHEL due to violations or non-performance of the Contract conditions by giving **one month's** notice.

#### **05. PAYMENT TERMS:**

- a. Payment will be made after completion of work on pro-rata basis based on actual services provided as per scope of work after acceptance and certification of Area in charge (BHEL Executive).
- b. On submission of error free bill and necessary welfare clearances if any, the bill will be processed.

  Necessary GST returns have to be filed in due time for claiming of payment of invoice.
- c. The contractor's services rendered is accounted for the calendar month. As such contractor's bills will be forwarded to BHEL/HRM/Welfare Department in duplicate through the head of BHEL Security Department. The wage bill shall be accompanied by document i.e. Attendance statements, acquaintance register of previous month, copies of PF & ESI/GI challans and any other documents as required by BHEL or as applicable under the laws in force.
- d. The contractor shall disburse all payments due to the employees (inclusive of wages, bonus, gratuity / terminal benefits) on or before 7th of each month.
- e. Delayed, non-payment or short payment of wages to his employees by the contractor shall be viewed seriously by the company and action including deduction from agency charges may be made by the company.

The bill will be processed and payment will be made by BHEL after number of days mentioned below (depending on type of bidder) from the receipt of error free bill.

Type of bidder	Payment terms(Number of days)	
Micro & Small Enterprises (MSEs)	45 days	
Medium Enterprises	60 days	
Non MSME	90 days	



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#### 06. PENALTY CLAUSE:

- a. Failure in providing lady guard for watch and ward services as per instruction from area-in- charge will be imposed fine. For failure of each day, Rs. 2,000/- will be deducted from the agreed monthly payment. If the deficit exceeds beyond 10% of the required service in a month, an amount equal to the double the penalty (i.e. Rs. 4000/- day) in the month will be recovered from the contractor.
- b. The total value of penalty as per above clauses shall be limited to maximum value of 10% of awarded value. In case of any amendment / revision, penalty shall be linked to the amended / revised PO value.

#### 07. BREACH OF CONTRACT, REMEDIES AND TERMINATION

In In case of breach of contract, wherever the value of security instruments like performance bank guarantee available with BHEL against the said contract is atleast 10% of the contract value, the same be encashed. In case the value of the security instruments available is less than 10% of the contract value, the balance amount be recovered from other financial remedies (i.e. available bills of the contractor, retention amount, etc. with BHEL) or legal remedies be pursued. The balance scope shall be got done independently without Risk & Cost of the failed supplier/ contractor. Further, levy of liquidated damages, debarment, termination, de-scoping, short-closure, etc., shall be applied as per provisions of the contract.



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#### 2. GENERAL:

- 1. The contractor agrees to indemnify BHEL against all claims and losses arising as a liability under the Payment of Wages Act, Employees Provident Fund & Miscellaneous Provisions Act, Industrial Disputes Act, Employees State Insurance Act, Contract Labour (Regulation & Abolition) Act, Workmen's Compensation Act, Arbitration Act, Minimum Wages Act, Inter State Migration Act etc. or any civil or criminal law in force so far as they relate to security personnel employed by the contractor for the company.
- 2. The liability of any compensation for injury to their staff or others arising as a result of duties by the staff shall be that of the contractor.
- 3. Income Tax, GST TDS or any other statutory dues liable to be paid by the contractor shall be recovered from the bills of the contractor for which TDS certificate will be issued by BHEL.
- 4. With regard to the interpretation of the terms and conditions of this contract, the decision of the Head of the Unit / BHEL PPPU Thirumayam shall be final.



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	Checklist for Documents to be submitted to HR/Welfare Section*					
S.No	Document type	Scheduled Date	Periodicity	Remarks		
1	PF Registration code	On Award of contract/ on production of First bill	One time/as and when required by BHEL			
2	ESI/Group Insurance Code	On award of Contract	One time/as and when required by BHEL			
3	Form VI-A & VI-B under Contract labour (R&A) Act	On commencement and Completion of the Contract	Twice ( Once on commencement and once on completion of contract)	Form VI-A to be submitted to Labour authorities and a copy to submitted to Welfare section. Form VI-B ( to be prepared and endorsed by User/executing Department) to be submitted to Welfare Section		
4	Labour License	To be obtained immediately from Labour authorities in case of engagement of 20 or more contract workers	One time/ on renewal of License / as and when required by BHEL			
5	Bio Data form with proper ID proof	To be submitted for all employees immediately on award of contract and as and when new employees are engaged	One time / as and when required by BHEL	Required for providing ID cards to enable entry into Factory premises and for record purpose		
6	Attendance Register	To be submitted on or before 5th of every month	Monthly	To be endorsed by the contractor and BHEL authorized executive/Line Executive		
7	Wage Register	To be submitted on or before 10th of every month	Monthly	To be endorsed by the contractor and BHEL authorized executive/Line Executive		
8	PF remittance challan & PF - ECR	To be submitted on or before 10th of every month	Monthly	To be checked and cleared by HR Dept		

<sup>\*</sup>The checklist is indicative, the contractor is obliged to produce any other document required, in compliance with extant statutes and BHEL practice, as and when required.



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#### 2. CONDITIONS RELATED TO THE WELFARE OF LABOURS:

- 1) The successful contractor has to have his own PF, ESI / Group Insurance registration and comply with the relevant Acts. If not available immediately / already applied / pending with PF authorities, then an undertaking to comply shall be submitted on award of contract.
- 2) The Contractor has to remit PF for his workers for the same amount which he paid as total wages to the employees on monthly basis. He has to remit 13% from his side and deduct 12% of Wages from the monthly wages of the employees and a total of 25% of monthly wages should be remitted as PF i.e. each employee. The percentages mentioned are indicative in nature. The PF shall be remitted as per the existing Government guidelines.
- 3) The Contractor has to produce the PF Registration Code for his workers as per the Government rules while submitting the first bill. The first bill will be processed only on production of the above code. For subsequent bills, the PF remittance challan (for the billing period) for the engaged labor has to be submitted for bill processing.
- 4) BHEL will not be liable for any medical attention, injury / loss of life of the persons engaged by the contractor during the work inside the factory premises as per the contract. A suitable insurance coverage (ESI / Group Insurance) for the employees shall be arranged by the Contractor at his cost from the first day of operation, towards medical treatment and compensation of any loss as per legal provisions.
- 5) The contractor shall have full control over his employees including the right to appoint, determine service conditions, discharge, dismiss, or otherwise terminate their services at any time. The contractor shall be solely responsible for any claim arising out of employment or termination of employment of his employees and for statutory payments.
- 6) In case, during the contract period if ESI scheme comes into effect, the contractor shall conform to the statutory requirements and any additional cost involved shall be borne by the contractor only.
- 7) The contractor shall employ such personnel who are medically fit and above the age of 18 only. The company has the right to direct the contractor to remove from the premises such of his personnel who may be physically, hygienically, clinically or medically found to be unfit.
- 8) The Minimum Wages as prescribed by the State Government from time to time should be paid to the contract Workers and the Wage and Attendance Registers should be produced to HR department every month.

The minimum wages are given below for reference: (w.e.f 01/04/2024)

Sl. No.	Category	Minimum Wages per day (Rs.)		
	category	Basic	DA	Total
1	Unskilled Worker	273.85	288.31	562.16

(The above table is for illustrative purpose only. Latest Govt circular shall be followed.)

- 9) If the contractor employs more than twenty employees, he has to obtain license to this effect from the Factory Inspectorate and renew the same periodically.
- 10) The Contract workers should be fully aware of safety measures and observe all safety precautions during work. The contractor should also make his own arrangements to provide requisite safety devices to the workers, based on the nature of work. Any accident/incident occurring to his workers in Company's premises should be reported in writing by the Contractor to Safety, Welfare and Line Executive concerned.



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- 11) A list containing the name of working persons' photo, address, age, designation, nature of work is to be furnished immediately on receipt of the contract/work order. This is required for our records and issuance of Identity Card for Gate entry.
- 12) The canteen charges (cost of the food items and beverages) for contract workmen will be charged if availed, at the rate fixed by BHEL from time to time BHEL's Policy.
- 13) The contractor has to disburse the salary/wages for their workmen only through Bank Account. The relevant Bank statement/proof for Bank payment should be produced along with PF and ESI and challans to Welfare Section every month.
- 14) Minimum Bonus of 8.33% as applicable shall be paid to the contract employees as per the Payment of Bonus Act 1965.
- 15) The Contractor shall in respect of labour employed by him, comply with the following statutory provisions, rules & in regard to all matters provided therein.
  - a) The Workmen Compensation Act 1923,
  - b) The Payment of Wages Act 1936 and the related Tamil Nadu Rules,
  - c) The Industrial Disputes Act 1947,
  - d) The Factories Act 1948 and the related Tamil Nadu Rules,
  - e) The Minimum Wages Act 1948 and the related Tamil Nadu Rules,
  - f) Employee's Provident Funds & Miscellaneous Provisions Act 1952,
  - g) The Payment of Bonus Act 1965.
  - h) The Contract labour (Regulation & Abolition) Act 1970 and the related Tamil Nadu Rules.
  - i) The Building and Other Construction Works (RE & CE) Act, 1996 and the related Tamil Nadu Rules.



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#### 3. GENERAL TERMS & CONDITIONS

- 1. **<u>DEFINITION</u>**: In these General Conditions of Contract, the following terms shall have the meaning hereby assigned to them, except where the context otherwise requires:
  - a) The "Contract" means, the documents forming the tender and acceptance thereof, together with all the documents referred to therein including general and special conditions to contract. All these documents as applicable taken together shall be deemed to form one contract and shall be complementary to one another.
  - b) The "work" means, the work described in the tender documents in individual work-orders as may be issued from time to time to the contractor by the Officer In-charge within the power conferred upon him including all notified or additional items of works and obligations to be carried out as required for the performance of contract.
  - c) The "contractor" means, the individual Firm or Company whether incorporated or not, undertaking the work and shall include the legal personal representatives of such individuals or the persons composing the firm or Company or the successors of the firm or company and the permitted assigns of such individual or firm or Company.
  - d) "The **Officer-In charge"** means, the Officer deputed by the Head of Dept. /Executing Dept., to supervise the work or part of the work.
  - e) "Approved" and "Directed" means, the approval or direction of Head of Unit/PPPU-THIRUMAYAM, or person deputed by him for the particular purposes.
  - f) BHARAT HEAVY ELECTRICALS LIMITED" (herein after referred to as BHEL) shall mean the Board of Directors, Chairman, Executive Director, General Manager or other Administrative Officer of the said Company including Executive/CONTRACTS/PPPU authorized to invite tenders and enter into contract for works on behalf of the Company.
  - g) The "Contract sum" means, the sum accepted or the sum calculated in accordance with the prices accepted in tender and / or the contract rates as payable to the contractor for the execution of the work during the currency of the contract.
  - h) A "week" means, Seven Days, without regard to the number of hours worked or not worked in any day in that week
  - i) A "day" means, the day of 24 hours (TWENTY-FOUR) irrespective of the number of hours worked or not worked in that day.
  - j) A "working day" means, any day other than that prescribed by the NEGOTIABLE INSTRUMENTS ACT as being a Holiday, and consists of the number of hours of labour as commonly recognized by good employers in the trade in the district where the work is carried out or as laid down in the BHEL regulations.
- 2. **HEADING TO THE CONTRACT CONDITIONS:** The heading to these conditions shall not affect the interpretations thereof.
- 3. **WORK TO BE CARRIED OUT:** The Contract shall, include all labour, materials, tools, plant, equipment and transport which may be required for the execution of the work. The Contractor will be deemed to have satisfied himself as to the nature of the site, local facilities of access and all matters affecting the execution of the work. No extra charges consequent on any misunderstanding in these respects or otherwise will be allowed.
- 4. ORDERS UNDER THE CONTRACT: All orders, notices etc. to be given under the contract shall be in e-mail, writing, type-script or printed and if sent by registered post to the address given in the tender of the Contract, shall be deemed to have been served on the date, when in the ordinary course they would have been delivered to him. The Contractor shall carry out without delay all orders given to him.



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- 5. <u>DEVIATIONS:</u> The contractor shall not carry out any work not covered by schedule except in pursuance of the written instructions of HOD/Contracts Dept. No such work shall be valid unless the same has been specifically confirmed and accepted by BHEL in writing and incorporated in the Contract.
- 6. **ASSIGNMENT OF TRANSFER OF CONTRACT:** The Contractor shall not without the prior written approval of the BHEL, assign or transfer the contract or any part thereof, or any share, or interest thereon to any other persons. No sum of money which may become payable under the contract shall be payable to any person, other than the contractor unless the prior written approval of the BHEL to the assignment or transfer of such money is given.
- 7. **COMPLIANCE TO REGULATIONS AND BY-LAWS:** The Contractor shall confirm to the provisions of any statute relating to the work and regulations and Bye-Laws of any local authority. The Contractor shall be bound to give all notices required by statute regulations or By-Laws as aforesaid and to pay all fees and taxes payable to any authority in respect thereof.

#### 8. GOODS & SERVICE TAX (GST) REGISTRATION & COMPLIANCE

- Response to Tenders for Indigenous supplier will be entertained only if the vendor has a valid GST registration
  No (GSTIN) which should be clearly mentioned in the offer. If the dealer is exempted from GST registration, a
  declaration with due supporting documents need to be furnished for considering the offer. Dealers under
  composition scheme should declare that he is a composition dealer supported by the screen shot taken from
  GST portal. The dealer has to submit necessary documents if there is any change in status under GST.
- 2. Supplier shall mention their GSTIN in all their invoices (incl. credit Notes, Debit Notes) and invoices shall be in the format as specified/prescribed under GST laws. Invoices shall necessarily contain Invoice number (in case of multiple numbering system is being followed for billing like SAP invoice no, commercial invoice no etc., then the Invoice No. which is linked/uploaded in GSTN network shall be clearly indicated), Billed to party (with GSTIN) & Shipped to party details, item description as per PO, Quantity, Rate, Value, applicable taxes with nomenclature (like IGST, SGST, CGST & UTGST) separately, HSN/ SAC Code, Place of Supply etc.
- 3. All invoices shall bear the HSN Code for each item separately (Harmonized System of Nomenclature)/ SAC code (Services Accounting Code).
- 4. Invoices will be processed only upon completion of statutory requirement and further subject to following:
- a. Vendor declaring such invoice in Form GST ANX-1
- b. Receipt of Goods or Services and Tax invoice by BHEL
- 5. As the continuous uploading of tax invoices in GSTN portal (in GST ANX-1) is available for all (i.e. both Small & Large) tax payers under proposed new GST Return System, all invoices raised on BHEL may be uploaded immediately in GST portal on dispatch of material /rendering of services. The supplier shall ensure availability of Invoice in GST portal before submission of invoice to BHEL. Invoices will be admitted by BHEL only if the invoices are available in GSTN portal (in BHEL's GST ANX-2).
- 6. In case of discrepancy in the data uploaded by the supplier in the GSTN portal or in case of any shortages or rejection in the supply, then BHEL will not be able to avail the tax credit and will notify the supplier of the same. Supplier has to rectify the data discrepancy in the GSTN portal or issue credit note or debit note (details also to be uploaded in GSTN portal) for the shortages or rejections in the supplies or additional claims, within the calendar month informed by BHEL.
- 7. In cases where invoice details have been uploaded by the vendor but failed to remit the GST amount to GST Department (Form PMT-08 or Form GST RET-01 to be submitted) within stipulated time, then GST paid on the invoices pertaining to the month for which GST return not filed by the vendor will be recovered from the vendor along with the applicable interest (currently 24% p.a) and all subsequent bills of the vendor will not be processed till filing of the GST return by the vendor

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- 8. In case GST credit is denied to BHEL due to non-receipt or delayed receipt of goods and/ or tax invoice or expiry of timeline prescribed in GST law for availing such ITC, or any other reasons not attributable to BHEL, GST amount claimed in the invoice shall be disallowed to the vendor.
- 9. Where any GST liability arising on BHEL under Reverse Charge (RCM), the vendor has to submit the invoices to BHEL well within the timeline prescribed in GST Law, to enable BHEL to discharge the GST liability. If there is a delay in submission of invoice by the vendor resulting in delayed payment of GST by BHEL along with Interest, then such Interest payable or paid shall be recovered from the vendor.
- 10. Under GST regime, BHEL has to discharge GST liability on LD recovered from suppliers/contracts. Hence applicable GST shall also be recoverable from suppliers/contractors on LD amount. For this Tax Invoice will be issued by BHEL indicating the respective supply invoice number.

GST TDS will be deducted as per Section 51 of CGST Act 2017 and in line with Notification 50/2018 – Central Tax dated 13.09.2018. GST TDS certificate which will be generated in GST portal subsequent to vendor accepting the TDS deduction in the GST portal, will be issued to the vendor.

#### 9. **SECURITY DEPOSIT**

Amount of not less than 5% of the contract value (Excluding GST) shall be deposited before start of the Work as Security Deposit. Failure to remit the Security Deposit shall be treated as failure to discharge the duties under the contract and shall result in cancellation of the contract and the bidder shall be liable to compensate BHEL for any loss.

The Security Deposit may be furnished in any one of the following forms:

- 1. Remittance through online SBI-State Bank Collect.
- 2. Pay Order, Demand Draft in favour of BHEL Trichy.
- 3. Local cheques of scheduled banks, subject to realization.
- 4. Securities available from Post Offices such as National Savings Certificates, Kisan Vikas Patras etc. (Certificates should be held in the name of Contractor furnishing the security and duly pledged in favour of BHEL and discharged on the back).
- 5. Insurance Surety Bonds.
- 6. Bank Guarantee from Scheduled Banks/ Public Financial Institutions as defined in the Companies Act. The Bank Guarantee format should have the approval of BHEL and with minimum validity covering the contract period + 6 months & maintenance period if any.
- 7. In order to ensure the genuineness of BG's, the BG's are to be sent directly by the concerned bank through registered post to CONTRACTS DEPT/PPPU, BHEL Thirumayam.
- 8. Fixed Deposit Receipt issued by Scheduled Banks/ Public Financial Institutions as defined in the Companies Act. The FDR should be in the name of the contractor, A/C BHEL, duly discharged on the back and with minimum validity covering the contract period + 6 months & maintenance period if any.
- 9. Security deposit can also be recovered at the rate of 10% from the running bills. However, in such cases at least 50% of the Security Deposit should be paid before commencement of work (any of the above form) and the balance 50% may be recovered from the running bills.
- 10. For contract value less than Rs 20 lakh, work may be started after issue of LOI without remittance of SD. From the first bill, 50% of SD will be collected, and the balance will be recovered from the running bills at the minimum rate of 10% of gross SD.
- 11.In case of delay in submission of performance security, enhanced performance security which would include interest (Repo rate + 4%) for the delayed period, shall be submitted by the bidder.

The security deposit shall not carry any interest.



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Security Deposit shall not be refunded except in accordance with the terms of the Contract or Agreement. No interest shall be allowed on Security Deposits. BHEL shall not be responsible for any loss of securities, due to liquidation for any other reasons, what-so-ever or any depreciation in the value of the securities while in their charge or for any loss of interest there on.

All compensation or other sums of money payable by the Contractor to BHEL under the terms of this contract or under any other contract with BHEL may be deducted from the Security Deposit or realised by the sale of the securities or from the interest arising there from or from any sums which may be due or may become due to the contractor by BHEL and in the event of this Security Deposit being deducted by reason of such deductions or sale, as aforesaid, the Contractor shall within 7 days thereafter, make good in cash or in securities endorsed as aforesaid, any sum by which the Security Deposit has been reduced.

#### 10. Set off Clauses

Payment of bills, payment of any amounts due, release of Security Deposit or return of Bank Guarantees furnished under this tender/contract, shall be subject to the deduction of any amount for which the Bidder is liable under this contract, or any contract placed on the contractor by BHEL, Piping Centre, Chennai or any/all other Unit/s of BHEL.

#### 11. REFUND OF SECURITY DEPOSIT:

Security Deposit will be refunded on completion of the work and after the expiry of the maintenance period, if any, provided always that the Contractor shall first have been paid the last and final bill and have rendered a "NO DEMAND CERTIFICATE". In case no maintenance period is applicable, then 100% of security Deposit will be refunded after providing "No Demand" certificate by the contractor as per the "Refund of Security Deposit" format.

#### 12. CONTRACTOR'S SUPERVISION:

- a) The Contractor or his accredited agent shall attend when required without making any claim for doing so, either the office of the Executive/CONTRACTS or the OFFICER-INCHARGE, to receive instructions.
- b) Orders given to the Contractor's agent shall be considered to have the same force as if they have been given to the Contractor himself.
- c) BHEL-PPPU, THIRUMAYAM shall have full powers and without assigning any reason, require the Contractor to immediately cease to employ in connection with this contract, any agent, servant or employee where continued employment is, in his opinion undesirable. The Contractor shall not be allowed any compensation on this account.

#### 13. **LABOUR:**

The Contractor shall remain liable for the payment of all wages or other moneys to his work-people or employees under the payment of Wages Act 1936, Employees Liability Act. 1938, Workmen's Compensation Act 1923 or any other Act or enactment, relating thereto and rules framed, there under from time to time.

- 14. <u>PRECAUTIONS AGAINST RISK:</u> The Contractor shall be responsible for providing at his own expense for all precautions to prevent loss or damage from any and all risks and to minimize the amount of any such loss or damage and for the necessary steps to be taken for the said purpose.
- 15. <u>DAMAGE & LOSS TO PRIVATE PROPERTY & INJURY TO WORKMEN</u>: The Contractor shall at his own expense reinstate and make good to the satisfaction of the HOD/Executing Dept., and pay compensation for any injury, loss or damage occurred to any property or rights whatever including property and rights of BHEL (or agents) servants or employee of BHEL, the injury loss or damage arising out of or in any way in connection with the

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execution or purported execution of the contract and further the contractor shall indemnify, the BHEL against all claims enforceable against BHEL (or any agent, servant or employee of BHEL) or which would be so enforceable against BHEL where BHEL is a private person, in respect of any such injury (including injury resulting in death) loss or damage to any person whomsoever or property including all claims which may arise under the Workmen's Compensation Act or otherwise.

#### 16. LAWS GOVERNING THE CONTRACT:

The contract shall be governed by the Indian Laws for time being in force.

- (i) Should a tenderer or a contractor has a dependent/relative or in the case of a partnership firm, any of its partners or dependents of partners employed in BHEL, the authority inviting tenders should be informed of this fact at the time of submission of the tender failing which tender may be disqualified or if such fact subsequently come to light, the contract may be cancelled.
- (ii) No BHEL employee and their dependents are eligible to submit their offer against this tender.

#### 17. CANCELLATION OF CONTRACT FOR CORRUPT ACTS:

BHEL, whose decision shall be final and conclusive, shall without prejudice to any other right or remedy which shall have accrued shall accrue thereafter to BHEL cancel the contract in any of the following cases and the Contractor shall be liable to make payment to BHEL for any loss or damage resulting from any such cancellation to the same extend as provided in the case of cancellation for default.

If the Contractor shall: -

1. Offer or give or agree to give to any person in BHEL service any gift or consideration of any kind, as an inducement or reward for doing or for bearing to do or for having done or for borne to do any act, in relation to the obtaining or execution of this or any other contract for BHEL service,

OR

2. Enter in to a contract with BHEL in connection with which commission has been paid or agreed to be paid by him or with his knowledge, unless the particulars of any such commission and the terms of payment thereof have previously been disclosed in writing to BHEL.

OR

3. Obtain a contract with BHEL as a result of ring tendering or by non-bonafide methods of competitive tendering, without first disclosing the fact in writing to BHEL.

#### 18. CANCELLATION OF CONTRACT IN PART OR FULL FOR CONTRACTOR'S DEFAULT:

#### If the Contractor:

- a) makes default in carrying out the work as directed and continues in that state after a reasonable notice from HOD/Executing Dept., or his authorised representative;
- b) fails to comply with any of the Terms and Conditions of the contract or after reasonable notice in writing with orders properly issued there under;
- c) BHEL, may without prejudice to any other right or remedy which shall have accrued or shall accrue thereafter to BHEL CANCEL the contract as whole or in part thereof or only such work order or items of work in default from the contract. Whenever BHEL exercise the authority to cancel the contract as whole or part under this condition BHEL may complete the work at the contractor's risk and cost (as certified by HOD/Executing Dept., which is final and conclusive) being less than the contract cost, the advantage shall accrue to the BHEL. If the cost exceeds the moneys due to the Contractor under this contract the Contractor shall either pay the excess amount ordered by HOD/Contracts Dept. or his authorized representative or the same shall be recovered from the Contractor by other means. In case the BHEL carries out the work or any part thereof under the

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provisions of the conditions the cost to be taken into account in determining the excess cost to be charged to the Contractor under this condition shall consist of the cost of the materials, hire charges of tools and plant and/or labour provided by the BHEL with an addition of such percentage to cover the superintendence and establishment charges as may be decided by the HOD/Executing Dept. & Contracts Dept., whose decision shall be final and conclusive.

#### 19. CANCELLATION OF CONTRACT FOR INSOLVENCY, ASSIGNMENT OF TRANSFER OR SUB-LETTING OF CONTRACT:

BHEL, without prejudice to any other right or remedy which shall have accrued or shall accrue thereafter to BHEL shall cancel the contract in any of the following cases:

If the Contractor,

- 1) being an individual or if a firm any partner thereof shall at any time be adjudged bankrupt or have a receiving order for administration of his estate, made against him or shall take any proceedings for liquidation or composition under any bankruptcy Act or assignment of his effects of composition or arrangement for the benefit of his creditors or purport to do so, or if any application made under any Bankruptcy Act for the time being in force for the sequestration of his estate or if a trust deed be granted by him on behalf of his creditors OR
- 2) being a Company, shall pass a resolution or the Court shall make an order for the liquidation of its affairs, or a receiver or Manager on-behalf of the debenture holders shall be appointed or circumstances shall arise which entitle the Court or debenture holders to appoint a receiver or Manager,

OR

3) Assigns, Transfers, attempts to assign, transfer any portion of the work without the prior written approval of the BHEL.

OR

4) Sub-lets or attempt to sub-let any portion of the work.

OR

Fails to carry out the work and unauthorized absence for more than 3 days.

OR

6) Whenever BHEL exercise the authority to cancel the contract under these conditions, BHEL may have the work done by any means at the Contractor's risks and expenses provided always that in the event of the cost of the work so done (as certified by EXECUTIVE/CONTRACTS/PPPU which is final and conclusive) being less than the contract cost, the advantage shall accrue to the BHEL and if the cost exceeds the money due to Contractor under the contract, the Contractor shall either pay the excess amount ordered by HOD/Contracts Dept. or his authorized representative, or the same shall be recovered from the Contractor by other means.

OR

7) In case the BHEL carries-out the work under the provisions of this condition the cost to be taken into account in determining the excess cost to be charged to the Contractor under this condition shall consist of the cost of the materials, hire charges of tools and plants and/or labour provided by the BHEL with an addition of such percentage to cover superintendence and establishment charges as may be decided by the HOD/Executing Dept. & Contracts Dept., whose decision shall be final and conclusive.

#### 20. TERMINATION OF CONTRACT ON DEATH OF CONTRACTOR:

Without prejudice to any of the rights or remedies under this contract, if the Contractor dies, or if the firm is dissolved or the company is liquidated, BHEL shall have the option of terminating the contract without compensation to the Contractor.

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21. **SPECIAL POWER TO TERMINATION:** If at any time after the award of contract, BHEL shall for any reason whatsoever not require whole or any part of the work to be carried out, the HOD/Contracts Dept. or his authorized representative, shall give notice in writing of the fact to the Contractor who shall have no claim to any payment of compensation or otherwise howsoever on account of any profit or advantage which he might have derived from the execution of the work in full but which he did not derive in consequence of the foreclosing of the work.

#### 22. RECOVERY FROM CONTRACTOR:

Whenever under the contract, any sum of money, shall be recoverable from or payable by the Contractors, the same may be deducted from or any sum then due or which at any time thereafter may become due to Contractor under the contract or under any other contract with BHEL or he shall pay the claim on demand.

#### 23. POST TECHNICAL AUDIT OF WORK AND BILLS: -

BHEL reserves the right to carry out the post-payment Audit and technical examination of the work and final bill including all supporting vouchers, abstracts etc., and enforce recovery of any sum becoming due as a result thereof. However, no such recovery shall be enforced after three years of passing the final bill.

- 24. PREFERENCE TO MAKE IN INDIA: For this procurement, the local content to categorize a supplier as a Class I local supplier/ Class II local Supplier/ Non Local supplier and purchase preference to Class I local supplier, is as defined in Public Procurement (Preference to Make in India), Order 2017 dated 04.06.2020 issued by DPIIT. In case of subsequent orders issued by the nodal ministry, changing the definition of local content for the items of the NIT, the same shall be applicable even if issued after issue of this NIT, but before opening of Part-II bids against this NIT.
- 25. FORCE MAJEURE CLAUSE: If, at any time during the continuance of this Contract the performance in whole or in part by either party of any obligations under this Contract shall be prevented or delayed by reason of any War, Hostile acts of the public enemy Civil Commotion, Epidemics, or Acts of God (Floods, Storm/Cyclone, Hurricane, Earth Quake etc.) then provided notice of happening of any such event is given by either party to other within 7 days from the date of occurrence therefore neither party shall by reason of such event be entitled to terminate this Contract nor shall either party have any claim for damages against the other in respect of such non-performance and delay in performance under the contract shall be resumed as soon as practicable after such event has come to an end or ceased to exist. If the performance in whole or part of any obligation under this Contract is prevented or delayed by reason of any such event, claims for extension of time shall be granted for periods considered reasonable by the Executive/Contracts/PPPU subject to prompt notification by the contractor.

#### 26. **RESOLUTION OF DISPUTES:**

The Parties agree that if at any time (whether before, during or after the arbitral or judicial proceedings), any Disputes (which term shall mean and include any dispute, difference, question or disagreement arising in connection with construction, meaning, operation, effect, interpretation or breach of the contract/tender which the Parties are unable to settle mutually), arise inter-se the Parties, the same may, be referred by either party to Conciliation to be conducted through Independent Experts Committee to be appointed by competent authority of BHEL from the BHEL Panel of Conciliators.



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#### Notes:

- 1. No serving or a retired employee of BHEL/Administrative Ministry of BHEL shall be included in the BHEL Panel of Conciliators.
- 2. Any other person(s) can be appointed as Conciliator(s) who is/are mutually agreeable to both the parties from outside the BHEL Panel of Conciliators.

The proceedings of Conciliation shall broadly be governed by Part-III of the Arbitration and Conciliation Act 1996 or any statutory modification thereof and as provided in **Annexure IV** to this Terms and conditions.

The **Annexure IV** together with its appendices will be treated as if the same is part and parcel hereof and shall be as effectual as if set out herein in this terms and conditions.

Except as provided elsewhere in this Contract, in case amicable settlement is not reached between the parties, in respect of any dispute or difference; arising out of the formation, breach, termination, validity or execution of the Contract; or, the respective rights and liabilities of the Parties; or, in relation to interpretation of any provision of the Contract; or, in any manner touching upon the Contract, then, either Party may, by a notice in writing to other Party, refer such dispute or difference to sole arbitration of an arbitrator appointed as per the Arbitration and Conciliation Act, 1996 (India) or statutory modification or re-enactment thereof and the rules made thereunder and for the time being in force.

- 1. The Arbitrator shall pass a reasoned award and the award of the Arbitrator shall be final and binding upon the parties.
- 2. This contract shall be governed, construed and interpreted in accordance with the laws of India.
- 3. Subject as aforesaid, the provisions of Arbitration & Conciliation Act 1996 (India) or statutory modification or re-enactment thereof and the rules made thereunder and for the time being in force shall apply to the arbitration proceeding under this clause. The seat of arbitration shall be Pudukkottai, Tamil Nadu, India.
- 4. The cost of arbitration shall be borne as per the award of the Arbitrator.
- 5. Subject to arbitration in terms of clause above, the Courts at Pudukkottai, Tamil Nadu, India shall have exclusive jurisdiction over any matter arising out of or in connection with this Contract.
- 6. Notwithstanding the existence or any dispute or difference and/or reference for the arbitration, the vendor/contractor shall proceed with and continue without hindrance the performance of its obligation under this Contract with due diligence and expedition in a professional manner except where the Contract has been terminated by either Party in terms of this Contract.

In Case of Contract with Public Sector Enterprise (PSE) or a Government Department, the following shall be applicable:

In the event of any dispute or difference relating to the interpretation and application of the provisions of commercial contract(s) between Central Public Sector Enterprises (CPSEs)/Port Trusts inter se and also between CPSEs and Government Departments/Organizations (Excluding disputes concerning Railways, Income Tax, Customs & Excise Departments, such dispute or difference shall be taken up by either party for its resolution through AMRCD as mentioned in DPE OM No.05/003/2019-FTS-10937 dtd. 14TH December, 2022 and the decision of AMRCD on the said dispute will be binding on both the parties."



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#### 27. JURISDICTION:

In case of any suit or other legal proceedings arising under or relating to this Contract, the courts at Pudukkottai, Tamil Nadu only shall have the Jurisdiction and is only after exhausting the, Resolution of Disputes, Clause 26.

28. **SIGNING OF CONTRACT**: Each contract document shall be signed by the Contractor with his usual signature. Contract by partnership of Hindu Joint Family firm, may be signed in the FIRM'S name by one of the Partners or the Karta or Manager as the case may be or by any other duly authorised representative followed by the name and designation of the persons so signing. Contracts by a Company shall be signed with the name of the Company by a person authorised in this behalf and a power of attorney or other satisfactory proof showing that the persons signing the Contract documents on behalf of the Company is duly authorised to do so, shall accompany the contract.

#### 29. SECRECY OF CONFIDENTIAL INFORMATION:

The Contractor undertakes and agrees that he/it will not disclose or reveal in part or full the proprietary/confidential information, which terms shall mean and include patents, trademarks, service marks, registered designs, copyright, design rights, know-how, confidential information, trade and business names and any other similar protected information of BHEL received during negotiation or currency of the contract to any third party or governmental authorities without written permission from BHEL. In the event of termination or expiry of the contract, the contractor shall return all proprietary/confidential information to BHEL. This clause shall survive termination or expiry of the contract. BHEL reserves the right to initiate appropriate action including legal proceeds / termination of contract, recovery of damages, penalties etc., if the contractor is found guilty / wrong usage of the documents given by BHEL for any unauthorized activity.

#### **30. STATUTORY REQUIREMENTS:**

- a) All statutory requirements under Minimum Wages Act, 1948, Factories Act 1948, Workmen Compensation Act 1923, Employees Provident Fund and Miscellaneous Provisions Act, 1952, Payment of Gratuity Act 1972, Employee State Insurance Act 1948, Contract Labour (Regulation and Abolition) Act 1970, Payment of Bonus Act 1965, Income Tax Act, GST / SGST / IGST / UTGST Act and all other applicable Acts shall be complied with by the Contractor.
- b) Contractor shall comply with all statutory requirements, rules, regulations, notifications in relation to employment of his employees issued from time to time by the concerned authorities.
- c) Contractor shall indemnify BHEL against all claims and losses under various Labour Laws, statutes or any civil or criminal law in connection with employees deployed by him.
- d) Contractor wherever applicable shall maintain proper records prescribed by the concerned statutory authorities and provide a copy of the same to BHEL.
- e) Contractor shall furnish proper returns to the concerned statutory authorities and provide a copy of the same to BHEL.

#### 31. **REGISTERS & RECORDS:**

The Contractor shall maintain all registers and records in the proper manner and as required by the regulations of the various authorities concerned and indemnify the Employer from the consequences due to any inaccurate or faulty documentation on the part of the Contractor.



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#### 32. REMOTE TRANSACTIONS:

The Contractor shall agree to and comply with all such terms and conditions as BHEL may prescribe from time to time and shall confirm that all transactions effected by or through facilities for conducting remote transactions including the Internet, World Wide Web, electronic data interchange, call centers, teleservice operations (whether voice, video, data or combination thereof) or by means of electronic, computer, automated machines network or through other means of telecommunication established by BHEL shall constitute legally binding and valid transactions when done.

#### 33. CHANGE IN CONSTITUTION OF FIRM:

Changes in constitution of firm whenever it is made after submission of application or during currency of the contract, the existing firm has to duly inform the proposed changes to contracting department of BHEL at the appropriate time before the changes in the constitution are made. In case the absence of any such information BHEL is not responsible for the consequences arising out of the absence or suppression of information and the issue / dispute arising out of these changes and the firm is responsible for settling the issue or dispute among themselves (Partners etc.) or with the bankers or with any third party. Under the above circumstances when dispute arises and the firm does not inform the change in the constitution of the firm BHEL may reserve its rights for suspending or terminating the contract.

#### **34. FRAUD PREVENTION POLICY:**

The Bidder along with its associate / collaborators / sub-contractors / sub-vendors / consultants / service providers shall strictly adhere to BHEL Fraud Prevention Policy displayed on BHEL website http://www.bhel.com and shall immediately bring to the notice of BHEL Management about any fraud or suspected fraud as soon as it comes to their notice.

#### 35. COMMON TERMS AND CONDITIONS FOR WORKS CONTRACT RELEVANT TO SAFETY

- 1. Use of cell phones and other mobile electronic devices (including hands-free devices) in the work spot and during the operation of a vehicle in the BHEL premises is prohibited.
- 2. Contract employees working on BHEL premises must wear appropriate personal protective equipment. Strict adherence to all required Personal Protective Equipment (Helmet, Safety Shoes and Goggles) are mandatory, specific PPE requirements will be based on job type or tasks performed. Contractor should submit proof for bringing the necessary PPEs inside the factory along with the monthly bills to the user department.
- 3. Excessively loose-clothing, dhoti/Lungi is prohibited especially around rotating or moving equipment.
- 4. The contractors' work area should be kept clean and orderly, free of clutter and trash, so that work may proceed in a safe and orderly manner. Tools should be safely positioned during use and promptly put away when no longer required.
- 5. Fire-fighting, emergency shutdown devices, and life-saving equipment, should not be blocked by the contractor/employees and access to the path to this equipment should be maintained at all times.
- Only approved equipment should be used in locations where flammable mixtures are present. A Hot Work Permit is required when open flames, or electric arcs are in the work area and while handling flammable materials.
- 7. Smoking is not allowed in work area.
- 8. It is recommended that the contractor should know & display the emergency phone numbers like Fire, Ambulance, Safety, Security etc. at their work area.

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9. It is the responsibility of the contractor to understand and use the appropriate Work Permits and to verify any permit requirements at the location. Contractor must make necessary arrangements with their Representative to acquire appropriate authorization to perform those operations at the site.

#### 36. SAFETY CONDITIONS: Tamil Nadu Factories Rules, 1950

- Rule 61F: Methods of Work: No process or work shall be carried on in any factory in such a manner as to cause risk of bodily injury.
- Rule 61G: Stacking and storing of materials etc.: No materials or Equipment shall be stacked or stored in such a manner as to cause risk of bodily injury.
- Rule 61-N and Rule 61- O: Workers to be provided with Personal Protective equipment suitable for the hazards and should be of good quality / have certification by Indian Standard Institute.
- Rule 96: Notification of Accidents: Shall be complied with as required in the Factories Act (Section 88 and Section 88A) and Tamil Nadu Factories Rules.

The following safety measures (whichever is applicable) should be strictly adhered to during execution of works at sites.

- 1. Providing the working platform with toe board and handrail for continuous working at heights.
- 2. Providing safety belt and life line at all times for men working at heights.
- 3. Providing dust or fume respirator in places where dust and fume concentration exists.
- 4. Providing goggles and welding screens.
- 5. Providing acid and alkali proof rubber gloves for handling acid and alkali and chemical which are corrosive.
- 6. Providing rubber gloves for working on electrical works.
- 7. Ensuring proper lashing of the components while being transported in vehicles.
- 8. The gas cylinders must be always handled on trolleys or kept tied down not in use. They should never be rolled as Roller for conveying.
- 9. Cylinders should not be used without regulators.
- 10.All excavations must be barricaded and red lamps must be provided.
- 11.All electrical connections must be properly earthed.
- 12. No work should be taken up for execution inside shop floor, without obtaining necessary work permit.
- 13. Providing helmet, safety belt, etc., for high level work and sufficient number of Industrial Safety nets at appropriate level to safeguard the persons working at high level particularly nin trusses, girders, roofing etc., of industrial and high roof buildings.
- 14. The contractor should maintain a register regarding the driver license particulars.
- 15.All personal protective equipment conforms with standard specification as per the details given in the code of conduct.
- 16.Contractor including their sub-contractors, agents and labour engaged on the work are required to scrupulously adhere to the safety regulations, safety precautions and measurers. Any violation thereof will invite punitive action being taken against them. Also contractors with frequent violations of safety regulations will not be entrusted with further work in this organization.

#### 37. Safety precautions to be observed while transporting materials (WHICHEVER IS APPLICABLE): -

#### A) <u>VEHICLE</u>:

 Vehicles carrying material should have proper registration documents and must be produced on demand by our Security Staff.

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- 2) The light on right side, i.e. over the driver's cabin shall be in working condition.
- 3) Both the head lights as well as park lamps must be in working conditions.

#### B) MOVEMENT OF VEHICLE:

- 1) The vehicle should not travel at more than 20 kmph in our premises.
- 2) The Driver of the vehicle must possess heavy duty license and produce on demand by the Security Staff.
- 3) Vehicles carrying inflammable liquid in the tank containers should have grounding chain or the tank should be coated with insulating material also to avoid static electricity.
- 4) In road junctions, speed breakers and railway crossing, the speed should be lowered and vehicle should proceed cautiously.
- 5) The driving should "KEEP TO THE LEFT" at all places.
- 6) The vehicle should not be parked in road which could obstruct the vehicular traffic.
- 7) The vehicle should pass only through the approved routes. Short cuts should be forbidden.
- 8) There must be a safe distance behind another moving truck.
- 9) The driver should avoid making quick starts, jerky stops or quick turns at excessive speed.

#### 38. **SUSPENSION OF BUSINESS DEALINGS WITH SUPPLIERS / CONTRACTORS:**

Penal action can be initiated on the suppliers / Contractors in line with extant 'Guidelines for Suspension of Business Dealings with Suppliers/ Contractors. The abridged version of extant 'Guidelines for suspension of business dealings with suppliers/ contractors' has been uploaded on http://www.bhel.com on "supplier registration page".

#### 39. **GENERAL:**

The vehicles should not be moved directly inside the production building in case the materials are to be unloaded there. But the vehicle should be parked outside the building and the driver should ascertain the passage as well as the unloading points with the help of shop officials. This will avoid the congestion of blocking of traffic in the gangway.

#### 40. **NOTICE OF ACCIDENTS:**

- 1. Notwithstanding anything contrary to this, in the event of accident the contractor shall be required to fill injury report and submit the Engineer in charge immediately and ensure the compliances of ESI / Workmen's compensation Act, Factories Act and Rules made thereunder. He shall also maintain a register of accident as per the Act.
- 2. The Contractor shall get the contract labour engaged by him insured under Workmen's Compensation policy from General Insurance Corporation of India before actually starting the work of contract. The insurance coverage should be for the entire period of Contract. The Contract shall comply with the provisions of the Workmen's Compensation Act 1923. (This should be read in conjunction with the provisions of ESI Act)

#### 41. **GOVERNMENT LAW'S COVERING UNDER THIS CONTRACT:**

The Contractor shall in respect of Personnel employed by him either directly or through sub-Contractors, comply with or cause to be complied with following statutory provisions and rules and in regard to all matters provided therein.



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#### 42. THE CONTRACT LABOUR (REGULATION & ABOLITION) ACT 1970 AND THE RELATED RULES:

- a. The minimum wages Act 1948 and the related rules
- b. The payment of wages Act 1936 and the related rules.
- c. The Factories Act 1948 and related Tamil Nadu Rules.
- d. The Employees' Provident Fund & Miscellaneous provisions Act 1952.
- e. The Employees State Insurance Act 1948.
- f. Workmen Compensation Act 1923
- g. Payment of Bonus Act 1965
- h. Maternity Benefit Act, 1961
- i. Payment of Gratuity Act, 1972
- j. Inter-State Migrant Workmen (Regulation of Employment & Conditions of Service) Act, 1979
- k. Equal Remuneration Act, 1976
- I. Industrial Employment (Standing Order) Act, 1960 (In case of engaging 100 or more personnel)
- m. The Industrial Disputes Act 1947

And any other law, or modifications to the above or to the rules made there under from time to time.

#### 43. BIOMETRIC ENTRY/EXIT SYSTEM FOR CONTRACTOR'S WORKMEN:

- 1. The Entry/Exit of the employees engaged by contractor is to be regulated only through Biometric system.
- 2. The Contractor initially will be issued with a temporary gang pass for his/ her employees for a period of ten days.
- 3. The contractor should arrange photo coverage for all his/her employees within the above stipulated time.
- 4. The contractor has to submit FORM-I for all his/her contract employees. All the particulars required in FORM-I are to be provided by the contractor without fail.
- 5. Every employee of the Contractor shall be provided with Employment Card as per Form XIV (as per Rule 76 of the Contract Labour (Central) Rules, 1971 and the contractor shall instruct its employees to carry the Employment Card as well as Entry Card without fail, while entering/exiting factory.
- 6. The contractor should educate his employees in registering the attendance through the system.
- 7. Whenever a contract employee migrates or leaves service of the contractor, the contractor has to surrender the biometric card of the particular employee to Contract Cell with immediate effect, without fail.
- 8. On completion of the work, the contractor has to surrender all the biometric entry cards issued to its employees immediately to the contract cell. Otherwise, an amount of Rs.100/- per card will be deducted from the final bill/security deposit of the contractor.
- 9. If any contract employee lose his/her entry card, the contractor shall arrange a duplicate entry card for the employee by paying an amount of Rs.100/-.
- 10. The Contractor is totally responsible for the biometric cards issued to his/her employee.
- 11. The Contractor has to indemnify BHEL for all the damages and losses caused by his/her employees.

# 44. BHEL SHALL RECOVER THE AMOUNT OF COMPENSATION PAID TO VICTIM(S) BY BHEL TOWARDS LOSS OF LIFE / PERMANENT DISABILITY DUE TO AN ACCIDENT WHICH IS ATTRIBUTABLE TO THE NEGLIGENCE OF CONTRACTOR, AGENCY OR FIRM OR ANY OF ITS EMPLOYEES AS DETAILED BELOW:

1. Victim: Any person who suffers permanent disablement or dies in an accident as defined below

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- 2. Accident: Any death or permanent disability resulting solely and directly from any unintended and unforeseen injurious occurrence caused during manufacturing / operation and works incidental thereto at BHEL factories / offices and precincts thereof, project execution, erection and commissioning services, repairs and maintenance, trouble shooting, serving, overhaul, renovation and retrofitting, trial operation, performance guarantee testing undertaken by the company or during any works / during working at BHEL Units / offices / townships and premises / Project Sites.
- 3. Compensation in respect of each of the victims:
  - a. In the event of death or permanent disability resulting from Loss of both limbs: Rs. 10,00,000/- (Rs. Ten Lakh)
  - b. In the event of other permanent disability: Rs. 7,00,000 (Rs. Seven Lakh)
- 4. Permanent Disablement: A disablement that is classified as a permanent total disablement under provision to Section 2(I) of the Employee's Compensation Act, 1923.
- 45. **SPLITTING OF CONTRACT:** Not Applicable. Entire work shall be awarded to L1 vendor.

#### 46. **CONFLICT OF INTEREST:**

A bidder shall not have conflict of interest with other bidders. Such conflict of interest can lead to anticompetitive practices to the detriment of Procuring Entity's interests. The bidder found to have a conflict of interest shall be disqualified. A bidder may be considered to have a conflict of interest with one or more parties in this bidding process, if:

- a) they have controlling partner (s) in common; or
- b) they receive or have received any direct or indirect subsidy / financial stake from any of them; or
- c) they have the same legal representative / agent for purposes of this bid; or
- d) they have relationship with each other, directly or through common third parties, that puts them in a position to have access to information about or influence on the bid of another Bidder; or
- e) Bidder participates in more than one bid in this bidding process. Participation by a bidder in more than one Bid will result in the disqualification of all bids in which the parties are involved. However, this does not limit the inclusion of the components / sub-assembly / Assemblies from one bidding manufacturer in more than one bid; or
- f) In cases of agents quoting in offshore procurements, on behalf of their principal manufacturers, one agent cannot represent two manufacturers are quote on their behalf in a particular tender enquiry. One manufacturer can also authorise only one agent/dealer. There can be only one bid from the following:
- 1. The principal manufacturer directly or through one Indian agent on his behalf; and
- 2. Indian / foreign agent on behalf of only one principal; or
- g) A Bidder or any of its affiliates participated as a consultant in the preparation of the design or technical specifications of the contract that is the subject of the Bid; or
- h) In case of a holding company having more than one independently manufacturing units, or more than one unit having common business ownership / management, only one unit should quote. Similar restrictions would apply to closely related sister companies. Bidders must proactively declare such sister / common business / management units in same / similar line of business.



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#### 47. Guidelines for Suspension of Business Dealings with Suppliers/ Contractors:

The offers of the bidders who are under suspension as also the offers of the bidders, who engage the services of the firms debarred across BHEL, shall be rejected. The list of firms debarred across BHEL is available on BHEL web site www.bhel.com.

- 1.0. Integrity commitment, performance of the contract and punitive action thereof:
- 1.1. Commitment by BHEL:

BHEL commits to take all measures necessary to prevent corruption in connection with the tender process and execution of the contract. BHEL will during the tender process treat all Bidder(s) in a transparent and fair manner, and with equity.

- 1.2. Commitment by Bidder/ Supplier/ Contractor:
  - 1.2.1. The bidder/ supplier/ contractor commit to take all measures to prevent corruption and will not directly or indirectly influence any decision or benefit which he is not legally entitled to nor will act or omit in any manner which tantamount to an offence punishable under any provision of the Indian Penal Code, 1860 or any other law in force in India.
  - 1.2.2. The bidder/ supplier/ contractor will, when presenting his bid, disclose any and all payments he has made, and is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract and shall adhere to relevant guidelines issued from time to time by Govt. of India/ BHEL.
  - 1.2.3. The bidder/ supplier/ contractor will perform/ execute the contract as per the contract terms & conditions and will not default without any reasonable cause, which causes loss of business/ money/ reputation, to BHEL.

If any bidder/ supplier/ contractor during pre-tendering/ tendering/ post tendering/ award/ execution/ post-execution stage indulges in malpractices, cheating, bribery, fraud or and other misconduct or formation of cartel so as to influence the bidding process or influence the price or acts or omits in any manner which tantamount to an offence punishable under any provision of the Indian Penal Code, 1860 or any other law in force in India, then, action may be taken against such bidder/ supplier/ contractor as per extant guidelines of the company available on www. bhel.com and/or under applicable legal provisions.



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# **Tender Notice**

RTGS/NEFT FORM

Tο

THE PURCHASE/CONTRACT EXECUTING AGENCY/BHEL

#### ACCEPTANCE FOR ELECTRONIC FUND TRANSFER / RTGS TRANSFER

	upplier / Sub-contractor	
02 VF		
02   12	ENDOR CODE assigned by BHEL	
		Details of Bank Account:
03 NA	AME & ADDRESS OF THE BANK	
04 NA	AME OF THE BRANCH	
05 BR	RANCH CODE	
06 MI	IICR CODE	
07 AC	CCOUNT NUMBER	
08 TY	YPE OF ACCOUNT	CURRENT A/C / OD / CASH CREDIT
09 BE	ENEFICIERY'S NAME	
10 IFS	SC CODE OF THE BRANCH	
11 PA	AN NUMBER	
12 EN	MAIL ID	
13 TE	ELEPHONE/MOBILE NO.	

I/ We hereby agree to receive the payments due from BHARAT HEAVY ELECTRICALS LIMITED by the National Electronic Funds Transfer and / or RTGS Transfer mode by credit to my / our above mentioned Bank Account. I / We also agree that payments made to the above mentioned Account is a valid discharge of the liability of Bharat Heavy Electricals Limited. I / We also agree to bear the applicable Bank Charges for the above mode of transfer. A copy of PAN and cheque leaf/cancelled cheque leaf of the above account is sent herewith.

**AUTHORISED SIGNATORY WITH NAME SEAL** 

#### **Banker's Certification**

We confirm that we are enabled for receiving RTGS and NEFT credits and we further confirm that the account number of \_\_\_\_\_\_\_ (name of account holder), the signature of the authorized signatory and the MICR and IFSC codes of our Branch mentioned above are correct.

PLACE: DATE: (Manager / Officer's)
Signature Under Bank stamp and Name Seal
with Membership No:
(Telephone / Mobile No)

Forwarded to Accounts Dept.

We confirm the above details are verified with the records available with us. Signature of the BHEL Executive with Name Seal (Operating the Contract/Services

Place Date



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# **Tender Notice**

**Annexure-Y** 

# PROFORMA OF BANK GUARANTEE (in lieu of SECURITY DEPOSIT)

(To	be ta	ken on	Non-Judicial	Stamp paper	r/e-stamp papeı	r as per Stamp	Act prevail	ing in t	the S	State)
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Bank Guarantee No:

Date:

То

Bharat Heavy Electricals Limited
High Pressure Boiler Plant, Tiruchirappalli – 620014 / Power Plant Piping Unit, Thirumayam – 622507

Dear Sirs,

	1. In consideration of Bharat Heavy Electricals Limited 1 (hereinafter referred to as the 'Employer' which
	expression shall unless repugnant to the context or meaning thereof, include its successors and permitted
	assigns) incorporated under the Companies Act, 1956 and having its registered office at "BHEL House" SIRI Fort,
	New Delhi - 110 049), through its Unit High Pressure Boiler Plant, BHEL, Tiruchirappalli - 620014 / Power
	Plant Piping Unit, Thirumayam - 622507 having awarded to
	/CONTRACTOR / SUPPLIER / VENDOR CODE ) with its registered office at (NAME AND
	ADDRESS OF THE VENDOR /CONTRACTOR / SUPPLIER) hereinafter referred to as the 'Vendor / Contractor
	/ Supplier ', which expression shall unless repugnant to the context or meaning thereof, include its successors
	and permitted assigns), a contract Ref No(DETAILS ABOUT THE NOTICE OF
	AWARD/CONTRACT REFERENCE)datedvalued at
	Rs(CONTRACT VALUE) Rupees
	Contractor / Supplier having agreed to provide a Contract Performance Bank Guarantee, equivalent to 5 % (Five
	Percent) of the said value of the Contract to the Employer for the faithful performance of the Contract,
2.	We,, (hereinafter referred to as the Bank), having registered/Head office at and
	inter alia a branch at being the Guarantor under this Guarantee, hereby, irrevocably and unconditionally
	undertake to forthwith and immediately pay to the Employer any sum or sums upto a maximum amount of Rs
	(BG AMOUNT IN FIGURES AND WORDS) ( Rupees) without any demur, immediately on
	first demand from the Employer and without any reservation, protest, and recourse and without the Employer
	needing to prove or demonstrate reasons for its such demand.
	g o process of the control of the co
3.	Any such demand made on the Bank shall be conclusive as regards the amount due and payable by the Bank
٠.	under this guarantee. However, our liability under this guarantee shall be restricted to an amount not exceeding
	Rs (BG AMOUNT IN FIGURES AND WORDS)
	Signature of the Bidder
Da	ite (NAME &ADDRESS WITH SEAL)



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4.	We undertake to pay to the Employer any money so demanded notwithstanding any dispute or disputes raised
	by the Vendor / Contractor / Supplier in any suit or proceeding pending before any Court or Tribunal, Arbitrator
	or any other authority, our liability under this present being absolute and unequivocal.

- 5. The payment so made by us under this Guarantee shall be a valid discharge of our liability for payment thereunder and the <u>Vendor / Contractor / Supplier</u> shall have no claim against us for making such payment.
- 6. We the ......bank further agree that the guarantee herein contained shall remain in full force and effect during the period that would be taken for the performance of the said Contract/satisfactory completion of the performance guarantee period as per the terms of the Contract and that it shall continue to be enforceable till all the dues of the Employer under or by virtue of the said Contract have been fully paid and its claims satisfied or discharged.
- 7. We.......BANK further agree with the Employer that the Employer shall have the fullest liberty without our consent and without affecting in any manner our obligations hereunder to vary any of the terms and conditions of the said Contract or to extend time of performance by the said Vendor / Contractor / Supplier from time to time or to postpone for any time or from time to time any of the powers exercisable by the Employer against the said Vendor / Contractor / Supplier and to forbear or enforce any of the terms and conditions relating to the said Contract and we shall not be relieved from our liability by reason of any such variation, or extension being granted to the said Vendor / Contractor / Supplier or for any forbearance, act or omission on the part of the Employer or any indulgence by the Employer to the said Vendor / Contractor / Supplier or by any such matter or thing whatsoever which under the law relating to sureties would but for this provision have effect of so relieving us.
- 8. The Bank also agrees that the Employer at its option shall be entitled to enforce this Guarantee against the Bank as a principal debtor, in the first instance without proceeding against the <u>Vendor / Contractor / Supplier</u> and notwithstanding any security or other guarantee that the Employer may have in relation to the <u>Vendor / Contractor / Supplier</u>'s liabilities.
- This Guarantee shall remain in force upto and including.................................(SIX MONTHS BEYOND THE
  CLOSING DATE OF CONTRACT) and shall be extended from time to time for such period as may be desired
  by Employer.
- 10. This Guarantee shall not be determined or affected by liquidation or winding up, dissolution or change of constitution or insolvency of the <u>Vendor / Contractor / Supplier</u> but shall in all respects and for all purposes be binding and operative until payment of all money payable to the Employer in terms thereof.



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11.	Ur	Unless a demand or claim under this guarantee is made on us in writing on or before the					
	C	CONTRACT) we shall be discharged from all liabilities under this guarantee thereafter.					
12.		Any claim or dispute arising under the terms of this document shall only be enforced or struchirappalli.	settled in the Courts at				
13.	W	Ve BANK lastly undertake not to revoke this guarantee during its cur	rency except with the				
	pre	previous consent of the Employer in writing.					
Not	with	thstanding anything to the contrary contained hereinabove:					
	a)	The liability of the Bank under this Guarantee shall not exceed	(BG AMOUNT IN				
	b)	This Guarantee shall be valid up to	(SIX				
	c)	Unless the Bank is served a written claim or demand on or before	(SIX MONTHS				
		BEYOND THE CLOSING DATE OF CONTRACT) all rights under this guarantee sha	all be forfeited and the				
		Bank shall be relieved and discharged from all liabilities under this guarantee irrespe	ctive of whether or not				
		the original bank guarantee is returned to the Bank.					
14.		We, Bank, have power to issue this Guarantee under law and the unusual throughout the substitution of the Bank.	undersigned as a duly				
		For	and on behalf of				
			ime of the Bank)				
Dat	ed.	l					
Pla	ce c	of Issue					
BAI	NK I	(IFSC AND MICR CODE					
BAI	١K	CEMAIL ID:					
BAI	١K	C PHONE NO:					
ΑU	THC	HORISED SIGNATORIES CELL PHONE NO:					
BAI	NK I	C FAX NO					



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# **Tender Notice**

#### LIST OF CONSORTIUM BANKS IN INDIA

	List of Consortium Bank		
Sl. No.	Name of the Bank		
1.	State Bank of India		
2.	Canara Bank		
3.	Axis Bank		
4.	Bank of Baroda		
5.	Exim Bank		
6.	Federal Bank Limited		
7.	HDFC Bank Limited		
8.	Hong Kong and Shanghai Banking Corporation Limited		
9.	Indian Bank		
10.	ICICI Bank Limited		
11.	IDBI Bank Limited		
12.	IndusInd Bank Limited		
13.	Indian Overseas Bank		
14.	Kotak Mahindra Bank Limited		
15.	Punjab National Bank		
16.	RBL Bank Ltd.		
17.	Standard Chartered Bank		
18.	Union Bank of India		
19.	Yes Bank Limited		

- (1) It should be typed in the Rs. 100 value of stamp paper.
- (2) It should be <u>signed by TWO bank officials</u> with Rubber stamp containing names & employee numbers of bank officials.
- (3) It should be submitted with bank covering letter with sign and seal of the bank official.
- (4) Bank Guarantee issued by any of the Consortium Banks only will be accepted by BHEL.



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# **Tender Notice**

## **GENERAL DETAILS:**

(To be filled by Bidder)

Tender Ref: PPPU: WC: 24: 008 Date: 08.06.2024

01	Name and full address of the Company / Firm	
02	Name of contact Person with Designation	
03	Contact Details:  Landline No.  Mobile No.  email ID:	
04	Local Office Address with Contact person, Phone No., Mobile No., email ID, Fax No. (If any)	
05	Vendor code allotted by BHEL <b>if any</b>	
06	List of BHEL units in which vendor has executed any type of contract previously or is currently executing any type of contract	
07	Is the vendor under Ban Or Hold by any units of BHEL?	



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Power Plant Piping Unit, Thirumayam – 622 507, Tamil Nadu

# **Tender Notice**

## **TECHNO COMMERCIAL BID**

(To be filled by bidder)

## **ELIGIBILITY CRITERIA DETAILS:**

## **ANNEXURE - A**

SI. NO	ELIGIBILITY CRITERIA	BIDDER's RESPONSE
1.	EMD PAYMENT	Not applicable
2.	The contractor should have an Income Tax Registration (PAN). (Details of self- attested copy to be furnished)  (Please mention Page No in which this proof is attached in uploaded tender document)	PAN No(Proof to be attached in GeM)
3.	Goods & Service Tax (GST) registration / Application Reference Number. (copy of registration details indicating GSTIN/ARN to be submitted along with offer. (If any specific exemption is available, a declaration with due supporting documents need to be furnished for considering the offer).  (Please mention Page No in which this proof is attached in uploaded tender document)	Regn. No. —(Proof to be attached in GeM)
4.	Company Status (Proprietorship/ Partnership Firm/LLP/One-person Company/Private Limited Company/Public Limited Company/Trust/Society) (Proof to be attached)  (Please mention Page No in which this proof is attached in uploaded tender document)	Company status:  (Proof to be attached in GeM)
5.	Vendor shall have valid license from Tamilnadu government authorities for carrying out the security services in Tamil Nadu, under the registration of private security agency (Regulation) Act 2005 (PSARA). (Copy of registration certificate to be enclosed)  (Please mention Page No in which this proof is attached in uploaded tender document)	(Proof to be attached in GeM)
6.	Minimum one year of experience of execution of works as per scope of work or works of similar nature related to security services by deploying men or lady security guards in any Central / State govt. / PSU / Private company/ any organisation executed after 31st March, 2014.  Proof of Work Order / Purchase Order / Experience certificate should be attached. Proof of experience from any organization other than BHEL Trichy / Thirumayam to be supported by TDS (Tax Deducted at Source) certificate issued by the organizations OR Form 26 AS or bank statement for transaction of payment.	(Proof to be attached in GeM)

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	(Please mention Page No in which this proof is attached in uploaded tender document)		
7.	Duly signed certificate of compliance in bidder's letter head shall be attached. (Annexure III)  (Please mention Page No in which this proof is attached in uploaded tender document)	("Certificate of compliance" to be attached in GeM which should be in bidder's letterhead)	
Duly signed Local Content Declaration in bidder's letter head shall be attached (Annexure II)  8. (Please mention Page No in which this proof is attached in uploaded tender document)		Duly signed and stamped to be uploaded	
9.	Applicable GST rate  (Please mention Page No in which % is mentioned in uploaded tender document)	%	
OTHER I	NFORMATION / DETAILS:		
SI. NO	CRITERIA	BIDDER's RESPONSE	
1.	MSE Vendor status (Self-attested copies of Udyam Registration Certificate to be submitted)  (Please mention Page No in which this proof is attached in uploaded tender document)	(Proof to be attached in GeM)	
2.	General Details shall be attached.  (Please mention Page No in which this proof is attached in uploaded tender document)	( "General Details" should be Submitted in GeM)	

#### NOTE:

- 1. All Pages of tender documents must be signed & sealed (digitally on 1st page or manually in each page) by the bidder before uploading in GeM portal. This shall ensure that the bidder has agreed to all conditions of tender document.
- 2. All supporting documents pertaining to the above Criteria (Copies of UDYAM certificate, experience proof, etc.) must be singed & sealed (digitally on 1<sup>st</sup> page or manually in each page) by the bidder before uploading in GeM portal.



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## **Tender Notice**

#### **ANNEXURE - I**

#### **E-PAYMENTS**

- This annexure to the techno-commercial bid explains how to make e-payments to BHEL- Tiruchirappalli through SBI e-collect.
- Vendors (SD Payments payable by others) can utilise this facility.
- Payments can be made using Internet Banking, Debit Cards/Credit Cards etc.
- SBI Charges a minimum amount (Service Charge) for every transaction. This may vary according to the MODE selected.

#### STEP BY STEP PROCEDURE:

Login to https://www.onlinesbi.com

- 1. Select State Bank Collect available on the top (pre login page)
- 2. Accept the terms and conditions and click "PROCEED"
- 3. Select State "TAMILNADU "and Institution type "INDUSTRY".
- 4. Select "BHEL TRICHY under "INDUSTRY".
- 5. In the next page, Select APPROPRIATE category, fill details correctly & click "SUBMIT".
- 6. If all details entered are correctly populated, click "CONFIRM "to proceed.
- 7. Make payment as per your convenience. (Options available are payment of fees through SBI Net Banking, State Bank ATM cum Debit Cards / Other Bank Debit / Credit Cards and through SBI Branches).
- 8. SAVE & Keep the copy of receipt for future reference.

# HOW TO TAKE RECEIPT FOR A PAYMENT MADE, EVEN ON A LATER DATE: (PLEASE CHECK THE STATUS BEFORE MAKING PAYMENT SECOND TIME)

- 1. Login to www.onlinesbi.com
- 2. Select State Bank Collect available on the top (pre login page)
- 3. Accept the terms and conditions and click "PROCEED"
- 4. Select "PAYMENT HISTORY "option available on the left side of screen.
- 5. Using two options as mentioned below, you can get the receipt:
  - a. Type the same Date of Birth, Mobile Number which you have entered at the time of making payment through SB collect. Select the date range and submit.
- 6. It you know the payment reference number, then enter the Reference number (DU...) along with anyone information (Date of Birth / Mobile number, which you have entered at the time of making payment). Select the date range and submit.
- 7. In the next page, take print out of receipt.



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# **Tender Notice**

### **ANNEXURE II (Local Content)**

(TO BE SUBMITTED ON BIDDER'S LETTER HEAD)

Tender Ref No.: PPPU: WC: 24: 008 Dt. 08.06.2024

## **Self-Declaration**

	<del></del>
Enquiry No.	
Enquiry Date	
In line with Government public procurement order Num modified order dt. 28.05.2018 & 04.06.2020.  I / We hereby declare that I / We are a "Local Supplier" medefined in the above government notification for the good Details of location at which local value addition/ Service was served.	eeting the requirement of minimum local content (%) ds/Services against above mentioned enquiry Number.
Address	
District	
State	
Country	
PIN Code	
We also understand that the false declarations will be con	nsidered as breach of Integrity and liable for action.
	For Company Name: Seal: Signature:

Place Date



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## **Tender Notice**

#### Annexure III -CERTIFICATE OF COMPLIANCE

(To be submitted in bidder's letter head)

Tender Ref No: PPPU: WC: 24: 008 Date: 08.06.2024

То

DGM / Contracts, MS & DTG, Power Plant Piping Unit, BHEL – Thirumayam-622 507.

Sir,

We have carefully read the tender specifications connected with the above tender and have understood the technical requirements and conditions to the above tender which consists of

- 1. Eligibility criteria
- 2.Scope of work
- 3.Commercial Terms & Conditions
- 4. Conditions Related to The Welfare of Labours
- 5. General Terms and Conditions.
- 6.Technical Bid
- 7.RTGS/NEFT Format
- 8.General Details
- 9.All Annexures

I/We M/s
have read and clearly understood all the Terms and conditions in Tender Schedule of and accordingly
accept the same without any deviation what so ever.

- I/We declares that I/We will not enter into any illegal or undisclosed agreement or understanding, whether formal or informal with other Bidder(s). This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process. In case I/We found having indulged in above activities, suitable action shall be taken by BHEL as per extant policies/ guidelines.
- I/ We unconditionally agree to all the tender conditions and no new conditions are imposed by us in the technical / price bid. I understand in the event of imposing any condition in the technical / price bid, such condition would be ignored by BHEL and only the prices will be considered for the purpose of evaluation"
- I/ We confirm that none of our group concern or affiliates etc., appears on the list of banned firms / companies by BHEL (list available on www.bhel.com) nor any of the Director / Partner / proprietor of bidder / such group concern or affiliate etc. are involved with such company.
- I/ We also declare that, we have not been suspended or black listed or issued with Show Cause Notice by BHEL- Trichy or any other BHEL Unit or any PSU/ Government organization.
- I/We also declare that there is no case or charge under investigation, enquiry or trial against me/us or any conviction in a court of law nor have been banned by any organizations /Govt. on any grounds.

Place Date



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## **Tender Notice**

- I/ We confirm that other than us, none of our group concerns or affiliates etc. are participating in the tender either directly or indirectly through any other agency under same proprietor / common director(s) / common partner(s).
- I/ We confirm that if any of the above statement / information furnished by us in this tender is found to be
  false/ fake at any stage of tender evaluation or during execution of contract, BHEL will have the right to
  initiate appropriate action including legal proceeds / termination of contract, recovery of damages,
  penalties etc. as deemed fit.
- If the contract is awarded to us the prices shall be kept firm till the completion of contract.
- If the contract is awarded to us, I /We confirm that security deposit (SD) required of execution of the contract will be submitted on or before commencement date as indicated in LOI. In case of delay in submission of performance security (SD), enhanced SD which would include interest (Repo rate+4%) for the delayed period, shall be submitted by bidder. Further, if performance security (SD) is not submitted till such time the first bill becomes due, the amount of performance security due shall be recovered as per terms defined in NIT/Contract, from the bills along with due interest.



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## **Tender Notice**

#### Annexure IV

Tender Ref No: PPPU: WC: 24: 008 Date: 08.06.2024

#### BRIEF PROCEDURE FOR CONDUCT OF CONCILIATION PROCEEDINGS

- 1. The proceedings of Conciliation shall broadly be governed by Part-III of the Arbitration and Conciliation Act 1996 or any statutory modification thereof and as provided herein:
- 2. The party desirous of resorting to Conciliation shall send an invitation/notice in writing to the other party to conciliate specifying all points of Disputes with details of the amount claimed. The party concerned shall not raise any new issue thereafter. Parties shall also not claim any interest on claims/counter-claims from the date of notice invoking Conciliation till the conclusion of the Conciliation proceedings.
- 3. The party receiving the invitation/notice for Conciliation shall within 30 days of receipt of the notice of Conciliation intimate its consent for Conciliation along with its counter-claims, if any.
- 4. The Conciliation in a matter involving claim or counter-claim (whichever is higher) up to Rs 5 crores shall be carried out by sole Conciliator nominated by BHEL while in a matter involving claim or counter-claim (whichever is higher) of more than Rs 5 crores Conciliation shall be carried out by 3 Conciliators nominated by BHEL.
- 5. The Parties shall be represented by only their duly authorized in-house executives/officers and neither Party shall be represented by a Lawyer.
- 6. The first meeting of the IEC shall be convened by the IEC by sending appropriate communication/notice to both the parties as soon as possible but not later than 30 days from the date of his/their appointment. The hearings in the Conciliation proceeding shall ordinarily be concluded within two (2) months and, in exceptional cases where parties have expressed willingness to settle the matter or there exists possibility of settlement in the matter, the proceedings may be extended by the IEC by a maximum of further 2 months with the consent of the Parties subject to cogent reasons being recorded in writing.
- 7. The IEC shall thereafter formulate recommendations for settlement of the Disputes supported by reasons at the earliest but in any case within 15 days from the date of conclusion of the last hearing. The recommendations so formulated along with the reasons shall be furnished by the IEC to both the Parties at the earliest but in any case within 1 month from the date of conclusion of the last hearing.

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- 8. Response/modifications/suggestions of the Parties on the recommendations of the IEC are to be submitted to the IEC within time limit stipulated by the IEC but not more than 15 days from the date of receipt of the recommendations from the IEC.
- 9. In the event, upon consideration, further review of the recommendations is considered necessary, whether by BHEL or by the other Party, then, the matter can be remitted back to the IEC with request to reconsider the same in light of the issues projected by either/both the Parties and to submit its recommendations thereon within the following 15 days from the date of remitting of the case by either of the Parties.
- 10. Upon the recommendations by the Parties, with or without modifications, as considered necessary, the IEC shall be called upon to draw up the Draft Settlement Agreement in terms of the recommendations.
- 11. When a consensus can be arrived at between the parties only in regard to any one or some of the issues referred for Conciliation the draft Settlement Agreement shall be accordingly formulated in regard to the said Issue(s), and the said Settlement Agreement, if signed, by the parties, shall be valid only for the said issues. As regards the balance issues not settled, the parties may seek to resolve them further as per terms and conditions provided in the contract.
- 12. In case no settlement can be reached between the parties, the IEC shall by a written declaration, pronounce that the Conciliation between the parties has failed and is accordingly terminated.
- 13. Unless the Conciliation proceedings are terminated in terms of para 22 (b), (c) & (d) herein below, the IEC shall forward his/its recommendations as to possible terms of settlement within one (1) month from the date of last hearing. The date of first hearing of Conciliation shall be the starting date for calculating the period of 2 months.
- 14. In case of 3 members IEC, 2 members of IEC present will constitute a valid quorum for IEC and meeting can take place to proceed in the matter after seeking consent from the member who is not available. If necessary, videoconferencing may be arranged for facilitating participation of the members. However, the IEC recommendations will be signed by all members. Where there is more than one (1) Conciliator, as a general rule they shall act jointly. In the event of differences between the Members of IEC, the decision/recommendations of the majority of the Members of IEC shall prevail and be construed as the recommendation of the IEC.
- 15. The Draft Settlement Agreement prepared by the IEC in terms of the consensus arrived at during the Conciliation proceedings between the Parties shall be given by the IEC to both the parties for putting up for approval of their respective Competent Authority.
- 16. Before submitting the draft settlement agreement to BHEL's Competent Authority viz. the Board Level Committee on Alternative Dispute Resolution (BLCADR) for approval, concurrence of the

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other party's Competent Authority to the draft settlement agreement shall be obtained by the other party and informed to BHEL within 15 days of receipt of the final draft settlement agreement by it. Upon approval by the Competent Authority, the Settlement Agreement would thereafter be signed by the authorized representatives of both the Parties and authenticated by the members of the IEC.

- 17. In case the Draft Settlement Agreement is rejected by the Competent Authority of BHEL or the other Party, the Conciliation proceedings would stand terminated.
- 18. A Settlement Agreement shall contain a statement to the effect that each of the person(s) signing thereto (i) is fully authorized by the respective Party(ies) he/she represents, (ii) has fully understood the contents of the same and (iii) is signing on the same out of complete freewill and consent, without any pressure, undue influence.
- 19. The Settlement Agreement shall thereafter have the same legal status and effect as an arbitration award on agreed terms on the substance of the dispute rendered by an arbitral tribunal passed under section 30 of the Arbitration and Conciliation Act, 1996.
- 20. Acceptance of the Draft Settlement Agreement/recommendations of the Conciliator and/or signing of the Settlement Agreement by BHEL shall however, be subject to withdrawal/closure of any arbitral and/or judicial proceedings initiated by the concerned Party in regard to such settled issues.
- 21. Unless otherwise provided for in the agreement, contract or the Memorandum of Understanding, as the case may be, in the event of likelihood of prolonged absence of the Conciliator or any member of IEC, for any reason/incapacity, the Competent Authority/Head of Unit/Division/Region/Business Group of BHEL may substitute the Conciliator or such member at any stage of the proceedings. Upon appointment of the substitute Conciliator(s), such reconstituted IEC may, with the consent of the Parties, proceed with further Conciliation into the matter either de-novo or from the stage already reached by the previous IEC before the substitution.
- 22. The proceedings of Conciliation under this Scheme may be terminated as follows:
  - a. On the date of signing of the Settlement agreement by the Parties; or,
  - b. By a written declaration of the IEC, after consultation with the parties, to the effect that further efforts at conciliation are no longer justified, on the date of the declaration; or,
  - c. By a written declaration of the Parties addressed to the IEC to the effect that the Conciliation proceedings are terminated, on the date of the declaration; or,
  - d. By a written declaration of a Party to the other Party and the IEC, if appointed, to the effect that the Conciliation proceedings are terminated, on the date of the declaration.
  - e. On rejection of the Draft Settlement Agreement by the Competent Authority of BHEL or the other Party.

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## 23. The Conciliator(s) shall be entitled to following fees and facilities:

SI No	Particulars	Amount
1	Sitting fees	Each Member shall be paid a Lump Sum fee of Rs 75,000/- for the whole case payable in terms of paragraph No. 27 herein below.
2	Towards drafting of settlement agreement	In cases involving claim and/or counter-claim of up to Rs 5crores. Rs 50,000/- (Sole Conciliator)

SI No	Particulars	Amount
		In cases involving claim and/or counter-claim of exceeding Rs 5 crores but less than Rs 10 crores. Rs 75,000 (per Conciliator) In cases involving claim and/or counter-claim of more than Rs 10 crores.  Rs 1,00,000/- (per Conciliator) Note: The aforesaid fees for the drafting of the Settlement Agreement shall be paid on Signing of the Settlement Agreement after approval of the Competent Authority or Rejection of the proposed Settlement Agreement by the Competent Authority of BHEL.
3	Secretarial expenses	Rs 10,000/- (one time) for the whole case for Conciliation by a Sole Member IEC.  Where Conciliation is by multi member Conciliators –Rs 30,000/- (one time)- to be paid to the IEC



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4	Travel and transportation and stay at outstation i) Retired Senior Officials of other Public Sector Undertakings (pay scale wise equivalent to or more than E-8 level of BHEL)	As per entitlement of the equivalent officer (pay scale wise) in BHEL.
	Others	As per the extant entitlement of whole time Functional Directors in BHEL.
SI No	Particulars	Amount
		Ordinarily, the IEC Member(s) would be entitled to travel by air Economy Class.
5	Venue for meeting	Unless otherwise agreed in the agreement, contract or the Memorandum of Understanding, as the case may be, the venue/seat of proceedings shall be the location of the concerned Unit / Division / Region / Business Group of BHEL. Without prejudice to the seat/venue of the Conciliation being at the location of concerned BHEL Unit / Division / Region / Business Group, the IEC after consulting the Parties may decide to hold the proceedings at any other place/venue to facilitate the proceedings. Unless, Parties agree to conduct Conciliation at BHEL premises, the venue is to be arranged by either Party alternately.



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- 24. The parties will bear their own costs including cost of presenting their cases/evidence/witness(es)/expert(s)on their behalf. The parties agree to rely upon documentary evidence in support of their claims and not to bring any oral evidence in IEC proceedings.
- 25. If any witness(es) or expert(s) is/are, with the consent of the parties, called upon to appear at the instance of the IEC in connection with the matter, then, the costs towards such witness(es)/expert(s) shall be determined by the IEC with the consent of the Parties and the cost so determined shall be borne equally by the Parties.
- 26. The other expenditures/costs in connection with the Conciliation proceedings as well as the IEC's fees and expenses shall be shared by the Parties equally.
- 27. Out of the lump sum fees of Rs 75,000/- for Sitting Fees, 50% shall be payable after the first meeting of the IEC and the remaining 50% of the Sitting Fees shall be payable only after termination of the conciliation proceedings in terms of para 22 hereinabove.
- 28. The travelling, transportation and stay at outstation shall be arranged by concerned Unit as per entitlements as per Serial No. 3 of the Table at para 23 above, and in case such arrangements are not made by the BHEL Unit, the same shall be reimbursed to the IEC on actuals limited to their entitlement as per Serial No. 4 of the Table at Para 23 above against supporting documents. The IEC Member(s) shall submit necessary invoice for claiming the fees/reimbursements.
- 29. The Parties shall keep confidential all matters relating to the conciliation proceedings. Confidentiality shall extend also to the settlement agreement, except where its disclosure is necessary for purposes of its implementation and enforcement or as required by or under a law or as per directions of a Court/Governmental authority/ regulatory body, as the case may be.
- 30. The Parties shall not rely upon or introduce as evidence in any further arbitral or judicial proceedings, whether or not such proceedings relate to the Disputes that is the subject of the Conciliation proceedings:
  - a. Views expressed or suggestions made by the other party in respect of a possible settlement of the Disputes;
  - admissions made by the other party in the course of the Conciliator proceedings;
  - c. proposals made by the Conciliator;
  - d. The fact that the other Party had indicated his willingness to accept a proposal for settlement made by the Conciliator.
- 31. The Parties shall not present the Conciliator(s) as witness in any Alternative Dispute Resolution or Judicial proceedings in respect of a Disputes that is/was the subject of that particular Conciliation proceeding.

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- 32. None of the Conciliators shall act as an arbitrator or as a representative or counsel of a Party in any arbitral or judicial proceeding in respect of a Disputes that is/was the subject of that particular Conciliation proceeding.
- 33. The Parties shall not initiate, during the Conciliation proceedings, any arbitral or judicial proceedings in respect of a Disputes that is the subject matter of the Conciliation proceedings except that a Party may initiate arbitral or judicial proceedings where, in his opinion, such proceedings are necessary for preserving his rights including for preventing expiry of period of limitation. Unless terminated as per the provisions of this Scheme, the Conciliation proceedings shall continue notwithstanding the commencement of the arbitral or judicial proceedings and the arbitral or judicial proceedings shall be primarily for the purpose of preserving rights including preventing expiry of period of limitation.
- 34. The official language of Conciliation proceedings under this Scheme shall be English unless the Parties agree to some other language.



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# **Tender Notice**

**Appendix I** 

FORMAT FOR SEEKING CONSENT FOR REFERRING THE DISPUTES TO CONCILIATION THROUGH IEC
То,
M/s. (Stakeholder's name)
Sub: Resolution of the Disputes through conciliation by Independent Expert Committee (IEC).
Ref: Contract No/MoU/Agreement/LOI/LOA& date
Sir,
With reference to above referred Contract/MoU/Agreement/LOI/LOA, you have raised certain Disputes/claims. Vide your letter dated you have requested BHEL to refer the Disputes/claims to IEC for Conciliation.
We are enclosing herewith Format (3) for giving consent and the terms and conditions of BHEL Conciliation Scheme, 2018 governing conciliation through IEC. You are requested to give your unconditional consent to the said terms and conditions of the Scheme by returning the same duly sealed and signed on each page. On receipt of your consent, matter will be put to the Competent Authority for consideration and decision.
Please note that BHEL has also certain claims against you (if applicable). BHEL reserves its right to agree or not to agree conciliation of the said disputes through BHEL and this letter is being issued without prejudice to BHEL's rights and contentions available under the contract and law.
Yours faithfully,
Representative of BHEL



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**Appendix II** 

# FORMAT FOR GIVING CONSENT BY CONTRACTOR/VENDOR/CUSTOMER/COLLABORATOR/CONSORTI UM PARTNERS FOR REFERRING THE DISPUTES TO CONCILIATION THROUGH IEC

	To,						
	BHEL						
Sı	Sub: Resolution of Disputes through Conciliation by Independent Expert Committee (IEC).						
Re	ef: Cont	ract/MoU/Agreer	ment/LOI/LOA No		&	date	
		erence to above i se to Disputes:	referred contract, our	following bills/invo	ices/claims subi	mitted to BHEL are	still unpaid
	SL.	Claim	Bill submitted to	Amount of the	Amount	Outstanding	
	no.	Description	BHE (no. and date)	bill/claim	received from BHEL	Amount	
	Accordingly, we request you to kindly refer the Disputes in respect of above claims to IEC for Conciliation.  We hereby agree and give our unconditional consent to the terms and conditions of BHEL Conciliation Scheme, 2018 governing conciliation through IEC. We have signed the same on each page and enclosed it for your consideration.						
						You	rs faithfully,
	(Signature with stamp)						
	Authorized Representative of Contractor Name, with designation Date						



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**Appendix III** 

#### STATEMENT OF CLAIMS/COUNTER CLAIMS TO BE SUBMITTED TO THE IEC BY BOTH THE PARTIES

2. Brief of the Contract/MoU/Agreement/LOI/LOA
3. Brief history of the Disputes:

4. Issues:

5. Details of Clam(s)/Counter Claim(s):

1. Chronology of the Disputes

SI. No.	Description of claim(s)/Counter Claim	Amount (in INR)Or currency applicable in the contract	Relevant contract clause

6. Basis/Ground of claim(s)/counter claim(s) (along with relevant clause of contract)

**Note**— The Statement of Claims/Counter Claims may ideally be restricted to maximum limit of 20 pages. Relevant documents may be compiled and submitted along with the statement of Claims/Counter Claims. The statement of Claims/Counter Claims is to be submitted to all IEC members and to the other party by post as well as by email.